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## Publication Date 2021

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#### UNIVERSITY OF CALIFORNIA SAN DIEGO

#### SAN DIEGO STATE UNIVERSITY

Rational Design of Ligands for Transition Metal Catalysis

A dissertation submitted in partial satisfaction of the requirements for the degree Doctor of Philosophy

in

Chemistry

by

Sima Yazdani

Committee in charge:

University of California San Diego

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Chair

University of California San Diego San Diego State University 2021

## DEDICATION

To my father and my mother

#### EPIGRAPH

"Human being are members of a whole, since in their creation they are of one essence. When the conditions of the time brings a member to pain, the other members will suffer from discomfort."

Saadi Shirazzi Golestan (chapter 1, story 10)

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#### ACKNOWLEDGEMENTS

I write this acknowledgment to thank all the people who help me during my Ph.D. program.

I would like to express my deep and sincere gratitude to my advisors Prof. Douglas Grotjahn and Prof. Guy Bertrand for their continuous support, tireless guidance, and patience. I want to thank you for all the opportunities you gave me to further my research. You provided me with the knowledge and tools that I needed to choose the right direction and successfully complete my dissertation. I would like to special thank Dr. Rodolphe Jazzar. I couldn't have made it through the challenges in my research without your patient and your valuable guidance. Also, I express my thanks to Prof. Keary Engle for giving me the opportunity to collaborate with his team. I am grateful to Dr. Michele Soleihavoup and Dr. Mohand Melami. My special thanks go to all my labmates, all previous graduate students, post-docs, collaborators, and undergraduates at UCSD, SDSU, and Scripps Research Institution. All of you had an important role in my graduate studies and I am blessed to have such amazing friends during my education. I extremely thankful to all co-authors who collaborated in below papers and manuscripts.

The section 2.1 of chapter one has contents that are similar to the paper that we published in *Polyhedron*: Yazdani, S.; Silva, B. E.; Cao, T. C.; Rheingold, A. L.; Grotjahn, D. B. X-Ray Crystallography and Electrochemistry Reveal Electronic and Steric Effects of Phosphine and Phosphite Ligands in Complexes  $Ru^{II}(\kappa^4$ -bda)(PR<sub>3</sub>)<sub>2</sub> and  $Ru^{II}(\kappa^3$ -bda)(PR<sub>3</sub>)<sub>3</sub> (bda = 2,2'-bipyridine-6,6'-dicarboxylato). *Polyhedron* **2019**, *161*, 63–70.

The section 2.2 of chapter two has contents that are similar to the manuscript that we are preparing for publication in **Yazdani**, **S**.; Breyer, C. J.; Kumari, P.; Rheingold, A. L.; Jazzar, R.; Bertrand, G.; Grotjahn, D. B. Influence of Axial Phosphine Ligands in Six- coordinate Ruthenium Water Oxidation Catalysts.

Chapter three has contents that are similar to the manuscript that we are preparing for publication in **Yazdani**, **S**.; Breyer, C. J.; Kumari, P.; Rheingold, A. L.; Jazzar, R.; Bertrand, G.; Grotjahn, D. B. Trans-Spanning Ligands with a Macrocycle Cavity, Capable for Water Oxidation. Chapter four has contents that are similar to the paper that we published in **Yazdani**, **S**.; Junor, G. P.; Peltier, J. L.; Jazzar, R.; Grotjahn, D. B.; Bertrand, G. Influence of carbene and phosphine ligands on the catalytic activity of gold complexes in the hydroamination and hydrohydrazination of alkynes. *ACS Catalysis*, **2020**, *10*, 5190-5201. DOI: 10.1021/acscatal.0c01352.

I am extremely grateful to my parents, my sisters, and my brothers for their love, caring, sacrifice, and hard work that enriched and motivated me. Dad, I believe in what you told me 'You can achieve whatever you aim for'. I am very much thankful to my lovely husband for understanding, supporting, and believing in my ideas. Thanks to my friend Sara Afshar for all of her supports through my long journey.

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#### Publications

1. Yang, G.; <sup>‡</sup> **Yazdani, S**.; <sup>‡</sup> Kendrick A.; Junor, G. P.; Bertrand, G.; Jazzar, R.; Engle, K.M. Cyclic(Alkyl)(Amino)Carbene Ligands Enable Cu-Catalyzed Markovnikov Protoboration and Protosilylation of Terminal Alkynes: A Versatile Portal to Functionalized Alkenes. *Angew. Chem. Int. Ed.*, **2021**, *Accepted*. DOI: 10.1002/anie.202106107 (<sup>‡</sup> equal contribution).

2. **Yazdani, S**.; Junor, G. P.; Peltier, J. L.; Jazzar, R.; Grotjahn, D. B.; Bertrand, G. Influence of carbene and phosphine ligands on the catalytic activity of gold complexes in the hydroamination and hydrohydrazination of alkynes. *ACS Catalysis*, **2020**, *10*, 5190-5201.

3. **Yazdani**, **S**.; Silva, B. E.; Cao, T. C.; Rheingold, A. L.; Grotjahn, D. B. X-ray crystallography and electrochemistry reveal electronic and steric effects of phosphine and phosphite ligands in complexes  $Ru^{II}(\kappa^4-bda)$  (PR<sub>3</sub>)<sub>2</sub> and  $Ru^{II}(\kappa^3-bda)$ (PR<sub>3</sub>)<sub>3</sub> (bda = 2,2'-bipyridine-6,6'- dicarboxylato). *Polyhedron*, **2019**, *161*, 63-70.

4. Yazdani, S.; Breyer, C. J.; Kumari, P.; Rheingold, A. L.; Jazzar, R.; Bertrand, G.; Grotjahn, D. B. Influence of Axial Phosphine Ligands in Six- coordinate Ruthenium Water Oxidation Catalysts, *Submitted*.
5. Vermersch, F.; Yazdani, S.; Junor, G. P.; Jazzar, R.; Bertrand, G. Scaling Nucleophilicity in Singlet Carbenes one Hydrogen at a Time. *In Preparation*.

6. **Yazdani, S**.; Breyer, C. J.; Kumari, P.; Rheingold, A. L.; Jazzar, R.; Bertrand, G.; Grotjahn, D. B. Trans-Spanning Ligands with a Macrocycle Cavity, Capable for Water Oxidation. *In Preparation.* 

#### Presentations

1. New gold catalysts in the hydrohydrazination of alkynes under ambient condition. 258<sup>th</sup> American Chemical Society National Meeting & Exposition, San Diego, August 2019. Oral.

2. A series of novel Ru(bda) platform complexes by swapping ligands at diaxial positions as potential water oxidation catalysts. 258<sup>th</sup> American Chemical Society National Meeting & Exposition, San Diego, August 2019. Poster.

3. A molecular tweezer: Synthesis of Ru(II) complex bearing trans-spanning ligands with olefin bridge as a water oxidation catalyst (WOC). 11<sup>th</sup> annual Student Research Symposium (SRS18), SDSU, March 2018. Poster.

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#### ABSTRACT OF THE DISSERTATION

Rational Design of Ligands for Transition Metal Catalysis

by

Sima Yazdani

Doctor of Philosophy in Chemistry University of California San Diego, 2021 San Diego State University, 2021

Professor Grotjahn, Douglas B.. Chair

This dissertation includes exploring two scientific challenges, where both water oxidation catalysis and NH addition to alkynes will benefit greatly from the rational design of ligands. **Chapter 1:** The longterm goal for scientists is to use sunlight for the energy to split water. The main target of our research is the oxidation half reaction. Water oxidation catalysts have been reported by groups of Sun and Llobet<sup>1,2,3</sup> but sustainable catalysis is still impaired by catalyst deactivation.<sup>4</sup> This dissertation attempts to design robust catalysts through manipulating of the apical coordination sites. **Chapter 2** uses phosphorus in the primary coordination sphere to create stronger axial ligand-ruthenium bonds;<sup>5</sup> **Chapter 3** uses a chelating macrocyclic ligand to reduce the chance of ligand loss. **Chapter 4**<sup>6</sup>, focuses on the hydroamination of C-C multiple bonds as the most atom-efficient method for the introducing of nitrogen atoms into organic molecules. Chapter 1

The important role of molecular water oxidation catalysis in the future of renewable energy

#### 1.1. Global warming

The broadly accepted definition of global warming among the scientific community is the longterm heating of Earth's climate system which has been observed since the pre-industrial period due to human activities, primarily fossil fuel burning. Besides that, we are aware of the public experience of local temperature increases, worldwide. Some of the evidence of global warming which can be perceived in our daily life is higher average temperatures, sea-level rise, and extreme weather patterns. In fact, based on research, personal experiences are the strong initial force for raising concern about global warming.<sup>7</sup> Here, we will mention a few of the most important indications and causes of global warming.

**Changes in temperature on surface of the earth**: Based on borehole temperature-depth profiles, measurements of the national research council (2006), during the 20<sup>th</sup> century the temperature globally increased ~ 0.6 °C. Furthermore, they estimated that during the 21<sup>st</sup> century the temperature of our planet will increase roughly 2-6 °C. The evidence for this proposed increase comes from the paleoclimatography, broad geographic sedimentology studies, and instrumental records in ice cores, marine sediments, boreholes, and glaciers.<sup>8</sup> More detailed studies based on data from the Advanced Very High Resolution Radiometer satellites have shown that land surface temperatures increased globally at the rate of ~  $0.43\pm0.2$  °C / decade.<sup>9</sup>

**Changes in atmospheric CO<sub>2</sub>:** The combustion of large quantities of fossil fuels has been causing a steady increase in the average global atmospheric CO<sub>2</sub> concentration from the pre-industrial revolution level of 280 ppm to 409.8  $\pm$ 1 ppm in 2019, a 146 % increase. Also, the projections show that shifting away from fossil fuel use will result in the rapid decrease in average global CO<sub>2</sub> levels by around 75%-85% by 2050.<sup>10</sup>

It is worth to noting that the 2020 COVID-19 pandemic forced restrictions in transportation due to stay-in-place orders, and caused a decrease of -17% in daily global CO<sub>2</sub> emissions by April 2020 in comparison with the mean for 2019. Prior to the pandemic, emission of CO<sub>2</sub> increased by 1% per year.<sup>11</sup> The data highlights the importance of governments' actions for facing the global warming challenge and will likely influence the global CO<sub>2</sub> emission path for decades.

**Changes in ocean water level and acidification:** The consequences of the increasing of  $CO_2$  and methane concentrations in atmosphere are: enhancing global temperature, warming of the ocean, sea-level rise because of melting of ice sheets, in addition to dissolution of  $CO_2$  in seawater resulting a decline in pH and increased acidification of the ocean.<sup>12</sup> Since pre-industrial levels, the water in the surface of the ocean has acidified ~ 0.1 pH units and is projected to decrease by another 0.3-0.4 pH units by the end of the 21<sup>st</sup> century.<sup>13</sup>

Based on the above-mentioned facts, it is vital that renewable and clean energies become the leading sources of energy.<sup>14</sup> These projections inspired researchers to seek renewable sources of energy, with solar energy likely being a long-term solution.<sup>15</sup>

#### **1.2. Sources of Energy**

The majority of the energy used globally in 2018 was provided by oil (34%), followed closely by coal (27%), and natural gas (24%), making fossil fuels the greatest source of energy.<sup>16,17</sup> The renewables energy share reached 4% in 2018.<sup>16</sup> According to the Organization of the Petroleum Exporting Countries (OPEC), the world's proven oil reserves in 2018 were estimated to be 1,497.98 billion barrels.<sup>18</sup>



Figure 1.1. Global primary energy consumption by energy source.<sup>19</sup>

The data compiled by OPEC<sup>18</sup> suggest that in 2018, global demand and production for oil and gas were increased compared to the previous years; oil consumption grew by 1.5% in 2018.<sup>16</sup>

Therefore, it is not surprising that the British Petroleum's (BP) economic team reported that the rate of carbon emissions in 2018 was faster than projected by Paris climate goals.<sup>16</sup> In the BP statistical review of world energy, it is reported that carbon emissions show 2.0% growth for seven years.

However, because of all these data, the goal of scientists is to reduce emissions of CO<sub>2</sub>, hence renewable energy is the world's fastest growing form of energy, and it is projected that renewable energy becomes the leading source of energy consumption by 2050 (Figure 1.1).<sup>19</sup> The United States Energy Information Administration (EIA) projects that the global energy consumption will rise nearly 50% by 2050.<sup>10</sup>

What we know for sure, one day we will run out of oil, and we must leave the oil before oil leaves us.



#### **1.3. Renewable energy**

Figure 1.2. U.S. primary renewable energy consumption by source and sector in 2019.<sup>20</sup>

Production and storage of the renewable energy is one of the crucial scientific challenges in present century.<sup>21</sup> The renewable energy consumption in the U.S. amplified for the fourth consecutive year in 2019, reaching 11% of total U.S. energy consumption (Figure 1.2). Although solar energy accounted for ~ 9% of total U.S renewable energy consumption in 2019, it had the largest growth rate among other renewable sources in 2019.<sup>20</sup> Using the Sun, the most powerful source of the energy, plus water assures an unlimited supply of sustainable energy.<sup>21</sup> Therefore, researchers are inspired by natural photosynthesis, which utilizes the sunlight to oxidize water to  $O_2$  in plants.<sup>22</sup>

#### 1.4. Water splitting and water oxidation

A long-term goal for scientists is to use solar energy to split water, and produce  $H_2$  as fuel and  $O_2$ . In nature, the Sun's energy collected by plants being used to reduce  $CO_2$  to carbohydrates ( $C_6H_{12}O_6$ ) during the process of photosynthesis (equation 1).<sup>21</sup>

$$6CO_2 + 12H_2O \rightarrow C_6H_{12}O_6 + 6O_2 + 6H_2O$$
 (equation 1)

The chlorophylls ( $P_{680}$ ) in leaves of plants, are excited by absorbing the solar energy and transfer electrons to the acceptors, as a result of this transformation during this step the CO<sub>2</sub> will be reduced. The  $P_{680}^{*+}$  (oxidation potential = +1.2 V vs. the normal hydrogen electrode (NHE))<sup>21,23</sup> accepts the electrons from a cluster of Mn<sub>4</sub>CaO<sub>5</sub> in oxygen-evolving complex or OEC (Figure 1.3). This process happens in four consecutive steps and removal of four electrons from OEC which ultimately oxidize two water molecules and generate O<sub>2</sub> and four protons. Natural photosynthesis is the topic of multidisciplinary research between biologists and chemists and is an inspiration for artificial photosynthesis and future development of liquid solar cells.<sup>21</sup>



Figure 1.3. X-ray structure of the oxygen evolving complex, Mn<sub>4</sub>CaO<sub>5</sub>.

In artificial photosynthesis cells, the sunlight collected by the cell is utilized to split the water according to the general equation bellow (2):

$$2H_2O + \text{sunlight energy} \rightarrow 2H_2 + O_2 \text{ (equation 2)}$$

This overall reaction contains two, half reactions, oxidation (equation 2a) and reduction (equation 2b):

$$2H_2O \rightarrow 4H^+ + 4e^- + O_2(E^\circ vs NHE = 1.23 and 0.82 V in pH 0 and 7)$$
 (equation 2a)  
 $4H^+ + 4e^- \rightarrow 2H_2(E^\circ vs NHE = 0.0 and -0.41 V in pH 0 and 7)$  (equation 2b).

(Note: During water splitting via electrolysis, at the cathode, reduction of the  $H^+$  to  $H_2$  is reversible, therefore potential of equilibrium accounted 0.0 V).

Water oxidation is the more challenging of the two half reactions, yet is important as the source of electrons and protons postulated for storing solar energy in the form of chemical bonds;<sup>24</sup> eventually this half reaction will revolutionize the renewable energy field. Using the Sun's energy for artificial photosynthesis is very promising as a source of carbon-free energy. However, to achieve artificial photosynthesis, synthetic chemists need to find robust and efficient catalysts.

#### 1.5. Artificial photosynthesis for solar liquid cells

In general equation 2, the products of the reaction  $(2H_2 + O_2)$  have a higher free energy (286 kJ/mol) and therefore thermodynamically are unfavored,<sup>25</sup> but sunlight will be the source of the energy required to overcome the unfavorable thermodynamics.

A scheme of photo-electrochemical cell for water splitting is demonstrated in Figure 1.4. At the anode, the water is oxidized to produce the oxygen, electrons and protons. Protons transmit to the cathode and electrons transfer through an external circuit and merge with the protons in the cathode. Reduction happens at the cathode  $(4H^+ + 4e^- \rightarrow 2H_2)$  resulting in H<sub>2</sub> gas.

The whole process requires 1.23 V of potential, plus activation energy, or in electrocatalytic terms, overpotential  $\eta$  to overcome the thermodynamic barrier. Therefore, the roles of an electrocatalyst to reduce overpotential at the same time enhancing the rate of the water splitting reaction.<sup>25</sup> The best heterogeneous electrocatalysts are made of precious metals for instance the platinum at the cathode as a counter electrode and IrO<sub>2</sub> or RuO<sub>2</sub> as working electrode (anode). In case of homogenous catalysis, the best catalysts are the molecular transition metal catalysts which will discussed in the next section and specifically with regard to the water oxidation which is the focus of this dissertation.

The ultimate goal is to deposit the molecular water oxidation catalysts on the surface of the cathode, as shown in Figure 1.4.



Figure 1.4. A photo-electrochemical cell.<sup>26</sup>

#### **1.6.** History of molecular water oxidation catalysts (molecular WOC)

Over the past few decades, a number of both homogeneous and heterogeneous water oxidation catalysts (WOCs) have been reported.<sup>3</sup> Molecular water oxidation catalysts (WOCs) contain organic ligands and transition metals (Ru, Ir, Cu, Co, and Ni) and have been investigated for chemical and

electrochemical water oxidation, showing reasonable to excellent reaction rates.<sup>3</sup> Even earth-abundant firstrow transition metals like Fe,<sup>27</sup> Cu,<sup>28</sup> Ni,<sup>29</sup> Mn,<sup>30</sup> and Co<sup>31</sup> have been described to give viable MWOCs, attractive because of the higher abundance in the nature and lower cost and toxicity.<sup>10,32</sup> However, low activity/stability and higher overpotential are still obstacles to using first-row transition metals in WOC.

On the other hand, many efforts have been made to minimize the amount of noble metals in catalysis by development of homogeneous catalysts where the metal center is surrounded by organic ligands.<sup>33,34</sup> Our group decided to focus on homogeneous water oxidation catalysts, because organic ligands offer the chance to finely tune reactivity. Our target catalysts contain organic ligands which are designed to bind to a metal center and also capable of transferring protons, because four protons are lost per mole of oxygen formed One important reason for using molecular WOC over the precious metal oxides is the lesser cost of the former. Also, it is much easier to study the mechanism and understanding of the process of water oxidation by using molecular catalysts. The characterization of the active species during WOC cycle is important for development of large scale production of solar fuels.

There are a variety of WOCs with different electronic and steric properties of the ligands.<sup>35</sup> However, the current challenge for WOCs regardless of the metal used is striking the balance between stability and reactivity. One way to measure stability of water oxidation catalyst is determining the number of turnovers (TON) by using sacrificial oxidants for instance ceric ammonium nitrate, or with persulfate ion and photosensitizers, such as  $Ru(bipy)_3^{2+}$ .

The first molecular WOC, reported by Meyer in 1982, is called the 'Blue dimer', and is a binuclear ruthenium complex containing bipyridine ligands, [(bpy)<sub>2</sub>(H<sub>2</sub>O)Ru<sup>III</sup>ORu<sup>III</sup>(H<sub>2</sub>O)(bpy)<sub>2</sub>]<sup>4+</sup> (compound **1.1**, Figure 1.4).<sup>36</sup> Since then, a vast majority of WOCs have contained rare metals for instance, ruthenium and iridium<sup>37,38,39,40,41,42</sup> especially ruthenium and polypyridine ligands.<sup>43</sup> For a few years scientists tried to focus on the multimetallic catalysts and relied on multimetallic nature of the catalysts to oxidize the water (compounds **1.1-1.3**, Figure 1.4). Researchers believed that a mono-metallic catalyst would be insufficient to oxidize the water and transfer multiple electrons and protons.<sup>44,45</sup> It was not until two decades later that Thummel's group in 2005 reported mononuclear ruthenium complexes as WOC (compound **1.4**, Figure

1.5).<sup>46</sup> Thummel envisaged that electrophilicity of the  $Ru^{IV}$  or  $Ru^{V}$  is enough to facilitate the coordination of a water molecule to the metal center and forming the  $Ru^{IV}$  or  $Ru^{V}$  oxo intermediates after oxidation.

The investigation of monometallic catalysts continued until Sun's group synthesized a mononuclear ruthenium catalyst, bearing 2,2'-bipyridine-6,6'-dicarboxylato (bda) occupying four equatorial positions and two picoline as axial ligands ( $[Ru^{II}(bda)(pic)_2]$ ) **1.5**.<sup>47</sup> This tetradentate ligand catalyst shows good catalyst activity up to turnover frequency (TOF) = 32 s<sup>-1</sup>. In this report they identified active species during water oxidation catalysis and characterized the intermediates with crystal structure of a seven-coordinate Ru<sup>IV</sup> hydroxo.<sup>47</sup>

Later, Sun's group presented some evidence for catalyst degradation by oxidation of picoline methyl groups. Therefore, they changed the axial ligand to isoquinoline **1.6**.<sup>1</sup> As a result of the  $\pi$ -stacking interaction between the isoquinolines and ready formation of the O-O bond, catalytic activity improved to TOF of 303 s<sup>-1</sup>. Another advance came in 2015 with bifunctional catalyst [Ru(tda- $\kappa$ -N<sup>3</sup>O)(py)<sub>2</sub>] (tda = [2,2':6',2"-terpyridine]-6,6"-dicarboxylato) **1.7** that was presented by Llobet's group.<sup>2</sup> The tda ligand is a hemiliable ligand by means of the carboxylate group dissociating, and at the Ru<sup>IV</sup> state, the pendent anionic carboxylate is proposed to help a water molecule attack the oxygen atom of a metal oxo. The water oxidation catalytic activity of complex **1.7** by electrochemical methods at pH 7 reached to a high TOF of 8000 s<sup>-1</sup>.



Figure 1.5. Molecular WOC development through the years.

#### 1.7. Novelty in ruthenium water oxidation catalysis

In recent years, electrocatalytic water oxidation has appeared as a reasonable solution ultimately to produce hydrogen as a fuel, along with oxygen byproduct. In this vein, the development of novel catalysts that drive the oxidation of the water at lower overpotential is essential to the future of the artificial photosynthesis devices. To achieve faster reaction kinetics at the anode demands a lower overpotential to speed up the oxidation reaction. Therefore, the overpotential at which catalysis takes place is an important factor for designing a molecular WOC. In addition to that, other factors are important, for instance: turnover frequency at which the catalytic cycle completes (TOF in mol  $O_2$  mol cat.<sup>-1</sup> s<sup>-1</sup>),<sup>48</sup> and turnover number (TON), whether the catalytically active species accommodates the oxidation of H<sub>2</sub>O, and the robustness of the catalysts are aspects that chemist need to keep in my mind when design novel catalysts for WOC.

Despite prior accomplishments, sustainable catalysis is still impaired by catalyst deactivation in part due to harshly oxidizing reaction conditions. Sun's group detected (but did not quantify) free axial ligand by mass spectrometry in the reaction of **1.5** with Ce<sup>IV</sup>, suggesting that axial ligand loss could be part of catalyst degradation.<sup>4</sup>

Therefore, in this dissertation one goal is to design more robust catalysts through manipulation of the apical coordination sites. Although most WOC research focuses on making faster catalysts, in our research, the first major objective is to slow the rate of catalyst degradation and synthesize a robust catalyst, using the following strategies: **Chapter 2** uses phosphorus atoms in the primary coordination sphere to create stronger axial ligand-ruthenium bonds; **Chapter 3** uses a chelating macrocyclic ligand to reduce the chance of ligand loss.

In both projects our strategy was to begin our investigations by well-known WOC ruthenium polypyridine catalysts for example Sun's Ru(bda) **1.5**, **1.6** and Llobet's Ru(tda) **1.7** and build our knowledge and modify our strategy based on the results we obtained.

Chapter 2

Coordination of tertiary phosphine axial ligands to ruthenium bda (2,2'-bipyridine-6,6'dicarboxylato) and tda ([2,2' :6' ,2'' -terpyridine]-6,6'' -dicarboxylato) scaffolds

# 2.1. X-ray crystallography and electrochemistry reveal electronic and steric effects of phosphine and phosphite ligands in complexes $Ru^{II}(\kappa^4-bda)(PR_3)_2$ and $Ru^{II}(\kappa^3-bda)(PR_3)_3$ <sup>5</sup>

Tertiary alkyl and aryl phosphines and phosphites are generally employed as ancillary ligands for transition metal complexes because of their ability to stabilize low valent metal centers, as well as the amenability of their precursor compounds towards functionalization and fine-tuning of the stereoelectronic features of their transition metal complexes.<sup>49,50,51,52,53,54,55,56,57,58</sup> As discussed in chapter 1, ruthenium complexes containing an anionic tetradentate bda and two axial ligands have been shown to be especially potent for WOC. The chelating nature of the bda ligand along the equatorial plane results in a distorted octahedral geometry in its d<sup>6</sup> metal complexes, with the remaining two coordination sites situated in a diaxial configuration.<sup>59,60,61</sup> Therefore, the first strategy for modification of Ru-polypyridine family is substitution of the diaxial ligands L in  $Ru(bda)(L)_2$ . Due to outstanding catalytic properties of Ru(bda), a variety of these complexes containing diaxial ligands with N, S, and C atoms in first coordination sphere were reported.<sup>59,60,61</sup> To our knowledge, examples of  $Ru(bda)(L)_2$  where  $L = PR_3$  have yet to be explored in the literature. In chapter 2, section 2, we describe the synthesis and characterization of five [Ru<sup>II</sup>(bda)PR<sub>3</sub>] complexes bearing phosphine/phosphite diaxial ligands ( $PR_3$  = triphenylphosphine **2.1**, triethylphosphine 2.2, triisopropyl phosphite 2.3, trimethyl phosphite 2.4, and 1,3,5-triaza-7-phosphaadamantane (PTA) 2.5) (Fig. 2.1). In addition, the electronic and steric effects of phosphorus ligands on the backbone bda ligand was investigated.

One interesting feature of the work is that in case of the  $PTA^{51,52,53,54,55,56,57,58}$  **2.5** and trimethyl phosphite **2.4**, three ligands are coordinated to the metal center in axial and equatorial positions, and on the bda ligand one of the carboxylate groups is not bonded to the metal center, whereas other complexes formed with two PR<sub>3</sub> axial ligands and tetradentate bda ligand.



Figure 2.1. Complexes 2.1 to 2.5 and X-ray crystal structure of complexes 2.1 to 2.4.

		<sup>1</sup> H	<sup>31</sup> P
	bda	PR <sub>3</sub>	
2.1	8.29 (dd, J = 8.0, 1.1, 2H),	7.40 – 7.30 (m, 6H),	30.8 (s) °
	7.64 (t, $J = 7.8, 2H$ ) <sup>b</sup>	7.26 – 7.13 (m, 14H),	
		7.14 – 7.05 (m, 12H) <sup>b</sup>	
2.2	8.43 (dd, J = 8.0, 1.1, 2H),	1.27 (qt, <i>J</i> = 7.5, 2.9, 12H),	16.4 (s)
	8.15 (dd, <i>J</i> = 7.7, 1.2, 2H),	0.66 (p, J = 7.6, 18H)	
	7.95 (t, <i>J</i> = 7.9, 2H)		
<b>2.3</b> <sup>d</sup>	8.38 (dd, J = 8.0, 1.1, 2H),	4.62 – 4.56 (m, 6H)	116.5 (s)
	8.08 (dd, <i>J</i> = 7.8, 1.1, 2H),	1.01 (d, 36H)	
	8.00 (t, <i>J</i> = 7.8, 2H)		
<b>2.4</b> <sup>e</sup>	8.80 (d, J = 8.2, 1H)	4.85, 4.67 (two d, <i>J</i> = 13.1, total 6H)	-51.6 (t) and -
	8.51 (d, <i>J</i> = 8.6, 1H)	4.57 (s, 6H),	55.1 (d) $({}^{2}J_{PPcis})$
	8.46 (d, <i>J</i> = 8.0, 1H)	4.42, 4.32 (two d, <i>J</i> = 13.1, total 12H),	= 38.7)
	8.30 (d, <i>J</i> = 7.6, 1H)	3.73, 3.60 (two d, <i>J</i> = 15.3, total 12H)	
	8.14 (t, <i>J</i> =7.8, 1H)		
	7.55 (d, <i>J</i> = 7.9, 1H)		

Table 2.1. <sup>1</sup>H and <sup>31</sup>P NMR spectra of compounds **2.1-2.4** in CD<sub>3</sub>OD.<sup>a</sup>

(a) Chemical shifts  $\delta$  in ppm, coupling constants *J* in Hz. (b) One 2H signal for bda included under signals for PPh<sub>3</sub> ligands. (c) in DMSO-*d*<sub>6</sub>. (d) in CD<sub>3</sub>OD-*d*<sub>4</sub>. (e) in CDCl<sub>3</sub>.

Comprehensive theoretical studies on diverse phosphorus ligands have been done by Suresh and co-workers<sup>62,63</sup> and Bravo and co-workers,<sup>59</sup> to estimate the stereoelectronic properties of a variety of phosphine ligands. The two methods allow the separation of the steric effects of a PR<sub>3</sub> ligand from its electronic effects and allow one to select the proper ligands in the design of a catalyst system in organometallic chemistry.<sup>51-58,62</sup>

The electronic and steric properties of the substituted phosphorus ligands were quantified by three parameters; 1) Molecular electrostatic potential minimum ( $V_{min}$ ), explaining electronic effects of phosphines described by Suresh and Koga;<sup>62,64</sup> 2) Tolman's electronic parameter (TEP), illustrating electron-donating ability of phosphorus ligands, and is defined as the frequency of the A<sub>1</sub> carbonyl mode of (R<sub>3</sub>P)Ni(CO)<sub>3</sub> complexes;<sup>49,50</sup> 3) Cone angle ( $\theta$ ), introduced by Tolman to quantify the steric effects of phosphines based on the Corey-Pauling-Koltun model of ligand-metal complexes. The Tolman cone angle is very useful because the steric profile of the phosphine can impart a significant degree of control over the outcome of transition-metal-mediated reactions.<sup>49,50</sup>

Therefore, in section 2.1 attempts were made by exploring various phosphine and phosphite ligands to quantify the electronic and steric effect of the ligands on Ru complexes (Fig. 2.1).

The section 2.1 of this chapter has contents that are similar to the paper that we published in *Polyhedron*: Yazdani, S.; Silva, B. E.; Cao, T. C.; Rheingold, A. L.; Grotjahn, D. B. X-Ray Crystallography and Electrochemistry Reveal Electronic and Steric Effects of Phosphine and Phosphite Ligands in Complexes  $Ru^{II}(\kappa^4$ -bda)(PR<sub>3</sub>)<sub>2</sub> and  $Ru^{II}(\kappa^3$ -bda)(PR<sub>3</sub>)<sub>3</sub> (bda = 2,2'-bipyridine-6,6'-dicarboxylato). *Polyhedron* **2019**, *161*, 63–70.<sup>5</sup>

#### 2.1.1. Synthesis, isolation, and characterization of the complexes

**Synthesis and isolation.** Metalation of 2,2'-bipyridine-6,6'-dicarboxylic acid to synthesize complexes **2.1** to **2.5** happened at room temperature (Figure 2.2). Recrystallization was the major purification method and we achieved high yields, up to 92%. The reactions are very fast, in some cases finished in 0.5 h (complex **2.4**), however, we tried to further speed up the reaction with heating at 70 °C.

By heating, a lot of side products were obtained, possibly because in case of the PTA, the ligands suffered methanolysis and we were not able to further purify the reaction mixture.

One interesting trend observed is that the phosphorus ligands with smaller cone angle were prone to form trisubstituted complexes  $Ru(bda)(PR_3)_3$  (complexes **2.4** and **2.5**) while the ligands with bigger cone angles created disubstituted  $Ru(bda)(PR_3)_2$  species even though excess amounts of ligand were present in the reaction (complexes **2.1-2.3**). The cone angles for PPh<sub>3</sub>, PEt<sub>3</sub>, P(OiPr)<sub>3</sub>, and PTA are 145°, 132°, 128°, and 103°, respectively.<sup>57,65</sup>

Below is presented the stepwise synthetic procedure for making Ru(bda)(PR<sub>3</sub>)<sub>2</sub> (Figure 2.2).



Figure 2.2. General synthetic procedure for making Ru(bda)(PR<sub>3</sub>)<sub>2</sub> and Ru(bda)(PR<sub>3</sub>)<sub>3</sub>.

#### NMR and X-ray characterization

All compounds (**2.1-2.5**) were fully characterized by <sup>1</sup>H NMR and <sup>31</sup>P NMR spectroscopy (Varian 500 MHz Inova or 400 MHz VNMRS NMR spectrometers) and X-ray crystallography. The structures are presented in Figure **2.1** and all NMR characterization are in Table 2.1.

The <sup>1</sup>H NMR spectra of the Ru complexes show three signals, consistent with a symmetric bda and a single sharp signal for <sup>31</sup>P NMR spectra which is consistent with  $C_{2v}$  symmetry of **2.1-2.3**. In contrast, Ru<sup>II</sup>(bda)(PR<sub>3</sub>)<sub>3</sub> complexes **2.4** and **2.5** demonstrate broader <sup>1</sup>H peaks related to bda (possibly because the

protic solvent  $CD_3OD$  interacts with the negative charge on the dangling  $CO_2^-$  moiety) and a doublet and triplet in <sup>31</sup>P NMR spectra, consistent with one unique P coupled to two other, equivalent phosphines.

	Complex	2.1	2.2	2.3	<b>2.4</b> <sup>a</sup>	<b>2.4</b> <sup>b</sup>
Bond	Du O	2.191(3)	2.194(16)	2.208(4)	2.139(15)	-
lengths [Å]	Ku-O	2.223(3)	2.194(16)	2.217(6)	-	-
	Du N	1.946(4)	1.944(19)	1.986(12)	2.1402(18)	-
	Ku-IN	1.954(4)	1.944(19)	1.987(2)	2.0187(17)	-
	D11 D	2.371(12)	2.372(6)	2.353(4)	2.349(6)	2.322(6)
	Ku-r	2.376(12)	2.372(6)	2.384(4)	2.334(6)	-
Angles [°]	O-Ru-O	124.58(11)	124.05(8)	125.28(15)	-	-
	P-Ru-P	167.16(4)	163.92(3)	164.55(12)	175.98(2)	90.51(2), 91.82(2)
	N-Ru-N	81.22(15)	81.40(11)	80.21(4)	77.01(3)	-
	O Du D	86.03(8)	85.14(5)	86.06(4)	86.92(5)	92.56(4)
	O-Ku-P	89.31(8)	87.34(4)	89.18(5)	89.70(5)	-
	O Du N	77.59(13)	77.28(7)	77.22(11)	156.35(6)	-
	O-KU-N	157.83(14)	158.67(7)	157.48(5)	-	-

Table 2.2. Bond lengths [Å] and angles [°] obtained by X-ray crystallography.

a = axial ligands; b = equatorial ligand.

Complexes were isolated by crystallization through vapor diffusion of chloroform into methanolic solutions (Figure 2.1). X-ray crystal structures were obtained with Bruker single-crystal diffractometers with CCD detectors and low-temperature cryostats with hi-flux Cu and Mo radiation sources. Crystallographic information is presented in Table 2.2. For the X-ray structures presented in Figure 2.1, complexes **2.1-2.3** have orthorhombic crystal system and Pbcn and Pbca space group. Complex **2.4** is monoclinic and has C 2/c space group.

Compounds **2.1** to **2.4** have different bond lengths and angles. In case of the **2.4**, the O-Ru-P angle (86.92 and 89.70°) is bigger than that of the compounds **2.1** to **2.3** and the O-Ru-N (156°) angle is smaller compared to the compounds **2.1** to **2.3**. Also, the Ru-N bonds are longer and Ru-P bond lengths are a bit shorter (2.349, 2.334, 2.322 Å) compared to those of the other complexes. Therefore, the P atom in **2.4** is closer to the Ru, and the N atoms of the backbone ligand are further from the metal center.

X-ray structural data clearly show that the O-Ru-P coordination site is more open, suggesting that Ru could be readily accessible for coordination of another PTA ligand in the equatorial position. This observation can explain why Ru (bda)(PTA)<sub>3</sub> formed and why we could not isolate the analogous complex with two PR<sub>3</sub> ligands.

The differences in geometry and coordination of the Ru bda complexes can be explained by the  $V_{min}$  and TEP parameters. The  $V_{min}$  for phosphine compounds PPh<sub>3</sub>, PEt<sub>3</sub>, and PTA are -34.07, -43.55, -33.69 kcal/mol, respectively. The more negative values of  $V_{min}$  are related to the electron-donating character and bulkiness of R groups which can shift the P character of the *sp*<sup>3</sup>-hybridized lone-pair orbital of the phosphorus atom.<sup>62-63</sup> Furthermore, the P-R connection is via a single bond so the electronic effect is mainly inductive in nature. The presence of nitrogen in the PTA ligand in **2.4** creates a less-negative  $V_{min}$  (-33.69 Kcal/mol);<sup>62</sup> in addition to having a small  $\theta$ , both parameters document the steric interactions and an increase in  $\pi$ -back-bonding between Ru and the equatorial PTA ligand which ultimately are responsible for three phosphines ligands coordinated in the Ru(bda)(PR<sub>3</sub>)<sub>3</sub> complex.

However because we did not see clear electronic effect based on TPE data (TEP for PEt<sub>3</sub> = 2061.7, PPh<sub>3</sub> = 2068.9, PTA = 2069, P(O<sup>*i*</sup>Pr)<sub>3</sub> = 2075.9, and P(OMe)<sub>3</sub> = 2079.5 cm<sup>-1</sup>)<sup>49-58</sup> therefore, we conclude that steric effects govern whether bis- or tris(PR<sub>3</sub>) complexes form.

#### 2.1.2. Electrochemistry

Cyclic voltammetry (CV) measurements were carried out on CH instruments CHI760E and CHI600C potentiostats, with glassy carbon working electrode (3 mm diameter), and Pt counter-electrode, with Ag<sup>+</sup>/AgCl reference electrode.

We studied the redox properties of **2.1-2.4** by performing cyclic voltammetry (CV) in aqueous potassium phosphate solution (0.1 M, ionic strength I = 0.1 and pH 7) (Figures 2.3 and 2.4). Comparison of the CVs for different complexes shows an increase in the potential  $Ru^{II/III}$  redox wave as  $PEt_3 < PPh_3 < P(O^iPr)_2 < PTA$  from 0.25 V to 0.95 V (Table 2.3).



Figure 2.3. (**a**,**b**) Cyclic voltammagrams of complexes **2.2** (**a**) and **2.3** (**b**) vs background (in blue) in 0.1 M potassium phosphate buffer/CF<sub>3</sub>CH<sub>2</sub>OH (4:1) solution (pH 7.0). CV of compound **2.2** shows  $\Delta E_p = 0.0857$  V, forward current = 5.25e-6 A and reverse current = -3.48e-6 A. Compound **2.3** shows  $\Delta E_p = 0.351$  V, forward current = 6.88e-5 A and reverse current = -6.02e-5 A. (**c**,**d**) Comparison of CVs in presence of compounds **2.2** (**c**) and **2.3** (**d**); in mixed potassium phosphate/CF<sub>3</sub>CH<sub>2</sub>OH solution showing two reversible waves of Ru<sup>III/II</sup> and Ru<sup>IV/III</sup> redox couples. (**e**) CVs of 1 mM of **2.1** in 0.1 M nBu<sub>4</sub>NPF<sub>6</sub>/propylene carbonate (PC) by addition of increasing amounts of water, as illustrated in legend of the figure. The dashed line shows the background in the absence of **2.1**.

Because we did not observe a peak related to the electrocatalytic production  $O_2$  in CV at pH 7, therefore, we performed CV at different pH from acidic to basic to see if any changes were observed in the redox behavior of these compounds. To solve the solubility problem of the complexes in acidic and basic phosphate buffer, therefore, we performed CV in mixtures of potassium phosphate buffer/CF<sub>3</sub>CH<sub>2</sub>OH with three different pH values. Although we observed pH dependency for complexes **2.2** and **2.3**, the peak related to the reduction of the  $O_2$  was not observed (Figure 2.3b).

The other observation is that at acidic and basic pH, two redox waves are observed which may be assigned to Ru<sup>II/III</sup> and Ru<sup>III/IV</sup> (Figure 2.3b).


Figure 2.4. Cyclic voltammagrams of complexes **2.2**, **2.3**, and **2.4** (red trace) versus background (in blue) in 0.1 M potassium phosphate buffer / CF<sub>3</sub>CH<sub>2</sub>OH (4:1) solution (pH = 7.0). For preparing 500 mL of buffer (pH = 7), 1.36 g of KH<sub>2</sub>PO<sub>4</sub> added to a 500 mL volumetric flask. Then 2.32 g of K<sub>2</sub>HPO<sub>4</sub> was added to the flask. Then 500 mL deionized water added to the flask and it was shaken to dissolve solids completely.

	$E_{1/2}^{OX}$ (V vs Ag/AgCl)	
Complex	Ru(II/III)	Eonset
<b>2.1</b> , $\operatorname{Ru}^{\operatorname{II}}(\operatorname{bda})(\operatorname{PPh}_3)_2$	0.45	1.4
<b>2.2</b> , $Ru^{II}(bda)(PEt_3)_2$	0.25	1.2
<b>2.3</b> , $Ru^{II}(bda)(P(OiPr)_3)_2$	0.69	1
<b>2.4</b> , $\operatorname{Ru}^{II}(\operatorname{bda})(\operatorname{PTA})_3$	0.95	1.1

Table 2.3. Electrochemical features of **2.1-2.4** (0.5 mM) in 0.1 potassium phosphate buffer /  $CF_3CH_2OH$  (4:1) solution (pH = 7.0).

In the last trial, to overcome poor solubility of the complex of the complex **2.1** we tried using propylene carbonate (PC) as a polar aprotic solvent.<sup>66</sup> Figure. 2.3.c illustrates the cyclic voltammograms of **2.1** mM 1 in 0.1 M 0.1 M nBu<sub>4</sub>NPF<sub>6</sub>/PC with water added in varying amounts. In the absence of water and in presence of water clearly a wave is observed at  $E_{1/2} = 0.45$  V for redox of Ru<sup>III/II</sup> but the potential of the II/III couple did not change.<sup>66</sup>

## **2.1.3. DFT computations**

All molecular structures were optimized in the Gaussian16 Revision D.01<sup>67</sup> program with the B3LYP density functional <sup>68,69,70</sup> with the cc-pVDz basis set<sup>70</sup> for all main group atoms and the Stuttgart– Dresden (SDD)<sup>70,71,72</sup> effective core potential for ruthenium. All stationary points were verified by frequency calculations and full population analysis. All calculations were carried out on isolated gas-phase species.

Compound	HOMO/LUMO Gap [eV]
<b>2.1</b> , $\operatorname{Ru}^{II}(\operatorname{bda})(\operatorname{PPh}_3)_2$	2.669
<b>2.2</b> , $Ru^{II}(bda)(PEt_3)_2$	2.653
<b>2.3</b> , $\operatorname{Ru}^{II}(\operatorname{bda})(\operatorname{P(OiPr)}_3)_2$	2.760
<b>2.4</b> , $\operatorname{Ru}^{II}(\operatorname{bda})(\operatorname{PTA})_3$	2.614

Table 2.4. Computed HOMO–LUMO gaps for  $Ru^{II}(bda)(L)_2$ ,2.3 species (this work) and Tolman electronic parameters for L (literature <sup>49-50</sup>).

Computational studies were performed for complexes 2.1 to 2.3. We calculated two forms of complexes [Ru<sup>II</sup>(bda)(PTA)<sub>3</sub>] and [Ru<sup>II</sup>(bda)(PTA)<sub>2</sub>] to investigate the stability and steric effects of L<sub>2</sub> type versus L<sub>3</sub> type complexes. Our goal was to meaningfully compare complexes 2.1-2.3 with two axial ligands and compound 2.4 with three phosphine ligands. Also, a question was whether (and to what extent) equatorial phosphine/phosphite ligands can be involved in stability of each complex. The electronic structure of [Ru<sup>II</sup>(bda)(PTA)<sub>3</sub>] shows that HOMO mixing centered on carboxyl groups from bda backbone ligand and localized over equatorial PTA ligand. However, in complexes 2.1 to 2.3, [Ru<sup>II</sup>(bda)(PR)<sub>3</sub>] HOMO is localized on entire bda backbone ligand. Experimental evidence supporting this observation as during synthesis of 2.4, during which only the trisubstituted product was obtained. This point can explain our unsuccessful attempts to make the L<sub>3</sub> analog of complexes 2.1 to 2.3. Electrochemical studies support our calculation result as the redox of the metal center appeared at higher potentials in case of the Ru-PTA<sub>3</sub> complex (Table 2.4). Furthermore, the lower energy (HOMO - 5 and HOMO -3) orbitals in 2.4 have  $\pi$ -bonding character between the equatorial PTA and Ru and HOMO -1 orbital is  $\pi$  anti-bonding between the equatorial PTA and Ru.

Based on above-mentioned computational discussion we come to three conclusions: first, as we envisaged based on  $V_{min}$  parameter, the interaction between equatorial PTA and Ru is governed by a net  $\pi$  bonding interaction. These computational data are key to rationalize the tendency of the PTA ligand to coordinate three times. Second, computations support the electrochemistry results. For instance, delocalization of the electron density from Ru<sup>+</sup> onto the third equatorial phosphine through back-bonding interactions may contribute to the positive Ru<sup>II/III</sup> redox potential of **2.4**. Third, the results emphasize using  $V_{min}$  parameter for predicting donor/acceptor properties of a phosphine ligand when we design a complex.



Figure 2.5. Selected molecular orbitals for 2.1 to 2.4.



Figure 2.6. Selected molecular orbitals for **2.4**.

#### 2.1.4. Conclusion

Chapter 2.1 reports synthesis of Ru-based complexes using the 2,2'-bipyridine-6-6'-dicarboxylic acid scaffold. For complexes **2.1** to **2.3**, phosphine and phosphite ligands occupy the two axial coordination sites of Ru(bda). In contrast, complex **2.4** possesses an additional phosphine ligand occupying the equatorial position, displacing a carboxylato of bda.

In this work we try to describe the steric and electronic effect of different PR<sub>3</sub>. It may be noted that the steric and electronic effects are intermingled and nearly inseparable in every system, and therefore only the combined effects are always observed.

Our calculational results, X-ray structures, electrochemistry data overall are in agreement with each other (Tables 2.1-2.4). The calculated lower HOMO-LUMO gap energy of Ru(PTA)<sub>3</sub>, overall matches with the X-ray crystal structure, that has O-Ru-O cleft-angle of 147.66° plus the smaller Ru-P bond lengths in complex **2.4**. From these data we learned that ruthenium-P bonding in complex **2.4** is favored over ruthenium-O in carboxylic acid of bda backbone ligand. As far as the coordination between the equatorial bda ligand, phosphine/phosphite axial ligands and ruthenium center is concerned, complexes **2.1-2.2** are much alike; the Ru-O bond length is close ~2.19 [Å]. Compound **2.3** is closer to **2.4** and ruthenium is more accessible for coordination with another ligand in equatorial position. In general view our conclusion is that PR<sub>3</sub> steric effects have the greatest role in determining whether bis-PR<sub>3</sub> or tris-PR<sub>3</sub> complex form.

This work reports the first complexes of phosphorus ligands on the Ru<sup>II</sup>(bda) fragment and points to future exploration of reactivity.

Besides that, one of the goals of this project was to use these Ru-bda bearing tertiary aryl phosphine and phosphite for WOC. However, these complexes did not show impressive electrochemical oxidation of water, therefore the next strategy was to consider tertiary aryl phosphine ligands as axial ligands. We envisaged that aromaticity should help for improving the stability of the catalysts.

## 2.2. Influence of axial phosphine ligands in six-coordinate ruthenium water oxidation catalysts

Remarkable water oxidation catalysts have been reported by groups of Sun<sup>61,4,35,47</sup>, Llobet,<sup>73,74,75,76</sup> and Meyer.<sup>37,66,77</sup> Despite these major accomplishments, sustainable catalysis is still impaired by catalyst deactivation in part due to harsh oxidizing reaction conditions. Although degradation pathways are not fully understood, Sun's group has provided some evidence suggesting that loss of axial ligands in **1.7** occurs,<sup>4</sup>



Figure 2.7. Known water oxidation catalysts 1.8, 1.9, the Ru-bda family 2.1 and 2.6 - 2.9, and 2.10 with different phosphorus axial ligands (2.6 - 2.9) reported here.

Thus, manipulation of apical coordination sites may allow the design of more robust catalysts. In a pioneering report, Sun's group introduced  $\pi$ -extended isoquinolines in **1.8** as axial ligands substituting for 4-picoline,<sup>61</sup> meanwhile, more systematic investigations of the electronic and noncovalent effects of *N*-bound axial ligands have also been carried by Murata and Concepcion groups respectively.<sup>78,79</sup> Staehle *et al.* extended this study to axial *N*-heterocyclic carbene ligands,<sup>80</sup> demonstrating O-O bond formation via water nucleophilic attack (WNA) but a TOF of only 0.04 s<sup>-1</sup>.

In line with these studies, a variety of Ru-bda complexes with different axial ligands containing N, C, S, and O in the first coordination sphere to ruthenium have been reported. Interestingly, analysis of the literature reveals no report on the effect of phosphine apical ligands on WOC catalytic activity.<sup>Error! Bookmark not defined.</sup> In this section 2.2, we report the preparation of Ru-bda with aromatic tertiary phosphine (complexes **2.6-2.9**) and Ru-tda (**2.10**) and their evaluation in water oxidation processes. Compound **2.1** is also considered in this section as a base of aromatic phosphine moieties for the purpose of comparison (Figure 2.7). The complexes were prepared and fully characterized by analytical, spectroscopic (UV-vis and nuclear magnetic resonance), solid-state monocrystal X-ray diffraction analysis, and electrochemical techniques (cyclic voltammetry and differential pulse voltammetry). Catalytic activity was examined using  $Ce(NH_4)_2(NO_3)_6$  (Ce<sup>IV</sup>) as oxidant. Finally, the catalytic activity of the most efficient catalysts in this study, namely **2.6** and **2.10** bearing the tris(2-furyl)phosphine, is discussed in the light of known pyridine analogues.

Some parts of this chapter contain materials similar to the manuscript in preparation named "Yazdani, S.; Breyer, C. J.; Kumari, P.; Rheingold, A. L.; Jazzar, R.; Bertrand, G.; Grotjahn, D. B. Influence of Axial Phosphine Ligands in Six- coordinate Ruthenium Water Oxidation Catalysts".<sup>81</sup>

#### 2.2.1. Preparation, characterization, catalytic behavior of 2.6 – 2.9

 $[Ru(bda)(L)_2]$  complexes **2.6-2.9** were readily obtained in good to excellent yield from  $[Ru(bda)(DMSO)_2]$ ,<sup>61,81</sup> by reacting with two equivalents of the corresponding phosphorus ligand in methanol (Figure 2.8). This synthetic strategy allowed us to systematically vary the electronic and the steric properties of the phosphorus ligand.

The general method for the synthesis of complexes **2.6-2.9** is given as follows: A 20 mL scintillation vial in the glove box was charged with Ru(bda)(DMSO)<sub>2</sub> (0.1000 g, 0.1994 mmol, 1 equiv) then methanol (5.0 mL) was added. In another vial, the P-ligand (0.3988 mmol, 2 equiv) was dissolved in methanol (5 mL), and the resulting solution was added to the vial containing Ru(bda)(DMSO)<sub>2</sub>. The reaction vial was sealed and the contents stirred at room temperature. The completion of the reaction was monitored with <sup>31</sup>P NMR. After completion of the reaction, the mixture was filtered in the glove box, and the filtrate evaporated to dryness. The residue was crystallized either by slow evaporation over time or diffusion using methanol/dichloromethane to produce the desired product.



Figure 2.8. Synthesis of complexes 2.6 – 2.9.

We were fortunate to obtain X-ray crystallographic data for 2.6 - 2.8 and 2.1 (Figure 2.9). Of interest, we found that compound 2.6 exhibits a O-Ru-O angle that is closer to the angle in 1.8, whereas in 2.7, 2.8, and 2.1 the angle is slightly larger. Given the cone angles for 2.6 - 2.8 and 2.1 of 133, 136.6, 145, and  $145^{0.82,83,84,85}$  respectively, it is likely that the increase in the steric bulk in the apical position causes more distortion in the geometry of these complexes compared to 1.8 and a wider O-Ru-O angle (see the O-Ru-O angles in Figure 2.9). In complex 2.7, longest Ru-P distances (2.411(12) - 2.434(12) Å) observed

because of steric hindrance presented by three ortho-methoxy groups and as a result created largest O-Ru-O angle (125.50(9)°).

## 2.2.2. Electrochemistry

The redox properties of **2.6**–**2.9** and **2.1** in aqueous solution were assessed via cyclic voltammetry. The CV experiments were performed using glassy carbon working electrodes, a platinum wire as auxiliary, and a silver/silver chloride (Ag/AgCl) as a reference electrode. At pH 7 (phosphate buffer solution), the CV of **2.6** shows a chemically reversible peak at  $E_{1/2} = +0.62$  V ( $\Delta E = 80$  mV,  $E_{pa} = 0.66$  V) (Figure 2.10a), assigned to metal-based one-electron oxidation process [Ru<sup>II</sup>  $\rightarrow$  Ru<sup>III</sup>]. Also clear is catalytic current at pH 7 with onset at approximately +0.9 V.



Figure 2.9. Solid state structures of 2.6 - 2.8, and 2.1. Selected bond lengths and bond angles. Hydrogen atoms have been omitted for clarity. Data for 1.8 are from reference <sup>61</sup>. <sup>a</sup> Ru-picoline N distances. <sup>b</sup> O-Ru-N angles.

We performed differential pulse voltammetry (DPV) for **2.6** to investigate further the redox properties of this complex. In DPV, one oxidation peak is visible in the region of +0.60 V (Figure 2.10b and 10c), which corresponds to the oxidation  $Ru^{II} \rightarrow Ru^{III}$ .<sup>4,35,47,61</sup> For **2.6**, this process occurred at +0.58 V at pH 11.18, at +0.60 at pH 7.07, and at +0.61 at pH 1.99, essentially at the same potential. The lack of change over a wide pH change is in contrast to the results on Sun's isoquinoline complex **1.6**, and may indicate that water binding occurs at a higher oxidation state.<sup>37</sup>

Cyclic voltammetry for **2.1** and **2.7** – **2.9** was conducted in aqueous potassium phosphate (0.1 M,  $\mu = 0.1$ , pH = 7) (Figure 2.11). Clearly, reversible one-electron redox waves for Ru<sup>II/III</sup> were observed for PPh<sub>3</sub> complex **2.1** (E<sub>1/2</sub> = +0.53 V, E<sub>pa</sub> = +0.55 V) and P(4-FC<sub>6</sub>H<sub>4</sub>)<sub>3</sub> complex **2.8** (E<sub>1/2</sub> = +0.63 V, E<sub>pa</sub> = +0.67 V), with the more positive potential for the fluorinated analog consistent with the electron-withdrawing nature of the ligand.



Figure 2.10. (a) Cyclic voltammagram of **2.6** vs. background (dashed line) in 0.1 M potassium phosphate buffer/CF<sub>3</sub>CH<sub>2</sub>OH (65:35) solution ([**2.6**] = 0.32 mM, 0.05 V/s, pH 7, ionic strength 0.1 M). CV demonstrates a reversible redox peak at  $E_{1/2} = +0.62$  V. (b) Plot of DPV of **2.6** in a phosphate buffer (pH 7). Conditions for all the electrochemistry experiments: working electrode, glassy carbon; counter electrode, Pt wire; reference electrode, Ag/AgCl. (c) DPV of **2.6** at pH 1.99 – 11.18 in a mixture of aqueous potassium phosphate buffer and CF<sub>3</sub>CH<sub>2</sub>OH (65:35) and ionic strength 0.1 M ([**2.6**] 0.32 mM). Blue dashed line is the DPV background at pH 7.07. Working electrode, glassy carbon; counter electrode, Pt wire; reference electrode, Ag/AgCl.



Figure 2.11. (a) Cyclic voltammagrams (background-subtracted) of **2.7** - **2.9** in 0.1 M potassium phosphate buffer / CF<sub>3</sub>CH<sub>2</sub>OH (4:1) solution and ionic strength 0.1 M ([**2.7** - **2.9**] 0.79 mM,  $\mu$ = 0.1, pH 7). The black line is CV for **2.7** which shows E<sub>p.a</sub> 0.46 V and a reversible redox wave at 0.45 V. The CV of **2.8** (red line) shows a reversible redox peak for Ru<sup>II/III</sup>. The blue line CV for **2.9** demonstrates an irreversible wave with forward current 2.04e-6 A. (b) CV of **2.1** in 0.1 M KH<sub>2</sub>PO<sub>4</sub> buffer / CF<sub>3</sub>CH<sub>2</sub>OH (4:1) solution ([**2.1**] 0.79 mM,  $\mu$ = 0.1, pH 7). CV shows a reversible peak at 0.53 V and current 1.33e-6 A.

The values of  $E_{1/2} = +0.62$  V,  $E_{pa} = 0.66$  V for **2.6** are somewhat surprising, since by looking at both  $v_{CO}$  in ClRh(L<sub>2</sub>)CO complexes (Table 2.5) as well as at <sup>1</sup>J<sub>PSe</sub> in phosphine selenides, **2.6** is considered less electron-donating than **2.8**.<sup>81,85</sup> **2.9** and **2.7** gave less clear CV data (Figure 2.11).

Therefore, in this series of Ru-bda complexes bearing tertiary phosphine axial ligands 2.6-2.8 and 2.1, the Ru<sup>II/III</sup> redox potential shows an increasing trend as 2.1 < 2.6 < 2.8.

## 2.2.3. Catalytic activity of bda complexes 2.6 - 2.9 in O<sub>2</sub> evolution

We studied the catalytic activity of 2.6-2.9 for generating O<sub>2</sub> in the presence of Ce<sup>IV</sup> as an oxidant (Figure 2.13a and Table 2.6). For these experiments, aqueous ceric ammonium nitrate (CAN) at the desired concentration was used in a sealed vessel equipped with pressure transducer, and a small volume of solution containing the catalyst was injected.

Tests were run in a 110 mL custom-fabricated airtight pressure cell equipped with a pressure transducer, oxygen optode, thermocouple, injection port, shutoff valve, and purge valve. The cell is purged with  $N_2$  gas, and 10 mL of aqueous ceric ammonium nitrate (CAN) at the desired concentration is injected into the cell. The cell is then immersed in a constant temperature bath (30 °C), allowed to reach thermal equilibrium and the pressure is relieved through the shutoff valve. An aliquot of catalyst solution in a gas tight syringe injected into the cell. A LabVIEW/PC interface is used to trigger both measurement apparatus simultaneously and record data.



Figures 2.12. Reaction cell equipped with pressure transducer.

The tris(2-furyl)phosphine complex 2.6 outperformed the other Ru catalysts (2.7 - 2.9) at similar concentrations, while 2.9 led to the lowest performance (Table 2.6). Our catalytic results in the order 2.6 > 2.7 > 2.8 > 2.9 correlates with a higher activity of electron-deficient apical ligands, which is in good agreement with literature precedents.<sup>35,74</sup> Although complex 2.6 shows the highest TON, complex 2.8 shows the highest rate in the 30-to-60 sec time range.

Complex **2.8** had a higher onset potential and showed minimal catalytic activity compared to **2.6** (Figures 2.11 and 2.10). Under the given catalytic conditions in Figure 2.13a, complex **2.8** deactivated in about 2 minutes while complex **2.6** lasted more than 10 minutes. Possibly the loss of the bulkier phosphine ligand (P(4-FC<sub>6</sub>H<sub>4</sub>)<sub>3</sub>, **2.8**) accelerates the degradation of the catalyst (cone angles for **2.6** and **2.8** = 133° and 145°, Table 2.5). At the lowest concentration of catalyst **2.6** examined, 5  $\mu$ M, a higher catalytic activity was observed for water oxidation; the turnover number was calculated to be 258 (Figure 2.13b, Table 2.7).<sup>86,87</sup>

Table 2.5. Tolman electronic parameters (TEP), Infrared data (CH<sub>2</sub>Cl<sub>2</sub>) for trans-[RuCl(CO)(L) complexes, and cone angles for **2.1** and **2.6** - **2.9**. In addition to related Ru<sup>II/III</sup> redox potential for **2.6**, **2.7**, **2.9**, and **2.1** in pH 1, phosphate buffer solution.<sup>82,84,85</sup>

entry	TEP (cm) <sup>-1</sup>	$v(CO_{Rh})(cm^{-1})$	Cone angle(°)	E <sub>1/2</sub>	Ru <sup>II/III</sup>
2.6	-	1998	133	0.62	0.66
2.7	2058.3(3)	-	136.6	-	0.46
2.8	2071.3(2)	1983	145(1)	0.63	0.67
2.9	-	-	-	-	-
2.1	2068.9	1979	145	0.53	0.55

Table 2.6. Detailed concentration and yields for oxygen evolution catalyzed by 2.7 - 2.9 in aqueous solution (HNO<sub>3</sub>, pH 1, 5.0 mL) containing Ce<sup>IV</sup>.

entry	[CAN] <sub>0</sub> (M)	[Cat.]o (µM)	Theoretical O <sub>2</sub> (µmol)	% Yield O <sub>2</sub>	TON*	Initial Rate (µmol O <sub>2</sub> /s)
2.7	0.20	20.0	500	8.4	76	0.003
2.8	0.20	19.9	497	7.2	69	0.009
2.9	0.20	20.0	500	4.9	43	0.001

Table 2.7. Detailed concentration and yields for oxygen evolution catalyzed by **2.6** in aqueous solution (HNO<sub>3</sub>, pH 1, 5.0 mL) containing  $Ce^{IV}$ .

entry	[CAN]0 (M)	[Cat.]o (µM)	Theoretical O <sub>2</sub> (µmol)	% Yield O <sub>2</sub>	TON
1	0.21	5	519	2.5	258
2	0.21	10	519	3.2	163
3	0.21	20	521	4.2	54
4	0.21	40	520	3.8	97
5	0.21	80	520	6.4	41



Figure 2.13. (a) Oxygen evolution catalyzed by 2.6 - 2.8,  $[Cat.]_0 = 20 \ \mu$ M, in aqueous solution (HNO<sub>3</sub>, pH 1, 10 mL) containing  $[Ce^{IV}]_0 = 0.20$  M. More details are presented in Table 2.6; pressure buildup in a sealed cell gave the measurements shown. (b) Oxygen evolution catalyzed by 2.6 in aqueous solution (HNO<sub>3</sub>, pH 1, 5.0 mL), with  $[Cat.]_0$  ranging from 5 to 80  $\mu$ M and  $[Ce^{IV}]_0$  fixed at 0.21 M (Table 2.7). (c) The rate of O<sub>2</sub> evolution in the period 30 to 60 sec after first catalyst injection, versus initial concentration of 2.6.

To determine the order with respect to  $[catalyst]_o$ , we measured rates at an early phase of the reaction. Two phases of the oxygen evolution were seen, a first one during the injection, from t = 0 to t = 12 to 30 sec, in which much of the O<sub>2</sub> was formed; to be consistent, we considered the initial phase to be 30 sec. The second phase occurred in the next 30 sec, after injection was finished, t = 30 to 60 sec. Most of the O<sub>2</sub> is produced during the first phase, during which also there are perturbations due to needle insertion and removal that preclude use of the data in the first phase. To estimate the order with respect to  $[2.6]_o$ , we used the second phase, but as shown in Figure 2.13c, the linear fitting from t = 30 to 60 sec is neither interpretable as first-order nor second-order reaction.<sup>4,35,47,61,73,74,75,76</sup>

#### 2.2.4. Stoichiometric oxidation of 2.6 monitored by UV-Vis spectroscopy

UV-Vis spectra were obtained on a Shimadzu UV-Vis spectrophotometer. In every experiment, Ru complex was added to a 0.1 M triflic acid solution (pH 1) and monitored during time by UV-vis. Solutions of the complexes were prepared under nitrogen in a glovebox and solution by a gas tight syringe injected into a quartz cuvette (0.3 cm x 1 cm width) with sealable cap. The absorption spectra were recorded at room temperature. An initial spectrum of Ru complexes was acquired, and then variable equivalents of CAN was added to solution. The concentration of catalysts used for UV-Vis studies were 0.1 mM.

Given the catalytic activity observed with **2.6**, we added successive 0.2 equivalent portions of  $Ce^{IV}$  oxidant to **2.6** in triflic acid (pH=1) and observed the UV-vis spectra (Figures 2.14 and 2.15c). Figure 2.14c shows the [Ce<sup>IV</sup>]-dependent absorbances at wavelengths 309 nm and 326 nm, that show clear dependences on amount of Ce<sup>IV</sup> added. The absorption rises to a maximum at 2 equivalents, suggesting the formation of one or more higher oxidation states.



Figure 2.14. UV-vis spectra of **2.6**. (a) Spectrophotometric redox titration of **2.6** (70  $\mu$ M) in pH 1 triflic acid (0.1 mM) by CAN (0 to 4 equivalents of CAN, and each time 0.2 equivalent CAN solution added, 1.1 mM stock solution of CAN); (b) Close-up of isosbestic point in the region between 230-270 nm, and second close up of the region 290-350 nm; (c) Plot of absorbance against equivalents of CAN at selected wavelengths (309 nm and 326 nm). Wavelengths 246 and 343 nm are presented in Figure 2.15.



Figure 2.15. (a) UV-vis spectrum of 2.10 in triflic acid aqueous solution, pH 1 (dark blue line). The other traces are for mixtures after successive additions of 1 equivalent of  $Ce^{IV}$ , up to 5 equivalents total ([2.10] = 0.14 mM). (b) UV-vis spectrum of 2.9 (0.1 mM) at pH 1 triflic acid (black line). Redox properties of 2.9 by successive additions of 1 to 4 equivalents of  $Ce^{IV}$  ([Cat.]<sub>o</sub> 0.15 mM). (c) Spectrophotometric redox titration of 2.6 (70  $\mu$ M) at pH 1 triflic acid, (0.1 mM) by CAN (0 to 4 equivalents of CAN, and each time 0.2 equivalent CAN solution added, 1.1 mM stock solution of CAN). Plot of absorbance against equivalents of CAN at selected wavelengths (246 nm and 343).

#### 2.2.5. Preparation, characterization, catalytic behavior of 2.10

Following upon our results with **2.6**, we expanded our investigation to a tris(2-furyl)phosphine analog of the tda ruthenium catalyst **1.9**. Consequently, Ru(tda- $\kappa$ -N<sub>3</sub>O)[tris(2-furyl)phosphine]<sub>2</sub> **2.10** was prepared by reaction of [2,2':6',2"-terpyridine]-6,6"-dicarboxylic acid (H<sub>2</sub>tda) with RuCl<sub>2</sub>(DMSO)<sub>4</sub>,<sup>61</sup> followed by ligand exchange with tris(2-furyl)phosphine (Figure 2.16).

Crystallographic analysis of complex **2.10** reveals a six-coordinate ruthenium center with one coordinated carboxylate, which displays the typical disordered octahedral geometry around a low-spin d<sup>6</sup> Ru<sup>II</sup> in center. The Ru-O distance for the bonded carboxylate is 2.347(17) Å whereas for the nonbonded carboxylate is 2.959 Å. Of note, the Ru-N bond distances (ca. 1.948(3)-2.061(2)-2.061(2)) in complex **2.10** are smaller than in the analogous Llobet complex **1.8** (2.105(2)-2.114(5)-2.118(5)).<sup>2</sup> Selected bond angles and distances are presented in Figure 2.17.



Figure 2.16. Synthesis of [Ru(tda)(tris(2-furyl)phosphine)<sub>2</sub>] 2.10.

#### 2.2.6. Electrochemistry studies of complex 2.10.

Turning to electrochemistry, complex **2.10** shows two reversible redox waves at  $E_{1/2} = 0.53 \text{ V} (\Delta E = 40 \text{ mV})$  and  $E_{1/2} = 0.91 \text{ V} (\Delta E = 42 \text{ mV})$  at pH 1 and 150 mV / s (Figure 2.18a). To evaluate if the potentials of these waves are pH-dependent, we also performed CV at pH 7. In this case two waves were observed at  $E_{1/2} = 0.37 \text{ V} (\Delta E = 91 \text{ mV})$  and  $E_{1/2} = 0.91 \text{ V} (\Delta E = 62 \text{ mV})$  (Figure 2.18b), which we assigned to two consecutive metal-based one-electron oxidation processes [Ru<sup>II</sup>  $\rightarrow$  Ru<sup>III</sup>  $\rightarrow$  Ru<sup>IV</sup>]. The II/III couple potential changes with pH, unlike **2.6**, but III/IV one does not, in analog to Llobet's complex **1.9**. Using 15 CV scans between 0.05 - 1 mV/s at pH 7 allowed us to determine the scan rate dependence for this complex;

note that for this experiment, the potential was scanned up to 1.6 V. The plot of the current versus square root of the scan rates is linear which clearly demonstrates that the process is diffusion-limited (Figure 2.19). Notably, as the scan rate was reduced, we noted a progressive growth in current density in the 1.1 - 1.6 V region, which we attributed to an increasing concentration of a more active species. In line with Llobet et al., we hypothesized that a more electroactive species was formed at longer time scale.<sup>2</sup> To verify this hypothesis, up to 333 scans (U = 20 mV/s) were performed under similar conditions (Figure 2.18c). In our case, however, we observed a gradual deactivation of catalyst, as highlighted by a progressive loss in the intensity of the corresponding Ru<sup>II</sup>  $\rightarrow$  Ru<sup>III</sup>  $\rightarrow$  Ru<sup>IV</sup> transitions.



Figure 2.17. Solid state structure of **2.10** and comparison with that of **1.9**. Selected bond lengths and bond angles. Hydrogen atoms have been omitted for clarity. Data for **1.9** are literature values.<sup>2</sup>.

Nonetheless, our electrochemical experiments support single outer sphere electron transfer processes associated with the Ru<sup>II/III</sup> and Ru<sup>III/IV</sup> redox couples.<sup>87</sup> We next evaluated the catalytic activity of **2.10**, and found that this complex was reaching up to 123 TON (Table 2.8). The analysis of the O<sub>2</sub> evolution catalyzed by **2.10** was examined by a series of trials, in which the present concentration of **2.10** was changed, but the concentration of CAN was kept constant (large excess of Ce<sup>IV</sup>) (Figure 2.20). The decay

of CAN is insignificant during the catalytic reaction because large access of CAN was added. Therefore, the initial rate of O<sub>2</sub> is independent of the concentration of Ce<sup>IV</sup>. However, the initial rate of O<sub>2</sub> evolution linearly depends on the concentration of **2.10**, following a first-order kinetics: rate =  $k_{O2}$  [**2.10**]. The first order rate in catalyst concentration (for more information see experimental section), suggests a single-site water oxidation attack (WNA) mechanism as interpreted for analogous catalyst **1.9**.<sup>61</sup>

entry	[CAN] <sub>0</sub> (M)	[Cat.]₀ (μM)	Theoretical O <sub>2</sub> (µmol)	% Yield O <sub>2</sub>	TON
1	0.21	10	519	2.4	123
2	0.21	20	521	4.7	122
3	0.21	40	521	5.0	65
4	0.21	80	520	7.7	49

Table 2.8. Oxygen evolution catalyzed by 2.10 in aqueous solution (HNO<sub>3</sub>, pH 1, 5.0 mL) containing Ce<sup>IV</sup>.



Figure 2.18. (a) Cyclic voltammetry of 2.10 (2.6 mM) (black line), in pH 1 aqueous triflic acid solution at a scan rate of 0.15 V/s by using a glassy carbon working electrode (background presented as a dashed line). (b) CV with variable scan rate (0.05 to 1 V/s) of 2.6 mM 2.10 in pH 7 in phosphate buffer. CVs with current normalized to the square root of the scan rate (ionic strength 0.1 M). (c) Repetitive cyclic voltammetry experiments (U = 20 mV/s) in pH 7 phosphate buffer of 1.7 mM of 2.10. The red line represents the first scan, the blue line is 4 scans, and finally, the black line shows the last scan with 333 cycles in between. [2.10]<sub>0</sub> 0.79 mM.



Figure 2.19. Plot of current for  $Ru^{II/III}$  couple vs. square root of scan rate for **2.10** with variable scan rate (0.05 to 1 V/s) of 2.6 mM **2.10** in pH 7 in phosphate buffer (ionic strength 0.1 M).



Figure 2.20. (a) Oxygen evolution catalyzed by **2.10** in aqueous solution (HNO<sub>3</sub>, pH 1, 5.0 mL), [Cat.]<sub>o</sub> variable and containing  $[Ce^{IV}]_o = 0.21$  M. (b) The rate of O<sub>2</sub> evolution versus concentration of **2.10**. The rate is calculated by linearly fitting the post injection O<sub>2</sub> evolution versus time plots in 50 s time period (more information presented in Figure 2.21).



Figure 2.21. Oxygen evolution catalysed by **2.10**, [Cat.]<sub>o</sub> variable, in aqueous solution (HNO<sub>3</sub>, pH 1, 5.0 mL) containing [Ce<sup>IV</sup>] =0.21 M. The rate of post injection  $O_2$  evolution in 50 seconds at various concentration of **2.10**.

# 2.2.7. Stochiometric stepwise oxidation of 2.10 monitored by UV-vis spectroscopy

To better understand these results, stepwise oxidation of **2.10** was conducted with stoichiometric quantities of  $Ce^{IV}$  followed by UV-vis and NMR spectroscopies. As previously noted for **2.6**, by adding up to 4 equivalents of  $Ce^{IV}$  (in triflic acid, pH 1; aqueous solution), a direct correlation between the absorption and the concentration of  $Ce^{IV}$  is observed. In Figure 2.22, spectrophotometric redox titration of **2.10** with  $Ce^{IV}$ , shows isosbestic points at 246, 272, 343, and 380 nm. Llobet and coworkers note that typical Ru-bda ruthenium-ligand charge transfer bands appear at wavelengths in 420-620 nm range,<sup>2</sup> which for **2.10** appear at 420-550 nm until addition of 1 equiv  $Ce^{IV}$ .

More interestingly, using 5 equivalents of  $Ce^{IV}$  resulted in a net decrease of the absorption suggesting the formation of Ru<sup>III</sup> (Figure 2.15a).



Figure 2.22. UV-vis spectrum of **2.10** at pH = 1 in triflic acid (a) Spectrophotometric redox titration of **2.10** (70  $\mu$ M) at pH 1 triflic acid, (0.1 mM) by CAN (0 to 4 equivalents of CAN, and each time 0.2 equivalent CAN solution added, 1.1 mM stock solution of CAN) (b) Close-up of isosbestic point between 330-410 nm and 250-330 nm (c) Plot of absorbance against equivalents of CAN at selected wavelengths (246, 272, and 343 nm).

#### 2.2.8. Water oxidation general mechanism

There are two proposed mechanisms for WOC forming the O-O bond during catalysis: mononuclear (one metal is involved) or binuclear (two metals are involved). In either case, first a high valent metal oxo intermediate must be formed after coordination of water to Ru, which undergo protoncoupled electron transfer or PCET to form a metal-oxo complex.

In the mononuclear cases one metal is involved in catalytic cycle, and then nucleophilic attack by another water molecule occurs. This mechanism is called water nucleophilic attack or WNA. In the whole process 4 electrons and 4 protons will be transferred (Figure 2.23). The binuclear mechanism is a radical coupling pathway, RC, in which two metal-oxo units with radical character are formed (Figure 2.23). The key step is formation of the O-O bond which either can form by water attack on a metal oxo (in mononuclear pathway), or by direct coupling of two M=O (in binuclear pathway). Changing the nature of the ligand, or reaction conditions, can result in the reaction to proceeding by either of the two mechanisms.<sup>88,89,90,91</sup>



Figure 2.23. Two basic proposed mechanisms for water oxidation by mononuclear catalysts.

However, in our electrochemical and kinetic studies, we were not able to establish a WOC mechanism for complex **2.6**. In contrast, reaction of complex **2.10** is first order in catalyst concentration which suggests a single-site WNA mechanism.

#### 2.2.9. Conclusion

In summary, we report a detailed characterization of the spectroscopic, electrochemical, and catalytic properties of related  $[Ru(bda)(L)_2]$  and  $[Ru(tda-\kappa-N^3O)(L)_2]$  complexes containing axial ligands L that are tertiary phosphines. Our study identified that replacing N-containing ligands with more sterically hindered and electron-donating tertiary phosphorus ligands did not significantly affect the geometry of the equatorial plane despite the bulk of the PR<sub>3</sub> ligands. Despite the electrochemistry and kinetic studies, a WOC mechanism could not be established for complex **2.6**. In the case of **2.10**, however, first order rate in catalyst concentration suggests a single-site water oxidation attack (WNA) mechanism, also proposed for analogous catalyst **1.7**. Furthermore, for **2.10** the Ru<sup>II/III</sup> couple occurs at 0.37 V followed by the Ru<sup>III/IV</sup> couple at 0.91 V, and the onset of catalysis begins at ~ 1.3 V.

Complexes 2.6 (TON = 258) and 2.10 (TON = 123) feature axial ligands that are very different than the normal pyridinic type. 2.6 - 2.9, 2.1 and 2.10 are not nearly as robust as benchmark catalysts such as 1.8 or 1.9, but they compare favorably with other attempts to drastically change the axial ligand, such as using a with N-heterocyclic carbene in the axial position ([Cat.]<sub>o</sub> = 0.3 µmol, [Ce<sup>IV</sup>]<sub>o</sub> = 0.40 M, TON = 32).<sup>80</sup>

Chapter 2 manifests how steric and electronic changes in the axial ligand can exert significant effects on the activity of the complex.

# 2.3. Experimental section

All synthetic reactions were performed using standard glovebox and Schlenk techniques. Glassware was dried in an oven or flame-dried, and solvent were dried and degassed before use. Methanol, dichloromethane, chloroform and triethylamine were freshly distilled over CaH<sub>2</sub>.

NMR: Deuterium-labeled solvents were purchased from Cambridge Isotope Laboratories. NMR: Multinuclear NMR data were recorded on a Varian INOVA 500 MHz, a Bruker Avance 300 MHz at UCSD or a Bruker 400 MHz and Bruker 600 MHz at SDSU. Chemical shifts ( $\delta$ ) are reported in parts per million (ppm) and are referenced to residual solvent signals (<sup>1</sup>H, <sup>13</sup>C). Coupling constants J are given in hertz (Hz). NMR multiplicities are abbreviated as follows: s = singlet, d = doublet, t = triplet, q = quartet, sext = sextet, sept = septet, m = multiplet, br = broad. All spectra were recorded at 298 K unless otherwise noted.

Synthesis of 6,6'-dimethyl-2,2'-bipyridine:<sup>92</sup> A mixture of Ni(PPh<sub>3</sub>)<sub>2</sub>Br<sub>2</sub> (12.95 g, 0.01743 mol), Bu<sub>4</sub>NI (21.46 g, 0.05811 mL) and Zn dust (3.81 g, 0.0583 mol) in this order was added to a flask which was then charged with THF (210 mL) and mixture was stirred under N<sub>2</sub> atmosphere at room temperature, after which 2-bromo-6-methylpyridine (10.0 g, 0.0581 mol) was added, and the mixture was refluxed overnight. The solvents were removed by rotary evaporation, and the crude product checked by <sup>1</sup>HNMR. Diethyl ether (200 mL) and 10% NH<sub>4</sub>OH (50 mL) were added to the crude reaction and the mixture was stirred for 1 h, after which solids were removed by filtration and purified by column chromatography on silica gel using ethyl acetate and hexane yielding 6,6'-dimethyl-2,2'-bipyridine as a white solid (4.20 g, 60%).

**Synthesis of 2,2'-bipyridine-6,6'-dicarboxylic acid**:<sup>61</sup> The white solid 6,6'-dimethyl-2,2'bipyridine (4.00 g, 0.0217 mol) was added to concentrated sulfuric acid (80 mL) cooled by an ice bath. Chromium trioxide (13.02 g, 0.1302 mol) was slowly added over 1 h, and the reaction mixture stirred at room temperature for 24 h. Ice was added and the mixture was filtered and the solid washed with water and dry in oven under vacuum (4.76 g, 90 %).

Synthesis of Ru (bda)(dmso)<sub>2</sub>:<sup>62</sup> Under nitrogen atmosphere a mixture of 2,2'-bipyridine-6,6'dicarboxylic acid (H<sub>2</sub>bda) (1.001 g, 4.099 mmol) and Ru(dmso)<sub>4</sub>Cl<sub>2</sub> (1.984 g, 4.095 mmol) was added to a 20 mL vial and methanol (5 mL) was added to the vial, followed by  $Et_3N$  (0.3 mL), and the mixture refluxed for 24 h. The reaction mixture was allowed to cool, filtered and the solid washed with methanol (20 mL). The product dried under vacuum and the compound was obtained as red-brown solid (1.23 g, 60 %).

Synthesis of Ru (bda)(PPh<sub>3</sub>)<sub>2</sub> 2.1: A 20 mL vial in the glove box was charged with  $Ru(bda)(dmso)_2$  (0.1000 g, 0.1994 mmol) and methanol (2.5 mL) was added. Another vial was charged with triphenylphosphine (0.1046 g, 0.3988 mmol) and methanol (2.5 mL) was added. The two suspensions were mixed together. The resulting mixture sonicated for 1 h, then was stirred overnight. The completion of reaction was verified by <sup>31</sup>P NMR and filtered to give product (0.156 g, 92 %).



<sup>31</sup>P NMR spectrum

**Synthesis of Ru(bda)**(**PEt<sub>3</sub>**)<sub>2</sub> **2.2:** The Ru<sup>II</sup> (bda)(dmso)<sub>2</sub> complex (0.0500 g, 0.0997 mmol) were dissolved in methanol (10 mL) then triethylphosphine (0.0271 mL, 0.2004 mmol) was added. The reaction

mixture was stirred 12 h at room temperature. The suspension was filtered, and the brown filtrate solution was concentrated under vacuum. The residue was recrystallized from methanol (0.0348 g, 60%).



<sup>1</sup>H NMR spectrum



<sup>31</sup>P NMR spectrum

**Synthesis of Ru(bda)**( $P(O^{i}Pr)_{2}$  **2.3:** Under N<sub>2</sub> atmosphere in a 20 mL vial Ru<sup>II</sup> (bda)(dmso)<sub>2</sub> (0.050 g, 0.0996 mmol) was added and CH<sub>2</sub>Cl<sub>2</sub> (3.5 mL) was added. In a separate vial, triisopropyl phosphite (0.049 mL, 3.988 mmol) was added to CH<sub>2</sub>Cl<sub>2</sub> (3.5 mL) and then the solution was added to the content of the other vial. The reaction mixture was stirred for 12 h. The reaction was filtered, and the filtrate was concentrated to dryness and product checked by <sup>1</sup>H and <sup>31</sup>P NMR (0.0547 g, 72%).



<sup>1</sup>H NMR spectrum



<sup>31</sup>P NMR spectrum

Synthesis of  $\mathbf{Ru}^{II}$  (bda)(PTA)<sub>3</sub> 2.4: Under N<sub>2</sub> atmosphere to  $\mathbf{Ru}^{II}$  (bda)(dmso)<sub>2</sub> (0.0311 g, 0.06200 mmol) was added methanol (1 mL). In a separate vial the 1,3,5-triaza-7-phosphaadamantane (0.0342 g, 0.1550 mmol) dissolved in methanol (1.5 mL total amount of methanol) and two precursor solutions were combined and the resulting mixture stirred at room temperature for 0.5 h. The mixture was filtered, and filtered solid was dried under vacuum. The obtained orange solid was washed with methanol (0.5 mL) (0.0475 g, 86%).



<sup>1</sup>H NMR spectrum



<sup>31</sup>P NMR spectrum

**Synthesis of Ru<sup>II</sup> (bda)**(**POMe**)<sub>3</sub> **2.5:** Under N<sub>2</sub> atmosphere to Ru<sup>II</sup> (bda)(dmso)<sub>2</sub> (0.0311 g, 0.06200 mmol) was added methanol (1 mL). Then trimethylphosphine (0.007 g, 0.0933 mmol) was added and the resulting mixture stirred at room temperature for few hours till the yellow solid appear. The mixture was filtered and filtered solid was dried under vacuum. The obtained orange solid was washed with small amount of methanol (0.5 mL) (0.0184 g, 50%).




<sup>31</sup>P NMR spectrum

**Ru(bda)[tris(2-furyl)phosphine]**<sup>2</sup> **2.6** was isolated in 90% yield (0.145 g) and as a yellow fine solid. <sup>1</sup>H NMR (500 MHz, Methanol-*d*<sub>4</sub>)  $\delta$  8.31 (dd, *J* = 8.1, 1.0 Hz, 2H), 7.93 (t, *J* = 7.9 Hz, 2H), 7.52 (dd, *J* = 7.8, 1.0 Hz, 2H), 7.48 (dt, *J* = 1.6, 0.8 Hz, 6H), 6.80 (dd, *J* = 3.5, 0.7 Hz, 6H), 6.42 (ddd, *J* = 3.4, 1.6, 0.8 Hz, 6H). <sup>13</sup>C{<sup>1</sup>H} NMR (126 MHz, Methanol-*d*<sub>4</sub>)  $\delta$  173.9 (s), 157.2 (s), 153.7 (s), 148.8 (vt, *N* = 5.4 Hz), 140.4 (vt, *N* = 71.2 Hz), 133.6 (s), 124.9 (s), 123.5 (vt, *N* = 18.6 Hz), 122.7, 110.8 (t, *J* = 3.3 Hz). <sup>31</sup>P NMR (202 MHz, Methanol-*d*<sub>4</sub>)  $\delta$  -4.62 ppm.



**Ru(bda)[tris(2-methoxyphenyl)phosphine]**<sub>2</sub> **2.7** was isolated in 75% yield (0.156 g) as a red brownish solid. <sup>1</sup>H NMR (400 MHz, Methanol- $d_4$ )  $\delta$  8.08 (d, J = 7.2 Hz, 2H), 7.72 (t, J = 7.8 Hz, 2H), 7.66 (d, J = 7.1 Hz, 2H), 7.51 (dd, J = 12.9, 7.3 Hz, 3H), 7.26 (t, J = 7.8 Hz, 3H), 6.82 (t, J = 7.5 Hz, 3H), 6.67 (dd, J = 8.1, 4.4 Hz, 3H), 3.27 (s, 9H). <sup>31</sup>P NMR (162 MHz, Methanol- $d_4$ )  $\delta$  23.74 ppm.



**Ru(bda)[tris(4-fluorophenyl)phosphine]**<sub>2</sub> **2.8** was isolated in 81% yield (0.157 g) as a greenish solid. <sup>1</sup>H NMR (300 MHz, Methanol-*d*<sub>4</sub>)  $\delta$  8.37 (dd, *J* = 8.1, 1.1 Hz, 2H), 7.78 (t, *J* = 7.9 Hz, 2H), 7.40 (dd, *J* = 7.8, 1.0 Hz, 2H), 7.13 (dq, *J* = 6.5, 5.0 Hz, 12H), 7.02 (t, *J* = 8.3 Hz, 12H). <sup>13</sup>C{<sup>1</sup>H} NMR (126 MHz, Methanol-*d*<sub>4</sub>)  $\delta$  174.9, 166.4, 164.4, 157.5, 154.9, 136.7 (dvt, *N* = 13.8, *J*<sub>C-F</sub> = 8.4 Hz), 133.6, 127.7, 125.7 (dvt, *N* = 36.8, *J*<sub>C-F</sub> = 21.9 Hz), 117.1 (dvt, *N* = 10.4, *J*<sub>C-F</sub> = 21.6 Hz ). <sup>31</sup>P{<sup>1</sup>H} NMR (121 MHz, Methanol-*d*<sub>4</sub>)  $\delta$  30.54 ppm.





Ru(bda)[(4-(tert-butyl)-2-(diphenylphosphino)-1-methyl-1H-imidazole)]<sub>2</sub> 2.9 was isolated in 90% yield (0.177 g) as a greenish solid. <sup>1</sup>H NMR (500 MHz, Methanol-*d*<sub>4</sub>)  $\delta$  8.14 (d, *J* = 7.9 Hz, 2H), 7.65 (dd, *J* = 12.2, 5.4 Hz, 8H), 7.56 (t, *J* = 7.9 Hz, 2H), 7.36 (t, *J* = 7.4 Hz, 4H), 7.22 (t, *J* = 7.6 Hz, 8H), 7.03 (d, *J* = 7.6 Hz, 2H), 6.56 (s, 2H), 2.94 (s, 6H), 0.98 (s, 18H). <sup>13</sup>C{<sup>1</sup>H} NMR (126 MHz, Methanol-*d*<sub>4</sub>)  $\delta$  174.6, 157.6, 153.9 (vt, N = 19.6 Hz), 136.06, 135.79, 135.5 (vt, *N* = 12.4 Hz), 133.9, 131.4, 130.0 (vt, *N* = 46.7 Hz), 129.6 (vt, *N* = 9.8 Hz), 126.5, 124.0, 120.8, 35.4, 32.7, 31.0. <sup>31</sup>P{<sup>1</sup>H} NMR (202 MHz, Methanol-*d*<sub>4</sub>)  $\delta$  25.22 ppm.

<sup>1</sup>H spectrum





# Procedure for synthesizing complex 2.10

The procedure of Llobet *et al.*<sup>2</sup> was used for the first step: a 1:1 molar ratio of RuCl<sub>2</sub>DMSO<sub>4</sub> and  $H_2$ tda in Et<sub>3</sub>N and dry MeOH was refluxed for 6 hours and cooled to room temperature. A brown solid appeared in the reaction mixture, which was filtered, and washed with methanol and ether.<sup>2</sup> The resulting solid (which is highly insoluble) was used for the next step without further purification and was assumed to have the formula Ru(tda)(DMSO)<sub>2</sub>. In the glove box, a 20 mL scintillation vial was charged with the assumed Ru(tda)(DMSO)<sub>2</sub> (0.050 g, 0.0996 mmol, 1 equiv), followed by dry methanol (10 mL), resulting in a suspension. Then tris(2-furyl)phosphine) (0.0463 g, 0.1992 mmol, 2 equiv) was added to the suspension, and the mixture was stirred at 70 °C for 3 days. The solvents were removed by high vacuum, and the residue was crystallized from methanol (yield 51%, 0.045 g).



# 

---2.17



Chapter 3

Trans-spanning ligands with a macrocyclic cavity, capable of stabilizing a water oxidation

catalyst

## **3.1. Introduction**

As mentioned before, most of WOC research focuses on making faster catalysts for oxidation of the water. In this chapter not only we did aim to synthesize fast catalysts, but also we attempted to slow the rate of catalyst degradation to create long-lived catalysts. According to the literature, one of the challenges with the best WOCs is proposed to be separation of at least one axial ligand during harsh testing conditions.<sup>1</sup>

Our strategy in this chapter is to use chelating ligands to reduce the chance of ligand loss. We proposed designing a trans-spanning macrocyclic ligand, which holds two pyridine-derived ligands in proper distance from both sides of the ruthenium center. With this design, we use the chelate effect to stabilize the complex; if one pyridine dissociates, the linker will keep it close to re-coordinate.

Macrocyclic ligands are known in literature, but they have small cavities **3.1**. For instance, Hu and coworkers in 2002<sup>93</sup> and recently Erdelye group,<sup>94,95,96</sup> proposed a trans-bis(pyridine)ligand **3.1** containing linear fragments like Au<sup>+</sup> or I<sup>+</sup>, or small square-planar fragments like PdCl<sub>2</sub> (**3.1b-3.1d**) for different catalytic reactions. In a study of Suzaki et al., the trans-chelating dinitrogen ligand coordinated to the arylpalladium(II) (**3.1e**) improved the coupling reaction of arylboronic acids with aromatic halides.<sup>97</sup> More interestingly, a novel mononuclear iron(II) Schiff base-like pincer complex (**3.1f**) remains in the high spin state (HS) at different temperatures due to the steric hindrance between the triple bond of the pincer ligand and the Schiff base-like ligand.<sup>98</sup>

In addition, trans-spanning metal complexes are capable of self-assembly formation of the twoand three-membered ring complexes (**3.1g**) in solution.<sup>99</sup>

However, the ligand **3.1** is not proper for our purpose because the cavity between nitrogens is too small for fitting  $Ru(\kappa^4$ -bda) or other metal fragments of significant size in the right orientation, as will be discussed below.





Sun's group reported a binuclear catalyst containing two Ru(bda) units, which are linked using a linker bearing an imidazole at each end and  $-(CH_2)_4$  **3.2** and  $-CH_2C_6H_4CH_2$ - **3.3** spacers (Figure 3.2).<sup>100</sup> They designed this structure to minimally perturb the original structure of the Ru(bda)(pyridine-derived)<sub>2</sub> complexes, while also holding two Ru(bda) units in a non-rigid structure. In complexes **3.2** and **3.3** they used imidazole as the axial ligands because modification of the imidazole is much easier than that of pyridine. During water oxidation catalysis with Ce<sup>IV</sup>, dimer **3.3** showed a better activity, reaching a TON of 42,840 ([Cat.]<sub>o</sub> 0.25  $\mu$ M, [Ce<sup>IV</sup>]<sub>o</sub> 10 mM, pH 1.0 in aqueous CF<sub>3</sub>SO<sub>3</sub>H solution). The kinetics of oxygen evolution for dimer **3.3** was found to be first order reaction with respect to catalyst concentration. Authors suggested that using a large excess of Ce<sup>IV</sup> decomposed the catalyst.<sup>100</sup> Sun et al. concluded that contrary to the conventional beliefs in the literature, a rigid connecting ligand is not necessary for improving bimetallic WOC.

In support of the Sun group's statement and as an example of the binuclear ruthenium complex with a rigid linker, we can mention the report of Gao et al.,<sup>101</sup> in which a dinuclear ruthenium catalyst with a rigid xanthene **3.4** bridge for WOC was synthesized. It was not surprising that they reported a low catalytic water oxidation activity for **3.4** with a first-order reaction in catalyst kinetic mechanism. They rationalized low activity of **3.4** by the steric hindrance effects of the rigid linker and bda ligand which could disfavor forming the face-to-face configuration of the two active sites in one dimer molecule.<sup>101</sup>

Sun and coworkers expanded the field of multinuclear Ru framework for WOC. They believe that presence of more Ru metal centers in a single molecular catalyst is advantageous because the probability of O-O bond formation through intramolecular radical coupling would increase and ultimately the catalytic activity would be enhanced.<sup>102</sup> Based on this hypothesis, they designed a trinuclear ruthenium bearing bda ligands **3.5** which displayed a better catalytic activity compared to the mononuclear catalyst **1.5** and achieved a high TON 86,498 ([Cat.]<sub>o</sub> =  $0.5 \mu$ M, [Ce<sup>IV</sup>]<sub>o</sub> = 0.5 mM, in CF<sub>3</sub>SO<sub>3</sub>H solution and pH 1.0). Water oxidation reactions of catalyst **3.5** confirm a first-order reaction in both [Ce]<sub>o</sub> and [Cat.]<sub>o</sub>.<sup>102</sup>

Würthner's group also actively are working on creating active WOCs by incorporation of several catalytically active Ru(bda) fragments into macrocycles. They reported a series of trinuclear complexes

connecting three Ru(bda) fragments with ditopic axial bridging ligands to form macrocycles **3.6**.<sup>103</sup> The activity of this trinuclear metallosupramolecular macrocycle increased in comparison to the mononuclear analogs as seen by TON = 7,400 ([Cat.]<sub>o</sub> = 24.3  $\mu$ M, [Ce<sup>IV</sup>]<sub>o</sub> = 536 mM, pH 1, using CF<sub>3</sub>SO<sub>3</sub>H). However, due to the large molecular size of this macrocycle, the solubility in water is very low, therefore, they performed reactions in the presence of an unusually high amount of the organic solvents (> 50% in some cases) (CH<sub>3</sub>CN/H<sub>2</sub>O (pH 1) 6: 4).<sup>104,105,106</sup> Minor fragmentation during testing was observed by the loss of one bridging ligand, which is known to be main degradation pathway in the mononuclear case as well.<sup>106</sup>

Metallosupramolecular WOCs are not limited to the Ru(bda) frameworks; the Ru(bpp) (bpp = 3,5bis(2-pyridyl)pyrazolate) catalysts have been of interest because of their I2M mechanism.<sup>1001,107,108,109</sup> However, a challenge with using Ru(bpp) complexes is the consumption of the bpp ligands during water oxidation testing causing degradation of the catalyst. Therefore, Llobet and others envisaged that by encapsulating or heterogenising the molecular catalyst in MOFs they might overcome this limitation.<sup>110,111,112</sup>

Llobet and coworkers designed a metal organic framework (MOF) incorporating a Ru(bpp) water oxidation catalyst in a hexametallic [Fe<sub>2</sub>Ru<sub>4</sub>] macrocycle **3.7**, which has two catalyst units linked by two  $[Fe(R-trpy)_2]$  (trpy = terpyridine) bridges. Unfortunately, similar catalytic activity was seen when compared to the non-cyclic catalyst precursors.<sup>113</sup>

Based on the aforementioned discussion, we can say that the incorporation of the Ru sub-units into macrocyclic frameworks could be beneficial to enhance the stability of the catalyst, but there is also a chance that they are not beneficial.

Therefore, we designed a monometallic macrocycle which benefits from the Ru(bda) structure and has a large cavity inside of the bridging ligand. We assumed a few parameters for designing our macrocycle: 1) the new bridging ligand should hold the two pyridine-derived ligands in the right position, placing the nitrogens 4 to 4.5 Å apart, on opposite sides of the ruthenium (trans). 2) We assumed that stability can be improved by the chelate effect of the bridging ligand, reducing the amount of self-oxidation because steric constraints allow only small molecules to reach the catalytic center. 3) We envisaged that the rigid cyclic

structure may hinder dimerization of two catalytic subunits, therefore, possibly favoring the unimolecular WNA mechanism, but this will need to be investigated. 4) Another advantage is that the large cavity presents no hindrance to the metal to interact with H<sub>2</sub>O and water nucleophilic attack and absorption of protons. For the purpose of the water oxidation, a large cavity is needed to allow water molecules to access the catalytic site.

In this chapter we present the synthesis of a series of Ru(bda) macrocycles by manipulating the length and structure of the bridging ligand.

Some parts of this chapter contain materials similar to the manuscript in preparation: Yazdani, S.; Breyer, C. J.; Kumari, P.; Rheingold, A. L.; Jazzar, R.; Bertrand, G.; Grotjahn, D. B. Trans-Spanning Ligands with a Macrocycle Cavity, Capable for Water Oxidation. *In Preparation*.



Figure 3.2. Bridged and macrocyclic ruthenium complexes used as a molecular water oxidation catalysts.

## **3.2 Designing first novel ruthenium bda macrocycle**

We designed novel trans-spanning ligand **3.11** because we wanted to examine the hypothesis that loss of an axial pyridine derived ligand degraded WOCs based on the Ru<sup>II</sup>(bda) scaffold. Above, we explained the need to bridge the two axial ligands to prevent their coordination; here we will explain why we need a large cavity.

There is reasonable evidence from Sun's group that Ru(bda) complexes of type **3.8** undergo oxidation and activation of water to form Ru<sup>V</sup>=O intermediates which in many cases create dioxygen by coupling of two oxo ligands **3.9** (Figure 3.3) on two molecules of Ru<sup>V</sup>=O.<sup>1,114</sup>



Figure 3.3. Oxidation of the Ru(bda) complex and formation of the Ru<sup>V</sup>-oxo intermediates.

Therefore, Dr. Grotjahn designed a novel macrocycle which has enough room through bridging two pyridine-containing heterocycles by an alkyne **3.11** and performed a preliminary study.<sup>115</sup> The synthesis of this complex was straightforward. For the metalation step, we first attempted to use the standard methods we used for a previous project (see the synthesis of Ru (bda)(PPh<sub>3</sub>)<sub>2</sub>; experimental section 2.3). However, when we tried reaction of the ligand and  $Cl_2Ru(DMSO)_4$  in presence of access amine base, a lot of side reactions happened, including apparent reduction of the alkyne to an alkene. After some experimentation, we were able to make **3.10** with  $Cl_2Ru(CH_3CN)_2$  possibly because less steric restrictions. Ultimately, our group successfully made the target **3.11** by using **3.10** and bda, plus di-isopropylethylamine and heating at 70 °C for 2 days (Figure 3.4).



Figure 3.4. Synthetic procedure for making **3.11**. X-ray crystal structure of the trans-spanning ligand complex shows a moderate sized cavity. **3.12** shows the geometry needed for effective catalysis.

The x-ray structure of the **3.10** in Figure 3.4 shows N-N = 4.196 Å and N-Ru-N =  $180.0^{\circ}$  (for comparison, Sun's compound **1.5** analog; N-N = 4.146 Å and N-Ru\_N =  $173.0^{\circ}$ )<sup>3</sup>. By seeing this X-ray structure we envisaged that there is likely not enough space to favor coordination of the bda ligand. We need two molecules of Ru<sup>V</sup>-oxo to come within O-O bonding distance (Figure 2.23), unless the WNA mechanism operates and possibly in case of the **3.11** one carboxylate group would be repelled by the alkyne connector as illustrated by **3.12** in Figure 3.4. In **3.11**, placing the oxo inside the cavity makes the oxo neither accessible to a second oxo unit, nor to WNA.

Our preliminary electrochemistry data showed no  $O_2$  reduction peak in CV in case the of the **3.11** which is consistent with our analysis that the bda unit needs to rotate.<sup>115</sup>

Thus, we thought about another design to construct a larger macrocycle, which is complex 3.18.

## 3.3. Novel ruthenium bda macrocycle with vinyl bridge containing a large-sized cavity

Novel macrocycle **3.18** attempts to overcome the above-mentioned challenges (the moderate cavity size for the compound **3.11**) as the olefin plus aryl rings bridge the two isoquinolines and can be expected to stabilize and prevent degradation of the catalyst, yet the large cavity should allow bda to rotate. Another advantage is that the large cavity presents no hindrance to the metal to interact with  $H_2O$ .

Here we discuss the challenges faced during synthesis, especially getting high yields in a ringclosing metathesis step. Using a new procedure, we achieved clean conversion in this challenging ring closing step.



Figure 3.5. Synthetic procedure of Ru complexes 3.17 and 3.18 and related X-ray crystal structures.

### **3.3.1.** Materials and Methods

The Ru complexes were synthesized, isolated, and characterized by NMR and solid-state monocrystal X-ray diffraction analysis. A Varian 500-MHHz INOVA (500 MHz for <sup>1</sup>H = 499.940 MHz) spectrometer was used. Single crystal X-Ray diffraction data were collected on a Bruker Apex II diffractometer equipped with hi-flux Cu-K $\alpha$  ( $\lambda$  = 1.54178 Å) or Mo-K ( $\lambda$  = 0.71073 Å) radiation source. The structure was solved using SHELXTL and Olex2 software. Suitable crystals of complexes **3.18** and **3.21** were grown in methanol by slow evaporation of methanol over time. Electrochemistry was performed using a Model # CHI760E (CH Instruments, Inc) potentiostat for cyclic voltammetry and differential pulse voltammetry (DPV). Aqueous cyclic voltammetry experiments were performed with a glassy carbon working electrode, a silver/silver chloride (Ag/AgCl) reference electrodes, and a platinum wire counter electrode.

## **3.3.2.** Results and Discussion

The complex Ru(bda)(DMSO)<sub>2</sub> was prepared based on literature.<sup>80</sup> The displacement of two axial DMSO ligands led to **3.17** in high yield (Figure 3.5). The ring closing metathesis step was very challenging, possibly because the two axial ligands spin and favor to polymerize. We tried several catalysts and conditions, but Grubbs second-generation catalyst was best for ring closing metathesis. In addition, in some samples of compound **3.17** broad peaks in the <sup>1</sup>H NMR spectrum were observed which could be because of competing polymerization. The best way to prevent polymerization of the compound **3.17**, and optimize fragmentation of **3.18** was to perform reactions at low concentration. Our optimal conditions were using 7 mol % Grubbs II catalyst, 0.0026 M concentration of reactant and DCM as solvent.

## 3.3.3. X-ray crystallography data

Our design required the two isoquinoline nitrogens held at just the right distance, on opposite sides of the ruthenium center. Based on the X-ray crystal structure, the cavity dimension is 10.705×11.141 Å, N-Ru-N distance 4.134 Å, O-Ru-O open angle is 120.59°, and N-Ru-N 168.52°, therefore giving an optimized cavity size for exposing the Ru center to water molecules in complex **3.18**. However, compared to complex **1.6**, the O-Ru-O angle (catalyst active site) of the macrocycle **3.18** is smaller (120.59° in **3.18**, 123.00° in **1.6**). The X-ray crystal structure of the **3.17** in Figure 3.5 perfectly shows the huge distance between two vinyl groups, even though by chance in the crystal, the two axial ligand side chains are on the same side. Also, as demonstrated in the X-ray structure of **3.18** (Figure 3.5), the cavity of the macrocycle is large enough to surround the ruthenium with bda equatorial ligand and have enough space for rotation of the bda inside the cavity. Examining the structure using space-filling representation shows that the bda  $\pi$  system nicely fits in the cavity.

## 3.3.4. Cyclic voltammetry for complex 3.18

We analyzed the redox properties of the complex **3.18** in aqueous solution at pH 7 by electrochemical measurements based on CV experiments.

In neutral pH phosphate buffer, complex **3.18** at 0.5 mM shows two reversible redox peaks which are assigned to Ru<sup>II/III</sup> at +0.65 V and Ru<sup>III/IV</sup> at +1.05 V, after exposure to 1.6 V vs Ag/AgCl. Also, a catalytic current at more positive potentials, and an  $O_2$  reduction wave beginning at -0.4 V were observed.



Figure 3.6. CV of **3.18** an aqueous phosphate buffer at pH 7, [Cat.] 0.5 mM. Catalyst was dissolved in 200  $\mu$ L of CF<sub>3</sub>CH<sub>2</sub>OH, then added to 5 mL of buffer. Scan rate, 0.1 V/s; working electrode, glassy carbon, counter electrode, Pt wire; reference electrode, Ag/AgCl. CV shows two reversible redox peaks for Ru<sup>II/III</sup> and Ru<sup>III/IV</sup>.

#### 3.3.5. Water oxidation testing of catalyst 3.18

The testing of complex **3.18** was performed in presence of the ceric ammonium nitrate and pH 1 of nitric acid. As a comparison, we tested the Ru<sup>II</sup>(bda)(picoline)<sub>2</sub> reference catalyst **1.5** under the same conditions. The oxygen evolution catalyzed by **3.18** ([Cat.]<sub>o</sub> = 250  $\mu$ M), in aqueous solution (HNO<sub>3</sub>, pH 1) containing [Ce<sup>IV</sup>]<sub>o</sub> = 250 mM reached TON 100, where as the maximum possible number of turnovers was 250. However, water oxidation testing of caralyst **1.5** under the same condition reached TON = 250, the maximum possible. Less O<sub>2</sub> was formed using complex **3.18**. We think degradation of the vinyl moiety on the ligand could be a reason for lower catalytic performance of **3.18**.



Figure 3.7.  $\mu$ Moles of O<sub>2</sub> produces over time in aqueous solution (pH 1). [Ce<sup>IV</sup>]<sub>0</sub> = 250 mM, [Cat.]<sub>0</sub> = 250  $\mu$ M, T = 30°C.

## 3.3.6. Significance

Our aim in this section was to design a novel trans-spanning ligand and a complex with big macrocycle cavity to have a more robust and longer-lived water oxidation catalyst. Synthetic procedures for making these trans-spanning ligands can be helpful in literature for other purposes beside water oxidation. We characterized the target catalyst by NMR and X-ray crystallography. However, the WOC testing of macrocycle bearing alkyne-containg bridge with CAN obtained low 100 TON, which is less than TON for the standard catalyst **1.5** (TON = 250). Degradation of the olefin bridge due to very oxidizing condition could be a possible reason for low performance of the complex.

# 3.4. Ruthenium bda macrocycle with phenyl bridge containing a large-sized cavity

Based on the low performance of the Ru bda macrocycle with a vinyl bridge in sacrificial oxidant testing, we replaced the vinyl with a phenyl. We envisaged that the aromatic ring would be more stable under oxidizing conditions of the testing compared to the vinyl bridge.



Figure 3.8. Synthetic procedure of Ru macrocycle **3.21** and related X-ray crystal structure.

## 3.4.1. X-ray structure

The geometric parameters for instance bond length and angle are important to understand the performance of a catalyst. As demonstrated in the X-ray structure of **3.21** (Figure 3.8), the N-N distance is 4.136 Å, Ru-N<sub>isoquinoline</sub> = 1.923 Å, and Ru-O = 2.169 Å. The N-Ru-N angle involving the two isoquinolines is  $171.3^{\circ}$  and the angle of the coordinating side O-Ru-O is  $121.713^{\circ}$ . However, compared to complex **1.6**, the N-Ru-N distance of the macrocycle **3.21** is shorter (4.146 Å) and the N-Ru-N angle is ( $173.0^{\circ}$ ) bigger. We hypothesize that the shorter N-Ru-N distance in **3.21**, maybe one of the reasons for better performance of the **3.21**, but the difference is close to the experimental uncertainty, so other reasons will be explored as well.

# 3.4.2. Cyclic voltammetry for complex 3.21



Figure 3.9. CV of **3.21** (blue) and Sun's complex **1.6** (red), an aqueous phosphate buffer at pH 7 at 0.25 mM concentration. Scan rate, 0.1 V/s; working electrode, glassy carbon, counter electrode, Pt wire; reference electrode, Ag/AgCl.

CV of **3.21** shows two reversible redox peaks assigned to Ru<sup>II/III</sup> and Ru<sup>III/IV</sup>. For the purpose of the comparison Sun's complex (red) with isoquinoline axial ligands (**1.6**) are presented under the same

conditions (Figure 3.9). The result suggests that if we keep the potential at +1.4 V we can finally determine the longevity of the catalyst, by performing bulk electrolysis.

# 3.4.3. Water oxidation testing for catalyst 3.21

Evaluation of complex **3.21** in the water oxidation test with  $Ce^{IV}$  in acidic aqueous solution afforded 1518 TON under our optimized condition (Figure 3.10 and Table 3.1) (for comparison Sun's bis(picoline) catalyst **1.5** reaches 519 TON under the same conditions). We use acetonitrile as co-solvent to dissolve the complex. The condition was used for the testing was based on known conditions that were used in the report from Würthner's group.<sup>103</sup>

As we expected, our new designed macrocycle **3.21** with phenyl bridging two isoquinolines, finally enhanced the catalytic longevity up to TON = 1518. We investigated the rate order of WOC which is first order in relation to concentration of catalyst **3.21** (Figure 3.10b).



Figure 3.10. (a) Concentration-dependent oxygen evolution over time for **3.21** with 75% (v/v) acetonitrile as a co-solvent at 30 °C, pH 1 and [Cat.]<sub>o</sub>= 24 to 232  $\mu$ M. (b) The plot of the initial rates (O<sub>2</sub> evolved  $\mu$ Mol per sec) vs. the catalyst concentration. 1.0 g Ce<sup>IV</sup> was dissolved in 3.0 mL organic solvents/H<sub>2</sub>O (pH 1, acid HNO<sub>3</sub>) 4 :1 and 400  $\mu$ L of catalyst solution in organic solvents / H<sub>2</sub>O (pH 1) 4:1 was injected). Organic solvent stock solution consisted of 80% (v/v) of acetonitrile + 20% (v/v) of CF<sub>3</sub>CH<sub>2</sub>OH. The initial rate of O<sub>2</sub> evolution vs. [**3.21**]<sub>o</sub> shows the reaction is first order with respect to catalyst.

		Theoretical					% Theoretical
Catalyst	TON	O2 µmol	Cat. µmol	[Cat.] µM	Ce <sup>IV</sup> . mmol	$[Ce^{IV}]_{o}M$	Yield
3.21	446	456	0.722	232	1.824	0.59	73.7 (5 h)
3.21	581	456	0.573	185	1.824	0.59	74.1 (5 h)
3.21	721	456	0.434	141	1.824	0.59	70.7 (5 h)
3.21	945	456	0.287	94	1.824	0.60	59.4 (5 h)
3.21	1321	456	0.142	47	1.824	0.60	42.2 (3 h)
3.21	1518	456	0.072	24	1.824	0.61	26.6 (1.9 h)
1.5	370	456	0.715	232	1.824	0.59	60.5 (2 h)
1.5	508	456	0.430	141	1.824	0.60	49.6 (1.8 h)
1.5	519	456	0.725	24	1.824	0.60	8.7 (1.4 h)

Table 3.1. Detailed concentration and yields for oxygen evolution catalyzed by **3.21** and standard catalyst **1.5** for comparison. Catalyzed oxygen evolution from ceric ammonium nitrate solutions with using aqueous buffer solution plus MeCN for macrocycle with phenyl bridge (more details is presented in Figure 3.6).

## 3.5. Conclusion and future work

In this chapter our goal was to have a robust and sustainable catalyst for water oxidation which is fast with high turnover frequency. Most research is focused on creating fast catalysts, but our emphasis is slowing down the rate of undesired catalyst degradation by exploring new trans-spanning ligand complexes with large cavities. Catalytic activity was enhanced by using a macrocyclic ruthenium complex with phenyl bridge. As we expected, we obtained 1518 TON in our optimized conditions compared to Sun's catalyst with 519 TON.

In future work, we will try to evaluate the mechanism water oxidation reaction by using ruthenium macrocycle complexes and isolating intermediates during catalytic cycle. In this vein we will elaborate our knowledge about the mechanism of reaction by kinetic study, computational studies, and bulk electrolysis. One potential advantage of bulk electrolysis is that the potential and pH of the catalyzed reaction can be varied. The redox potential of Ce<sup>IV</sup> in acid has been reported for ruthenium water oxidation catalysts,<sup>116</sup> and is in the order of 1.61 V for aqueous nitric acid solutions, whereas onset potential for **3.21** is only 0.78 V, so it is clear that Ce<sup>IV</sup> is far too strong oxidant.

Macrocyclic ligands such as the one here are expected to show benefits not only in water oxidation, but in any field where catalyst stability and ligand loss are a concern.

## 3.6. Synthetic procedure

Compounds **3.13** to **3.16** were prepared based on modified procedures in the literature.<sup>117,118,119,120</sup> Synthesis of compound **3.17**, [Ru<sup>II</sup>(bda)(8-((4-vinylphenyl)ethynyl)isoquinoline)<sub>2</sub>]

A 20 mL scintillation vial in the glove box was charged with Ru(bda)(DMSO)<sub>2</sub> (0.1256 g, 0.2504 mmol, 1 equiv) then methanol/DCM (1:3 ratio, 5.0 mL) was added. In another vial, compound **3.16** (0.008 mmol, 2 equiv) was dissolved in methanol/DCM (1:3 ratio, 5 mL), and the resulting solution was added to the vial containing Ru(bda)(DMSO)<sub>2</sub>. The reaction vial was sealed and the contents stirred in a pre-heated oil bath at 70 °C. The completion of the reaction was monitored by TLC. After completion of the reaction in almost 21 h, the mixture was filtered in the glove box, and the filtrate evaporated to dryness. The residue was further purified by chromatography with silica gel as a stationary phase and mobile phase was a combination of methanol/DCM (1:9). The product is red-brown fine solid, yield 85% (0.187 g). The product **3.17** was characterized by <sup>1</sup>H, <sup>13</sup>C NMR and X-ray crystallography Figure 3.2.

<sup>1</sup>H NMR (300 MHz, Chloroform-*d*)  $\delta$  8.88 (d, *J* = 6.4 Hz, 2H), 7.98 (d, *J* = 7.5 Hz, 2H), 7.83 (s, 2H), 7.73 – 7.63 (m, 2H), 7.62 – 7.51 (m, 11H), 7.43 (d, *J* = 8.4 Hz, 6H), 6.87 (dd, *J* = 17.6, 10.9 Hz, 2H), 5.96 (d, *J* = 17.6 Hz, 2H), 5.49 (d, *J* = 10.9 Hz, 2H). <sup>13</sup>C NMR (126 MHz, Chloroform-*d*)  $\delta$  173.0, 159.1, 157.9, 151.6, 147.1, 138.6, 136.1, 134.9, 132.2, 132.0, 131.2, 130.3, 127.8, 127.1, 126.3, 125.8, 121.7, 120.2, 116.0, 95.5, 85.0, 41.1 ppm.





 $^{13}C{^{1}H}$  NMR spectrum, chloroform-*d*, 126 MHz.



## Synthesis of compound 3.18, [Ru<sup>II</sup>(bda)(Z-1,2-bis(4-(isoquinolin-8-ylethynyl)phenyl)ethene)]

A 50 mL Schlenk in the glove box was charged with Ru complex **3.17** (0.200 g, 0.2340 mmol, 1.00 equiv) then DCM (45.0 mL) was added. In another vial, Grubbs second generation catalyst (0.0167 mmol, 0.0104 g, 7 mol%) was dissolved in DCM (45 mL), and the resulting solution was added to the Schlenk containing **3.17**. The reaction Schlenk was sealed and the contents stirred at a pre-heated oil bath at 50 °C for 30 hours. The completion of the reaction was monitored by TLC. After completion of the reaction, the mixture was filtered in the glove box, and the filtrate evaporated to dryness. The residue was washed with ether and further purified by chromatography with silica gel as a stationary phase and mobile phase was a combination of methanol/DCM (0.6:7). The product is red-brown fine solid, yield 20% (0.040 g). The product **3.18** was characterized with <sup>1</sup>H, <sup>13</sup>C NMR and X-ray crystallography (Figure 3.5).

<sup>1</sup>H NMR (300 MHz, Chloroform-*d*)  $\delta$  9.13 (d, *J* = 6.5 Hz, 2H), 7.92 (d, *J* = 7.6 Hz, 2H), 7.73 (d, *J* = 7.8 Hz, 2H), 7.61 (d, *J* = 6.8 Hz, 2H), 7.54 (t, *J* = 8.7 Hz, 4H), 7.42 (t, *J* = 6.9 Hz, 6H), 7.34 (d, *J* = 8.3 Hz, 6H), 7.18 (s, 2H), 6.56 (d, *J* = 8.1 Hz, 2H). <sup>13</sup>C NMR (126 MHz, Chloroform-*d*)  $\delta$  173.0, 158.7, 157.7, 149.5, 148.5, 139.8, 135.1, 133.4, 132.0, 131.1, 131.0, 129.7, 129.5, 128.0, 127.5, 125.7, 124.8, 121.4, 119.6, 94.9, 84.9 ppm.

<sup>1</sup>H NMR spectrum of **3.17** in chloroform-*d*, 300 MHz.



<sup>13</sup>C{<sup>1</sup>H} NMR spectrum, chloroform-*d*, 126 MHz.



Compound **3.19** was prepared based on literature.<sup>121</sup>

# Synthesis of compound 3.20 (8-((4''-(naphthalen-1-ylethynyl)-[1,1':2',1''-terphenyl]-4yl)ethynyl)isoquinoline)

A 100 mL bomb Schlenk in the glove box was charged with compound **3.19** (0.3304 g, 0.850 mmol, 1 equiv) then compound **3.14** (0.3975 g, 2.590 mmol, 3 equiv),  $Pd(Pph_3)_2Cl_2$  (0.043 g, 7.2 mol%) and CuI (0.0254 g, 30.4 mol%) were added, respectively. To the resulting mixture NEt<sub>3</sub> (12 mL) was added. The Schlenk was sealed, and the brown suspension stirred in a pre-heated oil bath at 85 °C. The completion of the reaction was monitored by TLC. After completion of the reaction (almost 1 d), the mixture was evaporated to dryness. The residue was further purified by chromatography with Florisil gel as a stationary phase and mobile phase was a combination of DCM/EtOAc (9:1). The product is foamy yellowish solid, yield 72% (0.326 g). The product **3.20** was characterized by <sup>1</sup>H and <sup>13</sup>C NMR.

<sup>1</sup>H NMR (300 MHz, Chloroform-*d*) δ 9.84 (s, 2H), 8.62 (d, *J* = 5.7 Hz, 2H), 7.79 (dt, *J* = 8.2, 2.3 Hz, 2H), 7.70 – 7.61 (m, 3H), 7.58 – 7.51 (m, 3H), 7.48 – 7.33 (m, 6H), 7.21 – 7.12 (m, 3H), 7.08 – 6.99 (m, 2H).<sup>13</sup>C NMR (126 MHz, Chloroform-*d*) δ 154.1, 151.2, 143.5, 142.0, 139.8, 135.9, 132.2, 131.7, 130.7, 130.2, 128.7, 128.2, 127.0, 122.0, 121.1, 120.8, 96.1, 86.1 ppm. <sup>1</sup>H NMR spectrum of **3.20** in chloroform-*d*, 300 MHz.



<sup>13</sup>C{<sup>1</sup>H} NMR spectrum, chloroform-*d*, 126 MHz.



# Synthesis of compound 3.21, [Ru<sup>II</sup>(bda)(8-((4''-(naphthalen-1-ylethynyl)-[1,1':2',1''-terphenyl]-4yl)ethynyl)isoquinoline)

A 20 mL scintillation vial in the glove box was charged with  $Ru(bda)(DMSO)_2$  (0.0470 g, 0.0939 mmol, 1 equiv) then methanol (5 mL) was added. In another vial, compound **3.20** (0.050 g, 0.0939 mmol, 1 equiv) was dissolved in methanol (5 mL), and the resulting solution was added to the vial containing  $Ru(bda)(DMSO)_2$ . The molarity of the solution was  $9.4 \times 10^{-3}$  M. The reaction vial was sealed and the contents stirred in a pre-heated oil bath at 70 °C. The completion of the reaction was monitored by TLC. After completion of the reaction (3 d), the mixture was filtered in the glove box, and the filtrate evaporated to dryness. The residue was further purified by chromatography with silica gel and mobile phase was a combination of methanol/DCM (1:9). The product is red-brown fine solid, yield 31% (0.026 g). The product **3.21** was characterized by <sup>1</sup>H, <sup>13</sup>C NMR and X-ray crystallography (Figure 3.8).

H NMR (300 MHz, Chloroform-d)  $\delta$  9.13 (d, J = 6.5 Hz, 2H), 7.92 (d, J = 7.6 Hz, 2H), 7.73 (d, J = 7.8 Hz, 2H), 7.61 (m, 3H), 7.54 (t, J = 8.7 Hz, 4H), 7.37-7.49 (m, 7H), 7.25-7.35 (d, J = 8.3 Hz, 6H), 7.18 (s, 2H), 6.56 (d, J = 8.1 Hz, 2H). <sup>13</sup>C NMR (126 MHz, Chloroform-d)  $\delta$  173.0, 158.7, 157.7, 153.7, 149.5, 148.4, 143.8, 140.2, 135.0, 132.0, 131.2, 130.4, 130.2, 129.6, 128.3, 127.9, 127.5, 125.7, 124.9, 121.5, 121.3, 119.6, 94.8, 84.7. ppm.



<sup>1</sup>H NMR spectrum of **3.21** in chloroform-*d*, 300 MHz.

 $^{13}C{^{1}H}$  NMR spectrum, chloroform-*d*, 126 MHz.



Chapter 4

Influence of carbene and phosphine ligands on the catalytic activity of gold complexes in the hydroamination and hydrohydrazination of alkynes

# 4.1. Introduction

The following chapter discusses the ability of gold carbene complexes and to catalyze NH additions to alkynes, and discusses the important role of ligand choice. Carbene ligands are the focus, so an introduction is warranted.

What is a singlet carbene? A singlet carbene is a divalent carbon which has both a lone pair and a vacant p orbital. Therefore, a carbene can both donate and accept electrons, making it a good  $\sigma$ -donor and  $\pi$ -acceptor. These properties have allowed carbenes to become a powerful tool in chemistry.



**Carbene background:** Following pioneering work by the group of Bertrand in 1988,<sup>122</sup> and the isolation of the first *N*-heterocyclic carbene (NHC) by the group of Arduengo in 1991,<sup>123</sup> the field of stable carbenes has witnessed exceptional breakthroughs and outstanding developments.<sup>124</sup> Today, a large panel of carbenes with different sterics and electronics properties are available across many fields of chemical science including in organic, inorganic and organometallic chemistry.

In past decade, Bertrand's group has developed numerous singlet carbenes,<sup>125</sup> including the Cyclic Alkyl Amino Carbene (CAAC) first isolated in 2005, which has gained in popularity across both academia and industry. To understand the advantages of CAACs, one can look at the difference between NHCs and CAACs. While NHCs have two nitrogen substituents in alpha position to the carbene center which pull electron density away from the carbon and lone pairs on the nitrogens donating into the empty  $\pi$ -orbital of the carbene,<sup>126</sup> CAACs have only one nitrogen and one  $\sigma$ -donating quaternary carbon atom. This specificity makes CAACs more nucleophilic and electrophilic than NHCs. (Figure 4.1).<sup>127</sup> Recently, Bertrand's group extended this family of very electrophilic carbenes to the bicyclic CAAC (BiCAAC)<sup>128</sup> and the 6-membered CAAC (CAAC-6)<sup>129</sup> analogs with unique electronic and steric properties (Figure 4.2).


Figure 4.1. Comparison between 5 membered-ring CAAC and NHC.

Applications of the CAACs: Efficient and selective preparation of organic molecules through homogenous transition-metal catalysis is a key to the discovery of new materials for both industry and academia. Because of the finite number of transition metals, success heavily depends on the development of ligand frameworks capable of tuning the behavior of metal centers toward specific transformations. CAACs are suitable ligands for transition metal complexes thanks to their strong  $\sigma$ -donating and  $\pi$ accepting abilities of carbenes forms a strong bond to the metal center and as a result a more robust complex for different transformation.

The Bertrand group has demonstrated that CAACs peculiar electronic and unique steric properties allowed for the improvement of known processes with Au<sup>130</sup> (hydroamination and hydrozination)<sup>6</sup>, Pd ( $\alpha$ -arylation), Cu (hydroborylation and hydrosylilation), Ru (methathesis),<sup>131</sup> Rh (hydrogenation),<sup>132</sup> and Ir (hydrogenation),<sup>133</sup> as well as promoting new reactions with coinage metals.



Figure 4.2. Comparison of steric and electronic properties across known families of CAAC ligands with respect to NHCs.

In this chapter, we applied state-of-the-art carbene metal catalysts to the hydroamination and hydrohydrazination of alkynes as a convenient and powerful means of accessing imine products. Although imines can be obtained by condensation of amines with aldehydes and ketones, this type of the reaction is usually slow and often limited in scope. To circumvent such limitations, efficient and selective transition metal catalysts are highly desirable.

In recent years a large variety of gold catalysts bearing carbene or phosphine ligands have been designed to promote the hydroamination of alkynes and alkenes.<sup>134</sup> Previous work from the Bertrand group had shown that CAAC-5 gold complexes could be suitable for this transformation, <sup>135</sup> specifically in the *inter-* and *intra-* molecular electrophilic activation of alkynes, alkenes, and allenes for the nucleophilic addition of amines and hydrazines.<sup>136,137,138</sup> The robustness of gold CAACs proved advantageous in the activation of ammonia.<sup>139</sup> However, in this chapter we wished to investigate reactivity of (CAAC)Au catalysts in the hydroamination and hydrozination of the alkynes with a particular emphasis towards mechanistic considerations. Note that Chapter 4 has contents that are similar to the paper that we published: Yazdani, S.; Junor, G. P.; Peltier, J. L.; Jazzar, R.; Grotjahn, D. B.; Bertrand, G. Influence of carbene and

phosphine ligands on the catalytic activity of gold complexes in the hydroamination and hydrohydrazination of alkynes. *ACS Catalysis*, **2020**, *10*, 5190-5201.

#### 4.2. Introduction to hydroamination and hydrazination

The hydroamination of C-C multiple bonds is the most efficient synthetic pathway for the introduction of nitrogen moieties in organic molecules.<sup>140</sup> The first report of gold-catalyzed hydroamination of alkynes was reported in 1989. Tanaka's group used (PPh<sub>3</sub>)AuNTf<sub>2</sub> for hydroamination of the alkyne.<sup>141</sup> After seeing acceptable activity of a readily available gold complex, the field of gold chemistry for hydroamination catalysts has witnessed an outstanding evolution.<sup>142</sup> This field expanded even more thanks to Buchwald-type phosphines and singlet carbenes which proved to be privileged ligands for these transformations.<sup>143</sup>

Interestingly despite most current research focusing on the design of an all-inclusive ligand (high TON/TOF, large substrate scope, broad scope of transformations), there is still no consensus on which ligands, phosphines or carbenes will afford the most efficient catalysts. We reasoned that a lack of comparative studies could be at the source of this predicament. This is well illustrated in a very detailed report by Xu and coworkers who observed a remarkable ligand effect in the intermolecular hydroamination of phenyl acetylene with aniline,<sup>144</sup> yet did not consider carbene ligands. It is thus not surprising that Xu's group only envisaged potential development in this field through P-centered ligand modifications.

Another point which attracted our attention was that most ligand design strategies available in the literature relied on highly functionalized motifs.<sup>145,146</sup> This is well exemplified by Zhang and co-workers who have designed very sophisticated ligand sets in recent years. For examples WangPhos phosphine bears an amido functional group **4.1.L**,<sup>147</sup> and was used to achieve up to 8500 TON in the hydroamination of phenyl acetylene with aniline. Similar strategies are also available for NHCs as seen in example **4.2.L** bearing a barbituric heterocycle on the NHC,<sup>148</sup> and reaching up to 7500 TON in the same transformation (Figure 4.3). It worth mentioning that Hashmi and co-workers have performed a comprehensive study of the catalytic activities of select phosphines and carbenes in gold catalyzed reactions emphasizing some differences between both of the ligand families.<sup>149</sup>



Figure 4.3. State of the art phosphine and carbene gold catalysts for the hydroamination of phenyl acetylene with aniline.

Rationalizing that protodeauration in gold-catalyzed hydroamination and hydrazination of alkynes is the rate-determining step and that catalyst deactivation is significant,<sup>144,150</sup> we hypothesized that more strongly  $\sigma$ -donating carbenes (such as CAAC ligands) should yield more stable and more reactive catalysts. To demonstrate this idea, we performed a detailed investigation of the catalytic activities of CAAC ligands versus more traditional ligands such as NHCs and phosphines. To complement our study, we also performed numerous *in-situ* kinetic measurements which allowed us to optimize the reaction conditions but also more classically to determine the order of reaction for each reagent. Finally, we also conducted mechanistic studies to rationalize the stability imparted by CAAC ligands.

#### 4.3. Results and discussion

#### 4.3.1. Hydroamination reaction

**Optimizing reaction conditions by kinetic studies**: Conventional ligand optimization has been done in the literature by obtaining the overall yield of the reaction at the end. In our view, the final yield of the reaction might not provide enough information on the overall effectiveness of the ligand. Therefore, we decided to conduct *in-situ* kinetic measurements via <sup>1</sup>H NMR spectroscopy, as a direct probe into our catalytic system similar to the work has been done by Blackmond group.<sup>151</sup> The process includes taking <sup>1</sup>H NMR spectra in 60-second intervals during the reaction of the aniline with *para*-methoxy-phenyl acetylene at room temperature. Practically, we monitored the formation of **4.8a** in the reaction mixture under varying conditions such as different gold catalysts (Figure 4.4a-b), solvents (Figure 4.4c), and different concentration and the catalyst loading to evaluate the optimized conditions.

To begin, we confirmed the accuracy of our kinetic methodology with using (Ph<sub>3</sub>P)AuCl plus KBAr<sup>F</sup> as an ionizing reagent, and achieved comparable results to those reported by Xu's group.<sup>144</sup> We performed the same reactions catalyzed with (THT)AuCl. As illustrated in Figure 4.4b, spectator ligands like (Ph<sub>3</sub>P)AuCl and (THT)AuCl are not supreme choices for this transformation.

Based on kinetic studies and as illustrated in Figure 4.4b, we observed an electronic effect on the rate of the catalysts, with faster catalysts bearing more donating ligands,  $^{Et}$ CAAC-5 (4.3.L) and  $^{Et}$ CAAC-6 (4.4.L). To investigate the steric effects of the ligands, we calculated the percent buried volume or %V<sub>bur</sub>. The buried volume quantifies the space occupied by the ligand in first coordination sphere of the gold center.<sup>152,153</sup> The %V<sub>bur</sub> valuenof the ligands are presented in Figure 4.4a, and we did not observe a clear effect for enhancing efficiency of catalyst bearing a sterically hindered ligand.



Figure 4.4. <sup>1</sup>H NMR kinetic monitoring of the hydroamination to evaluate optimized conditions.

From these kinetic studies we could conclude that: 1) In the absence of secondary ligand-metal interactions, enhancing the steric bulk around the gold center did not improve the reactivity of the catalyst; 2)  $^{IPr}$ BiCAAC (**4.6.L**) is the most efficient catalyst for our target transformation, likely because of strong  $\sigma$ -donating properties and a rigid steric profile.

Using the same rationale, we also investigated different solvents and narrowed the best solvent to benzene (Figure 4.4c). In contrast, solvents such as CH<sub>3</sub>CN were found to reduce the catalytic activity probably due to a binding competition at the gold between the solvent and the amine and alkynes.<sup>154</sup> To conclude, we selected our optimal conditions to be: 2.5 mol% <sup>*IPr*</sup>BiCAACAuCl/KBAr<sup>F</sup> catalyst loading, 1.5 M (Figure 4.4d), and performing the reaction in benzene.

**Reaction scope**: With optimal conditions in hand, we performed a substrate scope using a range of alkynes and anilines (Figure 4.5). We found <sup> $IP_T$ </sup>BiCAACAuCl to be very efficient with aryl-substituted terminal alkynes and *p*-toluidine, with all reactions proceeding within a few hours in very high yields and at 20 °C (**4.8a-4.8e**). However, in case of the alkyl-substituted terminal alkynes, high conversions were only achieved upon longer reaction time (**4.8f-4.8g**). As expected, faster reaction could be obtained by increasing the reaction temperature (**4.8h**). Internal alkynes required longer reaction times and higher temperatures but could be efficiently transformed nonetheless (**4.8i-4.8k**).

We also examined the nature of the aniline and as illustrated in Figure 4.5 found few limitations in this case (**4.8I-4.8p**), even with sterically demanding 2,6-diisopropylaniline (**4.8m**) or electron deficient perfluorinated aniline (**4.8o**). Also, alkyl amines showed less reactivity and demanded higher temperature up to 80 °C.



Figure 4.5. Hydroamination substrate scope with variety amines and alkynes. NMR yields (isolated yields).

**Hammett plot**: To understand the influence of electronics contributions at the substrate we conducted a Hammett correlation study. As illustrated in Figure 4.6, both anilines and alkynes showed slower reaction kinetics with electron-withdrawing *para*-substituents ( $\rho$  value < 0) which supports for a positive charge being built on the reaction center. The exception was the *p*-NMe<sub>2</sub>-subsituted alkyne, which may interfere with alkyne coordination by binding to the NMe<sub>2</sub> group.



Figure 4.6. Hammett correlation in (a) alkyne and (b) amine; to quantify the electronic influence of the substrates in alkynes and amines.



Figure 4.7. Rate dependence of the alkyne and amine in hydroamination.

**Rate order:** To determine the rate order of the reaction, we also investigated the rate behavior of both reagents, alkyne and amine, under pseudo first-order conditions (Figure 4.7). In both cases, a first order dependency was observed suggesting that both reagents are involved in the rate-limiting step.

#### 4.3.2. Hydrohydrazination reaction

Having obtained good result for the hydroamination reaction, so we decided to extend our study investigate to the hydrazination of alkynes. Compared to amines, hydrazines are more challenging mostly due to their propensity of promote the formation of inert gold(0) nanoparticles,<sup>155</sup> or unreactive Werner complexes.<sup>156,157</sup> In 2011, our group reported that (CAAC-5)Au complex **4.3.L** was able to catalyze the hydrohydrazination of variety of alkynes, however under very demanding reaction temperatures (90-100 °C).<sup>136</sup> It should be noted that the same transformation was also achieved by Hashmi et al. (2014) using a

saturated NHC (*sa*NHC)<sup>158</sup> and our group (2012-2013) using an *anti-Bredt*-NHC,<sup>159,160</sup> albeit in limited substrate scope.

**Reaction conditions**: Having found a convenient way to evaluate amines we proceeded to investigate hydrazines. Starting with the reaction of phenyl hydrazine with *para*-methoxy-phenyl acetylene at room temperature we monitored the formation of **4.9a**, meanwhile changing reaction condition parameters such as catalysts, solvent, the concentration, and the catalyst loading (Figure 4.8). Note that here also KBAr<sup>F</sup> was used as an ionizing reagent. The accuracy of our kinetic methodology was confirmed by performing the same reaction with (Ph<sub>3</sub>P)AuCl.<sup>144</sup>

During optimizing reaction condition, we realized that less sterically hindered <sup>*Et*</sup>CAAC-5 (**4.3.L**,  $%V_{bur}$ : 43.2) did perform as well as bulkier <sup>*Et*</sup>CAAC-6 (**4.4.L**,  $%V_{bur}$ : 47.4) and <sup>*Ad*</sup>CAAC-5 (**4.5.L**,  $%V_{bur}$ : 48.4). This result and the importance of the ligand other the stability of the catalyst towards disproportionation,<sup>161</sup> is discussed in detail in section 4.3.

<sup>1</sup>H NMR kinetic studies showed that the optimal conditions for the hydrohydrazination reaction involve performing the reaction in chloroform, with 0.5 mol% catalyst loading and 1.8 M concentration as a compromise between conversion and reaction time (Figure 4.8). Based on the increasing trend of the catalytic activity 4.5.L < 4.4.L < 4.7.L < 4.6.L, we believe that the same previously-mentioned rationale of an electronic and steric effects in amine additions with the <sup>*IPr*</sup>BiCAAC 4.6.L applies for hydrazine addition reactions.



Figure 4.8. Optimization of hydrohydrazination conditions using <sup>1</sup>H NMR kinetic monitoring.

**Reaction scope**: To expand the substrate scope we evaluated other hydrazines and alkynes using 0.5-2.5 mol% of **4.6.L-AuCl** /KBAr<sup>F</sup> in benzene - 1.8M (Figure 4.9).

Using phenylhydrazine, we found this catalyst to be very efficient with aryl substituted terminal alkynes (**4.9a-4.9e**). The reaction is much slower with 3-ethynylpyridine (**4.9f**), demanding higher reaction conditions and 2.5 mol% catalyst loading (80 °C, 10 h). The same observation obtained by using alkyl substituted terminal alkynes, as well as internal alkynes: **4.9g-4.9k** required higher reaction temperatures to reach high conversion within a reasonable time. Mono-substituted and parent hydrazines were easily converted under mild conditions (**4.9I-4.9m**), as well as disubstituted hydrazines **4.9o** and **4.9p**, albeit they were much less reactive.



Figure 4.9. Substrate scope with hydrazines. NMR yields (isolated yields).

**Hammett plot**: To understand the influence of electronics contributions at the substrate we conducted a Hammett correlation study (Figure 4.10). Slower reaction kinetics were obtained with electron withdrawing *para*-substituents on alkynes ( $\rho$  value < 0) consistent with a positive charge being built on the gold center. In contrast and as illustrated in Figure 4.10, with phenylhydrazine, faster kinetics were obtained with electron withdrawing substituents ( $\rho$  value > 0). This observation is in good agreement with the experimental results (**4.9.1-0**) and could be due to the higher affinity of the most basic hydrazines to the gold center.

**Rate order:** To determine the rate order of the reaction, we also investigated the rate behavior of both reagents, alkyne and hydrazine, under pseudo first-order conditions (Figure 4.11). For both reagents, we observed first order dependency, here also meaning that both reagents are involved in the rate-limiting step.

Based on the upper mentioned results for hydroamination, we can conclude that both reactions likely involve a nucleophilic attack of an amine or hydrazine nucleophile onto a gold  $\pi$  aduct (activated C-C triple bond), followed by nucleophile-assisted nitrogen-to-carbon atom proton transfer.<sup>144, 162</sup>



Figure 4.10. Hammett equation for the hydrohydrazination



Figure 4.11. Rate dependency for alkyne and hydrazine.

#### 4.4. What is the ligand effect on the catalyst performance?

Effect of the ligand on gold catalyst stability: Based on literature precedents we are aware of two facts:

1) the main reason for catalyst degradation and ultimately low turnovers is related to the formation of unreactive Au<sup>0</sup> nanoparticles or unreactive cationic gold species (L)<sub>2</sub>Au<sup>+</sup>. For instance, Xu and coworkers reported that the decay of cationic gold [(R<sub>3</sub>P)Au<sup>+</sup>( $\eta^2$ - $\pi$ -alkyne)][OTf<sup>-</sup>] is phosphine dependent.<sup>144</sup> On the other hand, Buchwald-type biphenyl phosphine ligands were shown to afford very stable cationic gold complexes,<sup>144</sup> thanks to secondary  $\eta^2$ -C<sub>*ipso*</sub> stabilizing interactions.<sup>163</sup>

2) A key intermediate of the gold catalyzed hydroamination and hydrohydrazination reactions involves a transient gold  $\pi$ -adduct (L)Au<sup>+</sup>( $\eta^2$ - $\pi$ -alkyne).

To understand the effect of the ligands on the stability of the cationic gold (L)Au<sup>+</sup>( $\eta^2$ - $\pi$ -alkyne) under pseudo-catalytic conditions, we performed a series of decomposition experiments. For that, cationic gold species bearing **4.3.L**-**4.6.L** were mixed with 1-hexyne at room temperature and the NMR spectrum was monitored over time (Figure 4.12). During these systematic studies we discovered that **4.3.L**, **4.5.L**, and **4.6.L** yielded cationic gold species which are stable up to 4 days under these conditions. In marked contrast, gold species from **4.4.L**, **4.10.L** and PPh<sub>3</sub> degraded rapidly. In case of the gold complexes from **4.4.L** and PPh<sub>3</sub>, we also observed the formation of insoluble black deposits in the NMR sample indicative of the decomposition of the catalyst by formation of gold(0) nanoparticles. To better understand the stability for the complex derived from <sup>*Ipr*</sup>BiCAAC **4.6.L**, we also considered the smaller <sup>*Me*</sup>BiCAAC **4.10.L**. In the case of gold complex from <sup>*Me*</sup>BiCAAC **4.10.L**, rapid decomposition was observed suggesting that the steric profile of the BiCAAC ligand is an important component of the catalyst.



Figure 4.12. Ligand effects on the degradation of cationic gold [LAu<sup>+</sup>][BAr<sup>F –</sup>].

Effect of the ligand on gold catalyst reactivity: The further demonstrate the importance of sterics in ligands <sup>*IPP*</sup>BiCAAC **4.6.L** and <sup>*Me*</sup>BiCAAC **4.10.L**, we looked closely at the reactivity under catalytic conditions. As illustrated in Figure 4.13, in both hydroamination and hydrohydrazination reactions, we found that the gold complex of **4.6.L** outperforms that of **4.10.L**. To confirm these results we also performed the same reaction under stoichiometric conditions (Figure 4.14). With **4.10.L**, we observed the formation of the bis-ligated (<sup>*Me*</sup>BiCAAC)<sub>2</sub>Au<sup>+</sup> **4.10** which we were able to isolate and characterize by X-ray crystallography. A similar phenomenon has been observed with a copper catalyst.<sup>128</sup> Comparatively, when performing the same reaction with **4.6.L**, the (<sup>*IP*</sup>BiCAAC)Au<sup>+</sup>(alkyne) adduct **4.12** bearing either BF<sub>4</sub> or BAr<sup>F</sup> anion was isolated and the structure of the [(<sup>*IP*</sup>BICAAC)Au<sup>+</sup>(PhCCPh)(BAr<sup>F</sup>)<sup>-</sup>] was confirmed by Xray crystallography. We went further and reacted this complex with an excess of tolylamine or tosylhydrazine. As we expected the formation of the corresponding imine **4.8b** and hydrazone **4.9q** were observed. More importantly, these experiments also showed the concomitant formation of the Werner adducts **4.13** and **4.14** (Figure 4.14) which illustrates that **4.6.L** is able to stabilize the gold center at every step of the catalytic cycle.



Figure 4.13. <sup>1</sup>H NMR spectroscopic study of the kinetics of reactions of gold complexes bearing **4.6.L**-**4.10.L**.



Figure 4.14. Mechanistic behavior of BiCAACAu<sup>+</sup> under stoichiometric conditions.

Computational studies to investigate the effect of the ligand on catalyst activity: All DFT calculations were performed with the Gaussian09 program suite<sup>164</sup> using ultrafine (99,590) integration grids.<sup>165</sup> All structures were optimized with the  $\omega$ B97X-D<sup>166</sup> functional basis set for all atoms except Au, which was treated with the SDD basis set and ECP. Solvent was treated as a polarizable continuum of benzene.<sup>167</sup> This work was done by my co-author, former Ph.D. students Glen P. Junor.

Using DFT, Ujaque and coworkers showed that the transition state barrier for substrate exchange between the Werner complex (catalyst resting state) and the active gold species ( $\eta^2$ - $\pi$  complex) follows the order of stability of the  $\pi$ -complex,<sup>151</sup> meaning that the more stable the  $\pi$ -complex, the lower the barrier for a given ligand. With the help of computational studies, we compared the energy differences between the Werner complexes of phenyl hydrazine and the  $\eta^2$ - $\pi$  complex of phenylacetylene for (L)Au<sup>+</sup> complexes bearing ligands **4.3.L** to **4.6.L** (Figure 4.15). Our DFT study explains that in both hydroamination and hydrohydrazination the <sup>*IP*</sup>BiCAAC **4.6.L** forms the most accessible  $\eta^2$ - $\pi$  adducts, which could explain the relative efficiency of (**4.6.L**)Au<sup>+</sup> complexes in catalysis. There is a trend for increasing barrier height for proton transfer with increasing donor-strength of the ligand (barrier height CAAC>saNHC). This principle provides a conundrum for chemists designing catalysts, where there is a trade-off between the strength of the ligand to metal (L-M) bonds allowing for more durable catalysts and lower barriers to promote proton transfer.<sup>168</sup>

Therefore, the strongest L-M bonds maybe produced by using the most ambiphilic ligands (for instance 4.3.L, 4.4.L, 4.5.L, and 4.6.L), but at the expense of increased barriers for proton transfer in the order 4.4.L > 4.6.L > 4.3.L = 4.5.L. Based on these results, we can rationalize why  $^{Et}$ CAAC-6 (4.4.L) performs much worse than other carbenes despite its strongest M-L bond and a sterically flexible backbone. This scenario is applicable for the NHC 4.7.L as well, which performs well compared to the more ambiphilic carbenes because of its relatively weak donor-ability resulting in a low proton transfer barrier.



Figure 4.15. Energy profiles of substrate ligand exchanges as a function of **4.3.L-4.6.L**.

We also used DFT combined with  $%V_{bur}$  (percent buried volume)<sup>152,153</sup> to further establish our experimental observations related to the stabilizing character of <sup>*IPr*</sup>BiCAAC **4.6.L** and <sup>*Me*</sup>BiCAAC **4.10.L**. As shown in Figure 4.16, rotation of the isopropyl substituent on the tertiary carbon of the <sup>*IPr*</sup>BiCAAC rotate changes the  $%V_{bur}$  value. Consequently, the steric bulk of <sup>*IPr*</sup>BiCAAC is more amenable to variations at the metal during the catalytic cycle. Combined with the high ambiphilicity of the <sup>*IPr*</sup>BiCAAC, it yields a more robust catalyst compared to the other ligands we have used.

To conclude, our data indicate that <sup>*IP*</sup>BICAAC **4.6.L** benefits from both a strong M-L bond, which maybe associated also with a relatively low barrier to proton transfer, both of which explain remarkable catalytic activity in both hydroamination and hydrohydrazination processes.



Figure 4.16. Presenting the flexible sterics in <sup>IPr</sup>BiCAACAuCl.

# 4.5. How do <sup>*IPr*</sup>BiCAACAuCl compare to state-of-the-art catalysts?

The challenge and limitation for most of the gold catalytic reactions is the robustness of gold complexes to perform under long reaction time and high temperatures. However, sophisticated complexes derived from ligands such as WangPhos **4.1.L**,<sup>147</sup> NHC bearing barbituric heterocycle **4.2.L**,<sup>148</sup> and Lavallo anionic phosphine ligands **4.15.L**<sup>169</sup> relying on second-coordination sphere interactions have been shown to some extent to overcome these limitations. To compare catalytic activity of the <sup>*IPr*</sup>BiCAACAuCl to known gold complexes bearing sophisticated ligands, we performed a series of benchmark reactions.

To begin, we compared the gold complex of <sup>*Pr*</sup>BiCAAC **4.6.L** to that of JohnPhos ligand **4.15.L** (Figure 4.17), which has been shown to be very efficient in the hydroamination reaction.<sup>144</sup> As presented in Figure 4.17, we found gold complex from JohnPhos **4.15.L** to outcompete the complex from **4.6.L** in the hydroamination, whereas the complex from **4.6.L** gave a complex significantly more active in hydrohydrazination.



Figure 4.17. Comparing complexes of **4.6.L** and JohnPhos.

Capitalizing on the strength of the L-M bond in the AuCl complex of **4.6.L**, we hypothesized that this catalyst should remain stable at higher temperature, thus contrasting with catalyst made using JohnPhos. Hence, we evaluated the catalytic activity of **4.6.L** at 80 °C.<sup>147,148</sup> Under these conditions we obtained 18,700 TON in 19 h for hydroamination of phenylacetylene and aniline. We also evaluated the hydrohydrazination under the same conditions which reached up to 36,700 TON (Figure 4.18). This is to the best of our knowledge the highest catalytic activity reported for hydrohydrazination of phenylacetylene. It is worth noting that catalyst made with the <sup>*IPr*</sup>BiCAAC compares well with catalysts made from complex and tailored state-of-the-art phosphine **4.1.L** and NHC **4.2.L** andanionic phosphine **4.15.L** ligands.



Figure 4.18. Benchmarking the catalytic activity at 80 °C.

# 4.6. Conclusion

In summary, we have reported a comprehensive study of the reactivity of CAAC gold complexes in the hydroamination and hydrohydrazination of alkynes. Our kinetic measurements demonstrate that the <sup>*IPr*</sup>BiCAAC **4.6.L** provides a very active catalyst for this transformation, which we rationalized through our mechanistic and DFT studies.

We concluded that the unique steric and electronic environment provided by  ${}^{IPr}$ BiCAAC **4.6.L** moiety shields the gold from degradation and also stabilizes the  $\eta^2$ - $\pi$  complex which is the active gold intermediate during catalytic cycle. As a result of unique character of the **4.6.L**, we obtained high TON for hydroamination and hydrohydrazination of alkynes, thus competing with the best phosphine and NHC ligands in the field.

*Highlight of my research*: There is a general opinion among researchers in this field that improvement of gold catalysts can only be achieved through the design of complex and tailored secondary interactions. However, I have provided comprehensive evidence that there is still much to be gained in controlling the core of the ligands. In fact, if anything, the remarkable progress made in the past 30 years

in the design and tuning of stables carbenes have taught us that these species provide an exceptional handle for the tuning of the electronic and steric environment around a metal center.

#### 4.7. Experimental Section

All the catalytic experiments were performed in air unless using commercial grade solvents and reagents unless otherwise noted. All the kinetic experiments were performed three times to verify the reproducibility of the results. All other manipulations were performed using standard glovebox and Schlenk techniques. Glassware was dried in an oven overnight at 150 °C or flame-dried before use. Benzene, diethyl ether, and n-pentane and were freshly distilled over Na metal. Hexanes, dichloromethane, and chloroform were freshly distilled over CaH<sub>2</sub>. Deuterium-labeled solvents were purchased from Cambridge Isotope Laboratories.

NMR: Multinuclear NMR data were recorded on a Varian INOVA 500 MHz or a Bruker Avance 300 MHz. Chemical shifts ( $\delta$ ) are reported in parts per million (ppm) and are referenced to residual solvent signals (<sup>1</sup>H, <sup>13</sup>C). Coupling constants *J* are given in hertz (Hz). NMR multiplicities are abbreviated as follows: s = singlet, d = doublet, t = triplet, q = quartet, p = pentet, sext = sextet, sept = septet, m = multiplet, br = broad. All spectra were recorded at 298 K unless otherwise noted.

Crystallographic structure determinations: Single-crystal X-ray structure determinations were carried out at low temperature on a Bruker P4, Platform, or Kappa diffractometer equipped with a Mo ( $\lambda$  = 0.710 73 Å) or Cu ( $\lambda$  = 1.541 78 Å) radiation source and a Bruker APEX detector. Crystals were selected under oil, mounted on nylon loops, then immediately placed in a cold stream of nitrogen. All structures were solved by direct methods with SIR 2004 or SHELXS and refined by full-matrix least-squares procedures utilizing SHELXL within the Olex 2 small-molecule solution,<sup>170</sup> refinement, and analysis software package.

### 4.8. Synthesis of gold catalysts

# Synthesis of (4.4.L)AuCl:

Under an argon atmosphere, a 50 mL Schlenk flask was charged with [(4.4.L)AuPh] (1.000 g, 1.702 mmol, 1.0 eq.) and 15 mL of anhydrous THF. The solution was then cooled to -78 °C and stirred for 15 min, before adding a 2 M HCl solution in Et<sub>2</sub>O (1.28 mL, 1.5 eq.) dropwise. Warming up to room temperature slowly over the course of 5 h, and evaporation of the volatiles in vacuo afforded (4.4.L)AuCl as a white powder (0.919 g, 99% yield). <sup>1</sup>H NMR (300 MHz, CDCl<sub>3</sub>)  $\delta$  7.58 – 7.49 (m, 1H), 7.38 (dd, J = 8.3, 7.1 Hz, 1H), 7.22 (d, J = 7.7 Hz, 1H), 2.72 (p, J = 6.7 Hz, 2H), 2.08 – 1.99 (m, 4H), 1.96 – 1.88 (m, 2H), 1.47 (d, J = 6.7 Hz, 6H), 1.33 (d, 6H), 1.30 (d, 6H), 1.30 (s, 3H), 1.10 (d, J = 6.2 Hz, 2H), 1.01 (t, J = 7.4 Hz, 6H).<sup>13</sup>C{<sup>1</sup>H} NMR (126 MHz, CDCl<sub>3</sub>)  $\delta$  240.9, 143.8, 141.7, 141.7, 134.3, 132.1, 129.6, 125.4, 64.9, 51.0, 35.2, 33.5, 29.3, 28.7, 26.6, 23.8, 21.5, 9.5.

<sup>1</sup>H spectrum





#### Synthesis of (4.6.L)AuCl

(4.4.L)AuCl was prepared in a two-step procedure from the free carbene.<sup>8</sup> Step 1 - (4.6.L)AuPh: Under an argon atmosphere, a 50 mL Schlenk flask was charged with 20 mL of THF, the free carbene 4.6.L (1.000 g, 2.945 mmol, 1.01 eq.) and [(PPh<sub>3</sub>)AuPh] (1.563 g, 2.916 mmol, 1.0 eq.). After stirring the solution at room temperature for 2 hours, the volatiles were removed in vacuo and the oily crude residue was triturated with pentane to enforce precipitation of the titled compound. After filtration and further drying under vacuum (4.6.L)AuPh was obtained as a white solid (1.396 g, 80% yield). <sup>1</sup>H NMR (300 MHz, CDCl<sub>3</sub>)  $\delta$  7.38 (t, *J* = 7.7 Hz, 1H), 7.27 - 7.16 (m, 2H), 7.11 - 7.00 (m, 4H), 6.90 - 6.81 (m, 1H), 3.29 (p, *J* = 6.8 Hz, 1H), 3.05 (p, *J* = 6.8 Hz, 1H), 2.63 (p, *J* = 6.8 Hz, 1H), 2.26 - 2.13 (m, 1H), 1.75 - 1.85 (m, 1H), 2.11 - 2.00 (m, 1H), 1.70 (d, *J* = 1.4 Hz, 2H), 1.56 (d, *J* = 6.8 Hz, 4H), 1.51 (d, *J* = 6.7 Hz, 4H), 1.38 (d, *J* = 6.8 Hz, 3H), 1.33 (d, *J* = 6.9 Hz, 3H), 1.25 (d, *J* = 6.9 Hz, 3H), 1.09 - 0.98 (m, 9H). <sup>13</sup>C{<sup>1</sup>H} NMR (126 MHz, CDCl<sub>3</sub>)  $\delta$  269.0, 169.5, 144.8, 144.1, 141.1, 141.0, 129.1, 126.6, 124.7, 124.6, 124.4, 62.9, 55.1, 44.5, 34.0, 32.8, 31.1, 29.3, 28.6, 25.5, 25.1, 24.1, 23.9, 23.5, 21.4, 19.8, 19.7, 16.2. Step 2 - (**4.6.L**)AuCl: Under an argon atmosphere, a 50 mL Schlenk flask was charged with (**4.6.L**)AuPh complex (1.000 g, 1.671 mmol, 1.0 eq.) and 15 mL of anhydrous THF. The solution was then cooled to -78 °C and stirred for 15 min before adding a 2M HCl solution in Et<sub>2</sub>O (1.52 mL, 1.5 eq.) dropwise. Upon warming up to room temperature slowly over the course of 5 h, and evaporating the volatiles in vacuo, it afforded (**4.6.L**)AuCl as a white powder (0.921 g, 99% yield). <sup>1</sup>H NMR (300 MHz, CDCl<sub>3</sub>)  $\delta$  7.40 (t, *J* = 7.7 Hz, 1H), 7.25 – 7.14 (m, 2H), 3.10 (p, *J* = 6.8 Hz, 1H), 2.94 (p, *J* = 6.8 Hz, 1H), 2.53 (p, *J* = 6.8 Hz, 1H), 2.26 (ddd, *J* = 10.5, 7.4, 5.0 Hz, 1H), 2.09 (dd, *J* = 13.5, 10.4 Hz, 1H), 1.77 – 1.69 (m, 2H), 1.65 – 1.49 (m, 3H), 1.43 (t, *J* = 6.6 Hz, 6H), 1.34 (dd, *J* = 14.2, 6.8 Hz, 6H), 1.25 (d, *J* = 6.9 Hz, 3H), 1.08 – 0.94 (m, 9H). <sup>13</sup>C{<sup>1</sup>H} NMR (126 MHz, CDCl<sub>3</sub>)  $\delta$  243.2, 144.3, 143.8, 141.2, 129.8, 125.1, 124.9, 64.3, 55.1, 44.2, 34.4, 32.5, 31.9, 29.2, 28.6, 25.5, 25.2, 24.2, 23.8, 23.4, 21.2, 20.3, 19.4, 16.3.

<sup>1</sup>H spectrum



<sup>13</sup>C{<sup>1</sup>H} spectrum



Synthesis of (4.10.L)AuCl

Following the same general procedure described for (**4.6.L**)AuCl, (**4.10.L**)AuCl was prepared in a two-step procedure from the free carbene (0.840 g, 95% yield,). <sup>8</sup> <sup>1</sup>H NMR (500 MHz, CDCl<sub>3</sub>)  $\delta$  7.39 (td, J = 7.7, 3.1 Hz, 1H), 7.26 (d, J = 3.2 Hz, 1H), 7.22 (dt, J = 7.5, 3.3 Hz, 2H), 2.90 (dd, J = 8.5, 5.3 Hz, 1H), 2.56 (dd, J = 8.5, 5.3 Hz, 1H), 2.14 - 2.04 (m, 2H), 1.79 (dd, J = 10.4, 3.7 Hz, 3H), 1.71 (s, 3H), 1.61 - 1.56 (m, 2H), 1.39 - 1.33 (m, 6H), 1.30 (d, J = 7.2 Hz, 3H), 1.25 (d, J = 7.1 Hz, 3H), 1.03 (s, 6H). <sup>13</sup>C{<sup>1</sup>H} NMR (126 MHz, CDCl<sub>3</sub>)  $\delta$  241.6, 144.3, 143.9, 140.6, 129.9, 125.2, 124.9, 64.7, 50.5, 44.1, 37.1, 33.0, 31.0, 29.2, 28.5, 26.4, 25.5, 25.5, 24.1, 24.0, 23.3, 20.3. <sup>1</sup>H spectrum



4.9. Mechanistic studies for hydroamination and hydrazination through stoichiometric reactions
4.9.1. Isolating intermediates and active gold species during the catalytic cycle
Complex [(4.6.L)Au<sup>+</sup>(PhCCPh)(X)<sup>-</sup>] 4.12



Under an argon atmosphere, a 25 mL Schlenk flask was charged with 2 mL of toluene/DCM mixture (50:50), KBAr<sup>F</sup> (0.250 g, 0.349 mmol, 1.0 eq.) and [(**4.6.L**)Au(Cl)] (0.200 g, 0.349 mmol, 1.0 eq.). The reaction was stirred for 1 h at room temperature and then filtered through celite into a separate reaction flask to remove KCl. The volatiles were removed under vacuum to afford an off-white residue. Following the addition of 2 mL of CH<sub>2</sub>Cl<sub>2</sub> and diphenylacetylene (0.0622 g, 0.349 mmol, 1.0 eq.), the reaction mixture was stirred overnight at 50 °C. Removal of the volatiles under vacuum, trituration in pentane, filtration, and drying of precipitate afforded the titled compound as a greenish solid. X-ray quality crystals were obtained from a CH<sub>2</sub>Cl<sub>2</sub>/pentane mixture.



#### $[(4.6.L)Au^{+}(PhCCPh)(BF_{4})^{-}].$

Under an argon atmosphere, a 25 mL Schlenk flask was charged with 2 mL of toluene, AgBF<sub>4</sub> (0.068 g, 0.349 mmol, 1.0 eq.) and [(**4.6.L**)Au(Cl)] (0.200 g, 0.349 mmol, 1.0 eq.). The reaction was stirred for 1 h at room temperature and then filtered into a separate reaction flask to remove AgCl. The volatiles were removed under vacuum to afford a brown residue. Following the addition of 2 mL of CH<sub>2</sub>Cl<sub>2</sub> and diphenylacetylene (0.0622 g, 0.349 mmol, 1.0 eq.), the reaction mixture was stirred overnight at 50 °C. Removal of volatiles under vacuum, trituration in pentane, filtration, and drying of precipitate afforded the titled compound as a greenish solid (0.288 g, 95% yield). <sup>1</sup>H NMR (500 MHz, CD<sub>2</sub>Cl<sub>2</sub>)  $\delta$  7.61 – 7.58 (m, 2H), 7.58 – 7.54 (m, 1H), 7.49 – 7.42 (m, 2H), 7.42 – 7.39 (m, 4H), 7.29 (dd, *J* = 7.9, 6.8 Hz, 1H), 7.27 – 7.22 (m, 1H), 7.17 (dd, *J* = 7.8, 1.6 Hz, 2H), 2.88 (p, *J* = 6.8 Hz, 1H), 2.52 (dp, *J* = 23.1, 6.8 Hz, 1H), 2.48 (dp, *J* = 23.1, 6.8 Hz, 1H), 2.24 (dd, *J* = 13.8, 10.4 Hz, 1H), 1.90 – 1.81 (m, 2H), 1.80 – 1.58 (m, 2H), 1.29 (d, *J* = 6.9 Hz, 3H), 1.25 (dd, *J* = 6.9, 3.4 Hz, 9H), 1.11 (d, *J* = 6.8 Hz, 3H), 1.02 (s, 3H), 0.99 (d, *J* = 7.2 Hz, 3H), 0.94 (dd, *J* = 9.9, 6.7 Hz, 6H). <sup>13</sup>C{<sup>1</sup>H} NMR (126 MHz, CD<sub>2</sub>Cl<sub>2</sub>)  $\delta$  244.2, 144.6, 143.6, 141.2, 140.8, 132.4, 132.3, 132.0, 131.0, 131.0, 129.4, 128.9, 128.3, 128.1, 127.2, 127.0, 126.8, 125.8, 122.3, 122.1, 117.7, 88.8, 67.3, 56.1, 43.5, 35.2, 32.2, 32.1, 29.2, 28.6, 25.1, 23.6, 23.3, 22.8, 21.3, 20.8, 20.4, 19.2, 15.7. <sup>19</sup>F{<sup>1</sup>H} NMR (282 MHz, CDCl<sub>3</sub>)  $\delta$  -153.68. <sup>11</sup>B{<sup>1</sup>H} NMR (96 MHz, CDCl<sub>3</sub>)  $\delta$  -1.01.

#### 4.9.2. Examining the reactivity of the gold intermediates

BF₄⊖ Dipr Din Dipr Tol DCM/Toluene CD<sub>2</sub>Cl<sub>2</sub>, rt. ċ p-To with 4.8b 20 °C (4.6.L)AuCl  $[(4.6.L)Au^+(alkyne)][BF_4^-]$ [(4.6.L)Au<sup>+</sup>(ToINH<sub>2</sub>)][BF<sub>4</sub><sup>-</sup>] 4.13

Reaction of [(4.6.L)Au<sup>+</sup>(TolCCH)(BF<sub>4</sub>)<sup>-</sup>] with Tol-NH<sub>2</sub>

Under an argon atmosphere, a 5 mL Schlenk flask was charged with 1 mL of toluene/DCM mixture (50:50), AgBF<sub>4</sub> (0.017 g, 0.087 mmol, 1.0 eq.) and [(**4.6.L**)Au(Cl)] (0.050 g, 0.087 mmol, 1.0 eq.). The reaction was stirred for 1h at room temperature and then filtered through celite into a separate reaction flask to remove AgCl. The volatiles were removed under vacuum to afford an off-white residue. The residue was transferred to a J.Young NMR tube using 0.5 mL of CD<sub>2</sub>Cl<sub>2</sub>, followed by the addition of *p*-tolyl-acetylene (0.011 g, 0.087 mmol, 1.0 eq.). After confirming the formation of [(**4.6.L**)Au<sup>+</sup>(TolCCH)(BF<sub>4</sub>)<sup>-</sup>] by NMR ( $\delta$  237.4 ppm characteristic signal by <sup>13</sup>C{<sup>1</sup>H} NMR), tolyl-amine was added to the NMR tube (0.093 g, 0.087 mmol, 1.0 eq.). The reaction mixture was monitored after 1 h at room temperature showing the formation of 4.8b and  $[(4.6.L)Au^+(p-tol-NH_2)(BF_4)^-]$ . Removal of volatiles under vacuum, trituration in pentane, filtration, and drying of precipitate afforded the latter as a white solid. This compound was successfully recrystallized by layering a DCM solution with pentane. <sup>1</sup>H NMR (300 MHz, CD<sub>2</sub>Cl<sub>2</sub>) δ 7.48 -7.14 (m, 1H), 7.26 - 7.14 (d, 1H), 6.97 (d, J = 7.9 Hz, 1H), 6.55 (d, J = 7.8 Hz, 1H), 5.45 (s, 1H), 2.90(dp, J = 20.5, 6.8 Hz, 2H), 2.43 (p, J = 6.8 Hz, 1H), 2.31 (s, 5H), 2.16 (dd, J = 13.7, 10.4 Hz, 1H), 1.78 (d, J = 13.7, 10.4 Hz, 10.4 Hz, 10.4 Hz)J = 5.1 Hz, 3H), 1.68 - 1.47 (m, 2H), 1.43 (d, J = 6.7 Hz, 3H), 1.32 (d, J = 6.8 Hz, 3H), 1.25 (d, J = 6.9 Hz, 3H), 1.25 (d, J = 6.9 Hz, 3H), 1.32 (d, J = 6.9 Hz, 3H), 1.25 (d, J = 6.9 Hz, 1.2 Hz 3H), 1.18 (d, J = 6.7 Hz, 6H), 1.09 (t, J = 3.5 Hz, 6H), 1.04 (d, J = 7.1 Hz, 3H). <sup>13</sup>C{<sup>1</sup>H} NMR (126 MHz, CD<sub>2</sub>Cl<sub>2</sub>) & 240.5, 144.8, 143.9, 142.1, 136.9, 135.7, 130.3, 130.1, 128.7, 1256, 121.5 65.8, 55.7, 44.3, 35.1, 32.8, 32.1, 29.4, 28.8, 25.1, 24.8, 24.0 (d, J = 3.0 Hz), 23.3, 21.2, 20.6, 19.6, 16.2. <sup>19</sup>F{<sup>1</sup>H} NMR (282) MHz, CD<sub>2</sub>Cl<sub>2</sub>) δ -153.7. <sup>11</sup>B{<sup>1</sup>H} NMR (96 MHz, CD<sub>2</sub>Cl<sub>2</sub>) δ 0.82. NB: Reagents and solvents were stored over molecular sieves before use.



# 4.9.3. Evidence for the formation of [(4.6.L)Au<sup>+</sup>(*p*-TolNH<sub>2</sub>)(BAr<sup>F</sup>)<sup>-</sup>] and 4.8b.


#### Reaction of the [(4.6.L)Au<sup>+</sup>(TolCCH)(BAr<sup>F</sup>)<sup>-</sup>] with Tos-NHNH<sub>2</sub>:



Under an argon atmosphere, a 5 mL Schlenk flask was charged with 1 mL of toluene/DCM mixture (50:50), KBAr<sup>F</sup> (0.062 g, 0.087 mmol, 1.0 eq.) and [(4.6.L)Au(Cl)] (0.050 g, 0.087 mmol, 1.0 eq.). The reaction was stirred for 1 h at room temperature and then filtered through celite into a separate reaction flask to remove KCl. The volatiles were removed under vacuum to afford an off-white residue. The residue was transferred to a J.Young NMR tube using 0.5 mL of CD<sub>2</sub>Cl<sub>2</sub>, followed by the addition of *p*-tolylacetylene (0.010 g, 0.087 mmol, 1.0 eq.). After confirming the formation of [(4.6.L)Au<sup>+</sup>(TolCCH)(BAr<sup>F)-</sup> ] by NMR ( $\delta$  237.4 ppm characteristic signal by <sup>13</sup>C{<sup>1</sup>H} NMR), Tosyl-hydrazine was added to the NMR tube (0.016 g, 0.087 mmol, 1.0 eq.). The reaction mixture was monitored after 1h at room temperature showing the formation of 4.9q and  $[(4.6.L Au^+(TosNH-NH_2)(BAr^F)^-]$ . Evaporation of the volatiles and addition of C<sub>6</sub>H<sub>6</sub> (0.5 mL) resulted in the slow crystallization of 4.9q overnight in the NMR tube which was confirmed by X-ray crystallography. Subsequent transfer of the mother liquor to a 5 mL schlenk flask, removal of volatiles under vacuum, trituration in pentane and filtration, afforded [(4.6.L)Au<sup>+</sup>(TosNH-NH<sub>2</sub>)(BAr<sup>F</sup>)<sup>-</sup>] as a white solid. [(4.6.L)Au<sup>+</sup>(TolCCH)(BAr<sup>F</sup>)<sup>-</sup>]: <sup>1</sup>H NMR (300 MHz, CD<sub>2</sub>Cl<sub>2</sub>)  $\delta$  7.48 – 7.34 (m, 1H), 7.27 (q, J = 7.9 Hz, 1H), 7.21 – 7.10 (m, 1H), 6.90 (d, J = 7.3 Hz, 2H), 6.39 (q, J = 6.2, 4.8 Hz, 2H), 4.29 (s, 1H), 3.00 - 2.87 (m, 2H), 2.60 - 2.44 (m, 2H), 2.35 (s, 3H), 2.25 - 2.04 (m, 3H), 1.80 (d, J = 10010.0 Hz, 6H), 1.64 - 1.46 (m, 3H), 1.45 - 1.21 (m, 6H), 1.13 (p, J = 5.5 Hz, 6H), 1.09 (m, 6H).  ${}^{13}C{}^{1}H{}$ NMR (126 MHz, CD<sub>2</sub>Cl<sub>2</sub>) & 237.4, 149.4, 147.5, 144.8, 143.8, 141.6, 139.6, 137.6, 135.7, 130.5, 130.4, 129.3, 125.5, 124.7, 76.7, 66.4, 55.7, 44.2, 35.2, 34.5, 32.6, 29.5, 28.9, 25.5, 25.2, 23.8, 23.1, 22.7, 21.1, 20.7, 19.4, 16.3, 14.2.  ${}^{19}F{}^{1}H{}$  NMR (282 MHz, CD<sub>2</sub>Cl<sub>2</sub>)  $\delta$  -133.85 (d, J = 14.2 Hz), -164.44 (t, J = 20.3

Hz), -168.28 (t, J = 19.9 Hz). <sup>11</sup>B{<sup>1</sup>H} NMR (96 MHz, CD<sub>2</sub>Cl<sub>2</sub>) δ -16.62. [(**4.6.L**)Au<sup>+</sup>(TosNH-NH<sub>2</sub>)(BAr<sup>F</sup>)<sup>-</sup>]: <sup>1</sup>H NMR (300 MHz, CD<sub>2</sub>Cl<sub>2</sub>) δ 7.69 (d, J = 7.9 Hz, 2H), 7.43 (t, J = 7.8 Hz, 1H), 7.33 (d, J = 8.0 Hz, 2H), 7.25 (t, J = 6.4 Hz, 2H), 5.92 (s, 1H), 3.83 (s, 2H), 2.84 (dp, J = 19.6, 6.8 Hz, 2H), 2.42 (s, 3H), 2.31 (m, 1H), 2.17 (dd, J = 13.7, 10.3 Hz, 1H), 1.80 (s, 3H), 1.55 (ddt, J = 22.9, 10.8, 5.8 Hz, 3H), 1.38 – 1.33 (m, 4H), 1.30 (m, 3H), 1.29 – 1.22 (m, 8H), 1.05 (m, 6H), 1.00 (m, 3H). <sup>13</sup>C{<sup>1</sup>H} NMR (126 MHz, CD<sub>2</sub>Cl<sub>2</sub>) δ δ 149.4 (broad), 147.5, 144.9, 143.9, 141.7, 139.6, 137.6, 135.7, 130.5, 130.4, 128.4, 125.5, 66.3, 55.7, 44.2, 35.1, 32.6, 32.5, 29.5, 28.9, 25.55, 25.2, 24.0, 23.7, 23.1, 21.7, 21.1, 20.7, 19.4, 16.2. <sup>19</sup>F{<sup>1</sup>H} NMR (282 MHz, CD<sub>2</sub>Cl<sub>2</sub>) δ -133.14 – -135.72 (m), -164.37 (t, J = 20.3 Hz), -168.23 (t, J = 19.4 Hz). <sup>11</sup>B{<sup>1</sup>H} NMR (96 MHz, CD<sub>2</sub>Cl<sub>2</sub>) δ -16.62. NB: Reagents and solvents were stored over molecular sieves before use.

## Complex [(4.6.L)Au<sup>+</sup>(TolCCH)(BAr<sup>F</sup>)<sup>-</sup>]





Evidence for the formation of [(4.6.L)Au<sup>+</sup>(*p*-TosNH-NH<sub>2</sub>)(BAr<sup>F</sup>)<sup>-</sup>] and 4.9q.

# Complex [(4.6.L)Au<sup>+</sup>(p-TosNH-NH<sub>2</sub>)(BAr<sup>F</sup>)<sup>-</sup>]





# Compound 4.9q



### 4.9.4. Deactivated gold species during catalytic cycle



#### Complex [(4.10.L)<sub>2</sub>Au<sup>+</sup>(BF<sub>4</sub>)<sup>-</sup>]

Following the same general procedure described for  $[(4.6.L)Au^+(PhCCPh)(BF_4)^-]$ , but instead using [(4.10.L)Au(Cl)] (0.150 g, 0.261 mmol, 1.0 eq.) and *n*-hexyne (0.214 g, 2.610 mmol, 10.0 eq.), we monitored the reaction by NMR. The slow formation of  $[(4.10.L)_2Au^+(BF_4)^-]$  was observed upon stirring the reaction mixture at room temperature over 2 days. The title compound was isolated as a white powder by evaporation of the volatiles in vacuo, and trituration with pentane. This compound was successfully recrystallized by layering a DCM solution with pentane. <sup>1</sup>H NMR (300 MHz, C<sub>6</sub>D<sub>6</sub>)  $\delta$  7.33 (td, *J* = 7.8, 4.9 Hz, 2H), 7.20-7.16 (m, 2H), 7.15-7.12 (m, 2H), 2.75 (p, *J* = 6.9 Hz, 2H), 2.36 (dp, *J* = 15.0, 6.9 Hz, 2H), 2.23 – 1.99 (m, 4H), 1.95 – 1.67 (m, 4H), 1.61 – 1.40 (m, 9H), 1.18 (dt, *J* = 10.4, 5.2 Hz, 24H), 0.98 (s, 6H), 0.93 (d, *J* = 6.7 Hz, 6H).<sup>13</sup>C{<sup>1</sup>H} NMR (126 MHz, C<sub>6</sub>D<sub>6</sub>)  $\delta$  235.7, 144.8, 144.0, 141.1, 130.2, 125.3, 124.9, 67.3, 53.4, 51.4, 50.9, 43.7, 36.9, 36.8, 32.7, 31.0, 30.6, 29.0, 28.5, 26.5, 25.6, 25.4, 23.8, 23.6, 23.0, 20.5. <sup>19</sup>F{<sup>1</sup>H} NMR (282 MHz, CD<sub>2</sub>Cl<sub>2</sub>)  $\delta$  -151.0. <sup>11</sup>B{<sup>1</sup>H} NMR (96 MHz, CD<sub>2</sub>Cl<sub>2</sub>)  $\delta$  0.25.



### 4.10. General method for the hydroamination

In air, a scintillation vial (3 mL) was charged with (4.6.L)AuCl (2.5 mol%, 0.029 mmol),  $KB(C_6F_5)_4$  (2.5 mol%, 0.029 mmol),  $C_6H_6$  (1 mL) and the alkyne (1.163 mmol, 1.0 eq.). After stirring for two minutes, the amine (1.163 mmol, 1.0 eq.) was added as a solution in  $C_6H_6$  (0.5 mL). The mixture was then set stirring according to reaction time and temperature listed in Figure 4.5. The products were purified by column chromatography using Florisil eluting with a gradient mixture of DCM/hexane.

Compound **4.8a** was isolated in 95% yield (0.264 g) and agrees with a previous literature report.<sup>171</sup> <sup>1</sup>H NMR (300 MHz, CDCl<sub>3</sub>)  $\delta$  7.95 (d, *J* = 9.0 Hz, 2H), 7.18 – 7.13 (m, 2H), 6.96 (d, *J* = 8.9 Hz, 2H), 6.71 (d, *J* = 8.2 Hz, 2H), 3.87 (s, 3H), 2.36 (s, 3H), 2.21 (s, 3H). <sup>13</sup>C{<sup>1</sup>H} NMR (126 MHz, CDCl<sub>3</sub>)  $\delta$  164.7, 161.4, 149.2, 132.4, 132.3, 129.5, 128.8, 119.65, 113.6, 55.4, 20.97, 17.2 ppm.





<sup>13</sup>C{<sup>1</sup>H} spectrum



Compound **4.8b** was isolated in 90% yield (0.234 g) and agrees with a previous literature report.<sup>171</sup> <sup>1</sup>H NMR (300 MHz, CDCl<sub>3</sub>)  $\delta$  8.11 (d, *J* = 8.2 Hz, 2H), 7.48 (d, *J* = 7.7 Hz, 2H), 7.39 (d, *J* = 7.7 Hz, 2H), 6.94 (d, *J* = 8.2 Hz, 2H), 2.65 (s, 3H), 2.59 (s, 3H), 2.46 (s, 3H). <sup>13</sup>C{<sup>1</sup>H} NMR (75 MHz, CDCl<sub>3</sub>)  $\delta$  165.4, 149.3, 140.7, 137.1, 132.6, 129.6, 129.1, 119.6, 21.5, 21.0, 17.4 ppm.





Compound **4.8c** was isolated in 95% yield (0.231 g) and agrees with a previous literature report.<sup>171</sup> <sup>1</sup>H NMR (300 MHz, CDCl<sub>3</sub>)  $\delta$  8.00 – 7.95 (m, 2H), 7.47 – 7.43 (m, 3H), 7.16 (d, *J* = 8.0 Hz, 2H), 6.71 (d, *J* = 8.2 Hz, 2H), 2.36 (s, 3H), 2.24 (s, 3H). <sup>13</sup>C{<sup>1</sup>H} NMR (126 MHz, CDCl<sub>3</sub>)  $\delta$  165.7, 149.1, 139.8, 132.7, 130.5, 129.6, 128.5, 127.3, 119.5, 21.0, 17.5.







Compound **4.8d** was isolated in 97% yield (0.284 g) and agrees with a previous literature report.<sup>172</sup> <sup>1</sup>H NMR (300 MHz, CDCl<sub>3</sub>)  $\delta$  7.89 (d, *J* = 9.0 Hz, 2H), 7.13 (d, *J* = 7.9 Hz, 2H), 6.71 (dd, *J* = 8.7, 7.4 Hz, 4H), 3.03 (s, 6H), 2.34 (s, 3H), 2.18 (s, 3H). <sup>13</sup>C{<sup>1</sup>H} NMR (126 MHz, CDCl<sub>3</sub>)  $\delta$  164.7, 152.0, 149.8, 132.1, 129.5, 128.6, 127.5, 120.0, 111.4, 40.4, 21.0, 17.0 ppm.







Compound **4.8e** was isolated in 90% yield (0.238 g) and agrees with a previous literature report.<sup>173</sup> <sup>1</sup>H NMR (300 MHz, C<sub>6</sub>D<sub>6</sub>)  $\delta$  7.88 (dd, *J* = 9.0, 5.5 Hz, 2H), 7.13 (d, *J* = 7.9 Hz, 2H), 6.94 – 6.87 (m, 2H), 6.81 (d, *J* = 8.2 Hz, 2H), 2.27 (s, 3H), 1.88 (s, 3H). <sup>13</sup>C{<sup>1</sup>H} NMR (126 MHz, C<sub>6</sub>D<sub>6</sub>)  $\delta$  165.6, 163.6, 163.1, 149.8, 136.1 (d, *J* = 3.1 Hz), 132.6, 129.9, 129.7 (dd, *J* = 8.3, 2.1 Hz), 119.8, 115.5 – 115.1 (m), 20.9, 16.6 (d, *J* = 2.6 Hz). <sup>19</sup>F NMR (282 MHz, C<sub>6</sub>D6)  $\delta$  -111.54 ppm.





Compound **4.8f** was isolated in 92% yield (0.202 g) and agrees with a previous literature report.<sup>174</sup> <sup>1</sup>H NMR (300 MHz, C<sub>6</sub>D<sub>6</sub>) 7.01 (d, J = 8.0 Hz, 2H), 6.70 (d, J = 8.2 Hz, 2H), 2.16 (s, 3H), 2.25 – 2.11 (m, 3H), 1.71 – 1.54 (m, 2H), 1.48 (s, 3H), 1.41 – 1.27 (m, 3H), 0.91 (t, J = 7.3 Hz, 3H). <sup>13</sup>C{<sup>1</sup>H} NMR (126 MHz, C<sub>6</sub>D<sub>6</sub>)  $\delta$  170.2, 150.3, 131.95, 129.8, 119.8, 41.3, 28.5, 22.8, 20.9, 19.2, 14.3 ppm.



<sup>13</sup>C{<sup>1</sup>H} spectrum



130 120 110 100 f1 (ppm) 

Compound **4.8g** was isolated in 93% yield (0.248 g) and agrees with a previous literature report.<sup>172</sup> <sup>1</sup>H NMR (300 MHz, C<sub>6</sub>D<sub>6</sub>)  $\delta$  7.01 (d, *J* = 8.1 Hz, 2H), 6.68 (d, *J* = 8.2 Hz, 2H), 2.16 (s, 3H), 1.88 (dd, *J* = 11.2, 2.9 Hz, 2H), 1.79 – 1.56 (m, 4H), 1.51 (s, 3H), 1.27 – 1.07 (m, 5H). <sup>13</sup>C{<sup>1</sup>H} NMR (126 MHz, C<sub>6</sub>D<sub>6</sub>)  $\delta$  173.8, 150.4, 131.8, 129.8, 119.6, 49.2, 30.6, 26.6, 26.6, 20.9, 17.8.



Compound **4.8h** was isolated in 97% yield (0.200 g). <sup>1</sup>H NMR (300 MHz, CDCl<sub>3</sub>)  $\delta$  7.10 (d, J = 7.9 Hz, 2H), 6.68 (d, J = 8.2 Hz, 2H), 4.24 (q, J = 7.1 Hz, 2H), 2.33 (s, 3H), 1.84 (s, 3H), 1.36 (t, J = 7.1 Hz, 3H). <sup>13</sup>C{<sup>1</sup>H} NMR (75 MHz, CDCl<sub>3</sub>)  $\delta$  160.9, 146.3, 131.6, 129.1, 120.6, 61.0, 20.4, 15.8, 13.9. <sup>1</sup>H spectrum







220 210 200 130 120 110 100 90 80 f1 (ppm) 30 20 10 ò -10 190 180 . 170 160 150 140 70 60 . 50 . 40 Compound **4.8i** was isolated in 50% yield (0.110 g). <sup>1</sup>H NMR (300 MHz,  $C_6D_6$ )  $\delta$  6.99 (dd, J = 8.2, 2.0 Hz, 4H), 6.69 (dd, *J* = 8.2, 2.0 Hz, 4H), 2.20 (q, *J* = 7.4 Hz, 3H), 2.15 (d, *J* = 3.3 Hz, 6H), 2.03 – 1.87 (m, 4H), 1.84 – 1.65 (m, 2H), 1.23 (t, *J* = 7.3 Hz, 6H), 0.96 (t, *J* = 7.3 Hz, 3H), 0.75 (t, *J* = 7.7 Hz, 3H), 0.61 (t, J = 7.3 Hz, 3H). <sup>13</sup>C{<sup>1</sup>H} NMR (126 MHz, C<sub>6</sub>D<sub>6</sub>)  $\delta$  174.3 (d, J = 41.5 Hz), 150.1 (d, J = 3.7 Hz), 131.7 (d, J = 2.5 Hz), 129.8 (d, J = 4.3 Hz), 119.6 (d, J = 5.4 Hz), 39.9, 35.2, 31.5, 26.5, 20.9, 20.7, 19.6, 14.2, 14.2, 11.8, 10.6.





Compound **4.8j** was isolated in 76% yield (0.252 g) and agrees with a previous literature report.<sup>175</sup> <sup>1</sup>H NMR (300 MHz, C<sub>6</sub>D<sub>6</sub>)  $\delta$  8.11 – 8.04 (m, 2H), 7.56 – 7.48 (m, 2H), 7.08 (dd, *J* = 4.8, 2.1 Hz, 4H), 6.94 (d, *J* = 8.2 Hz, 1H), 6.85 (d, *J* = 8.2 Hz, 1H), 3.92 (s, 2H), 2.10 (s, 3H). <sup>13</sup>C{<sup>1</sup>H} NMR (126 MHz, C<sub>6</sub>D<sub>6</sub>)  $\delta$ 165.5, 149.4, 138.75, 138.0, 132.8, 131.95, 130.5, 129.9, 128.9, 128.6, 128.5, 126.45, 119.4, 36.0, 20.9. <sup>1</sup>H spectrum





**4.8k** <sup>1</sup>H NMR (300 MHz, CDCl<sub>3</sub>)  $\delta$  8.03 – 7.91 (m, 2H), 7.46 (td, *J* = 5.6, 5.1, 2.7 Hz, 3H), 7.30 (dd, *J* = 5.1, 2.0 Hz, 2H), 7.17 (d, *J* = 8.0 Hz, 2H), 6.72 (d, *J* = 8.2 Hz, 2H), 2.36 (s, 2H), 2.25 (s, 3H), 0.27 (s, 9H). <sup>13</sup>C{<sup>1</sup>H} NMR (126 MHz, CDCl<sub>3</sub>)  $\delta$  165.6, 149.2, 139.8, 132.1, 130.5, 129.6, 128.5 (t, *J* = 18.7 Hz), 127.3, 119.5, 105.2, 94.2, 19.2 (d, *J* = 446.9 Hz), 0.1.



Compound **4.81** was isolated in 95% yield (0.278 g) and agrees with a previous literature report.<sup>176</sup> <sup>1</sup>H NMR (300 MHz, C<sub>6</sub>D<sub>6</sub>)  $\delta$  7.99 (d, *J* = 8.2 Hz, 2H), 7.05 (d, *J* = 8.0 Hz, 2H), 6.88 (s, 2H), 2.24 (s, 3H), 2.12 (s, 3H), 2.03 (s, 6H), 1.78 (s, 3H).<sup>13</sup>C{<sup>1</sup>H} NMR (126 MHz, C<sub>6</sub>D<sub>6</sub>)  $\delta$  164.4, 147.6, 140.5, 137.0, 131.7, 129.2, 129.0, 127.6, 125.65, 21.3, 20.95, 18.2, 16.9.





Compound **4.8m** was isolated in 99% yield (0.338 g).<sup>1</sup>H NMR (500 MHz, C<sub>6</sub>D<sub>6</sub>)  $\delta$  7.96 (d, J = 7.9 Hz, 2H), 7.20 – 7.07 (m, 3H), 7.03 (d, J = 7.9 Hz, 2H), 2.87 (p, J = 6.8 Hz, 2H), 2.10 (s, 3H), 1.83 (s, 3H), 1.18 (d, J = 7.1 Hz, 6H), 1.12 (d, J = 7.1 Hz, 6H). <sup>13</sup>C NMR (126 MHz, C<sub>6</sub>D<sub>6</sub>)  $\delta$  164.3, 147.6, 140.7, 136.8, 136.3, 129.4, 127.6, 123.8, 123.4, 28.8, 23.5, 23.0, 21.3, 17.7.



Compound **4.8n** was isolated in 94% yield (0.304 g). <sup>1</sup>H NMR (300 MHz, C<sub>6</sub>D<sub>6</sub>)  $\delta$  7.80 (d, J = 8.3 Hz, 2H), 7.00 (d, J = 8.0 Hz, 2H), 6.94 (t, J = 1.9 Hz, 1H), 6.52 (d, J = 1.9 Hz, 1H), 2.10 (s, 3H), 1.60 (s, 3H). <sup>13</sup>C{<sup>1</sup>H} NMR (126 MHz, C<sub>6</sub>D<sub>6</sub>)  $\delta$  166.0, 154.5, 141.4, 136.3, 135.5, 129.3, 127.8, 123.1, 118.4, 21.4, 16.9.







Compound **4.80** was isolated in 96% yield (0.334 g). <sup>1</sup>H NMR (500 MHz,  $C_6D_6$ )  $\delta$  7.82 (d, J = 8.3 Hz, 2H), 6.95 (d, J = 8.0 Hz, 2H), 2.07 (s, 3H), 1.71 (s, 3H). <sup>13</sup>C{<sup>1</sup>H} NMR (126 MHz,  $C_6D_6$ )  $\delta$  172.7 (d, J = 1.3 Hz), 142.3, 139.6–138.8(m), 138.5–137.9(m), 137.6–136.9 (m), 136.6–136.0(m), 135.5, 129.3, 21.3, 18.3. <sup>19</sup>F NMR (282 MHz,  $C_6D_6$ )  $\delta$  -153.7, -164.7.





Compound **4.8p** was isolated in 42% yield (0.135 g) and agrees with a previous literature report.<sup>173</sup> <sup>1</sup>H NMR (500 MHz, C<sub>6</sub>D<sub>6</sub>)  $\delta$  7.88 (d, *J* = 7.9 Hz, 2H), 7.36 (d, *J* = 8.1 Hz, 2H), 7.03 (d, *J* = 7.9 Hz, 2H), 6.54 (d, *J* = 8.1 Hz, 1H), 2.12 (s, 3H), 1.72 (s, 3H). <sup>13</sup>C{<sup>1</sup>H} NMR (126 MHz, C<sub>6</sub>D<sub>6</sub>)  $\delta$  165.2, 155.7, 141.3, 136.5, 129.3, 127.8, 126.5 (d, *J* = 3.8 Hz), 119.8, 21.3, 16.9. <sup>19</sup>F NMR (282 MHz, C<sub>6</sub>D<sub>6</sub>)  $\delta$  -62.09. <sup>1</sup>H spectrum





Compound **4.8q** was isolated under anhydrous conditions in 87% yield (0.220 g). <sup>1</sup>H NMR (500 MHz, C<sub>6</sub>D<sub>6</sub>) <sup>1</sup>H NMR (300 MHz, C<sub>6</sub>D<sub>6</sub>)  $\delta$  7.92 (d, *J* = 8.2 Hz, 2H), 7.75 (d, *J* = 8.2 Hz, 2H), 7.04 (d, *J* = 8.0 Hz, 2H), 6.87 (d, *J* = 8.0 Hz, 2H), 3.37 (t, *J* = 6.9 Hz, 2H), 2.12 (s, 3H), 2.11 (s, 3H), 1.99 (s, 3H), 1.83 (s, 3H), 1.59 – 1.42 (m, 4H), 1.40 – 1.28 (m, 8H), 1.15 – 1.08 (m, 2H), 0.95 – 0.83 (m, 6H), 0.77 – 0.50 (m, 3H). <sup>13</sup>C{<sup>1</sup>H} NMR (126 MHz, C<sub>6</sub>D<sub>6</sub>)  $\delta$  163.2, 139.3 – 138.2 (m), 132.4, 129.3 (d, *J* = 6.0 Hz), 129.0, 127.1, 84.1, 77.3, 52.3, 32.0 (d, *J* = 70.6 Hz), 27.9, 22.2 (d, *J* = 249.9 Hz), 15.3 – 11.8 (m).



Compound **4.8r** was isolated in 67% yield (0.168 g). <sup>1</sup>H NMR (300 MHz, CDCl<sub>3</sub>)  $\delta$  7.66 (d, J = 8.2 Hz, 2H), 7.16 (d, J = 8.0 Hz, 2H), 3.47 (tt, J = 10.2, 4.1 Hz, 1H), 2.36 (s, 3H), 2.23 (s, 3H), 1.93 – 1.10 (m, 10H). <sup>13</sup>C NMR (126 MHz, CDCl<sub>3</sub>)  $\delta$  162.4, 139.3, 139.7, 128.9, 126.7, 59.9, 33.7, 25.9, 25.1, 21.4, 15.3.






## 4.11. General method for the hydrohydrazination

In air, a scintillation vial (3 mL) was charged with (**4.6.L**)AuCl (0.5 mol%, 0.001784 mmol), KB(C<sub>6</sub>F<sub>5</sub>)<sub>4</sub> (0.5 mol%, 0.001784 mmol), C<sub>6</sub>H<sub>6</sub> (200  $\mu$ L) and the alkyne (0.357 mmol, 1.0 eq.). After stirring for two minutes, the amine (0.357 mmol, 1.0 eq.) was added as a solution in C<sub>6</sub>H<sub>6</sub> (200  $\mu$ L). The mixture was then set stirring at the temperature shown in Figure 4.9. The products were purified by column chromatography using Florisil eluting with a gradient mixture of DCM/hexane. NB: Air sensitive products were purified under argon using dried Florisil.

For this reaction, 1.1 equivalents of hydrazine were added (0.043 g, 0.393 mmol). Compound **4.9a** was isolated in 100% yield (0.075 g) and agrees with a previous literature report.<sup>177</sup>  $\delta$  7.76 (d, *J* = 7.4 Hz, 2H), 7.28 – 7.13 (m, 8H), 6.88 (tt, *J* = 6.9, 1.4 Hz, 1H), 6.81 (s, 1H), 1.42 (s, 3H). <sup>13</sup>C{<sup>1</sup>H} NMR (126 MHz, C<sub>6</sub>D<sub>6</sub>)  $\delta$  145.8, 140.8, 139.7, 129.6, 128.6, 125.9, 120.5, 113.7, 11.0.





Compound **4.9b** was isolated in 95% yield (0.076 g) and agrees with a previous literature report.<sup>177</sup> <sup>1</sup>H NMR (500 MHz, C<sub>6</sub>D<sub>6</sub>)  $\delta$  7.76 (d, *J* = 8.3 Hz, 2H), 7.29 – 7.24 (m, 2H), 7.24 – 7.19 (m, 2H), 7.09 (d, *J* = 7.9 Hz, 2H), 6.89 (tt, *J* = 7.2, 1.3 Hz, 2H), 6.81 (s, 1H), 2.15 (s, 3H), 1.45 (s, 3H). <sup>13</sup>C{<sup>1</sup>H} NMR (126 MHz, C<sub>6</sub>D<sub>6</sub>)  $\delta$  146.0, 141.1, 137.7, 137.1, 129.6, 129.3, 125.9, 120.4, 113.7, 21.2, 11.1.



Compound **4.9c** was isolated in 99% yield (0.085 g). <sup>1</sup>H NMR (500 MHz, C<sub>6</sub>D<sub>6</sub>)  $\delta$  7.75 (d, J = 8.9 Hz, 2H), 7.29 (ddd, J = 8.8, 6.9, 1.7 Hz, 2H), 7.24 – 7.22 (m, 2H), 6.90 (td, J = 7.1, 1.3 Hz, 1H), 6.86 (d, J = 9.1 Hz, 2H), 6.80 (s, 1H), 3.33 (s, 3H), 1.47 (s, 3H). <sup>13</sup>C{<sup>1</sup>H} NMR (126 MHz, C<sub>6</sub>D<sub>6</sub>)  $\delta$  160.1, 146.2, 141.2, 132.3, 129.6, 127.2, 120.2, 114.0, 113.6, 54.9, 11.2.









140 130 120 110 100 90 f1 (ppm) 210 200 

Compound **4.9d** was isolated in 95% yield (0.086 g). <sup>1</sup>H NMR (300 MHz, C<sub>6</sub>D<sub>6</sub>)  $\delta$  7.75 (d, J = 8.4 Hz, 2H), 7.04 (d, J = 7.1 Hz, 2H), 6.82 – 6.62 (m, 2H), 6.53 (d, J = 8.5 Hz, 2H), 6.43 (s, 1H), 2.42 (s, 6H), 1.49 (s, 3H). <sup>13</sup>C{<sup>1</sup>H} NMR (126 MHz, C<sub>6</sub>D<sub>6</sub>)  $\delta$  150.7, 146.6, 142.4, 129.6, 129.3, 127.1, 120.0, 119.1, 113.7, 112.4, 112.4, 40.0, 11.2.





Compound **4.9e** was isolated in 95% yield (0.077 g). <sup>1</sup>H NMR (300 MHz, C<sub>6</sub>D<sub>6</sub>)  $\delta$  7.55 – 7.45 (m, 2H), 7.25 (dd, J = 8.6, 7.2 Hz, 2H), 7.17 – 7.07 (m, 2H), 6.93 – 6.79 (m, 3H), 6.78 (s, 1H), 1.35 (s, 3H). <sup>13</sup>C{<sup>1</sup>H} NMR (126 MHz, C<sub>6</sub>D<sub>6</sub>)  $\delta$  164.0, 162.1, 145.7, 139.9, 139.9, 135.8, 135.7, 129.6, 127.5, 127.5, 120.6, 115.4, 115.2, 113.6, 10.9. <sup>19</sup>F NMR (282 MHz, C<sub>6</sub>D<sub>6</sub>)  $\delta$  -115.13.







Compound **4.9f** was isolated in 93% yield (0.070 g). <sup>1</sup>H NMR (300 MHz, C<sub>6</sub>D<sub>6</sub>)  $\delta$  9.09 (br, 1H), 8.52 (s, 1H), 7.83 (d, *J* = 8.1 Hz, 1H), 7.25 (dd, *J* = 8.6, 7.2 Hz, 2H), 7.14 – 7.10 (m, 2H), 6.93 – 6.86 (m, 2H), 6.82 – 6.76 (m, 1H), 1.29 (s, 3H). <sup>13</sup>C{<sup>1</sup>H} NMR (126 MHz, C<sub>6</sub>D<sub>6</sub>)  $\delta$  148.8, 147.2, 145.1, 137.7, 131.8, 129.2, 127.3, 122.8, 120.5, 113.3, 10.2.





For this substrates, 1.1 equivalents of hydrazine were used (0.393 mmol). Compound **4.9g** was isolated in 91% yield (0.062 g) and agrees with a previous literature report.<sup>177</sup> <sup>1</sup>H NMR (300 MHz, C<sub>6</sub>D<sub>6</sub>)  $\delta$  7.27 – 7.20 (m, 2H), 7.17 (d, *J* = 7.6 Hz, 2H), 6.84 (tt, *J* = 7.1, 1.4 Hz, 1H), 6.51 (br, 1H), 2.18 – 2.10 (m, 2H), 1.51 – 1.39 (m, 2H), 1.35 – 1.19 (m, 2H), 1.15 (s, 3H), 0.88 (t, *J* = 7.3 Hz, 3H). <sup>13</sup>C{<sup>1</sup>H} NMR (126 MHz, C<sub>6</sub>D<sub>6</sub>)  $\delta$  146.7, 145.5, 129.5, 119.8, 113.4, 38.8, 29.1, 22.7, 14.2, 13.6.



Compound **4.9h** was isolated in 99% yield (0.081 g). <sup>1</sup>H NMR (300 MHz, C<sub>6</sub>D<sub>6</sub>)  $\delta$  7.24 (dd, J = 8.6, 7.1 Hz, 2H), 7.17 – 7.13 (m, 2H), 6.87 – 6.80 (m, 1H), 6.52 (s, 1H), 2.04 (ddt, J = 11.2, 6.8, 3.3 Hz, 1H), 1.84 – 1.67 (m, 4H), 1.60 (ddt, J = 8.1, 3.6, 1.5 Hz, 1H), 1.40 – 1.22 (m, 4H), 1.17 (s, 3H). <sup>13</sup>C{<sup>1</sup>H} NMR (126 MHz, C<sub>6</sub>D<sub>6</sub>)  $\delta$  148.95, 146.8, 129.5, 119.7, 113.4, 47.1, 30.8, 26.65, 26.6, 12.3.







Compound **4.9i** was isolated in 99% yield (0.069 g). <sup>1</sup>H NMR (300 MHz, C<sub>6</sub>D<sub>6</sub>)  $\delta$  7.32 – 7.19 (m, 7H), 7.19 – 7.13 (m, 5H), 7.13 – 7.04 (m, 1H), 6.89 – 6.77 (m, 1H), 2.66 (q, *J* = 7.5 Hz, 2H), 2.38 – 2.25 (m, 2H), 2.17 (s, 2H), 2.14 – 2.05 (m, 3H), 1.71 – 1.49 (m, 5H), 1.41 – 1.27 (m, 2H), 1.26 – 1.16 (m, 3H), 1.13 (t, *J* = 7.4 Hz, 4H), 0.93 (td, *J* = 7.4, 2.2 Hz, 4H), 0.78 (t, *J* = 7.3 Hz, 2H), 0.69 (q, *J* = 7.5 Hz, 5H). <sup>13</sup>C{<sup>1</sup>H} NMR (126 MHz, C<sub>6</sub>D<sub>6</sub>)  $\delta$  149.7, 149.6, 146.8, 146.8, 129.5, 119.7, 113.4, 38.6, 38.6, 30.2, 30.21, 21.3, 20.2, 18.6, 14.4, 14.4, 14.2, 14.9, 11.2, 11.2, 9.4.



Compound **4.9j** was isolated in 86% yield (0.087 g). <sup>1</sup>H NMR (300 MHz, C<sub>6</sub>D<sub>6</sub>)  $\delta$  7.90 – 7.81 (m, 2H), 7.33 (br, 1H), 7.23 – 7.16 (m, 4H), 7.14 – 7.10 (m, 2H), 7.07 – 7.01 (m, 4H), 6.81 (ddt, *J* = 7.2, 5.5, 1.3 Hz, 1H), 3.60 (s, 3H). <sup>13</sup>C{<sup>1</sup>H} NMR (126 MHz, C<sub>6</sub>D<sub>6</sub>)  $\delta$  145.5, 142.3, 139.5, 135.7, 129.5, 129.4, 128.8, 126.0, 120.7, 113.7, 32.2.







Compound **4.9k** was isolated in 76% yield (0.077 g). <sup>1</sup>H NMR (300 MHz, C<sub>6</sub>D<sub>6</sub>) δ 7.76 – 7.72 (m, 2H), 7.28 – 7.18 (m, 4H), 7.17 (t, *J* = 1.1 Hz, 1H), 7.15 – 7.13 (m, 1H), 6.91 – 6.82 (m, 2H), 1.46 (s, 2H)



Compound **4.91** was isolated in 99% yield (0.117 g). <sup>1</sup>H NMR (300 MHz, C<sub>6</sub>D<sub>6</sub>)  $\delta$  7.65 (d, *J* = 8.9 Hz, 2H), 6.76 (d, *J* = 9.0 Hz, 2H), 6.37 (t, *J* = 3.4 Hz, 1H), 3.32 (s, 3H), 1.50 (s, 3H). <sup>13</sup>C{<sup>1</sup>H} NMR (126 MHz, C<sub>6</sub>D<sub>6</sub>)  $\delta$  160.9, 147.9, 139.5 (ddd, *J* = 28.7, 12.3, 7.7 Hz), 137.9– 137.19 (m), 130.9, 127.5, 114.1, 54.8, 10.9. <sup>19</sup>F NMR (282 MHz, C<sub>6</sub>D<sub>6</sub>)  $\delta$  -157.70 (dd, *J* = 22.9, 5.0 Hz), -164.88 – -165.31 (m), -168.85 – -169.31 (m). Crystals suitable for X-ray diffraction study were obtained from vapor diffusion from DCM solution with pentane.







This reaction Compound **4.9m** was isolated in 56% yield (0.064 g) and agrees with a previous literature report.<sup>178</sup> <sup>1</sup>H NMR (300 MHz, CDCl<sub>3</sub>)  $\delta$  7.92 (d, *J* = 8.3 Hz, 2H), 7.60 (d, *J* = 8.9 Hz, 2H), 7.34 – 7.29 (m, 2H), 6.85 (d, *J* = 8.9 Hz, 2H), 3.81 (s, 3H), 2.41 (s, 3H), 2.12 (s, 3H). <sup>13</sup>C{<sup>1</sup>H} NMR (126 MHz, CDCl<sub>3</sub>)  $\delta$  160.9, 152.7, 144.2, 135.4, 129.9, 129.7, 128.25, 127.9, 113.7, 55.45, 21.78, 13.4.





Hydrous hydrazine (nH<sub>2</sub>O.NH<sub>2</sub>-NH<sub>2</sub>, 50-60%) was used for this reaction. Compound **4.9n** was isolated in 73% yield (0.043 g) and agrees with a previous literature report.<sup>179</sup>. <sup>1</sup>H NMR (300 MHz, C<sub>6</sub>D<sub>6</sub>)  $\delta$  7.70 (d, *J* = 8.9 Hz, 2H), 6.80 (d, *J* = 8.9 Hz, 2H), 4.76 (br, 2H), 3.32 (s, 3H), 1.65 (s, 3H). <sup>13</sup>C{<sup>1</sup>H} NMR (126 MHz, C<sub>6</sub>D<sub>6</sub>)  $\delta$  160.0, 145.4, 132.9, 127.1, 113.9, 54.8, 10.9.





Compound **4.90** was isolated in 81% yield (0.056 g) and agrees with a previous literature report.<sup>180</sup> <sup>1</sup>H NMR (300 MHz, C<sub>6</sub>D<sub>6</sub>)  $\delta$  7.84 (d, *J* = 8.9 Hz, 2H), 6.78 (d, *J* = 8.9 Hz, 2H), 3.28 (s, 3H), 2.52 (s, 6H), 2.16 (s, 3H). <sup>13</sup>C{<sup>1</sup>H} NMR (126 MHz, C<sub>6</sub>D<sub>6</sub>)  $\delta$  161.1, 160.9, 131.9, 128.2, 113.7, 54.8, 47.5, 14.6.





Despite several attempts **4.9k** was found to be too sensitive to purify by standard chromatographic methods even under anhydrous conditions. Consequently, the yield was determined by NMR (19%) as presented in the NMR spectrum of the crude reaction mixture.







## 4.12. Reaction optimization using <sup>1</sup>H NMR spectroscopic studies to determine kinetics (Figure 4.4 and 4.8).

All the kinetic measurements were performed in an NMR tube at room temperature on a Bruker 300 MHz NMR machine using the multi\_zgvd command. In a typical experiment, the NMR tube was charged with the Au catalyst and  $KB(C_6F_5)_4$  (1:1 ratio), 4-ethynylanisole (0.047 g, 0.356 mmol, 1.0 eq.) and the corresponding amount of deuterated solvent. The tube was locked and shimmed (T0) before starting the kinetic measurement. Following the addition of toluidine (0.356 mmol, 1.0 eq. - Figure 4.4) or phenylhydrazine (0.356 mmol, 1.0 eq. - Figure 4.8), the reaction was monitored as a function of the time at a constant temperature of 20 °C. Conversions were quantified by tracking the change in characteristic signals for the starting material and the product (imine). In all cases, and as highlighted bellow, the kinetic measurements were repeated 3-4 times to confirm the reproducibility of our results.



Figure 4.19. Figure illustrating the kinetics of the hydroamination reaction by <sup>1</sup>H NMR (CDCl<sub>3</sub>)

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