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### Title

Effects of Drought Manipulation on Soil Nitrogen Cycling: A Meta-Analysis

### Permalink

<https://escholarship.org/uc/item/8bx1m9dq>

### Journal

Journal of Geophysical Research Biogeosciences, 122(12)

### ISSN

2169-8953

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### Publication Date

2017-12-01

### DOI

10.1002/2017jg004146

Peer reviewed

# 1           **Effects of drought manipulation on soil nitrogen cycling: A meta-analysis**

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## 16**Key points:**

17     1. A meta-analytical approach was used on 37 studies that reduced precipitation.

18     2. The supply of mineral N did not decrease under drought treatment; extractable  $\text{NH}_4^+$

19           increased 25%.

20     3. Microbial biomass and  $\text{N}_2\text{O}$  emissions declined and  $\text{NH}_4^+$  increased with increasing

21           drought intensity.

## 22**Keywords:**

23Nitrogen cycling; drought stress; rainfall exclusion; soil moisture; meta-analysis; dry soil

**24 Plain language summary:**

25 Many regions on Earth are expected to become drier with climate change, which may  
26 impact N cycling rates and availability. We summarized the results of field experiments that  
27 reduced precipitation and measured the effects on N cycling. Because microbes are sensitive to  
28 changes in moisture and their activity decreases as soils dry, reducing precipitation could lower  
29 rates of N cycling. However, we found that reducing precipitation did not shut down the supply  
30 of mineral N to ecosystems and that microbial biomass increased at lower levels of proportional  
31 precipitation reduction while decreasing as water was withheld. Our results expand on early  
32 laboratory studies evaluating N dynamics in dry soils. While it is clear that microbial N  
33 transformations decrease in dry soils incubated in the laboratory, we show that under field  
34 conditions, some N cycling processes are less sensitive to precipitation reduction. Non-rainfall  
35 water inputs, such as the movement of atmospheric water vapor into soil, can stimulate drought-  
36 tolerant microbial processes in the field, and may maintain active microbial N processing despite  
37 low water content when soils are measured in bulk. Sustained N processing during drought could  
38 result in greater ecosystem N losses during subsequent wetting events.

**39Abstract**

40 Many regions on Earth are expected to become drier with climate change, which may  
41 impact N cycling rates and availability. We used a meta-analytical approach on the results of  
42 field experiments that reduced precipitation and measured N supply (i.e., indices of N  
43 mineralization), soil microbial biomass, inorganic N pools [ammonium ( $\text{NH}_4^+$ ) and nitrate  
44 ( $\text{NO}_3^-$ )], and  $\text{N}_2\text{O}$  emissions. We hypothesized that N supply and  $\text{N}_2\text{O}$  emissions would be  
45 relatively insensitive to precipitation reduction and that reducing precipitation would increase  
46 extractable  $\text{NH}_4^+$  and  $\text{NO}_3^-$  concentrations because microbial processes continue whereas plant N  
47 uptake diminishes with drought. In support of this hypothesis, extractable  $\text{NH}_4^+$  increased by  
48 25% overall with precipitation reduction;  $\text{NH}_4^+$  also increased significantly with increasing  
49 magnitude of precipitation reduction. In contrast, N supply and extractable  $\text{NO}_3^-$  did not change  
50 and  $\text{N}_2\text{O}$  emissions decreased with reduced precipitation. Across studies microbial biomass  
51 appeared unchanged, yet from the diversity of studies it was clear that proportionally smaller  
52 precipitation reductions increased microbial biomass, whereas larger proportional reductions in  
53 rainfall reduced microbial biomass; there was a positive intercept ( $P = 0.005$ ) and a significant  
54 negative slope ( $P = 0.0002$ ) for the regression of microbial biomass vs. % precipitation reduction  
55 ( $\text{LnR} = -0.009 \times (\% \text{ precipitation reduction}) + 0.4021$ ). Our analyses imply that relative to other  
56 N variables, N supply is less sensitive to reduced precipitation whereas processes producing  $\text{N}_2\text{O}$   
57 decline. Drought intensity and duration, through sustained N supply, may control how much N  
58 becomes vulnerable to loss via hydrologic and gaseous pathways upon rewetting dry soils.

## 591. Introduction

60 Nitrogen (N) is an essential nutrient; it often constrains ecosystem productivity and can  
61 influence rates of decomposition and carbon (C) sequestration [*LeBauer and Treseder, 2008;*  
62 *Melillo et al., 1982; Vitousek and Howarth, 1991*]. Understanding which environmental factors  
63 govern N availability is, therefore, critical to predicting ecosystem C inputs and losses [e.g.,  
64 *Averill and Waring, In press; Terrer et al., 2016*]. Climate models predict that many regions on  
65 Earth will become drier by 2100 [*Feng and Fu, 2013; Huang et al., 2016; Maestre et al., 2016*],  
66 potentially altering rates of soil N cycling and N availability. Drought can influence N cycling  
67 because water availability i) maintains hydration for the microbial processes that fix and  
68 transform N and ii) controls substrate advection, diffusion, and microbial and plant access to N  
69 [*Farooq et al., 2009; Manzoni et al., 2012; Stark and Firestone, 1995*]. These moisture effects  
70 suggest that arid conditions should limit soil N cycling rates as confirmed in laboratory studies  
71 [*Campbell and Biederbeck, 1972; Pilbeam et al., 1993; Reichman et al., 1966*]. However, under  
72 *in-situ* field conditions, N fluxes and pools can increase as soils dry [*Jackson et al., 1988; Parker*  
73 *and Schimel, 2011; Sullivan et al., 2012*].

74 Microbes respond to lower water potentials through changes in physiology and  
75 community structure [*Schimel et al., 2007*], both of which can alter rates of N cycling and  
76 availability [*Fierer and Schimel, 2002; Fuchslueger et al., 2014b*]. For instance, nitrifier activity  
77 decreases with decreasing water potential [*Reichman et al., 1966; Wetselaar, 1968*] along with  
78 fluxes of nitrogen gas (N<sub>2</sub>) and nitrous oxide (N<sub>2</sub>O) from denitrification [*Soper et al., 2016a;*  
79 *Soper et al., 2016b*]. Yet in the field N mineralization can continue as soils dry [*Fisher et al.,*  
80 *1987; Homyak et al., 2016; Parker and Schimel, 2011; Reichmann et al., 2013*]. Potential

81denitrification enzyme activity can increase [Parker and Schimel, 2011], and extractable  
82ammonium ( $\text{NH}_4^+$ ) builds up [Homyak et al., 2014; Parker and Schimel, 2011], perhaps because  
83some microbes are drought-tolerant or because low water potentials can kill microbes and can  
84limit plant N uptake, thereby reducing competition against surviving microbes for N [Smith et  
85al., 1997; Zhong et al., 2014].

86        In support of these field observations, Sullivan et al. [2012] measured either higher or  
87comparable nitrification potentials (an index of the size of the nitrifying community) in dry  
88relative to wet soils across a chronosequence in Arizona, with similar observations reported from  
89other dry lands [Fisher et al., 1987; Homyak et al., 2014; Parker and Schimel, 2011]. In  
90synthesizing these observations, Sullivan et al. [2012] proposed that microbial processes and  
91rates of N cycling must be tolerant of dry conditions, and that the size of the microbial biomass  
92pool can increase as soils dry. Although a number of field studies from Mediterranean regions  
93support the hypothesis that soil microbial biomass can increase as soils dry [Boot et al., 2013;  
94Homyak et al., 2014; Jackson et al., 1988; Parker and Schimel, 2011; Schaeffer et al., 2017], it is  
95unclear whether this response is exclusive to Mediterranean climates, where seasonal drought  
96may select for microbes adapted to low water potentials [e.g., Fuchslueger et al., 2014b; Schimel  
97et al., 2007; Yuste et al., 2011]. It is also unclear whether increasing microbial biomass can  
98sustain N fluxes as soils dry *in-situ*, or whether N fluxes decline in drying soils across  
99ecosystems.

100        Ecosystem rainfall manipulations provide a powerful approach for understanding how  
101drought affects N dynamics under *in-situ* field conditions. However, individual precipitation  
102manipulations report conflicting responses of N fluxes and soil N pools [e.g., Borken and  
103Matzner, 2009; Shi et al., 2012; Yahdjian et al., 2006]. Therefore, we addressed the following

104 questions using data synthesis techniques: i) Are soil N processes and pools sensitive to drought  
105 across different biomes? and ii) How does reducing precipitation affect the size of the microbial  
106 biomass pool across ecosystems?

107 We used random-effects categorical and continuous meta-analyses to answer these  
108 questions across biomes with field studies that used rain-out shelters to reduce or exclude  
109 precipitation from plots. We identified studies that measured rates of N supply (i.e., indices of N  
110 mineralization), soil microbial biomass, inorganic N pools ( $\text{NH}_4^+$  and nitrate ( $\text{NO}_3^-$ )), or  $\text{N}_2\text{O}$   
111 emissions in response to precipitation reduction. We hypothesized that i) rates of N supply and  
112  $\text{N}_2\text{O}$  emissions would be relatively less sensitive to precipitation reduction in the field due to  
113 greater microbial biomass (Fig. 1A) and higher  $\text{NO}_3^-$  concentrations that stimulate denitrification,  
114 and ii) field soil  $\text{NH}_4^+$  and  $\text{NO}_3^-$  concentrations should increase under precipitation reduction due  
115 to ongoing microbial activity along with reduced plant growth and N uptake [Fig. 1A; Shi *et al.*,  
116 2014; Wu *et al.*, 2011; Zhong *et al.*, 2014].

## 1172. **Methods**

### 1182.1. *Data selection*

119 We performed meta-analyses on field studies that used rain-out shelters (structures that  
120 intercept precipitation) to reduce precipitation and lower soil moisture. We identified candidate  
121 studies by searching ISI Web of Science (Thomson Reuters, New York, NY, USA) and Google  
122 Scholar (Google, Mountain View, CA, USA) for published literature using a list of key words  
123 and wildcards (Table S1) and identified additional studies by cross-referencing. Studies were  
124 included if i) the authors measured soil microbial biomass, indices of N mineralization (net,  
125 gross, anaerobic and potential N mineralization), soil extractable  $\text{NH}_4^+$  and  $\text{NO}_3^-$ , or nitrous oxide

126(N<sub>2</sub>O) emissions; ii) the variables of interest were measured under reduced precipitation  
127treatment and compared to a control; iii) experimental plots were not manipulated beyond  
128precipitation reductions; and iv) means, standard deviations, and sample size were reported or  
129could be determined. We were focused on understanding how drought influences rates of N  
130cycling under field conditions, and we excluded greenhouse and laboratory studies. Published  
131studies were collected for analysis until 1 January 2017. Overall, we found 37 studies that met  
132our criteria, representing an “intermediate” sample size for meta-analysis [37 studies; *Hedges et*  
133*al.*, 1999] and allowing us to analyze the best available data from ecosystem precipitation  
134manipulations.

135       To maximize the likelihood of measuring a treatment effect, and meet the assumption of  
136sample independence [*Gurevitch and Hedges*, 1999], we restricted our analyses to the final  
137sampling date in studies that reported time series for the variables of interest—a standard meta-  
138analytical approach that also minimized the likelihood of measuring disturbance effects  
139associated with erecting shelters. We also refrained from using time series because small sample  
140sizes limited our ability to test hypotheses concerning temporal trends and may have introduced  
141biases by over-weighting studies with longer records. Moreover, if more than one paper reported  
142data from the same field plots, we used the most recent or most comprehensive paper. For the  
143two studies reporting data from both O and A soil horizons, we used A horizons to maintain  
144consistency across studies and best represent processes occurring in the solum rather than in litter  
145under various stages of decomposition. When studies reported on more than one mineral horizon,  
146we used the uppermost horizon. For studies testing several levels of precipitation reduction, we  
147focused on the most extreme reduction to maximize our ability to detect a rainfall exclusion  
148effect. When a study included more than one site, we included as many sites as could reasonably



149be considered independent based on geographical position, ecosystem type, or plant cover  
150[Treseder, 2008].

#### 1512.2. *Data collection*

152 We extracted means, standard deviations (SD), and sample sizes (n) for each variable of  
153interest. Data presented in figures were digitized using Plot Digitizer 2.6.6  
154(<http://plotdigitizer.sourceforge.net>). We grouped studies into agricultural, forest, grassland,  
155meadow, shrubland, or tropical categories. The meadow biome was used for high-elevation  
156studies at sites dominated by herbaceous plants (i.e., > 1,850 m a.s.l.) and the tropical biome for  
157subtropical, montane, humid, and old-growth tropical forests. For each study, we recorded the  
158mean annual precipitation (MAP), length of the experimental manipulation (years), %  
159precipitation reduction ( $100 \times \text{rainfall excluded} / \text{annual precipitation}$ ), % soil moisture reduction  
160[ $100 \times (\text{soil moisture control} - \text{soil moisture treatment}) / \text{soil moisture control}$ ], whether the climate  
161was Mediterranean (i.e., consisting of hot dry summers and cool wet winters typical of  
162Mediterranean regions), and the type of shelter used to reduce precipitation (i.e., exclusion vs.  
163reduction). Exclusion shelters removed 100% of incoming precipitation, whereas reduction  
164shelters reduced precipitation by some fraction (i.e., < 100%) typically through openings in the  
165roof. Standard errors (SE) were converted to SDs [ $SD = SE (n^{1/2})$ ].

166 The studies we included measured microbial biomass by chloroform (CHCl<sub>3</sub>) fumigation  
167[Brookes *et al.*, 1985], phospholipid fatty acid (PLFA) extraction [Frostegard and Baath, 1996],  
168or substrate induced respiration (SIR) [Anderson and Domsch, 1978]. There is a paucity of  
169studies measuring indices of N mineralization (net, gross, anaerobic and potential N  
170mineralization) in precipitation exclusion experiments. Because we were interested in  
171understanding whether N supply would be sensitive to reduced precipitation—not in quantifying

172the actual flux rates—we included studies measuring net N mineralization, gross N  
173mineralization, anaerobic N mineralization, and potential N mineralization measurements as an  
174index of N supply in control vs. rainfall exclusion plots. Only 9 studies measured nitrification,  
175and we were unable to include this process in our meta-analysis. Extractable  $\text{NH}_4^+$  and  $\text{NO}_3^-$  were  
176measured after extraction in salt solution (e.g.,  $\text{K}_2\text{SO}_4$  or KCl) or in deionized water. Soil  $\text{N}_2\text{O}$   
177emissions were measured by chamber methodology [Parkin and Venterea, 2010].

### 1782.3. Statistics

179 We used meta-analyses to determine the effect of reduced precipitation on soil microbial  
180biomass, N supply, extractable  $\text{NH}_4^+$  and  $\text{NO}_3^-$ , and  $\text{N}_2\text{O}$  emissions. For each study and response  
181variable we calculated the effect size as the natural logarithm of the response ratio (LnR) or as  
182Hedge's  $d$ . LnR was used in the analysis of microbial biomass and extractable  $\text{NH}_4^+$  and  $\text{NO}_3^-$   
183pools, and is defined as:

$$184 \quad \text{LnR} = \ln\left(\frac{X_T}{X_C}\right)$$

185 where  $X_T$  is the treatment mean and  $X_C$  the control mean. If reducing precipitation increases the  
186treatment mean over the control, then  $\text{LnR} > 0$ . Alternatively,  $\text{LnR} < 0$  when reducing precipitation  
187reduces the treatment mean relative to the control;  $\text{LnR} = 0$  when there is no effect of reducing  
188precipitation.

189 LnR cannot be used for negative values—it is undefined—so we used Hedge's  $d$  as the  
190response variable for both N supply and  $\text{N}_2\text{O}$  emissions, which both included negative means.  
191Hedge's  $d$  is defined as:

$$192 \quad d = \frac{(X_T - X_C)}{S} J$$

193 where  $S$  is the pooled standard deviation and  $J$  corrects for small sample bias [Gurevitch *et al.*,  
194 2001]. As with LnR,  $d > 0$  if reducing precipitation increases the treatment mean relative to the  
195 control,  $d < 0$  if reducing precipitation reduces the treatment mean relative to the control, and  $d = 0$   
196 if reducing precipitation has no effect. Along with mean effect sizes, we also calculated the  
197 variance ( $V$ ) for both LnR and Hedge's  $d$  using the means, SDs, and sample sizes for treated and  
198 control plots [Gurevitch *et al.*, 2001].

199        To determine whether reducing precipitation affected soil microbial biomass, N supply,  
200 extractable  $\text{NH}_4^+$  and  $\text{NO}_3^-$  pools, and  $\text{N}_2\text{O}$  emissions, we used a random effects model in the  
201 MetaWin software [Rosenberg *et al.*, 2000] with a 95% confidence interval (CI) to assess  
202 significant effects; CIs not overlapping with 0 indicated significant responses at  $\alpha < 0.05$ . We  
203 used random effects categorical models to assess whether the effects of reducing precipitation on  
204 the variables of interest varied by manipulation type (i.e., precipitation exclusion vs. reduction)  
205 or biome (i.e., agricultural, forest, grassland, meadow, shrubland, and tropical) or whether the  
206 effect of reducing precipitation on microbial biomass was exclusive to a Mediterranean climate.  
207 We used a continuous random effects model between the mean effect size and MAP (a proxy of  
208 overall site wetness) to test ( $\alpha = 0.05$ ) whether wetter sites responded differently to reduced  
209 precipitation than drier sites. Lastly, we also used continuous random effects models to test for  
210 significant ( $\alpha = 0.05$ ) relationships between the mean effect size and manipulation length, %  
211 precipitation reduction, and % soil moisture reduction.

212 Because we used random-effects meta-analyses, we did not test for total heterogeneity  
213 among studies ( $Q_T$ ), as substantial variation among the population of studies included in our  
214 analyses is assumed and is already accounted for in random-effects models [Rosenberg, 2013].  
215 For categorical meta-analyses, however, we partitioned  $Q_T$  into the amount of heterogeneity  
216 explained by the model structure ( $Q_M$ ; between-class heterogeneity) and the amount of  
217 heterogeneity left unexplained after considering the model—the residual error heterogeneity ( $Q_E$ ;  
218 within-class heterogeneity). The significance of both  $Q_M$  and  $Q_E$  was tested ( $\alpha = 0.05$ ) against a  $\chi^2$   
219 distribution to determine whether the structural models could explain the total heterogeneity—  
220 i.e., a significant  $Q_M$ —and whether substantial heterogeneity was left unaccounted for by the  
221 models—i.e., a significant  $Q_E$  even if  $Q_M$  is significant [Rosenberg, 2013]. Lastly, we tested for  
222 publication bias (i.e., the likelihood of a study with high effect sizes being published over one  
223 with lower effect sizes) using Kendall’s tau and Spearman rank correlation tests [Holden and  
224 Treseder, 2013].

### 2253. Results

226 We identified 37 published studies that used rain-out shelters to reduce or exclude  
227 precipitation across 6 biomes (agricultural, forest, grassland, meadow, shrubland, and tropical),  
228 from which we extracted 106 independent observations—27 observations of soil microbial  
229 biomass (19  $\text{CHCl}_3$  fumigation, 4 PLFA extraction, and 4 SIR), 24 of extractable  $\text{NH}_4^+$ , 24 of  
230 extractable  $\text{NO}_3^-$ , 16 of N supply (7 net N mineralization, 4 gross N mineralization, 3 potential N  
231 mineralization, and 2 anaerobic N mineralization), and 15 of  $\text{N}_2\text{O}$  emissions (Table S3). Mean  
232 effect sizes were not related to % soil moisture reduction ( $P > 0.2$ ; Figs. S1-S5) and did not vary  
233 as a function of overall site wetness ( $P > 0.08$ ; Fig. S6).

### 2343.1. Soil microbial biomass

235        Opposite to our predictions, reducing precipitation had no overall effect on the size of the  
236 soil microbial biomass pool (Fig. 2; LnR =  $-0.03 \pm 0.17$  95% CI), but the microbial biomass pool  
237 decreased as a function of % precipitation reduction (Fig. 2; LnR =  $-0.009 \times (\% \text{ precipitation}$   
238  $\text{reduction}) + 0.4021$ ;  $P = 0.0002$ ). Reducing precipitation by relatively small fractions  
239 significantly increased the size of the microbial biomass pool (LnR intercept = 0.4021;  $P =$   
240  $0.005$ ), whereas reducing precipitation by larger fractions reduced microbial biomass to levels  
241 lower than the experimental controls for each study (Fig. 2). There was no relationship between  
242 microbial biomass and manipulation length (Fig. S1;  $P = 0.3$ ).

243        Based on categorical models, the response of microbial biomass to precipitation reduction  
244 did not depend on shelter type or Mediterranean climate ( $P \geq 0.75$ ; Table 1), but the effect of  
245 biome was marginally significant ( $P = 0.065$ ). Extraction type accounted for a large fraction of  
246 the total heterogeneity among studies ( $Q_M P = 0.0003$ ) but there were no statistically significant  
247 differences in effect size between the extraction methods ( $P > 0.05$ ; Table 1)—i.e., extraction  
248 type did not influence the response of microbial biomass to reduced precipitation.

### 2493.2. N supply

250        Consistent with our hypothesis, N supply was not affected by precipitation reduction  
251 (Fig. 3; Hedge's  $d = 0.03 \pm 0.46$  95% CI) and was not related to manipulation length or %  
252 precipitation reduction (Fig. S2;  $P > 0.3$ ). Accounting for shelter type in categorical models did  
253 not explain much of the heterogeneity among studies (Table 1;  $Q_M P > 0.4$ ) whereas accounting  
254 for biome did (Table 1;  $Q_M P = 0.035$ ); however, differences in the effect size of N supply among  
255 biomes were not significant (Table 1)—i.e., differences in biomes did not influence the response  
256 of N supply to reduced precipitation.

257 Kendall's tau and Spearman rank correlation tests for publication bias suggest that, across  
258 experiments, reduced rates of N supply were more likely to be published than increased rates  
259 (Table S2).

### 260 3.3. Extractable $\text{NH}_4^+$ and $\text{NO}_3^-$

261 As hypothesized, reducing precipitation increased extractable  $\text{NH}_4^+$  concentrations by  
262 25% across studies (Fig. 4; LnR =  $0.22 \pm 0.21$  95% CI) and these concentrations increased with  
263 greater % precipitation reduction (Fig. 4; LnR =  $0.0142 \times (\% \text{ precipitation reduction}) - 0.4208$ ;  $P$   
264 = 0.0003). In contrast to microbial biomass, reducing precipitation by relatively small fractions  
265 significantly decreased the size of the extractable  $\text{NH}_4^+$  pool (Fig. 4; LnR intercept = -0.4208;  $P$   
266 = 0.03), whereas reducing precipitation by larger fractions increased  $\text{NH}_4^+$ . Extractable  $\text{NH}_4^+$   
267 concentrations were not related to manipulation length (Fig. S3;  $P = 0.2$ ). Accounting for shelter  
268 type or biome in categorical models did not explain a significant fraction of heterogeneity among  
269 studies (Table 1;  $Q_M P > 0.7$ ).

270 Unlike  $\text{NH}_4^+$ , and in contrast to our hypotheses, reducing precipitation had no effect on  
271 average extractable  $\text{NO}_3^-$  concentrations (Fig. 3; LnR =  $0.088 \pm 0.16$  95% CI) and  $\text{NO}_3^-$  was not  
272 related to manipulation length or % precipitation reduction (Fig. S4;  $P > 0.2$ ). Accounting for  
273 shelter type ( $Q_M P = 0.08$ ) or biome ( $Q_M P = 0.4$ ) in categorical models did not explain a  
274 significant proportion of the heterogeneity among studies (Table 1).

### 275 3.4. $\text{N}_2\text{O}$ emissions

276 Opposite to our predictions, reducing precipitation significantly lowered  $\text{N}_2\text{O}$  emissions  
277 across studies (Fig. 3; Hedge's  $d = -0.76 \pm 0.62$  95% CI).  $\text{N}_2\text{O}$  was not related to manipulation

278length or % precipitation reduction (Fig. S5;  $P > 0.1$ ). Based on categorical models, biome did  
279not explain much of the heterogeneity among studies (Table 1;  $Q_M P = 0.7$ ).

## 2805. Discussion

281 We used meta-analysis to determine how experimental reductions in precipitation may  
282alter soil N cycling. Because microbes are sensitive to changes in moisture [*Blankinship et al.*,  
2832011; *Schimel et al.*, 2007; *Skopp et al.*, 1990] and their activity decreases as soils dry [*Fierer*  
284and *Schimel*, 2002; *Manzoni et al.*, 2012; *Orchard and Cook*, 1983], reducing precipitation could  
285lower rates of N cycling (Fig. 1A). However, we found that on average, only  $N_2O$  emissions  
286were lower under precipitation reduction in the field (Figs. 1B and 3) and that the effect of  
287reducing precipitation did not vary by biome for the variables of interest, except for tropical sites  
288where microbial biomass decreased (Table 1). Reducing precipitation had no effect on N supply  
289or  $NO_3^-$  pools, and  $NH_4^+$  pools increased on average. Microbial biomass pools demonstrated a  
290more dynamic relationship with rainfall manipulation—increasing at lower levels of proportional  
291precipitation reduction while decreasing as water was withheld—that was not exclusive to  
292Mediterranean regions (Table 1). Together, our results suggest that N supply is relatively less  
293sensitive to reduced precipitation, whereas other N cycling processes affecting the balance of  
294fluxes and magnitude of N stocks are more sensitive.

### 2955.1. N supply

296 Consistent with our initial hypothesis, reducing precipitation did not have a significant  
297effect on N supply, suggesting that microbial processes regulating N supply may be drought  
298tolerant relative to other N cycling processes (Fig. 1). Sustained or higher rates of N  
299mineralization have been measured in Mediterranean regions where the dry season can last

300several months [*Homyak et al.*, 2016; *Jackson et al.*, 1988; *Parker and Schimel*, 2011], and  
301where microbial biomass N increases as soils dry [*Homyak et al.*, 2014; *Parker and Schimel*,  
3022011; *Schaeffer et al.*, 2017; *Vourlitis et al.*, 2009], implying microbes maintain some level of N  
303processing. N mineralization is carried out by a relatively “broad” range of organisms [*Schimel*  
304and *Schaeffer*, 2012], so even if drought caused shifts in microbial community composition, N  
305supply could be sustained.

306       We emphasize that small sample sizes prevent a conclusive test of the N supply response  
307to drought. Net, gross, anaerobic, and potential N mineralization all measure different aspects of  
308N supply, and there were insufficient studies to analyze these metrics individually. By combining  
309these different metrics, we gain insight into the qualitative response of N supply to drought, but  
310we cannot resolve the underlying mechanisms. For gross, anaerobic, and potential N  
311mineralization, a lack of response to precipitation reduction suggests that the process is  
312insensitive to drought. However, for *net* N mineralization, insensitivity to drought could also  
313result from offsets in gross N mineralization and immobilization (e.g., a decrease in gross N  
314mineralization coupled to an increase in N immobilization). Therefore, we cannot rule out the  
315possibility that gross N mineralization was sensitive to drought in studies that only measured net  
316N mineralization. Nevertheless, the lack of a response to precipitation reduction suggests that,  
317relative to N immobilization, gross N mineralization may be less sensitive to drought— $\text{NH}_4^+$   
318increased (Fig. 4) while microbial biomass decreased (Fig. 2) as precipitation was withheld. We  
319also note there was significant publication bias in studies measuring N supply (Table S2),  
320suggesting a negative response to precipitation reduction was more likely to be published than a  
321positive response. That we still did not detect a significant effect of reduced precipitation on N



322supply may suggest that our conclusion—that N supply is relatively tolerant of drought stress—  
323could be conservative.

#### 3245.2. Soil microbial biomass

325       While it is clear that some microbial activities decline as soils dry [*Allison and Treseder*,  
3262008; *Manzoni et al.*, 2012; *Ren et al.*, 2017], microbes can tolerate drought stress [*Canarini et*  
327*al.*, 2016; *Lennon et al.*, 2012; *Schimel et al.*, 2007], and even increase in biomass [Fig. 2A; *Boot*  
328*et al.*, 2013; *Homyak et al.*, 2014; *Jackson et al.*, 1988; *Parker and Schimel*, 2011; *Ren et al.*,  
3292017; *Schaeffer et al.*, 2017]. Indeed, a recent meta-analysis suggests that microbial biomass is  
330less likely to decrease in response to reducing precipitation in dry (MAP  $\leq$ 600 mm) relative to  
331wet ecosystems [*Ren et al.*, 2017]. Across the studies included in our analysis that reduced  
332precipitation by relatively small proportions, a shift in the frequency of precipitation pulses could  
333have increased microbial growth due to greater numbers of wet-dry cycles [*Fierer and Schimel*,  
3342002; *Xiang et al.*, 2008]. Such a response could occur if deploying rain-out shelters for  
335relatively short periods alters the frequency of precipitation pulses [*Huxman et al.*, 2004] while  
336having relatively little effect on total precipitation. In addition, microbes might survive in  
337hydrologically disconnected microsites formed as soils dry despite bulk soils having negligible  
338diffusivity at the macro scale [*Manzoni and Katul*, 2014]. Microbes surviving in these microsites  
339may gain protection from predators and/or viruses, and reduced *mortality* rather than increased  
340*activity* may explain the increased biomass [*Parker and Schimel*, 2011; *Ranjard and Richaume*,  
3412001]. Changes in community structure or dormancy may also explain shifts in the size of the  
342microbial biomass pool in drying soils [*Fuchslueger et al.*, 2014a; *Fuchslueger et al.*, 2016;  
343*Lennon and Jones*, 2011]. Sullivan et al. [2012] noted that archaea may fare better than bacteria  
344at low water potential [but see *Fuchslueger et al.*, 2014b], whereas other studies suggest drought

345tolerant fungi may continue to produce biomass [Treseder *et al.*, 2010; Yuste *et al.*, 2011]. One or  
346more of these dynamics may explain why the size of the microbial biomass pool increased with  
347small reductions in precipitation and, therefore, why microbial processes continued to mineralize  
348N.

349       In contrast to the increase in microbial biomass when precipitation was reduced by small  
350fractions, microbial biomass decreased as precipitation reduction approached 100 % (Fig. 2A).  
351Reducing precipitation by large percentages (i.e., deploying rain-out shelters for extended  
352periods) reduced average soil moisture as well as the frequency of precipitation pulses. These  
353persistently dry conditions could have desiccated soils beyond thresholds of microbial drought  
354tolerance—about -14 MPa for mineral soils and -36 MPa for litter [Manzoni *et al.*, 2012]. This  
355relationship was partly driven by responses to drought in the tropics, where microbial biomass  
356declined particularly strongly at 100% precipitation reduction (Table 1). If we exclude tropical  
357studies from the continuous meta-analysis of microbial biomass versus % precipitation reduction,  
358a significant relationship is no longer observed ( $P = 0.5$  without tropical studies). Although more  
359studies would be required to draw firm conclusions about cross-biome variation, microbes  
360adapted to moist tropical environments could be more sensitive to drought stress than microbes  
361from more variable environments [Hawkes and Keitt, 2015].

### 3625.3. Extractable $\text{NH}_4^+$

363       We observed that extractable  $\text{NH}_4^+$  increased with greater precipitation reduction (Fig.  
3644A). Because microbial biomass decreased as precipitation reduction approached 100 %,   
365microbial death might have contributed to the increase in  $\text{NH}_4^+$  and sustained N supply. However,  
366since N supply did not significantly increase, other mechanisms probably contributed to the  
367increase in  $\text{NH}_4^+$ . In particular, low water availability can limit plant growth [Shi *et al.*, 2014; Wu

368 *et al.*, 2011], plant  $\text{NH}_4^+$  uptake [*Zhong et al.*, 2014], and nitrification rates [*Stark and Firestone*,  
369 1995; *Wetselaar*, 1968]. The balance of minor changes in these potential mechanisms, along with  
370 our observations, suggest that extractable  $\text{NH}_4^+$  increases with drought treatment due to reduced  
371  $\text{NH}_4^+$  consumption (Fig. 1B).

#### 372 5.4. Extractable $\text{NO}_3^-$

373 The pool of extractable  $\text{NO}_3^-$  did not change with reduced precipitation (Fig. 3), even  
374 though other drivers of  $\text{NO}_3^-$  concentrations were likely affected (e.g.,  $\text{NH}_4^+$  concentrations,  
375 nitrification, plant N uptake, and denitrification). Nitrification declines under low osmotic  
376 potentials [*Stark and Firestone*, 1995], and in drying soils a larger fraction of nitrified N can  
377 escape as NO [*Fig. 1B*; *Davidson et al.*, 2008; *Homyak et al.*, 2016; *Homyak et al.*, 2017], which  
378 together imply  $\text{NO}_3^-$  production could have declined. Similarly, the processes consuming  $\text{NO}_3^-$   
379 probably also declined; plant  $\text{NO}_3^-$  uptake declines under drought stress [*Dijkstra et al.*, 2015;  
380 *Meng et al.*, 2016] as do  $\text{N}_2\text{O}$  emissions (Fig. 3). Thus,  $\text{NO}_3^-$  concentrations may not have  
381 changed because reductions in production and consumption of  $\text{NO}_3^-$  offset one another (Fig. 1B).

#### 382 5.5. $\text{N}_2\text{O}$ emissions

383 Reducing precipitation significantly lowered  $\text{N}_2\text{O}$  emissions across studies (Fig. 3),  
384 perhaps suggesting that denitrification is more sensitive to drought than processes controlling N  
385 supply. Because shifts in the ratio of oxidant (e.g.,  $\text{NO}_3^-$ ) to reductant (organic C) can influence  
386 whether  $\text{N}_2\text{O}$  or  $\text{N}_2$  is produced during denitrification [*Del Grosso et al.*, 2000], lower  $\text{N}_2\text{O}$  fluxes  
387 may reflect shifts in the ratio of  $\text{N}_2\text{O}:\text{N}_2$  produced, not lower denitrification rates. The effect of  
388 reduced precipitation on denitrification is likely contingent upon ecosystem-specific factors that  
389 can both lower and even increase  $\text{N}_2\text{O}$  emissions as precipitation is withheld [*Wieder et al.*,  
390 2011]. For instance, potential denitrification enzyme activity [*Parker and Schimel*, 2011] and

391 both  $\text{NO}_3^-$  and water-extractable organic C concentrations [Schaeffer *et al.*, 2017] can increase as  
392 soils dry, presumably influencing  $\text{N}_2\text{O}$  emission rates during drying and/or upon rewetting soils.  
393 Nevertheless, our finding is consistent with greater denitrification under wet, anaerobic  
394 environments [Robertson and Groffman, 2007], because these conditions would have been less  
395 common under precipitation reduction. Compared to microbes that mineralize N, denitrifiers are  
396 more narrowly distributed phylogenetically [Schimel and Schaeffer, 2012] and require wetter  
397 conditions to maintain metabolic activity [e.g., Lennon *et al.*, 2012]. Our results are consistent  
398 with studies reporting low  $\text{N}_2\text{O}$  emissions in drylands except during short periods following  
399 precipitation pulses when soils wet up [Soper *et al.*, 2016a; Soper *et al.*, 2016b] or when fast  
400 chemical reactions may occur [Heil *et al.*, 2015].

#### 401 5.6. Implications for N cycling under drought

402 Our results expand on previous laboratory studies evaluating N dynamics in dry soils.  
403 While it is clear that microbial N transformations decrease at low water potentials [Campbell  
404 and Biederbeck, 1972; Pilbeam *et al.*, 1993; Reichman *et al.*, 1966; Stark and Firestone, 1995],  
405 we show that under *in-situ* field conditions, processes that control N supply are less sensitive to  
406 precipitation reduction. Differences between field and laboratory studies likely stem from the  
407 artificial effects on water infiltration and oxygen diffusion generated by disrupting soil  
408 aggregates in microcosms, as well as by microbial interactions with soil physical and biological  
409 factors not well represented in the laboratory. For instance, interactions between plants and  
410 microbes shape soil aggregates [Blankinship *et al.*, 2016], where microbes can remain hydrated  
411 in microsites despite low bulk soil water potential [Manzoni and Katul, 2014; Parker and  
412 Schimel, 2011]. Moreover, non-rainfall water inputs, such as the movement of atmospheric water  
413 vapor into soil, can stimulate drought-tolerant microbial processes in the field [McHugh *et al.*,

4142015], and may maintain active microbial N processing despite low soil water content. Together,  
415these microbial interactions with physical and biological factors, along with the relative tolerance  
416of N mineralization to drought stress [Booth *et al.*, 2005; Fisher *et al.*, 1987; Pilbeam *et al.*,  
4171993; Robinson, 1957], are consistent with the findings of our meta-analysis and may explain  
418discrepancies between field and laboratory studies.

419       Our meta-analysis is constrained by the number of precipitation reduction studies  
420available to assess effects on soil N cycling, particularly for N supply. Assuming both a small  
421sample size and high variation between treatment and control plots within studies, using the last  
422observation in a time-series to calculate an effect size—a standard meta-analytical approach—  
423may bias interpretations. For instance, Davidson *et al.* [2008] reported lower N<sub>2</sub>O emissions in  
424rainfall exclusion plots than in control plots at the end of the experimental manipulation, but had  
425the experiment ended about two years earlier, we would have calculated the opposite effect size,  
426albeit small. Because we synthesized field studies, we expected high variation between and  
427within sites, especially since soils under precipitation reduction treatment could have moisture  
428levels similar to control soils if the % reduction in precipitation was small. To assess how  
429manipulation length may have influenced our conclusions, we show with continuous meta-  
430analyses that, across all studies and variables of interest, manipulation length did not  
431significantly influence effect size ( $P \geq 0.2$ ).

432       At the ecosystem scale, our analyses help inform predictions about N cycling in regions  
433where drought magnitude and duration may increase [e.g., Delgado-Baquerizo *et al.*, 2013].  
434Drought magnitude and duration should influence whether ecosystems operate as net N sinks or  
435sources. A relatively mild drought would be expected to produce a small pool of bioavailable N  
436[Fig. 4A; Hartmann *et al.*, 2013], since ecosystem N sinks (e.g., microbial and plant N uptake

437and soil organic matter) may persist or recover quickly from drought [e.g., *de Vries et al.*, 2012;  
438*Fry et al.*, 2014; *Homyak et al.*, 2014; *Mauritz et al.*, 2014]. As drought severity increases,  
439however, a larger mineral N pool, together with drought-stressed ecosystem N sinks may  
440generate responses similar to those following ecosystem disturbance, favoring larger N losses via  
441N gas evasion and leaching upon rewetting [e.g., *Evans and Burke*, 2013; *Hanan et al.*, 2016;  
442*Homyak et al.*, 2016; *Homyak et al.*, 2017; *Homyak et al.*, 2014]. The temporal sequence of these  
443different magnitudes of dry conditions may control the long-term changes in ecosystem N  
444cycling transformations, fluxes, and stocks.

#### 4456. **Conclusions**

446        We did not detect significant changes in the rate of N supply in response to precipitation  
447reduction, apparently as a result of microbes enduring through dry conditions. Because of the  
448relatively low number of drought manipulation experiments, it is critical for ongoing and future  
449studies to include N transformation measurements, as these data are urgently needed for  
450calculating thresholds of microbial drought tolerance and N processing. We also emphasize  
451reporting soil bulk density to facilitate calculation of water-filled pore space and allow  
452comparisons of soil water content across sites varying in soil texture. Our analyses imply  
453differences in drought sensitivity among N cycling processes, with processes controlling the rate  
454of N supply appearing less sensitive to drought conditions than processes controlling N<sub>2</sub>O  
455emissions. Microbial biomass declines and NH<sub>4</sub><sup>+</sup> accumulates as drought intensity increases,  
456consistent with reduced plant and microbial uptake from mineral N pools. One implication of  
457this finding is that increasing drought magnitude and duration, through sustained N supply, could  
458control ecosystem N losses during subsequent wet-up periods.

**459 Acknowledgments**

460        We are thankful to Lara Reichman and Ellen Fry for helping us include their published  
461 data in our analyses and to JC Blankinship, LA Cat, CI Looby, M Gorris, AL Romero-Olivares,  
462 and three anonymous reviewers for their suggestions on earlier versions of this manuscript. This  
463 research was funded by an NSF Ecosystem Studies grant to SDA and KKT and Center for  
464 Environmental Biology funds associated with a gift from Mr. Donald Bren and the Irvine  
465 Company Foundation. The data used are listed in Table S3 in supplements.

466

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679electrode technique. *Scientific Reports* 4

682**Tables**

683Table 1. Results of statistical comparisons among and within groups (MB = microbial biomass; †only groups with two or more studies  
684were included in comparisons)

<b>Parameter</b>	<b>Group</b>	<b>Sub-group</b>	<b>LnR</b>	<b>95% CI</b>	<b>n</b>	<b>Q<sub>M</sub></b>	<b>Q<sub>E</sub></b>	<b>P-value among groups<sup>†</sup></b>	
MB	All studies		-0.042	-0.22 to 0.13	27	0.003	26.53	0.953	
		Shelter type							
	Extraction	Exclusion	Reduction	-0.044	-0.24 to 0.16	22	16.41	19.56	0.0003
				-0.030	-0.61 to 0.55	5			
		PLFA		0.49	-0.15 to 1.13	4			
			CHCl <sub>3</sub>	-0.042	-0.23 to 0.15	18			
			SIR	-0.62	-1.22 to -0.019	4			
	Biome					8.85	18.23	0.065	
		Agriculture	-	-	-				
		Forest	0.16	-0.19 to 0.50	9				
		Grassland	0.18	-3.37 to 3.73	2				
		Meadow	0.099	-3.52 to 3.71	2				
		Shrubland	0.024	-0.60 to 0.65	5				
		Tropical	-0.41	-0.77 to -0.058	8				
Climate					0.10	26.40	0.750		
	Mediterranean	-0.10	-0.68 to 0.47	5					
	Non-Mediterranean	-0.029	-0.23 to 0.17	22					
NH <sub>4</sub> <sup>+</sup>	All studies		0.22	0.0033 to 0.43	24	0.12	25.84	0.733	
	Shelter type								

NO <sub>3</sub> <sup>-</sup>	Biome	Exclusion	0.19	-0.068 to 0.45	18	2.04	18.33	0.564
		Reduction	0.27	-0.23 to 0.78	6			
		Agriculture	-	-	-			
		Forest	0.52	-0.77 to 1.80	3			
		Grassland	0.40	-0.32 to 1.11	5			
		Meadow	0.22	-1.06 to 1.51	3			
		Shrubland	0.073	-0.34 to 0.49	11			
		Tropical	-	-	-			
	All studies	0.088	-0.071 to 0.25	24	3.07	29.04	0.08	
	Shelter type							
	Biome	Exclusion	0.012	-0.17 to 0.20	18	2.92	14.13	0.404
		Reduction	0.32	-0.074 to 0.72	6			
		Agriculture	-	-	-			
		Forest	0.061	-1.37 to 1.49	3			
Grassland		0.066	-0.65 to 0.78	5				
Meadow		-0.28	-1.59 to 1.03	3				
Shrubland		0.35	-0.13 to 0.83	11				
Tropical		-	-	-				

Parameter	Group	Sub-group	Hedge's <i>d</i>	95% CI	n	QM	QE	<i>P</i> -value among groups <sup>†</sup>
N supply	All studies		0.028	-0.43 to 0.48	16	0.66	19.99	0.415
		Shelter type						
	Biome	Exclusion	-0.10	-0.70 to 0.49	11	6.73	13.82	0.035
		Reduction	0.27	-0.76 to 1.30	5			
		Grassland	0.16	-0.92 to 1.24	5			



N <sub>2</sub> O	All studies	Meadow	-1.52	-4.16 to 1.11	3					
		Shrubland	0.21	-0.61 to 1.03	6					
		Tropical	-	-	-					
	Shelter type			-0.76	-1.37 to -0.14	15				
		Exclusion		-	-	-				
			Reduction	-	-	-				
		Biome						0.69	10.34	0.707
			Agriculture	-	-	-				
			Forest	-	-	-				
			Grassland	-1.25	-4.26 to 1.76	3				
			Meadow	-	-	-				
			Shrubland	-0.60	-2.02 to 0.82	5				
Tropical	-1.069		-2.76 to 0.62	4						

**686Figure legends**

687Fig. 1. Conceptual model for N cycling in dry soils. **A)** The hypothesized effects of dry  
688conditions on soil N cycling, versus **B)** the results of our meta-analyses. Pools of soil organic  
689matter (SOM), microbial biomass (MB), ammonium ( $\text{NH}_4^+$ ), and nitrate ( $\text{NO}_3^-$ ) are represented  
690by boxes. Fluxes are represented by arrows including N supply, nitric oxide (NO), and nitrous  
691oxide ( $\text{N}_2\text{O}$ ). Dotted arrows represent fluxes most sensitive to diffusion constraints as drought  
692severity increases. An increase in the size of the pool or flux is represented by +, a decrease by -,  
693and no change by =. #Plant growth and N uptake decrease in response to reducing precipitation  
694(Zhong et al., 2014; Shi et al., 2014; Wu et al., 2011) whereas NO emissions increase because of  
695tradeoffs between biotic and abiotic processes (Homyak et al., 2016).

696Fig. 2. Natural logarithm of the response ratio (LnR) of soil microbial biomass as a function of  
697precipitation reduction (% of mean annual precipitation;  $\text{LnR} = -0.009 \times (\% \text{ precipitation}$   
698reduction) + 0.4021;  $P = 0.0002$ ; intercept  $P = 0.005$ ). Symbols represent LnR of individual  
699studies  $\pm$  the variance of LnR. The gray square is the grand mean effect size across all studies  $\pm$   
70095% confidence interval.

701Fig. 3. Mean effect size  $\pm$  95% confidence intervals for the effects of precipitation reduction on  
702N supply (Hedge's  $d$ ),  $\text{N}_2\text{O}$  emissions (Hedge's  $d$ ), and extractable  $\text{NO}_3^-$  (LnR). LnR = natural  
703logarithm of the response ratio.

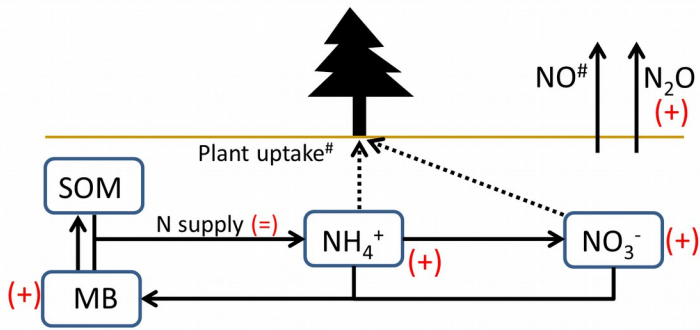
704Fig. 4. Natural logarithm of the response ratio (LnR) of soil extractable  $\text{NH}_4^+$  as a function of  
705precipitation reduction (% of mean annual precipitation;  $\text{LnR} = 0.0142 \times (\% \text{ precipitation}$   
706reduction) - 0.4208;  $P = 0.0003$ ; intercept  $P = 0.03$ ). Symbols represent the LnR of individual

707 studies  $\pm$  the variance of the LnR. The gray square is the grand mean effect size across all studies  
708  $\pm$  95% confidence interval.

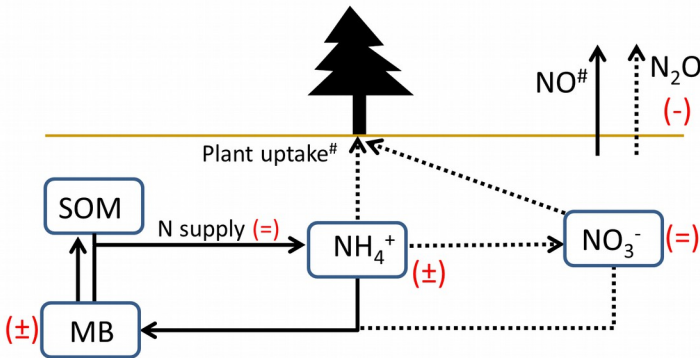
709 **Figures**

710 *Fig. 1*

**A) Hypothesized effects**



**B) Observed effects**

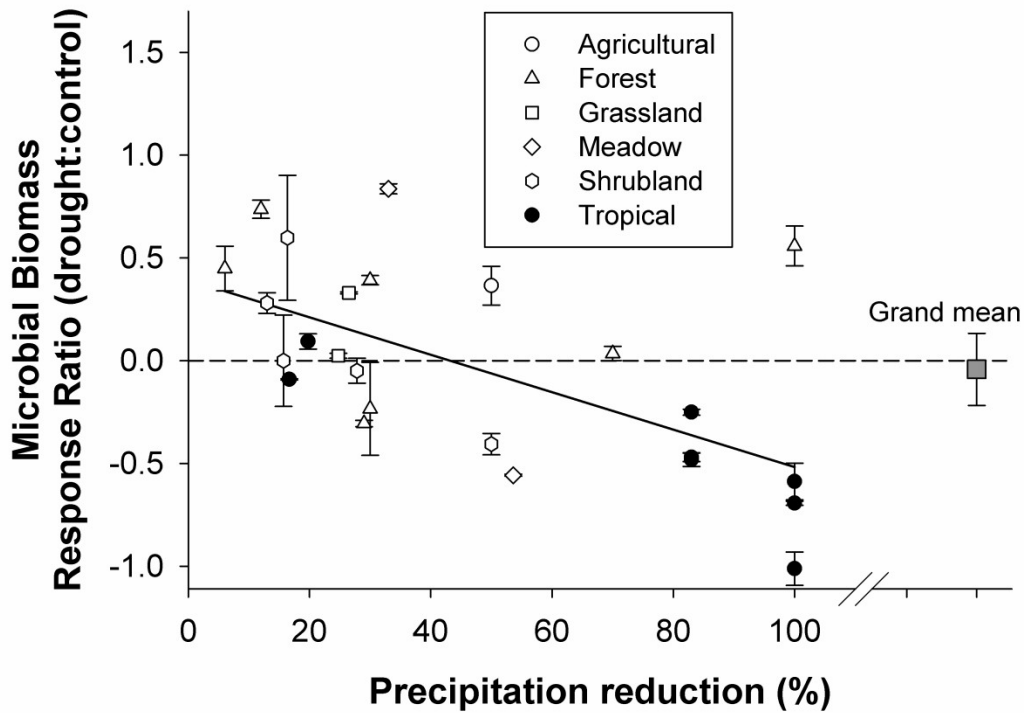


711

712 Fig. 1. Conceptual model for N cycling in dry soils. **A)** The hypothesized effects of dry  
 713 conditions on soil N cycling, versus **B)** the results of our meta-analyses. Pools of soil organic  
 714 matter (SOM), microbial biomass (MB), ammonium ( $\text{NH}_4^+$ ), and nitrate ( $\text{NO}_3^-$ ) are represented  
 715 by boxes. Fluxes are represented by arrows including N supply, nitric oxide (NO), and nitrous  
 716 oxide ( $\text{N}_2\text{O}$ ). Dotted arrows represent fluxes most sensitive to diffusion constraints as drought  
 717 severity increases. An increase in the size of the pool or flux is represented by +, a decrease by -,  
 718 and no change by =. #Plant growth and N uptake decrease in response to reducing precipitation

719(Zhong et al., 2014; Shi et al., 2014; Wu et al., 2011) whereas NO emissions increase because of  
720tradeoffs between biotic and abiotic processes (Homyak et al., 2016).

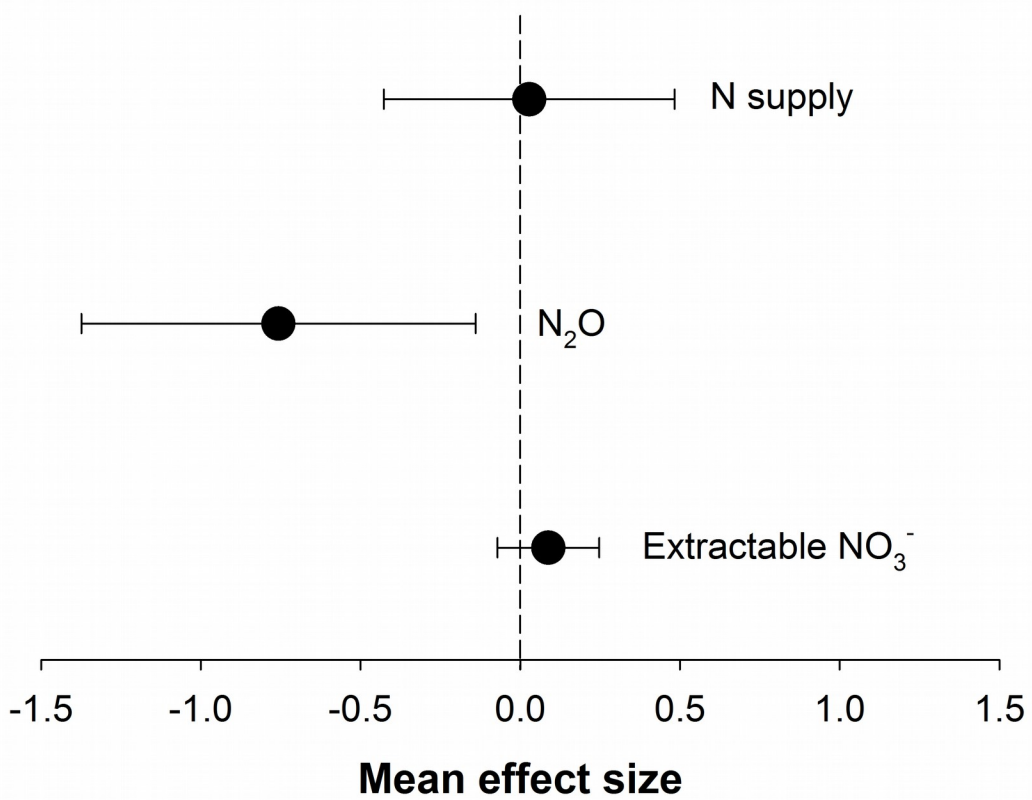
721Fig. 2



722

723Fig. 2. Natural logarithm of the response ratio (LnR) of soil microbial biomass as a function of  
724precipitation reduction (% of mean annual precipitation;  $\text{LnR} = -0.009 \times (\% \text{ precipitation}$   
725reduction) + 0.4021;  $P = 0.0002$ ; intercept  $P = 0.005$ ). Symbols represent LnR of individual  
726studies  $\pm$  the variance of LnR. The gray square is the grand mean effect size across all studies  $\pm$   
72795% confidence interval.

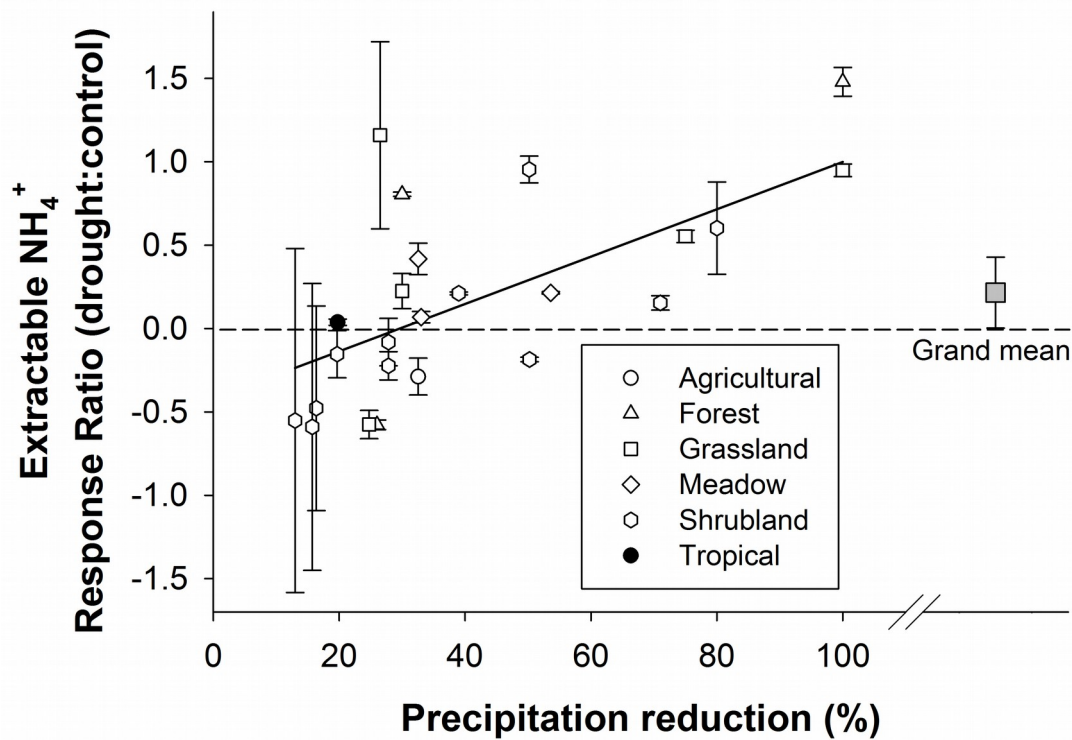
728Fig. 3



729,

730Fig. 3. Mean effect size  $\pm$  95% confidence intervals for the effects of precipitation reduction on  
731N supply (Hedge's  $d$ ), N<sub>2</sub>O emissions (Hedge's  $d$ ), and extractable NO<sub>3</sub><sup>-</sup> (LnR). LnR = natural  
732logarithm of the response ratio. N supply represents the combined response of net, gross,  
733anaerobic, and potential N mineralization to reduced precipitation (see section 2.2).

734 Fig. 4



735

736 Fig. 4. Natural logarithm of the response ratio (LnR) of soil extractable NH<sub>4</sub><sup>+</sup> as a function of  
737 precipitation reduction (% of mean annual precipitation;  $\text{LnR} = 0.0142 \times (\% \text{ precipitation}$   
738  $\text{reduction}) - 0.4208$ ;  $P = 0.0003$ ; intercept  $P = 0.03$ ). Symbols represent the LnR of individual  
739 studies  $\pm$  the variance of the LnR. The gray square is the grand mean effect size across all studies  
740  $\pm$  95% confidence interval.