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The significance of the leaf surface during drought: insight into function of stomata and leaf hair

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# UNIVERSITY OF CALIFORNIA

Los Angeles

The significance of the leaf surface during drought: insight into function of stomata and leaf hair

A dissertation submitted in partial satisfaction of the

requirements for the degree Doctor of Philosophy

in Biology

by

**Christian Henry** 

2022

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## ABSTRACT OF THE DISSERTATION

The significance of the leaf surface during drought: insight into function of stomata and leaf hair

by

Christian Henry Doctor of Philosophy in Biology University of California, Los Angeles, 2022 Professor Lawren Sack

The leaf surface is the locus of a multitude of physiological processes important in determining plant performance including responses to the environment. In my dissertation research, I identified specific adaptive and functional roles and constraints on stomata and trichomes. My approach utilized physiological experiments on diverse species native to California with potential applications toward understanding and improving plant drought tolerance. First, I clarified the mechanistic basis for leaf level drought response and the regulatory factors by which a stomatal safety-efficiency trade-off arises across diverse woody angiosperms. Second, I quantified foliar water uptake across diverse pairs of native California tree, shrub, and vine species with contrasting trichome densities adapted to a wide range of aridity. Lastly, I tested whether trichome presence was associated with differences in light-saturated gas exchange, water use efficiency, and climatic variables across diverse California species. This work highlighted underlying factors influencing water relations and gas exchange, including constraints on stomatal function and the key role of leaf trichomes, providing a clearer picture of the importance of these structures in species evolution and ecology.

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The dissertation of Christian Henry is approved.

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2022

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# 4. VITA

# EDUCATION

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# **PEER-REVIEWED PUBLICATIONS**

**Henry, C.** *et al.* A stomatal safety-efficiency trade-off constrains responses to leaf dehydration. *Nature Communications* **10**, 3398, doi:10.1038/s41467-019-11006-1 (2019).

John, G. P., **Henry, C.** & Sack, L. Leaf rehydration capacity: associations with other indices of drought tolerance and environment. *Plant, Cell & Environment* **41**, 2638-2653 (2018).

# 1. Chapter 1: Introduction

Stomata are pores in the leaf epidermis, each consisting of two guard cells, which enable CO<sub>2</sub> uptake and resulting in loss of water vapor. Species differ strongly in their stomatal responses to leaf dehydration. Leaf hairs (trichomes) also play important roles in plant function, including reflecting light, taking up water and/or slowing transpiration via a thicker boundary layer. The multiple functions of leaf trichomes can explain several contradictory trends observed across floras. For example, leaf trichome density tends to be higher for species adapted to drought stress, and yet many species of wet places also bear trichomes<sup>1</sup>. To date, there are no physiological studies highlighting comparative differences between species with and without trichomes across genera.

In **chapter 2** of my thesis, "The control of stomata by leaf water status: trade-off between safety and efficiency" was published in *Nature Communications*<sup>2</sup>. I found a novel relationship between maximum stomatal conductance ( $g_{max}$ ), which is a major determinant of maximum rates of plant gas exchange, and the leaf water potential at stomatal closure ( $\Psi_{gs50}$ ), which contributes to sensitivity to drought. I identified this relationship among 15 native California species, and by re-analyzing global data for species worldwide. Further, I found evidence that this trade-off could be driven by multiple underlying mechanisms, including variation in stomatal size and density, and/or solute concentrations within the leaf cells and/or a trade-off between photosynthetic productivity and protection from dehydration stress during drought, and might arise as part of a broader life history trade-off.

**Chapter 3** focuses on how leaf surface properties and structure affect water loss and thus leaf dehydration stress. Several species of plants bearing trichomes have been previously shown

to take up water through their trichomes. However, the quantitative benefit of leaf trichomes in water uptake has not been tested for any species, or whether they influence the uptake of water through stomata. To test the functional role of pubescence in leaf level rehydration mechanics, I measured the leaf surface water uptake conductance ( $K_{surf}$ ) in pairs of species in six native California genera, including species with and without leaf trichomes, all growing in a greenhouse common garden in a fog chamber. Using high resolution (micron-scale) images of the trichomes taken by micro-computed tomography, I analyzed leaf trichome traits (trichome density, height, diameter, branching, and tapering).

**Chapter 4** explores the role of leaf trichomes in light saturated gas exchange and climate association. Leaves bearing pubescence can absorb less light, and develop a thicker boundary layer, allowing them to maintain optimal temperature and water relations, including a higher water use efficiency. I tested this hypothesis in species in six native California genera, including species with and without leaf trichomes, measuring gas exchange and integrated water use efficiency with stable isotopes. I found evidence for an adaptive coordination of leaf hairs and high gas exchange rates in species adapted to greater aridity, enabling these species to achieve higher rates of gas exchange to mitigate shorter growing periods with available moisture. This work contributes to a clearer understanding of the importance of small-scale structures in defining species' ecology and environmental adaptation.

# **1.1 References**

Berry, Z. C., Emery, N. C., Gotsch, S. G. & Goldsmith, G. R. Foliar water uptake: Processes, pathways, and integration into plant water budgets. *Plant, Cell & Environment* **42**, 410-423, doi:<u>https://doi.org/10.1111/pce.13439</u> (2019).

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# 2. Chapter 2 : A stomatal safety-efficiency trade-off constrains responses to leaf dehydration

# 2.1 Introduction

Stomata exert major influences on plant and ecosystem productivity and drought tolerance <sup>1-3</sup>. Across the diversity of plant species, leaves with a greater area of open stomatal pores have higher stomatal conductance ( $g_s$ ), and typically, greater rates of photosynthetic CO<sub>2</sub> assimilation and of transpiratory water loss<sup>4-6</sup>. However, plants must maintain their hydration within narrow limits, and a high  $g_s$  and transpiration rate drive declines in water potential throughout the plant<sup>7</sup> which would cause mesophyll damage and xylem embolism during drought<sup>8</sup>. Plants thus close stomata in response to decreasing leaf water potential ( $\Psi_{leaf}$ ). The decline of  $g_s$  (i.e., stomatal closure) with decreasing  $\Psi_{leaf}$  is important among the complex of internal and external factors that determine overall stomatal responses, including root-derived signals, ambient irradiance and CO<sub>2</sub> <sup>9-11</sup> and influences the dynamics of gas exchange and productivity and drought tolerance across plant species<sup>1,12-15</sup>.

One potentially general constraint on the response of  $g_s$  to  $\Psi_{\text{leaf}}$  would be a trade-off between high maximum stomatal conductance ( $g_{\text{max}}$ ) in hydrated leaves and greater sensitivity to closure during dehydration, i.e., a higher  $\Psi_{\text{leaf}}$  at 50% loss of stomatal conductance ( $\Psi_{gs50}$ ). Such trade-offs between "safety" and "efficiency", or, similar in logic, between "stress tolerance" and "potential growth" are common in plant and animal biology <sup>16,17</sup> and industrial systems<sup>18</sup>. A wellknown hypothesis in whole plant physiology is a constraint on internal water transport known as the hydraulic safety-efficiency trade-off: an association across species between high values for the maximum stem or leaf hydraulic conductivity and a greater sensitivity to decline during dehydration<sup>19-21</sup>. Hydraulic safety-efficiency trade-offs are often strong within lineages of closelyrelated species, significant though weak across the sampled diversity of species globally<sup>19,20</sup>, and may contribute to adaptation to habitat and climate<sup>22,23</sup>. The evolution of an analogous  $g_{max}$ -  $\Psi_{gs50}$  trade-off would be expected based on multiple, nonexclusive rationales from stomatal biomechanics, hydraulic design, and life history theory (Fig. 1A-1D).

First, a  $g_{max}$ -  $\Psi_{gs50}$  trade-off might arise mechanistically according to variation in stomatal size and density (Fig. 1A). Smaller, denser stomata are associated with higher  $g_{max}$ , both by contributing to a greater anatomical maximum stomatal conductance ( $g_{max,anatomy}$ ) and to a greater stomatal opening ratio during gas exchange ( $g_{max ratio} = g_{max} / g_{max,anatomy}$ )<sup>5,24,25</sup>. Further, smaller stomata have a greater surface area to volume ratio, facilitating ion exchange and thus stronger and faster movements in response to changing irradiance and leaf hydration status<sup>26-28</sup>.

Additionally, a  $g_{max^-} \Psi_{gs50}$  trade-off might arise due to variation in solute concentrations within leaf cells (Fig. 1B). For stomata to open, guard cells must accumulate solutes from the apoplast, driving water uptake to build sufficient hydrostatic pressure to inflate against the surrounding pressure of the epidermal pavement cells<sup>27,29</sup>. Opening to a higher  $g_{max}$  may thus be mechanically facilitated, requiring less guard cell ion uptake, when epidermal pavement cells have lower osmotic concentration and lower turgor pressure at full hydration<sup>27,29</sup>, which tissue-scale studies have shown would be associated with a higher bulk leaf osmotic potential at full turgor ( $\pi_o$ )<sup>30</sup>. A higher  $\pi_o$  would also cause greater stomatal sensitivity to closure under drought, as it corresponds to a higher turgor loss point ( $\pi_{tp}$ ), i.e., greater sensitivity to wilting, and stomatal closure is a typical wilting response<sup>12,31-34</sup>.

A  $g_{max}$  -  $\Psi_{gs50}$  trade-off may also arise as a leaf economic or life history trade-off (Fig. 1C). Theoretical and empirical analyses support trade-offs across species among traits that confer benefits for resource acquisition and those that confer stress tolerance<sup>35,36</sup>. Species adapted to high resource supplies tend to allocate less to leaf structural protection, resulting in lower leaf mass per area (LMA) and higher rates of photosynthesis per unit leaf mass, at the cost of stronger photosynthetic declines under resource scarcity, and shorter leaf lifetimes. By contrast, species adapted to low resource supplies tend to invest in structural protection and higher LMA at the expense of photosynthetic machinery, and to maintain leaves longer into periods of scarcity and to achieve longer leaf lifetimes<sup>36,37</sup>. A  $g_{max}$  -  $\Psi_{gs50}$  trade-off would be consistent with leaf economic and life history trade-offs, such as between maximum photosynthetic rate under well-watered conditions, and sensitivity to photosynthetic decline during drought<sup>36</sup>, given that  $g_{max}$  is a key determinant of maximum photosynthetic rate<sup>6</sup>, and  $\Psi_{gs50}$  of the ability to maintain photosynthesis during drought<sup>1</sup>.

Our fourth and final hypothesis was that a  $g_{max}$ -  $\Psi_{gs50}$  trade-off may balance photosynthetic productivity against protection from dehydration stress under atmospheric or soil drought (Fig. 1D). A higher  $g_{max}$  would facilitate rapid photosynthetic rates in moist soil, but would also result in greater transpiration rates and steeper declines in water potential throughout the plant, which under drought would increase the danger of xylem embolism<sup>1</sup>. Species with higher  $g_{max}$  thus would require greater sensitivity to closure to avoid dehydration stress during soil and/or atmospheric drought. The  $g_{max}$ -  $\Psi_{gs50}$  trade-off would enable plants to maintain high photosynthetic rates under high water availability, yet minimize dehydration stress during drought.

We report on the demonstration of  $g_{max}$ -  $\Psi_{gs50}$  trade-off and its mechanistic basis in a controlled experiment on 15 California tree and shrub species, and in analyses of a unique compiled database of previous studies of stomatal responses for diverse species (Fig. 2; Supplementary Data 1-8).

# 2.2 Methods and Material

Plant species and growth conditions

We selected 15 morphologically and ecologically diverse tree and shrub species native to California semi-desert, chaparral, coastal scrub and woodlands (Supplementary Data 2). Plants were cultivated in a greenhouse common garden at the UCLA Plant Growth Center from August 2012 to April 2016<sup>55</sup>. Nine individual seedlings of each species were acquired in 3.8 L pots (Tree of Life Nursery; San Juan Capistrano, CA), and randomized within each of nine blocks containing one individual of each species spread across four greenhouse benches in two greenhouse rooms. Plants were acclimated 12-18 months prior to initial measurements to establish similar external conditions across individuals and species, and to ensure canopies of mature leaves. Plants were carefully monitored for root expansion and repotted when roots filled the pots. Given the species variation in natural history, phenology and growth rate, 19- to 38-L pots were used, as appropriate for each species to minimize stress and accommodate species of different sizes and intrinsic growth rates<sup>56</sup>. Potting soil (18.75% washed plaster sand, 18.75% sandy loam, 37.5% grower grade peat moss, 12.5% horticultural grade perlite, 12.5% coarse vermiculite; Therm-O-Rock West, Inc., Chandler, AZ) was autoclaved prior to use. Plants were irrigated every second day with 200-250 ppm 20:20:20 NPK fertilizer. Daily irradiance ranged up to 1400 µmol m<sup>-2</sup> s<sup>-1</sup> (LI-250 light meter; LI-COR Biosciences, Lincoln, NE, USA), while mean minimum, mean and maximum values for temperature were 22.1°C, 23.9°C, and 25.2°C and relative humidity were 47.3%, 60.1%, and 72.8% over the course of our experiments (HOBO Micro Station with Smart Sensors; Onset, Bourne, MA, USA).

Prior to experiments, plants were drought-hardened by watering to field capacity then suspending watering until visible wilting was observed in the morning. A single drought-hardening cycle was used to enable the standardized comparison of plants that had acclimated to strong leaf dehydration, with the recognition that multiple drought cycles may further modify stomatal responses<sup>50</sup>. The initial hardening drought was 1-3 weeks depending on species, and  $\Psi_{\text{leaf}}$  was

measured at mid-day for leaves of three to six individuals per species; species means ranged from -1.1 to -4.3 MPa (Plant Moisture Stress pressure chamber model 1000; PMS Instrument Co., Albany, OR, USA).

#### Response of stomatal conductance to leaf dehydration

The response of  $g_s$  to dehydration was determined using a refinement of previously used methods applied to excised shoots<sup>15,45</sup>. Three individual plants of each species were selected that had the largest numbers of healthy leaves. Plant shoots with 3-15 leaves (range in length 30-60 cm) were excised from three individual plants per species and rehydrated overnight with cut ends in deionized water and covered with plastic. Mature leaves were sampled from the most recent flushes. At the beginning of the experiment, a water-filled bag was sealed to the cut end of each shoot to maintain full hydration and shoots were acclimated for at least 30 min under high irradiance (>1000 µmol m<sup>-2</sup> s<sup>-1</sup>; LI-250 light meter; LI-COR Biosciences, Lincoln, NE, USA) and held in frames adaxial side up with fishing line and small pieces of tape at leaf margins on top of a fan. Stomatal conductance was measured on the abaxial surface of given leaves using a porometer (AP-4, Delta-T Devices Ltd, Cambridge, United Kingdom) after which leaves were excised with a razor blade, placed in bags and allowed to equilibrate for at least 30 min before leaf water potential ( $\Psi_{leaf}$ ) was determined (Plant Moisture Stress pressure chamber model 1000; PMS Instrument Co., Albany, OR, USA). A single porometer measurement was taken once stable repeated values were achieved for each leaf before harvesting that leaf for  $\Psi_{\text{leaf}}$  measurement. Porometry measurements were taken on leaves at intervals ranging from 2 to 60 min as shoots dried, aiming for a range of leaf dehydration. Measurements were made with the bags still attached to the cut ends of shoots, to assess relatively well-hydrated transpiring leaves. Then, the shoot ending with its attached bag was excised using a razor

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blade, and subsequent measurements were made on remaining leaves as they dehydrated to stomatal closure. We aimed to collect points between maximum opening and full closure across the range of leaf water potentials. All measurements were taken from 0800 to 1400h. Lab temperature and relative humidity ranged 22.8  $\pm$  0.08°C and 38.5  $\pm$  0.50%. Notably, our study focused on standardized and controlled measurements in stomatal responses in excised shoots, and their mechanisms. Indeed, most previous studies of stomatal responses to leaf dehydration have focused on excised shoots as tests on a number of species have suggested good agreement with responses measured during the photosynthetic period for whole plants experiencing drought, e.g., refs<sup>15,31,45,57,58</sup>. Yet, some uncertainties remain about scaling shoot scale responses to whole-plants during drought, due to additional influences (e.g., root signals in drying soil)<sup>59</sup>. To further test the potential generality of the trade-off at whole plants subjected to drought (see "*Literature compilation for testing of generality of trade-off across species*").

#### Fitting stomatal responses to leaf water potential

Curves were fitted for the response of stomatal conductance ( $g_s$ ) to declining leaf water potential ( $\Psi_{\text{leaf}}$ ), such that the range of stomatal response characterized was relative to the minimum stomatal conductance. Thus, before curve fitting, the mean minimum epidermal conductance ( $g_{\text{min}}$ ) for each species, determined for the same experimental plants, was subtracted from each  $g_s$  measurement. For curve-fitting, the datasets for each species were analyzed in two ways. First, all data points were considered for each species' response of  $g_s$  to  $\Psi_{\text{leaf}}$  ("all data"). In these responses a number of well-hydrated leaves had closed stomata ("squeeze points"; Supplementary Figure 4); this closure may represent the effect of the mechanical advantage of

epidermal pavement cells or subsidiary cells against guard cells in turgid leaves<sup>29,45</sup>. Further, some leaves showed stomata open when strongly dehydrated beyond the point at which stomata had typically shut, potentially representing re-opening in leaves that lost stomatal control after the epidermis became flaccid<sup>60</sup> ("re-opening points"; Supplementary Figure 4). Thus using all data, the responses were not statistically significant for four species. To address these issues, a second dataset ("refined dataset") was generated excluding the squeeze points, i.e., leaves with  $g_s$  (after subtracting  $g_{min}$ ) <50 mol m<sup>-2</sup> s<sup>-1</sup> at  $\Psi_{leat}$ > -0.5 MPa, and the re-opening points, i.e.,  $g_s$  > 50 mol m<sup>-2</sup> s<sup>-1</sup> at  $\Psi_{leaf}$  > -2.0 MPa; or after the bulk of leaves showed complete stomatal closure. These points constituted 1-14 of 14-77 points per species (3-17%; 8% on average) The responses fitted to this second dataset showed higher goodness of fit ( $R^2$  values), and P < 0.05 for all species but *Cercocarpus betuloides* (P = 0.07; Supplementary Data 4). The parameters calculated from the "all data" and "refined" datasets were highly correlated, and consistent in their ahistorical and evolutionary correlations with other variables (see "*Statistics*" below; Supplementary Data 5 and 6). Thus, the parameters of responses using all data are described in the main text and Figures, with the results from both analyses provided in the supplement (Supplementary Data 2).

For each species, we determined the functional response of  $g_s$  to  $\Psi_{\text{leaf}}$  using maximum likelihood to select among four functions<sup>61</sup>: linear ( $g_s = a \Psi_{\text{leaf}} + g_{\text{max}}$ ); sigmoidal  $\left[g_s = \frac{a}{1+e^{-(\frac{\Psi_{\text{leaf}}}{b})}}\right]$ ; logistic  $\left\{g_s = a/\left[1+(\frac{\Psi_{\text{leaf}}}{x_0})^b\right]\right\}$ ; and exponential ( $g_s = g_{\text{max}} + ae^{-b\Psi_{\text{leaf}}}$ ). Curves were fitted using the *optim* function in R.2.9.2 (http://www.r-project.org<sup>62</sup>; our scripts are available on request). The function with the lowest Akaike Information Criterion, corrected for low *n* (AICc) was selected for each data set, with differences >2 considered as meaningful <sup>62</sup>. From the equations for the selected model, we determined the maximum  $g_s$  for hydrated leaves ( $g_{\text{max}}$ ), i.e., the  $g_s$  extrapolated to  $\Psi_{\text{leaf}} = 0$  MPa, and the  $\Psi_{\text{leaf}}$  corresponding to decline of  $g_s$  by 20, 50, and

80% ( $\Psi_{gs20}$ ,  $\Psi_{gs50}$ , and  $\Psi_{gs80}$ ). We considered various forms of presenting  $g_{max}$ , and used the extrapolated theoretical parameter following previous studies<sup>32,45</sup>. This extrapolated  $g_{max}$ , like other theoretical physiological variables, such as maximum leaf hydraulic conductance, or photosynthetic parameters including the maximum rate of carboxylation ( $V_{cmax}$ ), cannot be reached in practice but is useful for generating and testing hypotheses concerning mechanisms or association with other traits<sup>63</sup>. Practically, an extrapolated  $g_{max}$  was preferred over averaging  $g_s$  values above a threshold  $\Psi_{leaf}$ , as species differed in the exact  $\Psi_{leaf}$  at which  $g_s$  was measured at initial states of dehydration, when  $g_s$  declines steeply in many species. To check that the trade-off did not arise only when using  $g_{max}$  determined by extrapolation to  $\Psi_{leaf} = 0$  MPa, for the 15 California species we re-analyzed the trend, using  $g_s$  estimated from the selected  $g_s$  versus  $\Psi_{leaf}$  functions at  $\Psi_{leaf} = -0.1$  MPa, and calculated  $\Psi_{gs50}$  as the  $\Psi_{leaf}$  at which  $g_s$  declined by 50%; we found a similar  $g_{max} - \Psi_{gs50}$  trade-off (phylogenetic least squares regression r = 0.61; P = 0.015; Supplementary Figure 5). In several species,  $g_s$  begins to decline strongly by  $\Psi_{leaf} = -0.1$  MPa, precluding testing for a safety-efficiency trade-off using lower values of  $\Psi_{leaf}$  to estimate " $g_{max}$ ".

# Compilation and analysis of previous literature

Data were collected from previous studies that reported the responses of  $g_s$  to leaf water potential  $(\Psi_{\text{leaf}})$ , based on searches of Web of Science and Google using search terms "leaf water potential" and "dehydration" or "desiccation". We compiled all studies that included the response of stomatal conductance to  $\Psi_{\text{leaf}}$  for two or more species or varieties of a given species, including studies of excised branches, or of potted plants or trees in the field measured for  $g_s$  and  $\Psi_{\text{leaf}}$  during progressive drought. We included data for responses that included measurements at  $\Psi_{\text{leaf}} >-0.5$  MPa and decline of  $g_s$  to 20% of  $g_{\text{max}}$ . We found 9 studies of diverse sets of species or varieties, measured with different techniques, and growing conditions (n = 2-16; Supplementary Data 2),

virtually all of angiosperms, though including one study of two varieties of a fern species<sup>64</sup>. We extracted data points from published figures using ImageJ software version 1.42q. We fitted curves using the same methods as for our experimental plants, though without subtracting  $g_{min}$  as data were not generally available for the species in the compiled studies.

#### Stomatal anatomy

We measured stomatal traits on one leaf from each of three individuals per species. After rehydration, we fixed the leaves in formalin acetic acid (FAA; 48% ethanol: 10% formalin: 5% glacial acetic acid: 37% water). We visualized stomata using nail varnish impressions at the center of the top, middle, and bottom third of the leaf, halfway between the margin and midrib, for the abaxial and adaxial leaf surfaces, using light microscopy. For each image we calculated total stomatal density (*d*) by dividing the number of stomata in the image by the area of the image after subtracting those areas including any blurriness. We calculated mean stomatal areal size (*s*) and width (*W*), and guard cell and stomatal pore lengths (*L* and *p*) for the abaxial surface based on measurements of four stomata selected as nearest to the center of each quadrant of each image. For three species, *Ceanothus spinosus*, *Encelia farinosa* and *Platanus racemosa*, dense trichomes prevented measurement of stomatal traits. We estimated the theoretical anatomical maximum stomatal conductance ( $g_{max, anatomy}$ ;<sup>4,63</sup>):

$$g_{\max,\text{anatomy}} = \frac{bmds}{s^{0.5}} \tag{1}$$

In which *b* is a biophysical constant given as  $b = \frac{D}{v}$ , where *D* represents the diffusivity of water in air (2.82×10<sup>-5</sup> m<sup>2</sup> s<sup>-1</sup>) and *v* is the molar volume of air (2.24×10<sup>-2</sup> m<sup>3</sup> mol<sup>-1</sup>); *m* is a factor based on the proportionality of stomatal dimensions ( $m = \frac{\pi c^2}{j^{0.5}(4hj+\pi)}$ ), with c = p/L and j = W/L. As data were not available for stomatal pore depth, a constant value of 0.5 was assumed for the ratio of

stomatal pore depth to width, *h* for the estimation of  $g_{max,anatomy}^{4,5}$ . We estimated the stomatal opening ratio as  $g_{max}/g_{max,anatomy}$  ( $g_{max\,ratio}$ , equivalent to the "*a* ratio" in ref <sup>5</sup>).

#### Pressure-volume curves and leaf structure

Measurements were made of pressure volume curves and of leaf structure, i.e., leaf dry mass per unit area (LMA), for the study plants<sup>55</sup>. For 6-9 plants per species, 5-6 leaves were measured for leaf water potential and leaf mass during dehydration and from the plotted pressure-volume curves, we determined water potential at full turgor ( $\pi_0$ ) and turgor loss point ( $\pi_{tlp}$ )<sup>59</sup>. For two species with very small leaves and fragile petioles, *Ceanothus spinosus* and *Encelia californica,* pressure-volume curves were not constructed.

# Modeling the $g_{max}$ - $\Psi_{gs50}$ trade-off at plant scale

To test the hypothesis that a  $g_{max}$ -  $\Psi_{gs50}$  trade-off would benefit plant hydraulic design, we used a modeling approach to simulate the consequences for gas exchange and tissue dehydration stresses of variation in  $g_{max}$  and  $\Psi_{gs50}$ , and of a trade-off among these variables<sup>38,61</sup>. We implemented a plant hydraulic-stomatal-photosynthetic model based on Darcy's law, assuming steady-state flow, which simultaneously resolves bulk water potentials ( $\Psi$ ) and hydraulic conductance (K) for each plant organ, given inputs of soil water potential ( $\Psi_{soil}$ ) and vapor pressure deficit (*VPD*) and parameters for the response of the hydraulic conductance of whole root, whole stem, and leaf, and of leaf stomatal conductance to water potential within the respective organ. In the model, the volumetric flux of water into each plant component (F) is calculated as:

$$F_{\text{leaf}} = \int_{\Psi_{\text{leaf}}}^{\Psi_{\text{stem}}} K_{\text{leaf}}(\Psi) d\Psi - g_{\text{s}}(\Psi_{\text{leaf}}) VPD$$
(2)

$$F_{\text{stem}} = \int_{\Psi_{\text{stem}}}^{\Psi_{\text{root}}} K_{\text{stem}} (\Psi) d\Psi - \int_{\Psi_{\text{leaf}}}^{\Psi_{\text{stem}}} K_{\text{leaf}} (\Psi) d\Psi$$
(3)

$$F_{\text{root}} = \int_{\Psi_{\text{root}}}^{\Psi_{\text{soil}}} K_{\text{root}} (\Psi) d\Psi - \int_{\Psi_{\text{stem}}}^{\Psi_{\text{root}}} K_{\text{stem}} (\Psi) d\Psi$$
(4)

where stomatal conductance is assumed to decline exponentially with  $\Psi_{\text{leaf}}$ . We used an exponential decay function in the modeling, because that response was the most frequently selected by maximum likelihood across species when testing the four functions, i.e., for 7 of 15 species (Supplementary Data 4). In these model simulations, all was kept equal other than  $g_{\text{max}}$  and  $\Psi_{\text{gs50}}$ , including the shape of the response of  $g_{\text{s}}$  to  $\Psi_{\text{leaf}}$ , to assess the consequences of the trade-off; the findings of this modeling exercise would be qualitatively similar using another common stomatal response function. Water transport through the hydraulic system was represented with the Kirchoff transform (i.e.,  $\int_{\Psi_{\text{leaf}}}^{\Psi_{\text{stem}}} K_{\text{leaf}}(\Psi) d\Psi$ ) to account for the non-linearity of the relationship between hydraulic conductance (*K*) and water potential ( $\Psi$ )<sup>65</sup>. *K* for each organ was assumed to decline with water potential following a sigmoidal response

$$K = \frac{K_{\max}}{1 + e^{\alpha(\Psi - \Psi_{50})}}$$
(5)

where  $K_{max}$  is the maximum conductance of the plant component,  $\Psi_{50}$  is the water potential inducing a 50% decline in conductance, and  $\alpha$  is a shape parameter. Because water transport is assumed to be at steady-state, the flux into each component (*F*) is equal to 0. The water potentials of the leaf, stem, and root that satisfied this assumption for given environmental conditions (i.e., vapor pressure deficits and soil water potentials) were then solved using the *fsolve* function in MATLAB (R2016b). Stomatal conductance was then calculated from the  $g_s$  versus  $\Psi_{leaf}$  curves. Photosynthesis ( $A_{max}$ ) was calculated from  $g_s$  using the equations from the Farquhar model and photosynthetic parameters collected from the literature for *Quercus ilex*, which was selected to represent a typical Mediterranean species<sup>66,67</sup>. Photosynthetic rate was assumed to be lightsaturated (i.e, photosynthetically active radiation = 1500  $\mu$ mol m<sup>-2</sup> s<sup>-1</sup>), and thus limited only by carboxylation. To derive an expression for *A* as a function of  $g_s$ , Fick's law of diffusion

$$A = u(c_a - c_i) \tag{6}$$

where *u* is the stomatal conductance to  $CO_2$  ( $g_s/1600$ , units: mol m<sup>-2</sup> s<sup>-1</sup>),  $c_a$  is the atmospheric  $CO_2$  concentration (400 ppm), and  $c_i$  is the intercellular  $CO_2$  concentration (units: ppm), was substituted into the Farguhar equation for carboxylation-limited photosynthesis

$$A = V_{\rm cmax} \frac{c_{\rm i} - \Gamma_*}{c_{\rm i} + K_{\rm m}} \tag{7}$$

where  $V_{cmax}$  is the maximum rate of carboxylation (29.1 µmol m<sup>-2</sup> s<sup>-1</sup>),  $K_m$  is the Rubisco affinity for CO<sub>2</sub> and O<sub>2</sub> (550 ppm), and  $\Gamma_*$  is the CO<sub>2</sub> compensation point in the absence of dark respiration (40 µmol m<sup>-2</sup> s<sup>-1</sup>). This equation was rearranged to produce the expression

$$A = a + bu - \sqrt{b^2 u^2 + cu + a^2}$$
(8)

where these coefficients are equal to

$$a = 0.5(V_{\rm cmax} - R) \tag{9}$$

$$b = 0.5(c_{\rm a} + K_{\rm M}) \tag{10}$$

$$c = 0.5 (R(c_{a} + K_{M}) + V_{cmax}(K_{M} - c_{a} + 2\Gamma^{*}))$$
(11)

and *R* is the leaf respiration rate (1  $\mu$ mol m<sup>-2</sup> s<sup>-1</sup>). The photosynthetic parameters for *Q. ilex* were interpolated from *A*/*C*i curves measured at a constant leaf temperature (30°C), and leaf temperature was assumed to be constant in the simulations.

We parameterized the model using simulated  $g_s$  versus  $\Psi_{\text{leaf}}$  responses based on every combination of  $g_{\text{max}}$  values ranging from 100 to 400 mmol m<sup>-2</sup> s<sup>-1</sup> in increments of 10 mmol m<sup>-2</sup> s<sup>-1</sup> <sup>1</sup> and  $\Psi_{gs50}$  values ranging from -0.2 MPa to -3 MPa in increments of 0.2 MPa (Supplementary Data 8). As we did not have data for the response of the stem, root, or stomata to dehydration for juveniles of these species, and because we wished to isolate the putative benefit of the  $g_{\text{max}}$ -  $\Psi_{gs50}$  trade-off, all else being equal, we set all the other parameters of the model at typical values, with leaf, stem and root hydraulic conductance declining with water potential as a sigmoidal response, with leaf area-normalized maximum hydraulic conductances of leaf, stem and root systems of 10, 20 and 10 mmol m<sup>-2</sup> s<sup>-1</sup> MPa<sup>-1</sup>, respectively, and water potentials at 50% loss of hydraulic conductance of -1, -2 and -1 MPa respectively. The simulations were performed given soil water potential ( $\Psi_{soil}$ ) of 0 MPa, i.e., high water availability, and -1.2 MPa, i.e., soil drought, under vapor pressure deficit of 1 kPa (0.01 mol mol<sup>-1</sup>). Then, we determined the  $g_s$ , A, and leaf and stem water potentials.

#### Statistics

We analyzed both ahistorical trait correlations and evolutionary correlations among variables to assess both putative physically based mechanisms as well as evolutionary shifts. We present results of evolutionary correlations in the text, except where specified, and all results in the Supplementary Materials. For ahistorical correlations we used R statistical software to determine Pearson coefficients for untransformed and log- transformed data, to model relationships as either linear or non-linear, i.e., approximately power law<sup>68</sup>. For plotting trait-trait correlations, we used standard major axes to emphasize the structural relationship between two potentially independent variables similar in measurement error (using SMATR<sup>69,70</sup>). A test was made for whether the trade-off, i.e., the  $g_{max}$  vs  $\Psi_{gs50}$  relationship, varied in slope and/or intercept across studies, for the four studies with  $\geq 6$  species (i.e., sufficient species for this test), using log-transformed data, i.e., approximating a power law relationship, given the nonlinearity of the relationships (using SMATR).

For evolutionary correlations, we applied a phylogenetic generalized least-squares (PGLS) approach to both untransformed and log- transformed data, using a previously published megatree<sup>71</sup> pruned using the software Phylomatic v3<sup>72</sup>. For analyses based on traits for which

values were missing for given species (i.e., for the two species missing  $\pi_o$  and  $\pi_{tp}$  values, and the three missing stomatal measurements), trees were pruned for the remaining species. For  $\pi_{tp}$  and  $\pi_o$ , which are negative numbers, the values were multiplied by -1. PGLS were calculated using the caper package in R (version 3.4.4)<sup>68</sup> using models of Brownian motion, Pagel's lambda, and Ornstein–Uhlenbeck (OU), and the best fit model was selected using the Akaike Information Criterion<sup>73,74</sup>. Reported *r*-values are for the Pagel's lambda model when the Pagel's and OU models were equally good fits (difference in AIC score < 2).

#### 2.3 Results

Across the California species,  $g_{max}$  varied by 7-fold, and  $\Psi_{leaf}$  at 20%, 50% and 80% stomatal closure ( $\Psi_{gs20}$ ,  $\Psi_{gs50}$  and  $\Psi_{gs80}$ ) by 2.1, 2.6 and 3.1 MPa respectively (stomatal parameters determined from fitted curves; Fig. 2A; Supplementary Figure 1; Supplementary Data 1, 3 and 4). Across these 15 species, a higher  $g_{max}$  was correlated with higher values of  $\Psi_{gs20}$ ,  $\Psi_{gs50}$  and  $\Psi_{gs80}$  (Fig. 2A; Supplementary Data 5 and 6). Likewise, our analyses of the data from nine previous studies of stomatal responses in datasets on diverse species of mainly woody angiosperms (Supplementary Data 2) showed in each case an empirical tendency for species with high  $g_{max}$  to have higher values of  $\Psi_{gs20}$ ,  $\Psi_{gs50}$ , and  $\Psi_{gs80}$  (Fig. 2B-J; Supplementary Data 7). Five of the six studies that tested  $\geq$  5 species showed a significant  $g_{max}$ -  $\Psi_{gs50}$  trade-off (Fig. 2A-F), with the slope of the relationship varying significantly across studies (Supplementary Data 2).

We tested four putative mechanisms for the  $g_{max}$ -  $\Psi_{gs50}$  trade-off in the California species, and found support for each (Figs 3 and 4). First, we tested whether the  $g_{max}$ -  $\Psi_{gs50}$  trade-off might arise mechanistically according to variation in stomatal size and density (Fig. 1A). The California species varied strongly in stomatal density, size,  $g_{max,anatomy}$ , and  $g_{max ratio}$  (Supplementary Data 1). As hypothesized, leaves with smaller stomata had greater  $g_{max}$  and higher values of  $\Psi_{gs50}$  and  $\Psi_{gs80}$ , and these were associated with higher  $g_{max ratio}$ , rather than with higher  $g_{max,anatomy}$  (Fig. 3A and 3B; Supplementary Figure 2; Supplementary Data 5 and 6).

Second, we tested whether the  $g_{max}$ -  $\Psi_{gs50}$  trade-off might arise due to variation in solute concentrations within leaf cells (Fig. 1B). The California woody species varied strongly in  $\pi_o$  and  $\pi_{ttp}$  (Supplementary Data 1), and all species began closing stomata with dehydration well before  $\pi_{ttp}$ , at which point many had reduced stomatal conductance by >80% (Supplementary Figure 1). Species with lower  $\pi_o$  had lower  $g_{max}$  and species with lower  $\pi_o$  and  $\pi_{ttp}$  had lower  $\Psi_{gs50}$  and  $\Psi_{gs80}$ values (Fig. 3C and D; Supplementary Data 5 and 6).

Third, we tested whether the  $g_{max}$  -  $\Psi_{gs50}$  trade-off might arise as a leaf economic or life history trade-off linked with leaf mass per unit area (LMA; Fig. 1C). Across the California species,  $g_{max}$  and  $\Psi_{gs50}$  were both negatively related to *LMA* (Fig. 3E and F; Supplementary Data 5 and 6).

Finally, we tested whether a  $g_{max}$ -  $\Psi_{gs50}$  trade-off may balance photosynthetic productivity against protection from dehydration stress under atmospheric or soil drought (Fig. 1D). Using a plant hydraulic-stomatal-photosynthetic model<sup>21,38</sup>, we simulated species with typical physiological parameters and differing in only their  $g_s$  versus  $\Psi_{leaf}$  responses, i.e., in their  $g_{max}$  and  $\Psi_{gs50}$  values, and calculated their light-saturated photosynthetic rates (*A*), and leaf and stem water potentials under high water availability, i.e., soil water potential ( $\Psi_{soil}$ ) of 0 MPa, and soil drought, i.e.,  $\Psi_{soil}$  of -1.2 MPa. Species with high  $g_{max}$  had higher *A* irrespective of  $\Psi_{soil}$  (Fig. 4A; Supplementary Figure 3A and D), but showed steep declines in both leaf and stem water potentials under low  $\Psi_{soil}$  (Fig. 4B; Supplementary Figure 3B, C, E and F). Conversely, species with sensitive stomatal closure (i.e., high  $\Psi_{gs50}$ ) showed lower *A* under high water availability due to partial stomatal closure during transpiration, but substantially less leaf and stem dehydration stress under drought (Fig. 4B; Supplementary Figure 3). The  $g_{max}$ - $\Psi_{gs50}$  trade-off would thus enable the California species to avoid low *A* under high water availability as well as hydraulic damage during drought (Figs 4 and Supplementary Figure 3).

## 2.4 Discussion

A strong generality was observed for the  $g_{max}$ -  $\Psi_{gs50}$  trade-off across woody angiosperm species. Further study is required to test for this trade-off across a yet broader phylogenetic sample of angiosperms, such as herbs, including grasses, and other major lineages, such as ferns and gymnosperms, which also close stomata in response to declining  $\Psi_{leaf}$ , but differ in aspects of stomatal control physiology<sup>39,40</sup>. In the single study amenable to re-analysis of the response of  $g_s$ to  $\Psi_{leaf}$  for a fern species, the comparison of two varieties was consistent with the trade-off (Fig. 2J).

We found support for multiple mechanisms as putative causes of the  $g_{max}$ -  $\Psi_{gs50}$  tradeoff<sup>20,38</sup>. Thus, the trade-off could be explained by species with smaller, denser stomata also having more sensitive closure. Indeed, the more sensitive stomatal closure of species with small stomata is consistent with their tendency to respond more quickly and/or strongly to transitions in light, water status and vapor pressure deficit, conferring greater tolerance of a variety of stresses<sup>25,26,28,40</sup>. The trade-off could also be explained by species with lower bulk leaf osmotic potentials having lower  $g_{max}$  and also less sensitive closure. While the contribution of low  $\pi_0$  and  $\pi_{tip}$  to the maintenance of gas exchange during drought has been well recognized<sup>12</sup>, our findings indicate a major cost, explaining why these traits are not universal, as the association of low  $\pi_0$ with low  $g_{max}$  would restrict gas exchange under high water availability. The trade-off was also consistent with life history theory, given that low LMA species with higher  $g_{max}$  would be expected to show greater stress sensitivity. Finally, the trade-off is consistent with theory that stomatal conductance and its dynamics evolved to enable maximum water use and therefore photosynthetic productivity while reducing risk of hydraulic failure<sup>1,8,20</sup>. The mechanisms proposed would be interactive and mutually reinforcing, though our results do not exclude further mechanisms for the trade-off, including a role for the hormone abscisic acid which is involved in stomatal behavior<sup>41</sup>.

The  $g_{max}$ -  $\Psi_{gs50}$  trade-off provides an important constraint on stomatal behavior in response to water supply. Stomatal behavior in response to soil and atmospheric water supply has often been considered according to a dichotomy or continuum from "isohydric" to "anisohydric" behaviors. Isohydric plants maintain high organ water potentials by closing stomata early during a drought, whereas anisohydric plants tolerate low water potentials, and maintain open stomata for prolonged photosynthesis<sup>11</sup>. However, recent work has shown that these useful categories can be difficult to define in a uniform way, especially as stomatal regulation alone does not explain leaf water potential maintenance, which depends on other internal and external variables such as hydraulic conductances throughout the plant, and soil water potential<sup>21,42,43</sup>. Further, the role of these categories in predicting species' drought tolerance or water use has been questioned<sup>43</sup>. Several have suggested the consideration of alternative approaches for considering species' overall variation in water relations. One alternative recommendation is to consider species on the basis of the response of  $g_s$  to  $\Psi_{leaf}^{14}$ . Indeed, focusing on this response provides insights into processes at a range of scales—the response is directly related to leaf-scale physiology, and easily applied as a component of models to predict plant and ecosystem scale water use<sup>14,44</sup>. A  $g_{\text{max}}$ -  $\Psi_{\text{gs50}}$  trade-off that constrained variation in the response of  $g_{\text{s}}$  to  $\Psi_{\text{leaf}}$  across species would greatly simplify the consideration of this relationship across species within and across communities and ecosystems. Thus, according to the  $g_{max}$  -  $\Psi_{gs50}$  trade-off, many species may be expected to fall along a continuum from high  $g_{max}$  - high  $\Psi_{qs50}$  to low  $g_{max}$  - low  $\Psi_{qs50}$ . Notably, as for other functional trait trade-offs, outliers may be expected, as an important minority of individual

species would be expected to depart from this trade-off by evolving independent variation in either trait<sup>48</sup>.

The  $g_{max}$ -  $\Psi_{gs50}$  trade-off would likely constrain stomatal behavior in a wide range of natural environments, especially during drought. Among stomatal responses to environmental factors, a quantitatively important role of closure in response to low  $\Psi_{leaf}$  in the overall regulation of stomatal conductance is supported by studies of species' stomatal responses to multiple environmental factors individually and in combination<sup>10,45</sup>, by studies partitioning the role of  $\Psi_{leaf}$  in determining  $g_s$  diurnally and during periods of growth<sup>46,47</sup> and in studies of the role of the response of  $g_s$  to  $\Psi_{leaf}$  in predicting ecosystem water use<sup>44</sup>. Notably, the multiple dimensions of stomatal sensitivity to leaf water status present exciting avenues for further research. Species vary not only in the  $\Psi_{leaf}$  in response to changes in water status and multiple other factors<sup>9,25,40,48</sup>. Further studies are needed, for example, to determine whether there is an analogous trade-off between  $g_{max}$  and the speed of closure during a given level of dehydration.

Future studies are also needed of variation in the response of  $g_s$  to  $\Psi_{\text{leaf}}$  within and across individuals of a given species, as this response can show strong plasticity. Thus,  $g_{\text{max}}$  and  $\Psi_{gs50}$ can change with growing conditions, leaf age, and the degree and duration of water stress regime<sup>11,49,50</sup>. Further research should examine the possibility that the trade-off would apply for given species during progressive or repeated droughts, as would happen if  $g_{\text{max}}$  and  $\Psi_{gs50}$  both decline after a drought event due to plasticity<sup>51</sup>.

The  $g_{max}$ -  $\Psi_{gs50}$  trade-off has potential implications for ecosystem-level processes. The trade-off would potentially influence species' distributions along gradients of evapotranspirational demand, as previously shown for safety-efficiency trade-offs in hydraulic conductance<sup>15</sup>. Further, the trade-off may scale up to influencing the water use of whole plants and ecosystems. While

multiple factors can decouple water use at plant scale from the response of  $g_s$  to  $\Psi_{\text{leaf}}$ , e.g., water storage capacitance, allocation to leaf area relative to sapwood area, and allocation to below versus above-ground biomass<sup>44</sup>, measured and modelled plant and ecosystem water use show strong dependency on the  $g_s$  of the component species<sup>52,53</sup>. Indeed, the response of  $g_s$  to  $\Psi_{\text{leaf}}$  is fundamental in models for predicting water fluxes of individual plants and ecoregions especially under drought<sup>13,20,38,44,54</sup>. Given its generality, the  $g_{\text{max}}$ -  $\Psi_{gs50}$  trade-off therefore would have potential applications for prediction of plant water use at a range of scales.

# 2.5 Figures

Figure 2.1: Hypothesized rationales for a stomatal safety-efficiency trade-off.

(A) Stomatal size and density: leaves with smaller, denser stomata (left) have higher maximum stomatal conductance  $(q_{max})$  and stomata more sensitive to closure during drought (i.e., higher  $\Psi_{qs50}$ , indicated by thinner red lines) than leaves with larger, less dense stomata (right); (B) Osmotic concentration: leaves with weaker osmotic potentials at full turgor and turgor loss (left) are associated with higher  $g_{max}$  and higher  $\Psi_{as50}$  than leaves with stronger osmotic potentials (right); (C) Leaf economics and life history trade-off. species selected for greater resource acquisitiveness, and with lower leaf mass per area (LMA; top row) would have higher  $q_{max}$  and photosynthetic rate under high water supply (left column), and more sensitive stomatal closure under low water supply (right column) than species with high LMA (bottom row), which have lower  $q_{max}$  and photosynthetic rate under high water supply, and can better maintain stomatal conductance and photosynthetic rate under low water supply; (D) Plant hydraulic design: under high water supply (left column), species with high  $g_{max}$  have higher photosynthetic rate than species with low  $g_{max}$  and both maintain leaf turgor and xylem water column continuity; under low water supply (right column), species with high  $g_{max}$  must show sensitive stomatal closure (i.e., higher  $\Psi_{gs50}$ ) and therefore strong reduction of photosynthetic rate to avoid leaf damage and xylem embolism (right column, top two schematics), whereas species with low  $g_{max}$  can maintain stomatal conductance and photosynthetic rate under low water availability (right column, lowest schematic).



#### Figure 2.2: The generality of the stomatal safety-efficiency trade-off.

Relationship of maximum stomatal conductance ( $g_{max}$ ) and sensitivity to stomatal closure ( $\Psi_{gs50}$ ) for (A) 15 California species grown in a common garden design for this study (r = 0.69; P = 0.005; phylogenetic least squares regression), and in analyses of previous studies of stomatal responses in excised leaves or dehydrating plants of diverse species, measured with different techniques, and under different growing conditions (Pearson correlations): (B) 16 diverse angiosperm species (r = 0.50; P = 0.05)<sup>75</sup>,(C) ten Chinese *Ficus* species<sup>32</sup> (r = 0.82; P = 0.003), (D) five European tree species<sup>33</sup> (r = 0.79; P = 0.03), (E) five tree species<sup>76</sup> (r = 0.95; P = 0.009), (F) eight tree species of Costa Rican dry forest<sup>77</sup> (r = 0.55; P > 0.05), (G) four woody species<sup>45</sup>, (H) two *Vitis vinifera* cultivars<sup>78</sup>, (I) two *Vaccinium* species of subalpine Austria<sup>79</sup> and (J) two varieties of a fern species<sup>64</sup>. The  $g_{max}$  and  $\Psi_{gs50}$  values were derived from fitted curves (Supplementary Figure 1). Lines are standard major axes for log-transformed data, i.e., for power law fits. Different scales were used in the panels to highlight the generality of the trend across studies of species diverse in stomatal responses to leaf water status. Source data are provided as a Source Data file.



Figure 2.3: Testing hypothesized rationales for the stomatal safety-efficiency trade-off.

Relationships of maximum stomatal conductance ( $g_{max}$ ) and the leaf water potential at 50% stomatal closure ( $\Psi_{gs50}$ ) with (A) and (B) stomatal size (s; n = 12 species for which data were available); (C) and (D) osmotic potential at full turgor ( $\pi_0$ ; n = 13 species for which data were available); and (E) and (F) leaf mass per area (LMA; n = 15 species). Lines are standard major axes for untransformed or log-transformed data, i.e., for linear or power law fits, depending on which showed a stronger fit. Phylogenetic least squares regression r values for panels (A)-(F) respectively were -0.56, 0.61, -0.82, 0.73, -0.61, and 0.56 (P= 0.0009-0.04). The  $g_{max}$  and  $\Psi_{gs50}$  values were derived from fitted curves (Supplementary Figure 1). Source data are provided as a Source Data file.


Figure 2.4: Testing for a benefit in plant hydraulic design of a stomatal efficiency-safety trade-off.

(A) contour plot shows modelled light saturated photosynthetic rate (*A*; units µmol m<sup>-2</sup> s<sup>-1</sup>) for simulated species with combinations of maximum stomatal conductance ( $g_{max}$ ) and sensitivity to stomatal closure ( $\Psi_{gs50}$ ) under high water availability, i.e., soil water ( $\Psi_{soil}$ ) of 0 MPa; species with higher  $g_{max}$  had higher *A* whereas species with sensitive stomatal closure, i.e., higher (lower numerical values of)  $\Psi_{gs50}$  had reduced *A*; (B) modelled stem water potential ( $\Psi_{stem}$ ; units: MPa) for these simulated species under low water availability, i.e.,  $\Psi_{soil}$  of -1.2 MPa; species with higher  $g_{max}$  had steeper declines in  $\Psi_{stem}$ , whereas species with higher (lower numerical values of)  $\Psi_{gs50}$  were protected from dehydration stress. The  $g_{max}$  vs  $\Psi_{gs50}$  trade-off for the 15 California species positioned these species in the optimal zone, with high enough  $g_{max}$  to achieve moderate to high values of *A* under high water availability, and sensitive enough stomatal closure (i.e., low enough numerical values of  $\Psi_{gs50}$ ) to avoid hydraulic damage during drought. See Methods for model description and parameterization, and Supplementary Figure 3 for additional simulations, including of impacts on leaf water potential. Source data are provided as a Source Data file.



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# 3. Chapter 3: Foliar water uptake: relationship to leaf structure in native California species

## 3.1 Introduction

Leaf traits have important influences on the adaptation of species to climate and ecological niches, and are thus associated with species' distributions and their ongoing responses to climate change. Yet, while a rich literature has focused on leaf traits that influence economics of gas exchange and leaf longevity<sup>1,2</sup>, the mechanistic basis for other critical physiological leaf traits are still in discovery phase. Plant foliar surface water uptake (FWU) has been reported in a wide diversity of plants<sup>3</sup>, but its relationship to cuticular and lamina tissue properties have not been elucidated. Previous studies have shown that foliar water uptake is slow yet substantial over long periods, and thus rehydration of leaves may occur at night, during fog or rainfall events, and may enable rapid photosynthetic activity early in the day, or transiently when air is humid especially when soil is dry. Thus, FWU has potentially strong adaptive value under a wide range of environmental conditions, from arid or high salinity systems to fog-, rain- and cloud forests dominated by species with high hydraulic sensitivity, to Mediterranean climate species<sup>3</sup>. We aimed to determine the potential for the association of FWU with leaf structural traits, including trichomes, across a diverse species set, and potentially with species' native climate. The quantification of foliar water uptake as a transport efficiency can be accomplished through measurement of its hydraulic conductance ( $K_{surf}$ ). We measured  $K_{surf}$  for leaves in fog chambers for 12 species grown in a greenhouse common garden (Table 1), comprised by paired species with contrasting trichome abundances from six California woody genera with diverse leaves and adapted to a wide range of aridity in their native habitat.

 $K_{surf}$  can be measured using the time constant for water uptake based on initial and final leaf water potentials, and the leaf capacitance<sup>4,5</sup>. Previous studies have reported a decline in  $K_{surf}$ 

with time after initial exposure to surface moisture<sup>3-5</sup>. We refined the calculation of  $K_{surf}$  to account for the effect that, as the leaf rehydrates, the capacitance would decline, due to the dilution of solutes, and thus we derived an improved estimate accounting for declining capacitance (Appendix 1).

We further analyzed the causality of  $K_{surf}$  by considering the first constraint to surface rehydration of the leaf, i.e., vapor uptake into the dehydrated leaf through the stomata, or liquid water across the cuticularized epidermis. Recent studies have attributed  $K_{surf}$  to stomatal vapor uptake<sup>4,6-8</sup> based on experiments showing  $K_{surf}$  was modulated by hormones that influence stomatal aperture<sup>4</sup>. Yet, liquid movement across cuticle in highly humid environments has also been proposed<sup>9-12</sup>. We thus tested whether  $K_{surf}$  could be accounted for by vapor uptake through closed stomata by comparing values with minimum epidermal conductance in dehydrated leaves  $(g_{min})$ , and for operating stomatal conductance in light saturated leaves  $(g_{op})$ .

We additionally hypothesized that  $K_{surf}$  would depend on trichome number and size. Leaf trichomes are found on 30% of vascular land plant species<sup>13</sup>, tend to be correlated with drought stress and elevation<sup>14,15,16,17</sup>, and play many roles affecting water use, light absorption and temperature, and thereby photosynthetic efficiency, transpiration rates and leaf water status, as well as vulnerability to herbivores. and herbivore deterrence<sup>18,19,20,21,22,23,24,12,25-31</sup>. Several recent studies have suggested that leaf hairs may play multiple, and opposite causal influences on  $K_{surf}$ . These studies have suggested that multicellular (living) hair showing improved water uptake, whereas species possessing unicellular (dead) hair reducing water uptake due to a hydrophobic surface above the leaf lamina<sup>32,33</sup>. Yet, studies applied different approaches and the association of  $K_{surf}$  with leaf hairs has not been assessed quantitatively across multiple species. We thus tested the association of  $K_{surf}$  with trichome density and size, and the proportion of living to dead trichomes, as well as with derived traits, including trichome surface area and volume per leaf area.

We also tested whether  $K_{surf}$  would be related to leaf structural and pressure-volume parameters. We hypothesized that  $K_{surf}$  would be related to leaf capacitance, and traits that contribute to capacitance per leaf area including leaf thickness and leaf mass per area. Such an association would be expected if leaves with high water storage would benefit from higher  $K_{surf}$ , to restore stored water; in that case,  $K_{surf}$  would be related to leaf thickness, and potentially leaf mass per area and leaf water mass per area. We also tested and other pressure-volume parameters, such as turgor loss point. If leaf osmotic potential is a driving force for  $K_{surf}$  water uptake, then we would expect a higher  $K_{surf}$  to correspond to a more negative osmotic potential, within and across species. We also tested the hypothesis that species with high light-saturated photosynthetic gas exchange, and associated traits such as nitrogen per mass ( $N_{mass}$ ), percent nitrogen per area ( $N_{area}$ ), Chlorophyll per area ( $Chl_{area}$ ), Chlorophyll per mass ( $Chl_{mass}$ ), Chlorophyll: nitrogen ratio (Chl/N), and carbon isotopic composition ( $\partial$  <sup>13</sup>C) would be associated with high  $K_{surf}$ . This would be the case if  $K_{surf}$  might play a role in enabling species with high gas exchange rates to recover after rainfall, and thus contribute to their ability to "avoid" drought with rapid gas exchange after short rainfall events.

Finally, we tested whether  $K_{surf}$  varied across species in association with climatic aridity and/or drought tolerance traits hypothesizing that species adapted to water stress would have greater foliar water uptake to benefit from atmospheric recharge as an alternative to root rehydration, especially those species with greater water storage, or dependency for survival on stored water.

## 3.2 Results

The dynamics of leaf surface hydraulic conductance ( $K_{surf}$ )

Across all species,  $K_{surf}$  as calculated with a constant capacitance was highly correlated with  $K_{surf}$  accounting for the shift in capacitance with rehydration (for 1h, 8h and 24h, r = 0.85-0.95 for  $K_{surf}$ , vs  $K_{surf}$ ; P < 0.001; Tables S1, S2, S3 and S10); Thus, data reported in the main text are corrected for the capacitance shift ( $K_{surf}$ ), with the noncorrected values and their correlations presented in the supplement (Tables S1 and S2). For all species,  $K_{surf}$ , declined with time (Fig. 1), comparing the first hour, the first 8 hours and 24 hours (Fig. 2; Tables S1, S2 and S9). Across species,  $K_{surf}$  was correlated when considered for the first 1h, 8h and 24 h (Table S10; r = 0.66-0.85 and P = <0.001 to 0.05 for relationships between  $K_{surf,1h}$ ,  $K_{surf,8h}$  and  $K_{surf,24h}$ , and  $K_{surf,1h}$ ,  $K_{surf,8h}$  and  $K_{surf,24h}$ )

We found strong variation in  $\mathcal{K}_{surf,1h}$  across the 12 species of native California trees, shrubs and vines (Fig. 1; Tables S1 and S9), including strong variation within and among genera.  $\mathcal{K}_{surf,1h}$ ranged from 0.03 mmol m-<sup>2</sup> MPa<sup>-1</sup> s<sup>-1</sup> for *Vitis girdiana* to 0.52 mmol m-<sup>2</sup> MPa<sup>-1</sup> s<sup>-1</sup> for *Salvia apiana*; at 8h ranged from 0.01 mmol m-<sup>2</sup> MPa<sup>-1</sup> s<sup>-1</sup> for *Vitis girdiana* to 0.1 mmol m-<sup>2</sup> MPa<sup>-1</sup> s<sup>-1</sup> for *Clematis lasiantha* and at 24h ranged from 0.008 mmol m-<sup>2</sup> MPa<sup>-1</sup> s<sup>-1</sup> for *Vitis girdiana* to 0.07 mmol m-<sup>2</sup> MPa<sup>-1</sup> s<sup>-1</sup> for *Clematis lasiantha*.

Variation across species in  $K_{surf}$  independent of  $g_{min}$  and  $g_{op}$ , and cannot be explained by stomatal vapor uptake

Across species,  $K_{surf,1h}$  and  $K_{surf,24h}$  was correlated with  $g_{min}$  and  $K_{surf,1h}$  was correlated with  $g_{op}$ , and  $K_{surf}$  at all times was higher than both (Fig 2; Table S10).  $K_{surf,1h}$ , when considered in units of "stomatal conductance" (i.e., mmol m<sup>-2</sup> s<sup>-1</sup>), by considering the driving force for transpiration, was across species 135-285-fold higher than  $g_{min}$  (on average 211-fold) and 2914-3592-fold (on average, 3253-fold) greater than  $g_{op}$ , i.e., the stomatal conductance of well-illuminated leaves (Fig. 2c).

## Variation among species in leaf water relations, structure and gas exchange

We found pronounced variation in many leaf traits across and/or within the six genera (Tables S1 and S9). Considering pressure volume and other water relations traits, we found 5-fold variation among species in SWC, 3-fold in  $\pi_0$ , 2-fold in  $\pi_{tlp}$ , 15% difference in RWC<sub>tlp</sub>, 24% difference in RWC<sub>tlp\_sym</sub>, 3-fold in elastic modulus, 8-fold in mod<sub>sym</sub>, 2-fold in C<sub>tlp</sub>, 3-fold in C<sub>tlp\_sym</sub>, 4-fold in C<sub>ft\_abs</sub>, 4-fold in *g<sub>min</sub>*, and 4-fold in t60\_t.

Species also varied strongly in leaf morphology. Species varied 161-fold in LA, 2-fold in LT, 8-fold in LMA, 3-fold in SWMA (Tables S1 and S9).

Species also varied strongly in gas exchange, with 8-fold variation among species in  $g_{op}$ , and 3-fold variation in  $A_{max}$ . (Tables S1 and S9).

Species varied strongly in leaf trichome traits (Figs 3-7; Tables S1 and S9). Species varied 937to 960-fold in TD<sub>ad</sub> and *TD<sub>ab</sub>*; 440-fold in TL<sub>ad</sub> and 21-fold in TL<sub>ab</sub>; 28- to 40-fold in Td<sub>tip\_ad</sub>, Td<sub>base\_ad</sub>, and Td<sub>central\_ad</sub>; 2- to 11-fold in Td<sub>tip\_ab</sub>, Td\_base\_ab, and Td\_central\_ab; 9-fold in CSA<sub>central\_ad</sub>, and 47-fold variation among species in CSA<sub>central\_ab</sub>; 200,629-fold in *TV<sub>ad</sub>* and 13-fold in TV<sub>ab</sub>; 3089fold in TBALA, 12155-fold in TCALA, 11615-fold in TSALA, 8711-fold in TVLA, 13-fold variation among species in TBA, 16-fold variation among species in TSA.

#### Testing the association of $K_{suf,1h}$ with leaf water relations and structural traits

We found that  $K_{surf,1h}$  was related to leaf capacitance, which was one of the determinants in its calculation (Tables S2 and S10). Thus,  $K_{surf,1h}$  was positively related to CFT<sub>abs</sub> (*r*=0.61; *P*=0.003) and C<sub>ttp</sub> (*r*=0.48; *P*=0.01).

For three genera (*Clematis, Encelia, Salvia*), the species with higher TD had higher  $\mathcal{K}_{surf}$ 1h, whereas for two genera (*Arctostaphylos* and *Vitis*), the species with lower TD had higher

 $K_{surf}$ 1h (Fig. 1; Table S1). Two genera (*Clematis* and *Encelia*) had higher  $K_{surf}$ 8h for the species with higher TD, and three genera (*Arctostaphylos, Salvia,* and *Vitis*) had lower  $K_{surf}$ 8h for species with higher TD. Three genera (*Clematis, Encelia, Salvia*) had higher  $K_{surf}$ 24h for the species with higher TD, and two genera (*Arctostaphylos* and *Vitis*) had lower  $K_{surf}$ 24h for species with higher TD, and two genera (*Arctostaphylos* and *Vitis*) had lower  $K_{surf}$ 24h for species with higher TD.

Across species,  $K_{surf,1h}$  was related to leaf trichome density (Table S11). Thus,  $K_{surf,1h}$  was positively correlated with TD<sub>ab</sub> (r=0.79; P=0.0002), TD<sub>ad</sub> (r=0.72; P=.001), and TD<sub>tot</sub> (r=0.77; P=0.0004; Figure 8A).  $K_{surf,1h}$  was also related to stomatal traits. Thus,  $K_{surf,1h}$  was positively related to SD<sub>ab</sub> (r=.45; P=0.02), SD<sub>ad</sub> (r=0.43; P=0.03) and SD<sub>tot</sub> (r=0.56; P=0.01; Figure 8B)

## Testing the association of $K_{surf,1h}$ with leaf gas exchange and nutrient composition

We found that  $K_{surf,1h}$  was related to leaf gas exchange (Table S10). Thus,  $K'_{surf,1h}$  was positively related to  $A_{max}$  (r =0.50; P =0.01) and  $g_{op}$  (r =0.63; P =0.03)

#### Testing the association of K<sub>suff</sub> with climate

 $K_{surf}$  values were independent of species' native climate (Table S10). No correlations were observed between  $K_{surf}$ ,  $K_{surf}$  at 1h or 8h or 24h with any of the tested climatic variables.

## 3.3 Discussion

We found strong foliar water uptake in the diverse 12 species of native California trees, shrubs and vines within six genera. The rates of foliar water uptake were within the range of those of previous studies<sup>3-7,12,26,51,52</sup>. Further our analyses showed that foliar water uptake declined with time, due to a decline in the hydraulic conductance to foliar water uptake ( $K_{surf}$ ). Our findings demonstrated that  $K_{surf}$  could not be accounted for by vapor uptake through the stomata, in contrast to the hypotheses proposed in previous studies<sup>4,6</sup>. Further, we demonstrated novel linkages of  $K_{surf}$  with leaf structure. We found that  $K_{surf}$  was strong in all species, independent of the climate of species' native distribution.

#### The dynamics of leaf surface hydraulic conductance ( $K_{surf}$ )

Our analyses enabled a novel determination of  $K_{surf}$ , accounting for the shift in capacitance that would occur as leaves dehydrate ( $K_{surf}$ ). We found that across all species,  $K_{surf}$  as calculated with a constant capacitance was highly correlated with  $K_{surf}$ .  $K_{surf}$  declined with time (Fig. 1), comparing the first hour, the first 8 hours and 24hours. This decline of  $K_{surf}$  with time has been reported in previous studies<sup>4,6,53</sup>. The decline of  $K_{surf}$  with time implies a shift in the properties of water uptake, potentially implicating either a chance in the uptake properties of cuticle and/or permeability of cell membranes in rehydrating cells, which may arise due to changes in aquaporin expression or activity<sup>54</sup>.

## Variation across species in K<sub>surf</sub> cannot be explained by stomatal vapor uptake

Across species,  $K_{surf,1h}$  was statistically associated with both  $g_{min}$  and  $g_{op}$ , and was substantially higher than both (Fig 2). Our analysis of  $K_{surf}$ , considered in units of "stomatal conductance" (i.e., mmol m<sup>-2</sup> s<sup>-1</sup>), in comparison with operating stomatal conductance is the first to our knowledge and showed a substantially higher uptake conductance than was found under illuminated leaves during the day. Thus, given that stomata would have been closed in the dark, humid conditions, we find that  $K_{surf}$  could not be accounted for by stomatal vapor uptake. Given that stomatal architecture prevents liquid water uptake, the high  $K_{surf}$  values measured must depend on liquid uptake through the cuticle. Our conclusion is at odds with previous studies that concluded that  $K_{surf}$  was principally determined by vapor uptake through stomata, based on studies that showed that  $K_{surf}$  was sensitive to hormones that would modulate stomatal aperture, such as abscisic acid, which closes stomata, and fusicoccin, which opens stomata<sup>4,6</sup>. Yet, that study estimated  $K_{surf}$ based on a capacitance value from a pressure-volume curve on leaves that were not treated with these substances; previous work shows that leaf capacitance would also be responsive to these compounds<sup>55</sup>, which would have explained the apparent responses of  $K_{surf}$ ; thus, those studies do not conclusively show that  $K_{surf}$  is responsive to stomatal aperture changes. Indeed, the uptake of water across the cuticle is consistent with studies that show that cuticular conductance increases dramatically with humidity<sup>9</sup>.

#### Linkage of $\mathcal{K}_{surf,1h}$ with leaf structural, water relations and epidermal traits

 $\kappa_{surf,1h}$  differed across species, and between species with more and fewer trichomes within genera. Notably, in three genera the higher  $\kappa_{surf,1h}$  was found for the species with more trichomes and in three genera the lower  $\kappa_{surf,1h}$  for the species with more trichomes. This finding indicates that in certain species trichomes may increase  $\kappa_{surf,1h}$ , yet, in other species, however, trichomes may reduce  $\kappa_{surf,1h}$ . This difference may relate to the hydrophobicity of the trichomes<sup>32</sup>. Notably, across all species,  $\kappa_{surf,1h}$  was related to trichome density, a pattern arising from the two species with highest trichome densities, *Encelia* and *Salvia*, which also had highest  $\kappa_{surf,1h}$ .

## Independence of $K_{suf,1h}$ from the native climate of species' distributions

We found that all species showed substantial  $\mathcal{K}_{surf,1h}$ , and that  $\mathcal{K}_{surf,1h}$  was not related to mean climate variables for species' distributions, despite species having been selected from across an aridity gradient. Yet,  $\mathcal{K}_{surf,1h}$  was related to leaf time to death after stomatal closure, implying that species that depend on stored water to survive dry periods benefit from a high  $\mathcal{K}_{surf,1h}$  to recharge their water storage. This role for  $\mathcal{K}_{surf,1h}$  merits further investigation.

## 3.4 Methods

#### Plant material

Fully developed leaves of 12 species of native California trees and shrubs were used in this study. Species were chosen based on phylogenetic relatedness and their presence of hair. Plants were cultivated in a greenhouse common garden at the UCLA Plant Growth Center from February 2019 to July 2020. 15 individual seedlings of each species were acquired in 1 L pots (Rancho Santa Ana Botanic Garden; Claremont, CA), and randomized within each of 10 blocks containing one individual of each species spread across five greenhouse benches in two greenhouse rooms. Plants were acclimated 12-18 months prior to initial measurements, developing canopies of mature leaves under the similar external conditions. Plants were carefully monitored for root expansion and repotted into 5 gallon pots when roots filled the pots. Potting soil (18.75% washed plaster sand, 18.75% sandy loam, 37.5% grower grade peat moss, 12.5% horticultural grade perlite, 12.5% coarse vermiculite; Therm-O-Rock West, Inc., Chandler, AZ) was autoclaved prior to use. Plants were irrigated every second day with 200-250 ppm 20:20:20 NPK fertilizer. Daily irradiance ranged up to 1400 µmol m<sup>-2</sup> s<sup>-1</sup> (LI-250 light meter; LI-COR Biosciences, Lincoln, NE, USA), while mean minimum, mean and maximum values for temperature, 15.8°C, 19.8°C, 24.5°C; for relative humidity 31.6%, 61.4%, 93.0% over the course of our experiments (HOBO Micro Station with Smart Sensors; Onset, Bourne, MA, USA).

## Leaf rehydration through the epidermal surface

For rehydration kinetics, five branches of each species were collected in the evening, enclosed in a dark bag and transported back to the laboratory to be rehydrated overnight. Excised branches were bench dried over a fan to different water potentials (0.48-2.2 ±.002 MPa) and placed into dark bags with wet paper towels to allow for water potential equilibrium among all

leaves on the branch. Leaf water potential ( $\Psi_{\text{leaf}}$ ) was measured to determine the level hydration using a pressure (Plant Moisture Stress pressure chamber model 1000; PMS Instrument Co., Albany, OR, USA). Prior to starting rehydration experiments, leaves were substantially dried and leaf water potential was later confirmed to be within to be  $\geq 80\%$  of turgor loss point; branches bearing leaves with a less negative water potential than described were allowed to continue drying. Eight leaves per individual per species were selected for measurement on each shoot. Two leaves were measured for initial water potential ( $\Psi_i$ ). These leaves were selected from the top and bottom of the branch. After confirmation of initial leaf water potential, leaves were collected from the middle of the shoot for rehydration. The kinetics of water absorption via the leaf surface was analyzed using a custom "fog chamber"<sup>6</sup>. The chamber was comprised of two stacked polystyrene foam coolers. The bottom cooler was used as a compartment to feed the fog source into the top cooler. The fog source was an ultrasonic humidifier producing room temperature submicron fog droplets. Prior to fog exposure, leaves had their petioles sealed with hot candle wax to prevent further water loss after excision. Leaves were individually suspended in the fog chamber with no contact among leaves. Three leaves were excised from the branch and rehydrated  $\Psi_{\text{leaf}}$  after 1, 8 and 24 hours ( $\Psi_1$ ,  $\Psi_8$  and  $\Psi_{24}$ ). Only one measurement per leaf was made to avoid changes in absorptive properties. Leaves exposed to the fog chamber were patted with napkins at each time point, at least 3 hours after the first time point and at least 10 hours after the second time point to prevent water collecting on the surface. Species of Arctostaphylos and Monardella had leaves too small to be suspended in the fog chamber and were instead rehydrated from wax sealed stem segments with at least 8 leaves.

Following these measurements, the leaf surface area was determined by analyzing the scanned material with ImageJ software (ImageJ 1.45s National Institutes of Health, Bethesda,

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MD, USA). Then, leaves were oven-dried at >70°C for two weeks and the final dry weight was recorded.

## Determination of pressure-volume curves, leaf structure and turgor loss point

We constructed pressure volume curves for an additional set of 12 woody and non-woody species. Mature individuals of each species grown in the plant growth center of the University of California, Los Angeles (minimum, mean and maximum values for temperature, 15.8°C, 19.8°C, 24.5°C; for relative humidity 31.6%, 61.4%, 93.0%; and for irradiance 1.2, 31.4, 1336 µmol photons m<sup>-2</sup> s<sup>-1</sup>). Leaf pressure volume curve parameters were determined using the bench drying method<sup>34</sup>. Shoots with full developed sun leaves from six individuals were harvested in the afternoon of the day prior to measurements and transported to the lab in plastic bags with wet paper towels. From each shoot, two nodes were recut under deionized water, and shoots were rehydrated overnight under plastic. From the plotted curves; we determined osmotic potential at full turgor ( $\pi_o$ ) and water potential at turgor loss point ( $\pi_{tlp}$ ), RWC at turgor loss point (RWC<sub>tlp</sub>), modulus of elasticity ( $\epsilon$ ), capacitance at turgor loss point (C<sub>tb</sub>), leaf area (LA) and capacitance at full turgor (C<sub>tt</sub>). One leaf from each of six individuals were used for measurements. Due to limited availability of individuals, we constructed pressure volume curve parameters for two leaves of three individuals of *Clematis lasiantha*. When "plateau effects" were detected during early dehydration, these measurements were excluded before estimation of pressure-volume parameters<sup>35</sup>.

Leaf structure was measured for 4 leaves on each of 5 individual plants of each species. Leaf area was measured using a flatbed scanner and imageJ. Leaf mass was measured using a digital analytic balance. Leaf thickness was measured using digital calipers. Leaf mass per area was determined as the ratio of leaf mass to area.

## Determination of minimal conductance and leaf drought survival time

We measured minimum epidermal conductance ( $g_{min}$ ) for 6 leaves per individual per species for each species. After rehydrating individual leaves for > 8 hours, we measured saturated leaf mass (± 0.01 mg; MS205DU; Mettler Toledo, OH) and area (flatbed scanner; ImageJ software version 1.42q; National Institutes of Health). Leaves were suspended by their petioles over a fan for 30 minutes to 2 hours to force stomatal closure, and then weighed at 15-minute intervals for at least 2 hours, after which leaf area was measured again. We collected ambient temperature and relative humidity at 30 second intervals using a weather station (HOBO Micro Station with Smart Sensors; Onset, Bourne, MA, USA). After measurements, leaves were oven dried for three days at 70° C and weighed for dry mass, and  $g_{min}$  calculated from the minimum epidermal transpiration rate divided by mole fraction vapor pressure deficit and by the twice the mean projected leaf area<sup>36</sup>.

We estimated leaf survival time due to dehydration after stomatal closure, using the  $g_{min}$  measurements and values for leaf saturated water content (SWC; units g g<sup>-1</sup>) and leaf mass per area (LMA; units g m<sup>-2</sup>). We calculated the time to reach relative water content of 60% ( $t_{60\%}$ ; units h), previously recognized as a threshold for damage or lethality<sup>37-39</sup>.

$$t_{60\%} = \frac{\text{SWC} \times \text{LMA} \times 60\%}{g_{\min} \times 18.0 \times \text{VPD} \times 2} \quad (2)$$

where 18.0 g mol<sup>-1</sup> is the molar mass of water, and water loss was assumed to occur from both leaf faces. A VPD of 2.5 kPa (at atmospheric pressure = 101.3 kPa; mole-fraction = 0.025 mol mol<sup>-1</sup>) was used for calculations, though species comparisons would be robust to other values. The leaf saturated water mass per area (SWMA; units g m<sup>-2</sup>) was calculated as the product of SWC and LMA.

#### Gas exchange measurements

Leaf gas exchange measurements were taken using a Portable Photosynthesis System (LI-COR 6400; LI-COR Inc, Lincoln, NE, USA). For three to five individuals of each species, Measurements of Photosynthetic rate ( $A_{max}$ ), Conductance to H<sub>2</sub>O ( $g_{op}$ ), Transpiration rate (E), Vapor pressure deficit based on Leaf temperature (VPD), Temperature of leaf thermocouple ( $T_{leaf}$ ), Intercellular CO<sup>2</sup> concentration (Ci), and Leaf water potential ( $\Psi$ ) were taken from 10:00-14:00, and averaged for each species. Measurements were taken at saturating light (1,000 µmol/m2/s) after a 10-min acclimation period with the leaf in the chamber at vapor pressure deficit <1 kPa. Intrinsic water use efficiency ( $A_{max}/g_{op}$ ) was determined as the ratio of  $A_{max}$  to  $g_{op}$ .

## Measurement of trichome traits

Leaf hair traits were measured on images of the adaxial and abaxial surfaces one leaf from each of three individuals of each species (except *Monardella macrantha*) from the synchrotron at The Advanced Light Source – Lawrence Berkeley National Laboratory (Beamline 8.3.2). We measured trichome density (TD), trichome length (TL) and the diameters of trichomes at the base (Td<sub>base</sub>), midpoint (Td<sub>central</sub>) and tip (Td<sub>tip</sub>). Trichomes were measured of different types; data were combined in this study. We calculated trichome central cross sectional area (CSA<sub>central</sub>) as  $\pi \times (0.5 \times Td_{central})^2$ . We calculated trichome basal area (TBA) as  $\pi \times (0.5 \times Td_{base})^2$ . We calculated trichome surface area (TSA) by considering the trichome as a conical frustrum<sup>40</sup>, and with volume =  $1/3 \times \pi \times TL \times (0.5 \times Td_{base})^2 + 0.5 \times Td_{base} \times 0.5 \times Td_{tip})^2 + TL^2]^{0.5}$ . We calculated trichome central area per leaf area (TBALA) as TD  $\times TV$ ,

and trichome surface area per leaf area (TSALA) as TD  $\times$  TSA. We also calculated total trichome density (TD<sub>tot</sub>) on abaxial and adaxial surfaces of each leaf.

## Measurements of leaf composition and nutrient analysis

Nutrient data were obtained from 4 leaves each from 5 individual plants of 11 species. Leaves were oven dried at 70°C for at least 72 hours. Liquid nitrogen was then poured over the leaves as they were ground with a mortar and pestle into a fine powder. 3-4mg of material were weighed into tin 4 × 6 capsules and were sent to the Center for Stable Isotope Biogeochemistry at the University of California, Berkeley. Carbon isotope ratio ( $\delta$ 13C) was measured by dual isotope analysis with an Elemental Analyzer interfaced to a mass spectrometer, along with the percent of nitrogen in the sample. Nitrogen per leaf mass (N<sub>mass</sub>; mg g-1) was determined as the mass nitrogen in the sample (mg) per the mass of the sample (g). Nitrogen per leaf area (N<sub>area</sub>; g m<sup>-2</sup>) was determined as N<sub>mass</sub> multiplied by LMA. Chl<sub>area</sub> was measured with a SPAD meter. Chl<sub>mass</sub> was determined as the quotient of Chl<sub>area</sub> and LMA. Chl/N was determined as the quotient of Chl<sub>area</sub> and N<sub>area</sub>.

## Analyses of relationships to climate

Occurrence records were downloaded using the '*rgbif* package<sup>41</sup> and filtered to keep herbarium records since 1950 and remove incomplete (latitude or longitude missing) and duplicated records, non-natural occurrences (e.g., records from botanical gardens or planted urban trees)<sup>41</sup>. We extracted 30 environmental variables from open-access raster layers, relating to air temperature (WorldClim, CRU<sup>42</sup>), precipitation (WorldClim<sup>42</sup>), aridity (CGIAR-CSI, NCAR-UCAR<sup>43</sup>) and soil characteristics (ISRIC Soilgrids<sup>44</sup>) (see Table S1 for all variables). The raster layers with the same resolution were stacked using the stack function from the 'raster' package<sup>45</sup> and the environmental variables for each occurrence record were extracted using the extract function from the '*dismo*' package<sup>46</sup>. Due to their coarse resolution, these environmental variables are effective in characterizing large scale patterns but do not reflect differences in microclimate, i.e., temperature, water and nutrient availability, irradiance and soil composition<sup>47</sup>. The MAT, MAP, AI, and PET data were extracted at each coordinate for each species using ArcMap, and averaged for each species (Table 1).

#### Statistics

Results of analyses of variance for traits measured for 12 native California woody species within six genera. Results are presented for three ANOVAs: (1) repeated-measures ANOVA testing differences in foliar surface hydraulic conductance measured at three times (1, 8 and 24h), testing for variation among species, and among time intervals, and within species and time intervals; (2) nested ANOVA testing differences in traits among genera, and between species within genera, and within (Minitab 21; State College, PA, USA).

To test species' trait-trait and trait-environment relationships while explicitly accounting for species relatedness, we performed phylogenetic generalized least-squares analyses (PGLS<sup>48,49</sup>) using the *pgls* function from the '*caper*' package<sup>50</sup> with lambda ( $\lambda$ ) optimized using maximum likelihood. Analysis of variance were performed for untransformed and log transformed data, to test for either approximately linear or non-linear (i.e., approximate power law) relationships respectively.

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## 3.5 Appendix

## I. Inferring leaf surface conductance from rehydration kinetics, with dynamic capacitance

The rate of increase of total water content ( $W_t$ , mol) during rehydration is the rate of flow into the leaf, F, or

$$\frac{dW_t}{dt} = F = K\Delta\psi = -K\psi, \quad (A1)$$

where *K* is the conductance for rehydration and  $\Psi$  is the water potential;  $\Psi$  is the difference between turgor pressure (*P*) and osmotic pressure ( $\pi$ ):

$$\psi = P - \pi \quad (A2)$$

To a reasonable approximation (Bartlett et al. 2012), *P* can be modeled as a linear function of symplastic relative water content (*r*), between full turgor (*r*=1) and the turgor loss point (*r* = *r*), and at full turgor *P* equals osmotic pressure at full turgor,  $\pi_0$ :

$$P = \pi_o \frac{r - r'}{1 - r'} \,. \tag{A3}$$

Assuming no change in leaf osmotic content, osmotic pressure is  $\pi_0$  divided by symplastic relative water content:

$$\pi = \frac{\pi_o}{r} \,. \qquad (A4)$$

Applying A4 and A3 to A2 gives water potential as

$$\psi = \pi_o \left( \frac{r-r'}{1-r'} - \frac{1}{r} \right).$$
 (A5)

Applying A5 to A1 gives

$$\frac{dW_t}{dt} = -K\pi_o \left(\frac{r-r'}{1-r'} - \frac{1}{r}\right).$$
(A6)

To link  $W_t$  and r, note that r is given by

$$r = \frac{W}{W_o}, \qquad (A7)$$

where *W* is symplastic water content,  $W_0$  is symplastic water content at full turgor, and *W* is related to  $W_t$  as

$$W = W_t - W_a = W_t - a_f W_{to}$$
, (A8)

where  $W_a$  is apoplastic water content, and  $a_f$  is apoplastic fraction of leaf water content at full turgor ( $a_f = W_a/W_{to}$ , where  $W_{to}$  is total leaf water content at full turgor). The rate of change of symplastic water content is thus

$$\frac{dW}{dt} = \frac{dW}{dW_t} \frac{dW_t}{dt} = -K\pi_o \left(\frac{r-r'}{1-r'} - \frac{1}{r}\right).$$
(A9)

Expressed in terms of *r*, this is

$$\frac{dr}{dt} = \frac{dr}{dW}\frac{dW}{dt} = -\frac{K\pi_o}{W_o}\left(\frac{r-r'}{1-r'} - \frac{1}{r}\right).$$
 (A10)

The quantity in parentheses on the right hand side can be written as a ratio of polynomials in r.

$$\frac{dr}{dt} = -\frac{K\pi_o}{W_o} \left( \frac{(r-r')r - (1-r')}{(1-r')r} \right) = -\frac{K\pi_o}{(1-r')W_o} \cdot \left\{ \frac{r^2 - [r']r - [1-r']}{r} \right\}.$$
 (A11)

Note that A11 is correct only above the turgor loss point; below TLP, P = 0, so that

$$\frac{dr}{dt} = -\frac{K\pi_o}{W_o} \left(-\frac{1}{r}\right) = -\frac{K\pi_o}{(1-r')W_o} \left(-\frac{1-r'}{r}\right).$$
 (A12)

Integrating A11 and A12 and assuming K is constant gives

$$-\frac{1}{1-r'}\int_{t=0}^{t=t*} rdr + \int_{t=t*}^{t=t_f} \frac{rdr}{r^2 - r'r - (1-r')} = -\frac{K\pi_o}{(1-r')W_o}\int_{t=0}^{t=t_f} dt , \quad (A13)$$

where  $t_f$  is the time at which final water potential is measured and  $t^*$  is the first time between t = 0 and  $t = t_f$  at which  $\Psi$  is equal to or greater than the TLP; if  $\Psi$  is above the TLP at t = 0, then  $t^* = 0$ , and if y is below the TLP at  $t = t_f$ , then  $t^* = t_f$ . *K* is assumed constant. The integral on the right is simply

$$-\frac{K\pi_o}{(1-r')W_o}t_f.$$
 (A14)

The integral at far left is

$$-\frac{1}{2} \left( \frac{r^{*2} - r_i^2}{1 - r'} \right) , \qquad (A15)$$

where  $r_i$  and  $r^*$  are the values of r at t = 0 and  $t^*$ , respectively. To solve the second integral on the left, complete the square in the denominator by adding and subtracting  $r^2/4$ :

$$\int \frac{rdr}{r^2 - r'r - (1 - r')} = \int \frac{rdr}{r^2 - r'r + \frac{1}{4}r'^2 - \frac{1}{4}r'^2 - (1 - r')}$$
$$= \int \frac{rdr}{\left(r - \frac{1}{2}r'\right)^2 - \sqrt{\frac{1}{4}r'^2 + 1 - r'}} \quad (A16)$$

Substituting u = r - r'/2 and defining the radical as  $z = \sqrt{\frac{1}{4}r'^2 + 1 - r'}$  gives

$$\int \frac{rdr}{\left(r - \frac{1}{2}r'\right)^2 - \sqrt{\frac{1}{4}r'^2 + 1 - r'}^2} = \int \frac{u + \frac{1}{2}r'}{u^2 - z^2} du .$$
 (A17)

The integrand can be decomposed by partial fractions, thus:

$$\frac{u+\frac{1}{2}r'}{u^2-z^2} = \frac{u+\frac{1}{2}r'}{(u-z)(u+z)} = \frac{A}{u-z} + \frac{B}{u+z} = \frac{A(u+z)+B(u-z)}{(u-z)(u+z)}$$
$$= \frac{(A+B)u+(A-B)z}{(u-z)(u+z)}.$$
 (A18)

By inspection, A + B = 1 and A - B = r'/2z, giving B = -r'/4z + 1/2 and A = +r'/4z + 1/2, and making the integral

$$\int \frac{u + \frac{1}{2}r'}{u^2 - z^2} du = \left(\frac{r'}{4z} + \frac{1}{2}\right) \int \frac{du}{u - z} - \left(\frac{r'}{4z} - \frac{1}{2}\right) \int \frac{du}{u + z} , \quad (A19)$$

whose solution (with limits of integration  $u^{t}$  and  $u_{t}$ , corresponding to  $t^{t}$  and  $t_{t}$ , respectively) is

$$\left(\frac{r'}{4z} + \frac{1}{2}\right) \ln \left|\frac{u_f - z}{u^* - z}\right| - \left(\frac{r'}{4z} - \frac{1}{2}\right) \ln \left|\frac{u_f + z}{u^* + z}\right|.$$
 (A20)

Reversing the substitution as r = u + r'/2 and combining with the solutions to the other integrals gives

$$-\frac{1}{2}\left(\frac{r^{*2}-r_i^2}{1-r'}\right) + \left(\frac{r'}{4z} + \frac{1}{2}\right)\ln\left|\frac{r_f - \frac{1}{2}r' - z}{r^* - \frac{1}{2}r' - z}\right| - \left(\frac{r'}{4z} - \frac{1}{2}\right)\ln\left|\frac{r_f - \frac{1}{2}r' + z}{r^* - \frac{1}{2}r' + z}\right|$$
$$= -\frac{K\pi_o}{(1-r')W_o}t_f, \qquad (A21)$$

Note that applying  $\psi=0$  (and r=1) to the solution for r as a function of  $\psi$  (A37 below) gives

$$1 = \frac{1}{2}r'\left(1 + \sqrt{1 + \frac{4(1 - r')}{r'^2}}\right).$$
 (A22)

thus

$$1 - \frac{1}{2}r' = \frac{1}{2}r'\sqrt{1 + \frac{4(1 - r')}{{r'}^2}} = \sqrt{\frac{1}{4}r'^2 + 1 - r'} = z$$
(A23)

Applying z = 1 - r'/2 to A21 eliminates *z* from the solution, giving

$$-\frac{1}{2}\left(\frac{r^{*2}-r_{i}^{2}}{1-r'}\right) + \left(\frac{r'}{4\left(1-\frac{1}{2}r'\right)} + \frac{1}{2}\right)\ln\left|\frac{r_{f}-\frac{1}{2}r'-\left(1-\frac{1}{2}r'\right)}{r^{*}-\frac{1}{2}r'-\left(1-\frac{1}{2}r'\right)}\right| - \left(\frac{r'}{4\left(1-\frac{1}{2}r'\right)} - \frac{1}{2}\right)\ln\left|\frac{r_{f}-\frac{1}{2}r'+\left(1-\frac{1}{2}r'\right)}{r^{*}-\frac{1}{2}r'+\left(1-\frac{1}{2}r'\right)}\right| = -\frac{K\pi_{o}}{(1-r')W_{o}}t_{f}.$$
 (A24)

This can be simplified to

$$-\frac{1}{2}\left(\frac{r^{*2}-r_i^2}{1-r'}\right) + \left(\frac{1}{2-r'}\right)\ln\left|\frac{r_f-1}{r^*-1}\right| + \left(\frac{1-r'}{2-r'}\right)\ln\left|\frac{r_f+1-r'}{r^*+1-r'}\right| = -\frac{K\pi_o}{(1-r')W_o}t_f , \qquad (A25)$$

and finally to

$$-\frac{1}{2}\left(\frac{r^{*2}-r_i^2}{1-r'}\right) + \left(\frac{1}{2-r'}\right)\ln\left|\left(\frac{r_f-1}{r^*-1}\right)\left(\frac{r_f+1-r'}{r^*+1-r'}\right)^{1-r'}\right| = -\frac{K\pi_o}{(1-r')W_o}t_f.$$
 (A26)

Solving for K gives

$$K = \frac{W_o}{2\pi_o t_f} \left( r^{*2} - r_i^2 \right) - \frac{W_o}{\pi_o t_f} \left( \frac{1 - r'}{2 - r'} \right) \ln \left| \left( \frac{r_f - 1}{r^* - 1} \right) \left( \frac{r_f + 1 - r'}{r^* + 1 - r'} \right)^{1 - r'} \right|.$$
 (A27)

An additional simplification is possible, as follows. Note that differentiating A5 gives

$$\frac{d\psi}{dr} = \pi_o \left(\frac{1}{1-r'} + \frac{1}{r^2}\right) = \frac{\pi_o (r^2 + 1 - r')}{(1-r')r^2} \,. \tag{A28}$$

By definition, capacitance  $C \equiv dr/d\psi$ , so *C* is the inverse of A28:

$$C \equiv \frac{dr}{d\psi} = \frac{(1-r')r^2}{\pi_o(r^2 + 1 - r')} \,. \tag{A29}$$

At full turgor, r = 1, so capacitance at full turgor ( $C_{FT}$ ) is

$$C_{FT} = \frac{1}{\pi_o} \left( \frac{1 - r'}{2 - r'} \right).$$
 (A30)

Applying A30 to A27 gives the general solution for K as

$$K = \frac{W_o}{t_f} \left( \frac{r^{*2} - r_i^2}{2\pi_o} - C_{FT} \ln \left| \left( \frac{r_f - 1}{r^* - 1} \right) \left( \frac{r_f + 1 - r'}{r^* + 1 - r'} \right)^{1 - r'} \right| \right).$$
(A31)

For rehydration curves that start at or above the TLP,  $r^* = r_i$ , giving the solution as

$$K = -\frac{W_o C_{FT}}{t_f} \ln \left| \left( \frac{1 - r_f}{1 - r_i} \right) \left( \frac{r_f + 1 - r'}{r_i + 1 - r'} \right)^{1 - r'} \right|.$$
 (A32)

For rehydration curves that start below and end above the TLP,  $r^* = r'$ , giving the solution as

$$K = \frac{W_o}{t_f} \left( \frac{r'^2 - r_i^2}{2\pi_o} - C_{FT} \ln \left| \left( \frac{1 - r_f}{1 - r'} \right) \left( r_f + 1 - r' \right)^{1 - r'} \right| \right).$$
(A33)

For hydration curves that end below the TLP,  $r^* = r_f$ , and the solution is

$$K = \frac{W_o}{t_f} \left( \frac{r_f^2 - r_i^2}{2\pi_o} \right).$$
 (A34)

To apply A31-A34 using values of final and initial water potential during rehydration curves ( $\psi_i$  and  $\psi_i$ , respectively),  $r_f$  and  $r_i$  can be found from  $\psi_i$  and  $\psi_i$  by inverting A5 to give a quadratic in r.

$$0 = r^2 - \left(\frac{\psi}{\pi_o}(1 - r') + r'\right)r - (1 - r'), \quad (A35)$$

whose solution is

$$r = \frac{1}{2} \left( \frac{\psi}{\pi_o} (1 - r') + r' \right) \left( 1 \mp \sqrt{1 + \frac{4(1 - r')}{\left(\frac{\psi}{\pi_o} (1 - r') + r'\right)^2}} \right).$$
(A36)

To identify the correct root, note that r = 1 when  $\psi = 0$ , whereas the negative root would make r < r'/2; thus the positive root is the correct one, and  $r_i$  and  $r_i$  are found by applying  $\psi = \psi_f$  and  $\psi = \psi_f$ , respectively, to A37 if  $\psi > -\pi_0$  (i.e., above TLP), or A38 if  $\psi \le -\pi_0$  (below TLP):

$$r = \frac{1}{2} \left( \frac{\psi}{\pi_o} (1 - r') + r' \right) \left( 1 + \sqrt{1 + \frac{4(1 - r')}{\left(\frac{\psi}{\pi_o} (1 - r') + r'\right)^2}} \right), \quad (A37)$$
$$r = -\frac{\pi_o}{\psi}. \quad (A38)$$

II. Converting liquid-phase leaf surface conductances for rehydration from a saturated atmosphere into equivalent vapor-phase conductances

Water flow between the leaf and its external surroundings, *F*, can be expressed either as a product of a hydraulic conductance ( $K_{surf}$ ) and a water potential difference ( $\Delta \psi$ ), or as a vapor-phase conductance ( $g_{surf}$ ) and a water vapor mole fraction difference ( $\Delta w$ ):

$$F = K_{surf} \Delta \psi = g_{surf} \Delta w .$$
 (A39)

Thus,  $g_{surf}$  and  $K_{surf}$  are related as

$$\therefore g_{surf} = K_{surf} \frac{\Delta \psi}{\Delta w} \,. \qquad (A40)$$

Assuming that the leaf and air are at exactly the same temperature, and that the air is saturated with water vapor, then the water potential of the air is zero, giving  $\Delta \psi = 0 - \psi_{\text{leaf}} = -\psi_{\text{leaf}}$  and  $\Delta w = w_{\text{sat}}(T_{\text{leaf}}) - w_{\text{leaf}}(\Psi_{\text{leaf}})$ , where  $w_{\text{sat}}(T)$  is the saturated water vapor mole fraction at the temperature *T*. The equilibrium relationship between liquid water with a water potential  $\psi$  and an adjacent atmosphere with actual and saturated water vapor mole fractions *w* and  $w_{\text{sat}}$ , respectively, all at a temperature  $T_{\text{K}}$  (kelvins), is

$$\psi = \frac{RT_K}{V_w} \ln \frac{w}{w_{sat}} , \qquad (A41)$$

where *R* is the gas constant (8.314462 Pa m<sup>3</sup> mol<sup>-1</sup> K<sup>-1</sup>),  $V_w$  is the molar volume of liquid water (1.8·10<sup>-5</sup> m<sup>3</sup> mol<sup>-1</sup>),  $\psi$  is given in Pa, and *w* is given in mol mol<sup>-1</sup>. This gives *w* as

$$w = w_{sat} \cdot \exp\left(\frac{\psi V_w}{RT_K}\right).$$
 (A42)

 $\Delta w$  is thus

$$\Delta w = w_{sat} - w_{leaf} = w_{sat} \cdot \left(1 - \exp\left(\frac{\psi_{leaf}V_w}{RT_{leaf,K}}\right)\right).$$
(A43)

where  $T_{\text{leaf},K}$  is the leaf temperature in kelvins. At sea level,  $w_{\text{sat}}$  is given by

$$w_{sat} = \frac{611.2}{101325} \cdot \exp\left(\frac{17.62 \cdot T_{leaf,C}}{243.12 + T_{leaf,C}}\right).$$
(A44)

At 25°C,  $w_{sat} = 0.031187$  mol mol<sup>-1</sup>, and the quantity  $V_w/(RT_{leaf,K})$  in A43 is 7.2611.10<sup>-9</sup> Pa<sup>-1</sup> = 0.0072611 MPa<sup>-1</sup>. Applying this to A40 gives

$$g_{surf} = \frac{-K_{surf}\psi_{leaf}}{0.031187 \cdot \left(1 - \exp(0.0072611 \cdot \psi_{leaf})\right)} \,. \tag{A45}$$

where  $K_{\text{surf}}$  is in units of mol m<sup>-2</sup> s<sup>-1</sup> MPa<sup>-1</sup>,  $\psi_{\text{leaf}}$  ( $\leq 0$ ) is in MPa, and  $g_{\text{surf}}$  is in units of mol m<sup>-2</sup> s<sup>-1</sup>. For  $\psi_{\text{leaf}}$  not too far from zero, the argument in the exponential in the denominator is quite close to zero, in which case the denominator is approximately equal to minus the argument (because  $e^x \approx x + 1$  for  $x \approx 0$ , so  $1 - e^x \approx -x$ ), or

$$g_{surf} \approx \frac{K_{surf}\psi_{leaf}}{0.031187 \cdot 0.0072611 \cdot \psi_{leaf}} = 4416 \cdot K_{surf} .$$
 (A46)

If  $K_{surf}$  is given in mmol m<sup>-2</sup> s<sup>-1</sup> MPa<sup>-1</sup>, then  $g_{surf} \approx 4.416 \cdot K_{surf}$ .

## 3.6 Figures

Figure 3.1: Hydraulic conductance to foliar surface water uptake

Hydraulic conductance to foliar surface water uptake, corrected for changing capacitance, for leaves exposed to fog in chambers for 1, 8 or 24 hours, of twelve Californian species, two species differing in trichome abundance within each of six genera.



## Figure 3.2: Relationships of foliar surface hydraulic conductance

Relationships of foliar surface hydraulic conductance, corrected for changing capacitance  $K_{surf,1h}$ and  $K_{surf,24h}$  (A and B respectively) to minimum surface conductance to diffusion ( $g_{min}$ ) and of  $K_{surf,1h}$  with operating stomatal conductance at mid-day under light saturating conditions ( $g_{op}$ ). Plotted lines are regressions (r = 0.62, 0.68, 0.63 and in panels A, B and C; P = 0.031, 0.014, 0.029). Ksurf is reported in units of hydraulic conductance (left y-axis) and units of diffusion conductance (right y-axis), enabling comparison with surface conductances to diffusion. Note that Ksurf values are much larger than gmin values and gop values in the same units; all points are above the 1:1 line plotted in each figure, though that line is not distinct from the x-axis in panels A and B; it is the lower line in panel C.


Figure 3.3: Micrographs of abaxial leaf surfaces

Micrographs of abaxial leaf surfaces for two species within each of three Californian genera, with the species on the left having denser trichomes than that on the right.



Figure 3.4: Micrographs of abaxial leaf surfaces

Micrographs of abaxial leaf surfaces for species within each of three Californian genera, with the species on the left having denser trichomes than that on the right. (Image not available for *Monardella macrantha*).



#### Figure 3.5: Micrographs of adaxial leaf surfaces

Micrographs of adaxial leaf surfaces for two species within each of three Californian genera, with the species on the left having denser trichomes than that on the right.



Figure 3.6: Micrographs of adaxial leaf surfaces for species

Micrographs of adaxial leaf surfaces for species within each of three Californian genera, with the species on the left having denser trichomes than that on the right. (Image not available for *Monardella macrantha*).



Figure 3.7: Variation across species in leaf trichome traits for twelve species within six Californian genera

Variation across species in leaf trichome traits for twelve species within six Californian genera, with a species with abundant trichomes (orange) and less abundant trichomes (grey). Panel A, trichome density, total for both leaf surfaces (TD); Panel B, trichome length, averaged for both surfaces (TL); Panel C, trichome surface area per leaf area, total for both surfaces (TSALA); Panel D, trichome basal area per leaf area (TBALA); Panel E, trichome volume per leaf area (TVLA).



Figure 3.8: Relationships of foliar surface hydraulic conductance

Relationships of foliar surface hydraulic conductance, corrected for changing capacitance ( $K_{surf}$ ) at 1h with trichome density per leaf area (total both surfaces, panel A) and stomatal density (total both surfaces, panel B).



**Figure 3.9:** Relationships of light-saturated rate of photosynthesis  $(A_{max})$  with foliar surface hydraulic conductance

Relationships of light-saturated rate of photosynthesis ( $A_{max}$ ) with foliar surface hydraulic conductance, corrected for changing capacitance at 1h ( $K_{surf,1h}$ ; panel A); leaf nitrogen concentration per mass ( $N_{mass}$ ) with foliar surface hydraulic conductance, corrected for changing capacitance at 8h ( $K_{surf,8h}$ ; panel B), and nitrogen concentration per leaf area ( $N_{area}$ ) with foliar surface hydraulic capacitance at 24h ( $K_{surf,24h}$ ; panel C).



**Table 3.1:** Diverse study species tested for foliar water uptake conductance and its association with leaf structure

Diverse study species tested for foliar water uptake conductance and its association with leaf structure, including two species within six native California genera differing in leaf trichome abundance and type, and varying strongly in structure and native climate distribution. We present species, native vegetation type, type of indumentum and hair type, trichome density, leaf mass per area, leaf area, mean annual temperature, mean annual precipitation.

1: V. Thomas Parker, Michael C. Vasey & Jon E. Keeley 2012, *Arctostaphylos uva-ursi*, in Jepson Flora Project (eds.) Jepson eFlora

https://ucjeps.berkeley.edu/eflora/eflora\_display.php?tid=49161, accessed on August 18, 2021. 2: V. Thomas Parker, Michael C. Vasey & Jon E. Keeley 2012, Arctostaphylos bakeri subsp. bakeri, in Jepson Flora Project (eds.) Jepson eFlora,

https://ucjeps.berkeley.edu/eflora/eflora\_display.php?tid=49161, accessed on August 18, 2021. 3: Ehleringer, J., & Cook, C. (1987). Leaf Hairs in Encelia (Asteraceae). American Journal of Botany, 74(10), 1532-1540. doi:10.2307/2444047

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https://ucjeps.berkeley.edu/eflora/eflora\_display.php?tid=33939, accessed on August 18, 2021. 5: Andrew C. Sanders, Mark A. Elvin & Mark S. Brunell 2012, Monardella villosa, in Jepson Flora Project (eds.) Jepson eFlora,

https://ucjeps.berkeley.edu/eflora/eflora\_display.php?tid=33939, accessed on August 18, 2021.=

6: Deborah Engle Averett 2012, Salvia apiana, in Jepson Flora Project (eds.) Jepson eFlora, https://ucjeps.berkeley.edu/eflora/eflora\_display.php?tid=43038, accessed on August 18, 2021. 7: Citation for this treatment: Deborah Engle Averett 2012, Salvia mellifera, in Jepson Flora Project (eds.) Jepson eFlora, https://ucjeps.berkeley.edu/eflora/eflora\_display.php?tid=43071, accessed on August 18, 2021.

8: Eric B. Wada & M. Andrew Walker 2012, Vitis californica, in Jepson Flora Project (eds.) Jepson eFlora, https://ucjeps.berkeley.edu/eflora/eflora\_display.php?tid=48407, accessed on August 18, 2021.

9: Eric B. Wada & M. Andrew Walker 2012, Vitis girdiana, in Jepson Flora Project (eds.) Jepson eFlora, https://ucjeps.berkeley.edu/eflora/eflora\_display.php?tid=48417, accessed on August 18, 202

Genus	Species	Family	Vegetation Type	Indumentum	Hair Type	TD <sub>tot</sub> (no mm <sup>2</sup> )	LMA (g m <sup>-2</sup> )	LA (cm²)	MAT (°C)	MAP (mm)
Arctostaphylos	uva-ursi	Ericaceae	Chaparral	sparsely puberulent <sup>1</sup>	simple	0.63 ± 0.32	69.73 ± 9.39	1.46 ± 0.35	3.85	846
Arctostaphylos	bakeri spp. bakeri	Ericaceae	Chaparral	papillate, scabrous <sup>2</sup>	glandular	36.39 ± 2.7	151.68 ± 11.16	4.4 ± 0.23	13.8	1041
Clematis	ligusticifolia	Ranunculaceae	Wetland	glabrous	5	3.76 ± 0.7	28.6 ± 5.29	$20.4 \pm 0.12$	9.24	411
Clematis	lasiantha	Ranunculaceae	Chaparral	minute	simple	16.99 ± 4.52	58.16 ± 6.92	4.97 ± 0.28	14.8	645
Encelia	californica	Asteraceae	Coastal scrub	glabrous to sparsely and minutely strigose or bristly <sup>3</sup>	multicellular	10.24 ± 1.41	27.89 ± 2.46	$18.6 \pm 0.08$	17.1	358
Encelia	farinosa	Asteraceae	Coastal scrub/desert	silver- or gray-tomentose <sup>3</sup>	multicellular	592.74 ± 149.31	41.54 ± 2.35	55.7 ± 0.1	20.5	227
Monardella	macrantha	Lamiaceae	Chaparral	glabrous <sup>4</sup>	1		27.49 ± 7.02	0.76 ± 0.37	12.1	571
Monardella	villosa	Lamiaceae	Chaparral	abaxially woolly <sup>5</sup>	glandular	156.13 ± 36.61	17.56 ± 1.4	1.86 ± 0.33	13.8	770
Salvia	mellifera	Lamiaceae	Chaparral	dense abaxially <sup>6</sup>	non-glandular and glandular	165.16 ± 26.74	38.17 ± 6.15	$5.28\pm0.74$	16.3	436
Salvia	apiana	Lamiaceae	Chaparral	densely appressed <sup>7</sup>	non-glandular and glandular	545.78 ± 16.33	55.6 ± 1.99	22.1 ± 0.18	16.5	388
Vitis	californica	Vitaceae	Streamside	tomentose <sup>8</sup>	simple	4.52 ± 0.75	27.28 ± 4.07	123 ± 0.1	15.1	751
Vitis	girdiana	Vitaceae	Streamside	tomentose <sup>9</sup>	simple	8.16 ± 3.91	41.14 ± 4.66	95.6 ± 0.08	17.0	330

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## 4. Chapter 4: Diverse effects of leaf hairs on gas exchange in native California species

#### 4.1 Introduction

The leaf's surface is the locus for a multitude of physiological processes important in plant vitality and responses to the environment. Stomata are pores in the leaf epidermis, enabling CO<sub>2</sub> uptake at the cost of the loss of water vapor <sup>1</sup>. Leaf hairs (trichomes) also play important roles in plant function, including reflecting light, taking up water and/or slowing transpiration via a thicker boundary layer. The multiple functions of leaf trichomes can explain several contradictory trends observed across floras. For example, some have hypothesized that leaf trichome density tends to be higher for species adapted to drought stress, and yet many species of wet places also bear pubescence<sup>1</sup>. To date, there are no physiological studies highlighting comparative differences between species with and without trichomes across genera. Given climate change, it is increasingly urgent to clarify the role(s) of leaf trichomes both to model gas exchange and function accurately, and their roles on the climate system<sup>2</sup>.

We focused on the potential association of leaf trichomes with climatic aridity and with leaf gas exchange. Previous studies found that the presence of hair on the leaf surface may lead to a thicker leaf boundary layer <sup>3-9</sup>. Yet, the impact of the reduced boundary layer may depend on the species and context. If stomatal conductance remains the same, then the reduction of boundary layer conductance would reduce overall conductance, and the transpiration rate for a given vapor pressure deficit driving force, and given this reduced conductance influences transpiration more strongly than carbon uptake, trichomes may thereby increase water use efficiency<sup>3,6,10,11</sup>. Alternatively, leaf trichomes may play a negligible role in influencing gas exchange. Recently, phenotypic differences within a species have been studied to characterize physiological response <sup>11</sup>. Populations of *Metrosideros polymorpha* express a varying phenotype across an elevation

gradient, with hairier individuals at higher elevations. Yet, populations differed only marginally in gas exchange and WUE across populations<sup>11</sup>.

We tested for variation in gas exchange, nutrient concentrations and water use efficiency across 12 species in six genera of native California species, with species varying strongly in trichome density and morphology within each genus. We hypothesized that across species trichome density and morphology would be associated with climate, and that species with greater trichome abundance, surface area and/or volume per leaf area would show associated variation in stomatal conductance and photosynthetic rate under light saturated conditions, and in instantaneous and integrated water use efficiency.

#### 4.2 Results

#### Variation in leaf trichome density and morphology across species

Species varied strongly in trichome abundance and dimensions (Figs 1-5; Table S1). Species varied 937- to 960-fold in  $TD_{ad}$  and  $TD_{ab}$ ; 440-fold in  $TL_{ad}$  and 21-fold in  $TL_{ab}$ ; 28- to 40-fold in  $Td_{tip\_ad}$ ,  $Td_{base\_ad}$ , and  $Td_{central\_ad}$ ; 2- to 11-fold in  $Td_{tip\_ab}$ ,  $Td_{base\_ab}$ , and  $Td_{central\_ab}$ ; 9-fold in  $CSA_{central\_ad}$ , and 47-fold variation among species in  $CSA_{central\_ab}$ ; 200,629-fold in  $TV_{ad}$  and 13-fold in  $TV_{ab}$ ; 3089-fold in TBALA, 12155-fold in TCALA, 11615-fold in TSALA, 8711-fold in TVLA, 13-fold variation among species in TBA, 16-fold variation among species in TSA.

We also found strong variation across the species pairs within genera in all measured leaf trichome traits. The species with more abundant trichomes within genera had higher values for  $TD_{ad}$ ,  $TD_{ab}$ ,  $TD_{tot}$ ,  $TCALA_{ad}$ ,  $TBALA_{ad}$ ,  $TVLA_{ad}$ ,  $TSALA_{ad}$ , (repeated measures ANOVA; P = 0.006-0.039, Table S9) but not for  $TL_{ad}$ ,  $Td_{tip_{ad}}$ ,  $Td_{base_ad}$ ,  $Td_{central_ad}$ ,  $CSA_{central_ad}$ ,  $TBA_{ad}$ ,  $TV_{ad}$ ,  $TSA_{ad}$ ,  $TL_{ab}$ ,  $Td_{tip_{ab}}$ ,  $Td_{base_{ab}}$ ,  $Td_{central_{ab}}$ ,  $Td_{central_{ab}}$ ,  $Td_{ab}$ ,  $TCALA_{ab}$ ,  $TBALA_{ab}$ ,  $TVLA_{ab}$ ,  $TA_{ab}$ ,  $TCALA_{ab}$ ,  $TBALA_{ab}$ ,  $TVLA_{ab}$ ,  $TBA_{ab}$ ,  $TV_{ab}$ ,  $TSA_{ab}$ ,  $TCALA_{ab}$ ,  $TBALA_{ab}$ ,  $TVLA_{ab}$ , and  $TSALA_{ab}$  (P > 0.05).

#### Variation in leaf stomatal density and morphology across species

We found strong variation across species within genera in all measured leaf stomatal traits (Table S1; P = 0.001 to P < 0.05, ANOVA), but no association with leaf trichomes on average within genera (repeated measures ANOVA; P > 0.05). Species varied 249-fold in SD<sub>ad</sub>, 4-fold in SD<sub>ab</sub> and 8-fold in SD<sub>tot</sub>; 67-fold in gcl<sub>ad</sub> and 3-fold in gcl<sub>ab</sub>; and 49-fold in gcw<sub>ad</sub> and 4-fold in gcw<sub>ab</sub>.

#### Variation in leaf gas exchange and nutrient and isotope composition across species

We found strong variation across species within genera in gas exchange traits (Fig. 6; Table S1; P < 0.001 to P < 0.01, ANOVA), but no association with leaf trichomes on average within genera (repeated measures ANOVA; P > 0.05). Species varied 7-fold in  $g_{op}$ , 3-fold in  $A_{max}$ , and 2-fold in  $A_{max}/g_{op}$ .

We found strong variation across species within genera in leaf composition (P < 0.001, ANOVA), but no association with leaf trichomes on average within genera (repeated measures ANOVA; P > 0.05). Species varied 8-fold in *LMA*, 3-fold in *N*<sub>mass</sub>, 4-fold in *N*<sub>area</sub>, ranged from -28 to -36 ‰ in  $\partial 13C$ 

### Relationships of trichome, stomatal and gas exchange traits with climate variables Across species, trichome abundance and morphology traits were related to climatic aridity (Figure 7; Table S4). Thus, across species, numerous trichome traits were related to mean annual temperature (MAT): TD<sub>ab</sub>, Td<sub>tip\_ad</sub>, Td<sub>base\_ad</sub>, Td<sub>central\_ad</sub>, TD<sub>tot</sub>, TL<sub>ab</sub>, TL<sub>ad</sub>, CSA<sub>central\_ad</sub>, TBA<sub>ad</sub>, TSA<sub>ab</sub>, TSA<sub>ad</sub>, TV<sub>ad</sub> (r = 0.39-0.82; P < 0.001 to P = 0.041). Further, numerous trichome traits were related to aridity index (AI): TD<sub>ab</sub>, Td<sub>base\_ad</sub>, Td<sub>central\_ad</sub>, TD<sub>tot</sub>, TL<sub>ab</sub>, TL<sub>ad</sub>, TBA<sub>ad</sub>, TSA<sub>ad</sub>, TSA

 $TV_{ad}$  (r = -0.38 to -0.46; P = 0.022-0.04). Numerous trichome traits were related to potential evapotranspiration (PET): TD<sub>ab</sub>, TD<sub>ad</sub>, Td<sub>tip\_ad</sub>, Td<sub>base\_ad</sub>, Td<sub>central\_ad</sub>, TD<sub>tot</sub>, TL<sub>ab</sub>, TL<sub>ad</sub>, CSA<sub>central\_ad</sub>, TBA<sub>ad</sub>, TSA<sub>ab</sub>, TSA<sub>ad</sub>, TV<sub>ad</sub>, SD<sub>ad</sub> (r = 0.42-0.76; P < 0.001 to 0.03). Numerous trichome traits were related to the maximum temperature of the warmest month (MTWM): TD<sub>ab</sub>, TD<sub>ad</sub>, Td<sub>tip\_ad</sub>, Td<sub>base\_ad</sub>, Td<sub>central\_ad</sub>, Td<sub>central\_ad</sub>, TD<sub>tot</sub>, TL<sub>ab</sub>, TL<sub>ab</sub>, TL<sub>ab</sub>, TL<sub>ab</sub>, TL<sub>ab</sub>, TL<sub>ab</sub>, Td<sub>tip\_ad</sub>, Td<sub>base\_ad</sub>, Td<sub>central\_ad</sub>, TD<sub>tot</sub>, TL<sub>ab</sub>, TL<sub>ab</sub>, TL<sub>ad</sub>, CSA<sub>central\_ad</sub>, TBA<sub>ad</sub>, TSA<sub>ab</sub>, TSA<sub>ad</sub>, TV<sub>ad</sub>, SD<sub>ad</sub> (r = 0.42-0.65; P = 0.0036-0.044). Additionally, across species, SD<sub>tot</sub> was negatively related to mean annual precipitation (r = -0.41; P = 0.033).

Across species, gas exchange traits were related to climatic aridity (Figure 8). A<sub>max</sub> was negatively related to aridity index (r = -0.43; P = 0.03) and positively to PET (r = 0.49; P = 0.017); g<sub>op</sub> was positively related to PET (r = 0.40; P = 0.036), and A<sub>max</sub> and g<sub>op</sub> with MTWM (r = 0.57 and 0.46 respectively (P = 0.0075 and 0.021).

# Tests for association of gas exchange with trichome and stomatal morphology and leaf composition

Greater trichome abundance was not associated with higher gas exchange between species within genera, on average (ANOVA, P > 0.05; Table S9). For two genera (*Arctostaphylos* and *Encelia*), the species with higher TD had higher  $g_{op}$ ; for three genera (*Clematis*, *Salvia* and *Vitis*) the species with higher TD had lower  $g_{op}$ . For three genera (*Arctostaphylos*, *Encelia* and *Salvia*) the species with higher TD had higher  $A_{max}$ ; for two genera (*Clematis* and *Vitis*), the species with higher TD had higher  $A_{max}$ ; for two genera (*Clematis* and *Vitis*), the species with higher TD had higher  $A_{max}$ ; for two genera (*Clematis* and *Vitis*), the species with higher TD had higher  $A_{max}$ ; for two genera (*Clematis* and *Vitis*), the species with higher TD had higher  $A_{max}$ ; for two genera (*Clematis* and *Vitis*), the species with higher TD had lower  $A_{max}$  (Fig. 6).

Across species,  $g_{op}$  and  $A_{max}$  were positively correlated with TD<sub>ab</sub>, TD<sub>ad</sub>, and TD<sub>tot</sub> (r = 0.63-0.83; P = 0.0014-0.023) (Table S11; Fig. 9). Across species,  $g_{op}$  and  $A_{max}$  were positively correlated with SD<sub>ad</sub>, SD<sub>ab</sub>, and SD<sub>tot</sub> (r = 0.66-0.94; P = 0.00002-0.007) (Table S11; Fig. 9)

Tests for association of water use and nitrogen use efficiency with with trichome and stomatal morphology and leaf composition

Greater trichome abundance was not associated with higherwater use efficiency between species within genera, on average (ANOVA, P > 0.05; Table S9). For three genera (*Clematis*, *Salvia* and *Vitis*), the species with higher TD had higher  $A_{max} / g_{op}$ ; for two genera (*Arctostaphylos* and *Encelia*), the species with higher TD had lower  $A_{max} / g_{op}$ . For four genera (*Clematis*, *Encelia*, *Salvia* and *Vitis*), the species with higher TD had higher  $\partial 13C$ ; for one genus (*Arctostaphylos*), the species with higher TD had lower  $\partial 13C$ .

Across species,  $A_{max} / g_{op}$  was negatively correlated with SD<sub>ad</sub> (*r* = -0.61; *P* = 0.046). Neither  $A_{max}/g_{op}$  or  $\partial 13C$  was statistically associated with trichome traits (P > 0.05).

#### 4.3 Methods

#### Plant material

Twelve species of native California trees and shrubs were selected, with two species within each genus, one with substantially higher trichome density than the other (Table 1). Plants were cultivated in a greenhouse common garden at the UCLA Plant Growth Center from February 2019 to July 2020. 15 individual seedlings of each species were acquired in 1 L pots (Rancho Santa Ana Botanic Garden; Claremont, CA), and randomized within each of 10 blocks containing one individual of each species spread across five greenhouse benches in two greenhouse rooms. Plants were acclimated 12–18 months prior to initial measurements to establish similar external conditions across individuals and species, and to ensure canopies of mature leaves. Plants were carefully monitored for root expansion and repotted when roots filled the pots. Potting soil (18.75% washed plaster sand, 18.75% sandy loam, 37.5% grower grade peat moss, 12.5% horticultural grade perlite, 12.5% coarse vermiculite; Therm-O-Rock West, Inc., Chandler, AZ) was autoclaved

prior to use. Plants were irrigated every second day with 200–250 ppm 20:20:20 NPK fertilizer. Daily irradiance ranged up to 1400  $\mu$ mol m<sup>-2</sup> s<sup>-1</sup> (LI-250 light meter; LI-COR Biosciences, Lincoln, NE, USA), while mean minimum, mean and maximum values for temperature were 22.1, 23.9, and 25.2 °C and relative humidity were 47.3%, 60.1%, and 72.8% in the greenhouse (HOBO Micro Station with Smart Sensors; Onset, Bourne, MA, USA).

#### Gas exchange measurements

Leaf gas exchange measurements were taken using a Portable Photosynthesis System (LI-COR 6400; LI-COR Inc, Lincoln, NE, USA). For three to five individuals of each species, Measurements of Photosynthetic rate ( $A_{max}$ ), Conductance to H<sub>2</sub>O ( $g_{op}$ ), Transpiration rate (E), Vapor pressure deficit based on Leaf temperature (VPD), Temperature of leaf thermocouple ( $T_{leaf}$ ), Intercellular CO<sup>2</sup> concentration (Ci), and Leaf water potential ( $\Psi$ ) were taken from 10:00-14:00, and averaged for each species. Measurements were taken at saturating light (1,000 µmol/m2/s) after a 10-min acclimation period with the leaf in the chamber at vapor pressure deficit <1 kPa. Intrinsic water use efficiency ( $A_{max}/g_{op}$ ) was determined as the ratio of  $A_{max}$  to  $g_{op}$ .

#### Measurements of leaf structure, composition, and nutrient analysis

Leaf structure was measured for 4 leaves on each of 5 individual plants of each species. Leaf area was measured using a flatbed scanner and imageJ. Leaf mass was measured using a digital analytic balance. Leaf thickness was measured using digital calipers. Leaf mass per area was determined as the ratio of leaf mass to area.

Leaf hair traits were measured on images of the adaxial and abaxial surfaces one leaf from each of three individuals of each species (except *Monardella macrantha*) from the synchrotron at The Advanced Light Source – Lawrence Berkeley National Laboratory (Beamline 8.3.2). We measured trichome density (TD), trichome length (TL) and the diameters of trichomes at the base (Td<sub>base</sub>), midpoint (Td<sub>central</sub>) and tip (Td<sub>tip</sub>). Trichomes were measured of different types; data were combined in this study. We calculated trichome central cross sectional area (CSA<sub>central</sub>) as  $\pi \times (0.5 \times \text{Td}_{central})^2$ . We calculated trichome basal area (TBA) as  $\pi \times (0.5 \times \text{Td}_{base})^2$ . We calculated trichome surface area (TSA) by considering the trichome as a conical frustrum<sup>12</sup>, and with volume =  $1/3 \times \pi \times \text{TL} \times (0.5 \times \text{Td}_{base})^2 + 0.5 \times \text{Td}_{base} \times 0.5 \times \text{Td}_{tip} \times (0.5 \times \text{Td}_{tip})^2$  and surface area =  $\pi \times (0.5 \times \text{Td}_{base} + 0.5 \times \text{Td}_{tip}) \times [(0.5 \times \text{Td}_{base} - 0.5 \times \text{Td}_{tip})^2 + \text{TL}^2]^{0.5}$ . We calculated trichome central area per leaf area (TCALA) as TD  $\times \text{CSA}_{central}$ , trichome basal area per leaf area (TBALA) as TD  $\times \text{TBA}$ , trichome volume per leaf area (TVLA) as TD  $\times \text{TV}$ , and trichome surface area per leaf area (TSALA) as TD  $\times \text{TSA}$ . We also calculated total trichome density (TD<sub>tot</sub>) on abaxial and adaxial surfaces of each leaf.

Nutrient and isotope composition data were obtained from 4 leaves combined from each of 5 individual plants of 11 of the species, excluding *Clematis lasiantha* due to lack of material during sampling on January 16, 2021. Leaves were oven dried at 70°C for at least 72 hours. Liquid nitrogen was then poured over the leaves, and these were ground with a mortar and pestle into a fine powder. 3-4mg of material were weighed into tin 4 × 6 capsules and analyzed at the Center for Stable Isotope Biogeochemistry at the University of California, Berkeley. Carbon isotope ratio ( $\delta^{13}$ C) was measured by dual isotope analysis with an Elemental Analyzer interfaced to a mass spectrometer, along with the percent of nitrogen in the sample. Nitrogen per leaf mass (N<sub>mass</sub>; mg g-1) was determined as the mass nitrogen in the sample (mg) per the mass of the sample (g). Nitrogen per leaf area (N<sub>area</sub>; g m<sup>-2</sup>) was determined as N<sub>mass</sub> multiplied by LMA.

#### Climate data

Occurrence records were downloaded using the 'rgbif package<sup>13</sup> and filtered to keep

herbarium records since 1950 and remove incomplete (latitude or longitude missing) and duplicated records, non-natural occurrences (e.g., records from botanical gardens or planted urban trees)<sup>13</sup>. We extracted 30 environmental variables from open-access raster layers, relating to air temperature (WorldClim, CRU<sup>14</sup>), precipitation (WorldClim<sup>14</sup>), aridity (CGIAR-CSI, NCAR-UCAR<sup>15</sup>) and soil characteristics (ISRIC Soilgrids<sup>16</sup>) (see Table S1 for all variables). The raster layers with the same resolution were stacked using the stack function from the '*raster*' package<sup>17</sup> and the environmental variables for each occurrence record were extracted using the extract function from the '*dismo*' package<sup>18</sup>. Due to their coarse resolution, these environmental variables are effective in characterizing large scale patterns but do not reflect differences in microclimate, i.e., temperature, water and nutrient availability, irradiance and soil composition<sup>19</sup>. The MAT, MAP, AI, and PET data were extracted at each coordinate for each species using ArcMap, and averaged for each species (Table 1).

#### Statistics

Results of analyses of variance for traits measured for 12 native California woody species within six genera. Results are presented for three ANOVAs: (1) repeated-measures ANOVA testing differences in foliar surface hydraulic conductance measured at three times (1, 8 and 24h), testing for variation among species, and among time intervals, and within species and time intervals; (2) nested ANOVA testing differences in traits among genera, and between species within genera, and within (Minitab 21; State College, PA, USA).

To test species' trait relationships while explicitly accounting for species relatedness, we performed phylogenetic generalized least-squares analyses (PGLS<sup>20,21</sup>) using the *pgls* function from the '*caper*' package<sup>22</sup> with lambda ( $\lambda$ ) optimized using maximum likelihood. Analysis of

variance were performed for untransformed and log transformed data, to test for either approximately linear or non-linear (i.e., approximate power law) relationships respectively.

#### 4.4 Discussion

We found that across 11 species in six genera, greater trichome abundance and higher lightsaturated rates of gas exchange associated with drier and warmer conditions. Notably, despite this overall pattern, genera differed in the association of trichome traits with climate. Further, genera differed in whether the species with more abundant trichomes had higher  $g_{op}$ ,  $A_{max}$  and water use efficiency.

These results are consistent with an overall adaptive function of leaf trichomes in arid climates. One potential advantage includes reflectance of high irradiance<sup>23</sup> which may allow leaves to avoid high temperatures and excess light stress; future analyses will test for this impact. Another function of the trichomes may be to increase the leaf boundary layer, which would potentially increase  $A_{max} / g_{op}$  under the conditions of natural gas exchange. We did not find such an association when gas exchange was measured under light saturated conditions in a photosynthesis system; in this system the boundary layer would be disrupted by the fan. In future work, the boundary layer conductance can be estimated using models to determine its variation under natural windspeeds, and the potential influence on water use efficiency can be better constrained. Finally, trichome traits may influence leaf water uptake (see Chapter 2) or resistance to herbivory.

The finding that overall species of more arid climates had higher gas exchange rates is consistent with species showing an "avoidance" response<sup>24</sup>, which would allow mitigation of the shorter growing season with rapid gas exchange when water is available. Whether the trichomes

contribute to this avoidance response requires testing using integrated models to consider the influence of reflectance and boundary layer effects on gas exchange.

Overall, we found that species were highly diverse in trichome abundance and morphology and that trichome traits were associated in evolution with both gas exchange and species' climate distribution. These findings highlight the important role of leaf surface traits in evolutionary adaptation and their potential contribution to species habitat and climate specialization. **Table 4.1:** Diverse study species tested for foliar water uptake conductance and its association with leaf structure

Diverse study species tested for foliar water uptake conductance and its association with leaf structure, including two species within six native California genera differing in leaf trichome abundance and type, and varying strongly in structure and native climate distribution. We present species, native vegetation type, type of indumentum and hair type, trichome density, leaf mass per area, leaf area, mean annual temperature, mean annual precipitation.

1: V. Thomas Parker, Michael C. Vasey & Jon E. Keeley 2012, *Arctostaphylos uva-ursi*, in Jepson Flora Project (eds.) Jepson eFlora

https://ucjeps.berkeley.edu/eflora/eflora\_display.php?tid=49161, accessed on August 18, 2021. 2: V. Thomas Parker, Michael C. Vasey & Jon E. Keeley 2012, Arctostaphylos bakeri subsp. bakeri, in Jepson Flora Project (eds.) Jepson eFlora,

https://ucjeps.berkeley.edu/eflora/eflora\_display.php?tid=49161, accessed on August 18, 2021. 3: Ehleringer, J., & Cook, C. (1987). Leaf Hairs in Encelia (Asteraceae). American Journal of Botany, 74(10), 1532-1540. doi:10.2307/2444047

4: Andrew C. Sanders, Mark A. Elvin & Mark S. Brunell 2012, Monardella macrantha, in Jepson Flora Project (eds.) Jepson eFlora,

https://ucjeps.berkeley.edu/eflora/eflora\_display.php?tid=33939, accessed on August 18, 2021. 5: Andrew C. Sanders, Mark A. Elvin & Mark S. Brunell 2012, Monardella villosa, in Jepson Flora Project (eds.) Jepson eFlora,

https://ucjeps.berkeley.edu/eflora/eflora\_display.php?tid=33939, accessed on August 18, 2021.=

6: Deborah Engle Averett 2012, Salvia apiana, in Jepson Flora Project (eds.) Jepson eFlora, https://ucjeps.berkeley.edu/eflora/eflora\_display.php?tid=43038, accessed on August 18, 2021. 7: Citation for this treatment: Deborah Engle Averett 2012, Salvia mellifera, in Jepson Flora Project (eds.) Jepson eFlora, https://ucjeps.berkeley.edu/eflora/eflora\_display.php?tid=43071, accessed on August 18, 2021.

8: Eric B. Wada & M. Andrew Walker 2012, Vitis californica, in Jepson Flora Project (eds.) Jepson eFlora, https://ucjeps.berkeley.edu/eflora/eflora\_display.php?tid=48407, accessed on August 18, 2021.

9: Eric B. Wada & M. Andrew Walker 2012, Vitis girdiana, in Jepson Flora Project (eds.) Jepson eFlora, https://ucjeps.berkeley.edu/eflora/eflora\_display.php?tid=48417, accessed on August 18, 202

Genus	Species	Family	Vegetation Type	Indumentum	Hair Type	TD <sub>tot</sub> (no mm²)	LMA (g m <sup>-2</sup> )	LA (cm²)	MAT (°C)	MAP (mm)
Arctostaphylos	uva-ursi	Ericaceae	Chaparral	sparsely puberulent <sup>1</sup>	simple	0.63 ± 0.32	69.73 ± 9.39	$1.46 \pm 0.35$	3.85	846
Arctostaphylos	bakeri spp. bakeri	Ericaceae	Chaparral	papillate, scabrous <sup>2</sup>	glandular	36.39 ± 2.7	151.68 ± 11.16	4.4 ± 0.23	13.8	1041
Clematis	ligusticifolia	Ranunculaceae	Wetland	glabrous	-	3.76 ± 0.7	28.6 ± 5.29	$20.4 \pm 0.12$	9.24	411
Clematis	lasiantha	Ranunculaceae	Chaparral	minute	simple	16.99 ± 4.52	58.16 ± 6.92	4.97 ± 0.28	14.8	645
Encelia	californica	Asteraceae	Coastal scrub	glabrous to sparsely and minutely strigose or bristly <sup>3</sup>	multicellular	10.24 ± 1.41	27.89 ± 2.46	$18.6 \pm 0.08$	17.1	358
Encelia	farinosa	Asteraceae	Coastal scrub/desert	silver- or gray-tomentose <sup>3</sup>	multicellular	592.74 ± 149.31	41.54 ± 2.35	55.7 ± 0.1	20.5	227
Monardella	macrantha	Lamiaceae	Chaparral	glabrous <sup>4</sup>	(m)		27.49 ± 7.02	0.76 ± 0.37	12.1	571
Monardella	villosa	Lamiaceae	Chaparral	abaxially woolly <sup>5</sup>	glandular	156.13 ± 36.61	17.56 ± 1.4	1.86 ± 0.33	13.8	770
Salvia	mellifera	Lamiaceae	Chaparral	dense abaxially <sup>6</sup>	non-glandular and glandular	165.16 ± 26.74	38.17 ± 6.15	$5.28 \pm 0.74$	16.3	436
Salvia	apiana	Lamiaceae	Chaparral	densely appressed <sup>7</sup>	non-glandular and glandular	545.78 ± 16.33	55.6 ± 1.99	22.1 ± 0.18	16.5	388
Vitis	californica	Vitaceae	Streamside	tomentose <sup>8</sup>	simple	4.52 ± 0.75	27.28 ± 4.07	123 ± 0.1	15.1	751
Vitis	girdiana	Vitaceae	Streamside	tomentose <sup>9</sup>	simple	8.16 ± 3.91	41.14 ± 4.66	95.6 ± 0.08	17.0	330

#### 4.5 Figures

Figure 4.1: Micrographs of abaxial leaf surfaces for two species within each of three Californian genera

Micrographs of abaxial leaf surfaces for two species within each of three Californian genera, with the species on the left having denser trichomes than that on the right.



Figure 4.2: Micrographs of abaxial leaf surfaces for two species within each of three Californian genera

Micrographs of abaxial leaf surfaces for two species within each of three Californian genera, with the species on the left having denser trichomes than that on the right. (Image not available for *Monardella macrantha*).



Figure 4.3: Micrographs of adaxial leaf surfaces for two species within each of three Californian genera

Micrographs of adaxial leaf surfaces for two species within each of three Californian genera, with the species on the left having denser trichomes than that on the right.



Figure 4.4: Micrographs of adaxial leaf surfaces for species within each of three Californian genera

Micrographs of adaxial leaf surfaces for species within each of three Californian genera, with the species on the left having denser trichomes than that on the right. (Image not available for *Monardella macrantha*).



Figure 4.5: Variation across species in leaf trichome traits for twelve species within six Californian genera

Variation across species in leaf trichome traits for twelve species within six Californian genera, with a species with abundant trichomes (orange) and less abundant trichomes (grey). Panel A, trichome density, total for both leaf surfaces (TD); Panel B, trichome length, averaged for both surfaces (TL); Panel C, trichome surface area per leaf area, total for both surfaces (TSALA); Panel D, trichome basal area per leaf area (TBALA); Panel E, trichome volume per leaf area (TVLA).



**Figure 4.6:** Variation across species in leaf gas exchange and water use efficiency traits for twelve species within six Californian genera

Variation across species in leaf gas exchange and water use efficiency traits for twelve species within six Californian genera, with a species with abundant trichomes (orange) and less abundant trichomes (grey). Panel A, light saturated photosynthetic rate per leaf area ( $A_{max}$ ); Panel B, operating mid-day stomatal conductance ( $g_{op}$ ); Panel C, intrinsic water use efficiency ( $A_{max}$ :  $g_{op}$ ); Panel D, leaf carbon isotope composition ( $\partial$ 13C).



Figure 4.7: Relationships of total trichome density per leaf area (both leaf surfaces) with climate variables

Relationships of total trichome density per leaf area (both leaf surfaces) with climate variables, mean annual temperature (MAT), potential evapotranspiration (PET) and aridity index (AI; lower numbers represent greater aridity). for twelve species within six Californian genera, with a species with abundant trichomes (orange) and less abundant trichomes (blue). Plotted regression lines are significant at r = 0.63-0.73; P = 0.009-0.039 (phylogenetic least-squares regression).



Figure 4.8: Relationships of gas exchange variables with climate variables for twelve species within six Californian genera

Relationships of gas exchange variables with climate variables for twelve species within six Californian genera, with a species with abundant trichomes (orange) and less abundant trichomes (blue). Panel A, operating mid-day stomatal conductance ( $g_{op}$ ) and potential evapotranspiration (PET); Panel B, light saturated photosynthetic rate per leaf area ( $A_{max}$ ) with PET; and Panel C,  $A_{max}$  with aridity index (AI; lower numbers represent greater aridity). Plotted regression lines are significant at r = 0.40-0.49; P = 0.017-0.03 (phylogenetic least-squares regression).



**Figure 4.9:** Relationships of gas exchange traits with leaf trichome and stomatal traits for 11 species within six Californian genera

Relationships of gas exchange traits with leaf trichome and stomatal traits for 11 species within six Californian genera, with a species with abundant trichomes (orange) and less abundant trichomes (blue). Panels A and B, plots of light saturated photosynthetic rate per leaf area ( $A_{max}$ ) with total trichome density, both leaf faces ( $TD_{tot}$ ) and total stomatal density, both leaf faces ( $SD_{tot}$ ); Panels C and D, plots of operating mid-day stomatal conductance ( $g_{op}$ ) with TD<sub>tot</sub> and SD<sub>tot</sub>. Plotted regression lines are significant at r = 0.63-0.94; P = 0.00002-0.023 (phylogenetic least-squares regression).



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## 5. Chapter 5: Conclusion

## 5.1 Discussion

Plant physiological response to the aboveground environment can be strongly influenced by leaf surface characteristics, including stomata and trichomes. The leaf-level responses to the environment are often determined by stomatal regulation, and I showed that this regulation is constrained by a safety efficiency trade-off. I also showed that leaves exhibit the ability to rehydrate via foliar water uptake, and that this uptake varies strongly across species with and without trichomes. Further, across diverse species, greater trichome abundance was associated with higher light-saturated gas exchange, and with drier and warmer conditions in species' native ranges.

In **Chapter 2**, I found support for multiple mechanistic drivers of the trade-off between maximum stomatal conductance and the water potential at 50% loss of maximum stomatal conductance (the  $g_{max}-\Psi_{gs50}$  trade-off). This trade-off can be explained by a greater sensitivity of stomatal closure in the face of drought arising in species with smaller, denser stomata. Another possible explanation was that a lesser sensitivity to stomatal closure during drought arises in species with lower leaf bulk osmotic potentials. This safety-efficiency trade-off could also be explained by with life history theory, by which species with lower leaf mass per area have lower  $g_{max}$  and lower  $\Psi_{gs50}$ . Lastly, the trade-off can be explained by theory that stomatal conductance evolves in dry conditions to maximize water use efficiency without risking hydraulic failure.

In **Chapter 3**, I demonstrated the ability for leaves of diverse Californian species to uptake water across the foliar surface, independently of their native climate. This ability, quantified as the hydraulic conductance to foliar surface water uptake ( $K_{surf}$ ) declined with rehydration time. The magnitude and decline in  $K_{surf}$  with time pointed to pivotal roles of the cuticle and/or semi-permeable cell membranes in leaf rehydration.  $K_{surf}$  varied across six

intrageneric species pairs, being higher for species with greater trichome abundance in some genera, and but higher for species with lower trichome abundance in other genera.

In **Chapter 4**, I highlighted associations of trichome abundance and light-saturated gas exchange with drier and warmer conditions. These findings further support theory for behind the overall adaptation of leaf trichomes to arid climates, and the adaptation of species to dry conditions through avoidance mechanisms, i.e., mitigating shorter growing periods with high rates of gas exchange during periods of high moisture availability. This work points to new avenues of research to integrate the multiple potential functions of trichomes to determine their contribution to species' performance under different environmental conditions.

This work shows the major role and adaptive diversification of micro-scale leaf surface features in determining leaf function, that would scale up to influencing their ecology and distributions.