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Measures of gene expression in sputum cells can identify $T_{\rm H}2$ -high and $T_{\rm H}2$ -low subtypes of asthma

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Abstract

Background—The 3-gene signature of periostin, chloride channel accessory 1 (*CLCA1*), and Serpin β 2 (*SERPINB2*) in airway epithelial brushings is used to classify asthma into T_H2-high and T_H2-low endotypes. Little is known about the utility of gene profiling in sputum as a molecular phenotyping method.

Objective—We sought to determine whether gene profiling in sputum cells can identify T_H 2-high and T_H 2-low subtypes of asthma.

Methods—In induced sputum cell pellets from 37 asthmatic patients and 15 healthy control subjects, PCR was used to profile gene expression of the epithelial cell signature of IL-13 activation (periostin, *CLCA1*, and *SERPINB2*), $T_H 2$ genes (*IL4*, *IL5*, and *IL13*), and other genes associated with airway $T_H 2$ inflammation.

Results—Gene expression levels of *CLCA1* and periostin, but not SerpinB2, were significantly higher than normal in sputum cells from asthmatic subjects. Expression levels of IL-4, IL-5, and IL-13 were also significantly increased in asthmatic patients and highly correlated within individual subjects. By combining the expression levels of IL-4, IL-5, and IL-13 in a single quantitative metric ("T_H2 gene mean"), 26 (70%) of the 37 asthmatic patients had T_H2-high asthma, which was characterized by more severe measures of asthma and increased blood and sputum eosinophilia. T_H2 gene mean values tended to be stable when initial values were very high or very low but fluctuated above or below the T_H2-high cutoff when initial values were intermediate.

Conclusion—IL-4, IL-5, and IL-13 transcripts are easily detected in sputum cells from asthmatic patients, and their expression levels can be used to classify asthma into T_H 2-high and T_H 2-low endotypes.

Keywords

Asthma; phenotypes; T_H^2 cell; mast cells; eotaxin; inflammation; sputum; cytokines; eosinophils; IL-4; IL-5; IL-13; IL-17

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Asthma is characterized by airway T_H^2 inflammation,¹ and drugs targeting T_H^2 cytokines (IL-4, IL-5, and IL-13) are in development.²⁻⁴ Gene transcripts for IL4, IL5, and IL13 are minimally expressed in the airway epithelium, but we previously reported that periostin,

chloride channel accessory 1 (*CLCA1*), and Serpin β 2 (SerpinB2) are specifically upregulated by IL-13 in airway epithelial cells,^{5,6} and their expression profile allows classification of asthma as T_H2 high or T_H2 low, with each subtype having specific inflammatory, remodeling, and treatment response characteristics.⁶

Molecular phenotyping methods that require bronchoscopic samples have limited applicability, and we considered whether methods using sputum induction would be more broadly applicable. Although gene transcripts for *IL4*, *IL5*, and *IL13* are known to be expressed in induced sputum cells,⁷ it is not known whether RNA from sputum cells is high quality or whether sputum cell gene profiling can identify T_H2 -high and T_H2 -low endotypes. To address these issues, we collected induced sputum from asthmatic patients and healthy control subjects and measured the RNA quality and expression of genes related to T_H2 inflammation.

METHODS

Subjects and clinical samples

We studied induced sputum samples stored in the Airway Tissue Bank at the University of California, San Francisco (UCSF). The biological samples were collected in multiple research studies at UCSF from 2009-2013 in which the characterization studies and biospecimen collections had all been collected according to standardized and uniform protocols (NCT00917787, NCT01073410, NCT00595153, and NCT01508078). Asthmatic patients had a prior physician's diagnosis of asthma and airway hyperresponsiveness (defined as a methacholine PC20 <8.0 mg/mL while not taking steroids or <16 mg/mL while taking steroids) or reversible airflow obstruction (defined as a postbronchodilator increase in FEV₁ of 12%) in subjects whose FEV₁ percent predicted value was less than 60%. For asthmatic patients who had been taking long-acting bronchodilators (long-acting β -agonist [LABA]) with or without inhaled corticosteroids, the protocols included an initial visit to determine the safety of withholding LABAs before the main characterization visit. Asthmatic patients assessed by a study physician to have stable disease were asked to discontinue LABAs for 48 hours before characterization studies; others with unstable or severe asthma did not have LABAs discontinued. Characterization studies included a physician-directed history, asthma characterization questionnaire, Asthma Control Test, spirometry, methacholine challenge,⁶ complete blood count with cell differential, and serum IgE measurement. Subjects also underwent sputum induction and measurements of nitric oxide in exhaled breath (2 subjects had fraction of exhaled nitric oxide [FENO] measurements excluded from analysis for lack of measurement reproducibility).8

Healthy control subjects had no lifetime history of pulmonary disease and lacked airway hyperresponsiveness. Both asthmatic patients and healthy control subjects were excluded if they had a history of any lung disease other than asthma, had any history of an upper or lower respiratory tract infection in the 4 weeks preceding the study, were pregnant or breast-

All subjects had provided informed consent for the study in which they originally participated and had also provided consent for their biospecimens to be placed in the UCSF Airway Tissue Bank for studies in addition to the original protocol. All studies and UCSF Airway Tissue Bank procedures were reviewed and approved by the UCSF Committee on Human Research.

Sputum induction and processing

Sputum induction and processing were done similarly in all protocols that contributed induced sputum samples to the tissue bank. Subjects inhaled nebulized 3% saline through a mouthpiece for 12 minutes, as previously described.⁹ Subjects interrupted inhalation at 2-minute intervals to spit saliva into a saliva cup and induced sputum into a sputum cup. Saliva was discarded, and induced sputum was processed within 1 hour. A 10% solution of Sputolysin (EMD Millipore, Temecula, Calif) was added at a 1:1 g/mL (sputum weight/ Sputolysin) ratio to the induced sputum, mixed with a serologic pipette, and placed in a 37°C shaking water bath for 15 minutes. Samples were removed at 5-, 10-, and 15-minute intervals for additional mixing with the pipette, and a portion of this sample was used to determine total and differential cell counts, as previously described.⁹ The sample was then centrifuged under cold (4°C) conditions at 2000 rpm for 10 minutes. The remainder of the cell pellet was then resuspended in one of 3 types of solution: (1) 200 to 1000 μ L of PBS (n = 52); (2) 600 μ L of a mixture of RLT lysis buffer (Qiagen, Valencia, Calif) and 1% β -mercaptoethanol (BME; n = 41); or (3) 1 mL of Qiagen RNAprotect Saliva Reagent (n = 45). All pellets were stored at 280°C.

RNA extraction

RNA was extracted from sputum cells with RNeasy Qiagen kits (Qiagen). In addition, 26 of the 86 samples that had been stored in an RNA protection buffer were resuspended in RLT lysis buffer plus 1% BME and underwent an initial DNA elimination step with a Qiagen gDNA elimination column before the RNA extraction step. RNA quality was measured with the Agilent 2100 bioanalyzer (Biogen, Weston, Mass), which performs electrophoretic separations according to molecular weight. Each sample was assigned an RNA integrity number (RIN) based on the extent of RNA degradation. An RIN of 10 indicates intact RNA, whereas an RIN of 1 indicates totally degraded RNA.¹⁰ RIN values of greater than 5 are generally considered adequate for gene expression profiling.^{11,12} Purified RNAwas placed in aliquots and stored at 280°C.

Gene expression analyses

By using real-time TaqMan-based quantitative PCR methods,⁶ induced sputum cells from 37 asthmatic patients and 15 healthy control subjects with RIN values of greater than 5 were analyzed for expression of 14 genes relevant to airway inflammation in asthmatic patients. The expressions of 4 housekeeping genes (*GAPDH, PPIA, YWHAZ*, and *PSMB2*) were also measured. One sample with housekeeping gene cycle threshold values of greater than 35 was excluded. Some reactions yielded no cycle threshold value, and here we assigned a gene

expression value equal to the minimum gene expression detected in other samples for that gene. Details of the primers and probes are listed in Table E1 in this article's Online Repository at www.jacionline.org.

Statistical methods

Statistical analyses were performed with the JMP 10 software package (SAS Institute, Cary, NC) and Stata 12.0 software (StataCorp, College Station, Tex). Continuous variables are presented as means \pm SDs, and sputum cell counts are presented as median (ranges). Categorical variables are presented as frequencies and percentages. Correlation was performed with the Pearson rank order correlation. *P* values of less than .05 were taken as statistically significant, and 2-group comparisons were made with the Wilcoxon rank sum (Mann-Whitney) test. A receiver operating characteristic curve analysis was used to select cutoff values for the percentages of sputum eosinophilia, peripheral blood eosinophilia, and FENO that maximized the sensitivity and specificity for predicting T_H2-high asthma.

RESULTS

RNA quality in cell pellets from induced sputum

Storage buffer and DNA extraction before RNA extraction had marked effects on the RIN values of sputum cell RNA (Fig 1, A). Specifically, 32% of the 52 sputum cell pellets stored in PBS had RIN values of greater than 5, 46% of the 60 sputum cell pellets stored in RNA protection buffer (RLT/BME or the Qiagen RNAprotect Saliva Reagent) had RIN values of greater than 5, and 92% of 26 sputum cell pellets stored in RLT/BME or RNA Saliva Reagent and processed with a DNA elimination column before RNA extraction had RIN values of greater than 5 (Fig 1, *A*).

Gene profiling in sputum cell RNA

Induced sputum cells from 37 asthmatic patients and 15 healthy control subjects with highquality RNA (RIN values > 5) were analyzed for expression of 14 genes relevant to airway inflammation in asthmatic patients. The clinical characteristics of the asthmatic patients are summarized in Table I and Table E1.

Epithelial cell signature of T_H2 inflammation—The gene expression of periostin and *CLCA1* in asthmatic patients was higher than in healthy control subjects. In contrast, the expression of SerpinB2 was similar in asthmatic patients and healthy subjects (Fig 1, *B*).

T_H2 cytokines—Unlike IFN-γ or IL17 gene expression levels, which were similar in asthmatic patients and healthy subjects, the gene expression levels of IL-4, IL-5, and IL-13 were significantly higher in asthmatic patients compared with those seen in healthy control subjects (Fig 1, C). The difference from normal values in the expression of T_H2 genes in asthmatic patients was driven by subgroups with high gene expression because some asthmatic patients had expression levels in the normal range (Fig 1, *C*), which is consistent with the previously identified T_H2 -high and T_H2 -low subsets of asthma.⁶ Also consistent with the T_H2 -high and T_H2 -low asthma subsets is the finding that the gene expression of

IL-4, IL-5, and IL-13 was highly correlated among asthmatic patients (see Fig E1 in this article's Online Repository at www.jacionline.org).

To provide a simplified metric of T_H^2 gene expression, we first centered and scaled the gene expression for each T_H^2 cytokine (IL-4, IL-5, and IL-13). Thereby generating standardized gene expression variables for IL-4, IL-5, and IL-13. We then calculated a mean of these standardized variables to generate a single value representing the mean expression of the T_H^2 cytokines that we call the " T_H^2 gene mean." By adding 2 SDs to the mean T_H^2 gene mean value obtained from healthy subjects, we classified asthmatic patients as T_H^2 high and T_H^2 low (Fig 2). In this way we found that 26 (70%) of the 37 asthmatic patients had T_H^2 -high asthma.

Reproducibility of the T_H2 gene mean—To establish the within-sample repeatability of the T_H2 gene mean, we repeated measures of gene expression for T_H2 cytokine genes in sputum cell RNA aliquots from the same sputum sample in 48 of the original 52 subjects. As shown in Fig 3, A, the within-sample repeatability for the T_H2 gene metric was excellent (Pearson correlation 5 0.94; mean difference in gene expression between repeated measurements = -0.0006).

To determine the reproducibility of the T_H^2 gene mean in the same subjects at different time points, we measured T_H^2 cytokine levels and the T_H^2 gene mean in 2 different sputum cell pellets from each of 13 asthmatic patients who had repeat sputum inductions subsequent to the index induction (see Table E2 in this article's Online Repository at www.jacionline.org for the clinical characteristics of these subjects). We found that 5 of the 13 subjects had a persistently high T_H^2 phenotype, 5 of the 13 subjects had an intermittently high T_H^2 phenotype, and 3 of the 13 subjects had a persistently low T_H^2 phenotype (Fig 3, *B*). The subgroup of 5 subjects with an intermittent phenotype tended to have T_H^2 gene mean values close to the cutoff value. Fig E2 in this article's Online Repository at www.jacionline.org provides additional data for how time from the index induction influences the repeated measures of the T_H^2 gene mean.

Clinical characteristics of T_H2-high asthma as classified by sputum cell gene profiling

Compared with T_H2 -low asthma, T_H2 -high asthma is characterized by higher levels of airway nitric oxide and higher airway and peripheral blood eosinophil counts (Table II). For prednisone-requiring asthma exacerbations, the T_H2 -high subgroup had an exacerbation rate in the past 2 years of 0.42 exacerbations per year; the rate in the T_H2 -low subgroup was 0.36 (Table II). In addition, we found that the T_H2 gene mean value was inversely related to FEV₁ in the asthmatic patients and that it was highest in asthmatic patients with poor asthma control (Fig 4). We found no such relationships between sputum cell *IL17* gene expression and measures of asthma control or FEV₁ (Fig 4).

Mast cell genes and eotaxins in T_H2 subgroups of asthma

We reported previously that mast cell numbers are increased in the airway epithelium in patients with T_H 2-high asthma.¹³ Here we explored mast cell gene expression in sputum cell pellets and found that the expression of mast cell genes (tryptase and carboxypeptidase A3

[*CPA3*]) was increased in patients with T_H2 -high asthma (Fig 5). Because eosinophils are prominent in induced sputum in patients with T_H2 -high asthma, we measured the expression of eotaxin family members and found that the expression of CCL26 (but not CCL11 or CCL24) was increased in patients with T_H2 -high asthma (Fig 5).

Sputum eosinophils, blood eosinophils, and $_{\mbox{\tiny FENO}}$ values as tests of airway $T_{\mbox{\scriptsize H}}2$ inflammation

Sputum eosinophilia, usually defined as greater than 2% sputum eosinophils, is used as a noninvasive marker for T_H2 inflammation.^{14,15} We found here that 14 (38%) of 37 asthmatic patients had sputum eosinophilia, and all of these were also classified as T_H2 high based on results of IL-4, IL-5, and IL-13 gene profiling. This indicates that sputum eosinophilia of greater than 2% is 100% specific for airway T_H2 inflammation. However, among the 26 asthmatic patients classified as having T_H2 -high asthma by using gene expression profiling, only 14 had sputum eosinophilia of greater than 2% has a sensitivity of only 54% for airway T_H2 inflammation. Using a receiver operating characteristic curve analysis to determine the optimal cutoff of sputum eosinophilia as a test for predicting T_H2 -high asthma, we found that a lower cutoff of 0.8% for sputum eosinophils had a much better combination of sensitivity and specificity (84% and 100%, respectively; Fig 6).

Because measurement of sputum eosinophil counts is not a simple clinical test, we evaluated peripheral blood eosinophil counts and measures of $_{\text{FENO}}$ as more easily measured biomarkers of $T_{\text{H}2}$ inflammation. By using receiver operating characteristics, the highest combination of sensitivity and specificity for peripheral blood eosinophil counts was reached at a derived threshold of 230 cells/µL, a value that had similar sensitivity and specificity as sputum eosinophilia (76% and 100%, respectively) for airway $T_{\text{H}2}$ -high asthma (Fig 6). In contrast, FENO did not perform as well as a biomarker: the highest combination of sensitivity and specificity for FENO was reached at a derived threshold of 51 ppb, a value with a good specificity of 90% but a sensitivity of only 56% for airway $T_{\text{H}2}$ -high asthma (Fig 6).

DISCUSSION

We describe optimized methods for extracting high-quality RNA from cells in induced sputum, and we show that measures of gene expression in sputum cells can identify T_H2 -high and T_H2 -low subtypes of asthma. Our data suggest that measures of gene expression in sputum cells could be applied in molecular phenotyping studies of asthma, including studies to investigate the unknown molecular abnormalities occurring in patients with T_H2 -low disease.

In initial studies we set out to examine the quality of RNA in cell pellets from induced sputum that had been stored under different conditions in our tissue bank. These studies revealed the importance of RNA protection buffer and DNA removal, without which the RNA quality from stored cells was suboptimal. To our knowledge, this is the first assessment of RNA quality in induced sputum cell pellets, and we describe optimized techniques that yield high-quality RNA. Prior investigators have used sputum RNA to detect differences in gene expression profiling in asthmatic patients, but these investigations did

not provide any analysis of RNA quality.^{7,16} Our optimized methods yielded RNA with an RIN of greater than 5 in more than 80% of samples. This RIN threshold of 5 as a determination of high-quality RNA has been advocated by other investigators and has been validated for the specific purposes of RT-PCR gene expression analysis.¹¹ Moreover, our RT-PCR method produces small amplicons (100-250 bp), and small amplicons are known to be more tolerant of partial RNA degradation.^{11,12}

A recent study used an unsupervised approach to cluster asthmatic patients into 3 groups based on gene expression profiles in induced sputum cells.¹⁶ The differentially expressed genes in each cluster were shown to correlate with inflammatory cell types and some clinical characteristics. Here, we take a different approach, with a supervised analysis that focused on genes related to $T_{\rm H2}$ inflammation. By converting the expression of IL-4, IL-5, and IL-13 into a single T_H2 gene mean value, we found that we could classify asthmatic patients as having T_H2-high or T_H2-low disease. Specifically, two thirds of the asthmatic patients had a T_H2 gene mean value higher than a cutoff value that was based on the mean value plus 2 SDs in the healthy subgroup. The subgroup of asthma with a high T_H2 gene mean represents the T_H 2-high subgroup previously described,⁶ and we propose here that sputum T_H 2 gene profiling can be applied to identify T_H2-high and T_H2-low subgroups of asthma. In a subgroup of asthmatics, we were able to measure the T_H2 gene mean in a second sample of sputum cell RNA collected at varying time points after the initial sample. Using this approach, we found evidence for asthmatic patients with a persistently high $T_{\rm H2}$ phenotype, an intermittently high T_H2 phenotype, and a persistently low T_H2 phenotype. These findings mirror our previous findings for eosinophilic and noneosinophilic asthma.¹⁴

Notably, the sputum cell T_H^2 gene mean value was high in many asthmatic patients being treated with inhaled corticosteroids, and a subgroup of steroid-treated asthmatic patients demonstrated persistently increased T_H^2 inflammation when airway secretions were repeated at subsequent visits. Previously, we have found that the airway epithelial cell gene signature of T_H^2 inflammation is highly sensitive to repression by steroid treatment.^{5,6} Therefore an advantage of sputum cell profiling over other molecular phenotyping methods might be that it reveals T_H^2 -high asthma, even when subjects are receiving steroid treatment. Moreover, these findings suggest that T_H^2 inflammation persists in some asthmatic patients taking inhaled corticosteroids. It is possible that this subgroup could benefit from adjunctive treatment with anti- T_H^2 cytokine therapy.

Unlike gene expression measures in bronchial epithelial brushings in which the expression levels of T_H2 cytokines are low, we show here that sputum cell samples have relatively high levels of T_H2 cytokine transcripts and that airway T_H2 status can be determined by using the expression levels of IL-4, IL-5, and IL-13. The sputum gene expression of periostin and *CLCA1* is differentially expressed in asthmatic patients, which is consistent with our prior findings in bronchial brushings, but the gene expression of SerpinB2 is not differentially expressed in sputum cells. Unlike *CLCA1* and periostin, the gene expression of which is likely restricted to epithelial cells, SerpinB2 is also expressed in inflammatory cells, namely macrophages and neutrophils,¹⁷ and the lack of differential expression of SerpinB2 in sputum cells from asthmatic patients might be because expression in macrophages and neutrophils masks increased expression in epithelial cells.

Previously, using gene expression measures in airway epithelial brushings from asthmatic patients with mild-to-moderate asthma, we reported that T_H2 -high asthma occurs in 50% of subjects. The prevalence of T_H2 -high asthma in the cohort reported here is greater at 70%, but the asthmatic patients we studied here had more severe disease, and T_H2 inflammation is an important driver of asthma disease severity.¹⁵ Indeed, we found here that the T_H2 gene mean value was highest in asthmatic patients with more severe airflow obstruction and in those with poor asthma control. We did not detect any difference in rates of asthma exacerbations between the T_H2 -high or T_H2 -low asthmatic patients, but our study was not large enough for a robust analysis of the effects of T_H2 status on this outcome.

Relevant here is how well sputum eosinophil counts and blood eosinophil counts perform as biomarkers of T_H 2-high asthma based on the sputum T_H 2 gene mean. Using receiver operating characteristics, we found that we could identify threshold values for sputum and blood eosinophil counts that had very good sensitivity and specificity for T_H 2-high asthma, but even the best threshold value for FENO had a sensitivity of only 56%.

It has previously been shown that T_H2 -high asthma is associated with airway eosinophilia, increased expression of eotaxin 3, and increased airway epithelial mast cell counts,^{6,13,18} findings that we confirm here. We show that eotaxin 3 (CCL26) is specifically upregulated in sputum cells in patients with T_H2 -high asthma, and we show that gene expression for the mast cell genes tryptase and *CPA3* is also increased in sputum cells afrom patients with T_H2 -high asthma. We also show that these luminal mast cells also have the same unusual protease phenotype (tryptase and *CPA3* high and chymase low) that we previously have described in the airway epithelial layer in patients with T_H2 -high asthma.¹³

Although we identify increased T_H^2 inflammation in 70% of asthmatic patients, 30% of our cohort did not have evidence of airway T_H^2 inflammation. T_H^{17} cells and IL-17 are implicated as an alternative mediator of asthma inflammation and severity,^{19,20} but we found no evidence in support of an IL-17 subtype of asthma here. Additional work is needed to identify the mechanisms operating in T_H^2 -low asthma.

In summary, we have optimized methods for ensuring high-quality RNA from cells in induced sputum, and we show measures of gene expression for T_H^2 cytokines in sputum cells can be used to identify asthmatic patients with T_H^2 -high asthma. Measures of gene expression in sputum cells therefore represent a relatively noninvasive method to identify molecular phenotypes of asthma in large studies of treatment or disease mechanisms. In addition, unbiased gene profiling methods could also be applied in sputum cell expression studies in future research to help reveal the non- T_H^2 molecular mechanisms of asthma that operate in relatively large subgroups of patients.

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application for asthma diagnostics. J. V. Fahy has received research grants from the NIH; has received consulting fees or honoraria from Merck, Regeneron, Boehringer Ingelheim, Pathway Therapeutics, Cytokinetics, Amgen, and the University of Calgary; has received support for travel to meetings for study or other purposes from Boehringer Ingelheim to the Transatlantic Airway Conference; has received fees for participation in review activities, such as data monitoring boards, statistical analysis, end point committees, and the like from the NIH; and has patents planned, pending, or issued for a patent describing biomarkers of TH2-high asthma.

Abbreviations used

CLCA1Chloride channel accessory 1CPA3Carboxypeptidase A3FenoFraction of exhaled nitric oxideLABALong-acting β-agonistRINRNA integrity numberSerpinB2Serpin β2UCSFUniversity of California, San Francisco	BME	β-Mercaptoethanol
CPA3Carboxypeptidase A3FenoFraction of exhaled nitric oxideLABALong-acting β-agonistRINRNA integrity numberSerpinB2Serpin β2UCSFUniversity of California, San Francisco	CLCA1	Chloride channel accessory 1
FenoFraction of exhaled nitric oxideLABALong-acting β-agonistRINRNA integrity numberSerpinB2Serpin β2UCSFUniversity of California, San Francisco	CPA3	Carboxypeptidase A3
LABALong-acting β-agonistRINRNA integrity numberSerpinB2Serpin β2UCSFUniversity of California, San Francisco	Feno	Fraction of exhaled nitric oxide
RINRNA integrity numberSerpinB2Serpin β2UCSFUniversity of California, San Francisco	LABA	Long-acting β-agonist
SerpinB2Serpin β2UCSFUniversity of California, San Francisco	RIN	RNA integrity number
UCSF University of California, San Francisco	SerpinB2	Serpin β2
	UCSF	University of California, San Francisco

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Key messages

- Measures of gene expression in induced sputum cells is feasible, and the expression levels of IL-4, IL-5, and IL-13 can be used to classify asthma into T_H2-high and T_H2-low endotypes.
- Increased T_H2 airway inflammation is characterized by poor asthma control and more severe airflow obstruction.



FIG 1.

A, RIN values are highest in sputum cells stored and processed with optimized methods. *Significant difference between methods (P < .01). **B**, Expression of periostin and *CLCA1* is higher than normal in asthmatic patients, but expression of SerpinB2 is not. **C**, *IL4*, *IL5*, and *IL13* expression is higher than normal in asthmatic patients, but expression of *IL17* and *IFNG* is not. *Significant difference from healthy control subjects (P < .0001).



FIG 2.

The T_H2 gene mean as a scaled and centered mean expression value for IL-4, IL-5, and IL-13. The T_H2 gene mean data for the healthy subjects show the cutoff value that represents 2 SDs greater than the mean value. ICS, Inhaled corticosteroids. *Significant difference between asthmatics on ICS and not on ICS compared to healthy controls (P < . 01).



FIG 3.

A, The $T_H 2$ gene mean is highly reproducible in RNA aliquots of induced sputum from asthmatic patients. **B**, The $T_H 2$ gene mean in 13 asthmatic patients at repeat visits. *Red lines*, Persistent $T_H 2$ -high asthma; *black lines*, intermittent $T_H 2$ -high asthma; *blue lines*, persistent $T_H 2$ -low asthma. *Triangles* represent subjects taking inhaled corticosteroids, and *circles* represent subjects not taking inhaled corticosteroids.



FIG 4.

The T_H2 gene mean is significantly higher in patients with poorly controlled asthma (Asthma Control Test [*ACT*] score <20 or prebronchodilator FEV₁ percent predicted <80%), whereas *IL17* gene expression is not. The T_H2 gene mean is inversely correlated with prebronchodilator FEV₁ percent predicted, but it is not correlated with *IL17* gene expression. *Significant difference from healthy control subjects (P < .01).



FIG 5.

Gene expression for eotaxin genes (*CCL11*, *CCL24*, and *CCL26*) and mast cell genes (tryptase, *CPA3*, and chymase) in sputum cells from patients with T_H 2-high and T_H 2-low asthma. *Significant difference from healthy control subjects (P < .01).



FIG 6.

Receiver operating characteristic (*ROC*) analysis of peripheral blood eosinophil counts, sputum eosinophil counts, and FENO levels as biomarkers of airway T_H2 inflammation, as assessed by using the sputum cell T_H2 gene mean. *Green line*, ROC curve for peripheral blood eosinophil counts; *blue line*, ROC for sputum eosinophil counts; *red line*, ROC for FENO values. *AUC*, Area under the curve.



FIG E1.

Gene expression for *IL4*, *IL5*, and *IL13* in sputum cells is highly correlated among asthmatic patients. Data are log_2 -normalized gene expression.



FIG E2.

Influence of the time interval between sputum induction on the reproducibility of T_H^2 classification. *Red lines*, Persistent T_H^2 -high asthma; *black lines*, intermittent T_H^2 asthma; *blue lines*, persistent T_H^2 -low asthma. *Triangles* represent subjects taking inhaled corticosteroids, and *circles* represent subjects not taking inhaled corticosteroids.

TABLE I

Subjects' characteristics

	Healthy subjects	Asthmatic patients
No.	15	37
Age (y)	35.1 ± 11.1	36.1 ± 13.1
Female sex, no. (%)	8 (53)	23 (62)
FEV ₁ (%)	103.7 ± 12.5	$79.1 \pm 17.4^{*}$
IgE (IU/mL)	64.5 ± 97.5	$375.6 \pm 474.4 *$
Feno (ppb)	17.6 ± 11.0	$43.4 \pm 25.9^{*}$
BMI (kg/m ²)	25.4 ± 4.9	28.7 ± 6.9
Blood eosinophils (cells/ μ L)	86 ± 13.1	$302\pm40.8\overset{*}{}$
Smoking (pack years)	0.1 ± 0.4	0.9 ± 2.1
ACT score		18.5 ± 3.8
PC ₂₀		1.8 ± 2.6
Taking ICSs, no. (%)		20 (54)
Sputum cells (%)		
Macrophages	54.9 (10.0-79.4)	46.6 (8.4-75.2)
Epithelial cells	14.7 (1.8-40.2)	17.8 (0-72.8)
Neutrophils	34.2 (4.5-70.5)	31.5 (2.7-69.4)
Lymphocytes	0.9 (0-5.1)	0.4 (0-2.4)
Eosinophils	0.0 (0-1.2)	1.1 (0-12.3)*

Data are shown as frequencies (percentages), means \pm SDs, or medians (ranges).

ACT, Asthma Control Test; ICS, inhaled corticosteroid.

*Significant difference from healthy subjects (P < .05).

TABLE II

Clinical characteristics of patients with $T_{\rm H}2\text{-high}$ and those with $T_{\rm H}2\text{-low}$ asthma

	T _H 2-low asthma	T_H 2-high asthma	P value
No.	11	26	
Age (y)	32.6 ± 13.3	37.6 ± 13.1	.30
Female sex, no. (%)	6 (55)	17 (65)	.53
FEV ₁ (%)	88.6 ± 14.0	$75.1\pm17.3\overset{*}{}$	<.05
Sputum eosinophils (%)	0.33 (0.59)	3.4 (3.4)*	<.01
Blood eosinophils (cells/ μ L)	139 ± 371	$371\pm268^*$	<.01
IgE (IU/mL)	312 ± 404	402 ± 506	.60
Feno (ppb)	28.1 ± 17.6	$49.6\pm26.4\overset{*}{}$	<.05
BMI (kg/m ²)	27.5 ± 5.6	29.3 ± 7.4	.48
ACT score	20.5 ± 3.7	$17.8\pm3.7\overset{*}{}$	<.05
logPC ₂₀	0.14 ± 0.47	-0.22 ± 59	.08
Exacerbation rate (no./y)	0.36 ± 0.78	0.42 ± 1.20	.88
Taking ICSs, no. (%)	4 (36)	16 (62)	.16
Periostin	13.2 ± 3.4	$15.4\pm2.9^{*}$	<.05
SerpinB2	17.0 ± 1.3	17.6 ± 1.5	.21
CLCA1	8.1 ± 6.9	$14.0\pm 6.1 *$	<.01
IL17	17.0 ± 2.1	15.8 ± 4.0	.34
IFN-γ	17.8 ± 0.9	17.1 ± 2.0	.23

Gene expression is normalized and log2 transformed. TH2 inflammation is determined based on the scaled and centered mean gene expression of IL-4, IL-5, and IL-13.

ACT, Asthma Control Test; ICS, inhaled corticosteroids.

*Significant difference from patients with TH2-low asthma (P < .05).

TABLE E1

Subjects' characteristics between clinical trials

					NCTO	01073410
	NCTO	0917787	NCTO	1508078	NCTO	00595153
	Healthy subjects	Asthmatic patients	Healthy subjects	Asthmatic patients	Healthy subjects	Asthmatic patients
No.	10	14	3	20	2	3
Age (y)	36.1 ± 12.4	35.9 ± 15.2	28.3 ± 6.0	37.7 ± 12.3	40.5 ± 7.8	26.3 ± 1.5
Female sex, no. (%)	7 (50)	7 (50)	1 (33)	14 (70)	2 (100)	2 (67)
FEV_1 (%)	101.2 ± 12.5	84.9 ± 15.8	111.6 ± 12.9	75.6 ± 18.0	104.2 ± 14.6	75.6 ± 18.7
IgE [*] (IU/mL)	10 ± 39.2	239 ± 175.6	242 ± 137	495 ± 606	13.5 ± 2.1	214 ± 211
F_{ENO}^{\dagger} (ppb)	18.2 ± 11.6	40.5 ± 33.4	18.8 ± 14.63	46 ± 20.22	12.8 ± 2.9	36.4 ± 35.9
BMI (kg/m ²)	25.2 ± 5.65	27.9 ± 4.76	25.7 ± 4.1	29.5 ± 8.4	26.2 ± 4.2	28.2 ± 6.3
Blood eosinophils (cells/µL)	84 ± 56	245 ± 125	100 ± 56	364 ± 309	75 ± 21	156 ± 103
ACT score [‡]		18.2 ± 4.5		18.7 ± 3.6		20 ± 0
PC ₂₀				1.54 ± 2.0		2.0 ± 2.4
Taking ICSs, no. (%)		8 (57)		12 (60)		3 (100)
Sputum cells (%)						
Macrophages	59.9 (10.0-80.2)	49.2 (8.4-75.2)	40.9 (31.5-76.7)	42.9 (14.5-73.9)	23.5 (15.3-31.6)	53.8 (17.8-62.5)
Epithelial cells	6.7 (1.75-40.2)	15.5 (1.2-27.9)	32.2 (14.7-34.3)	21.3 (0-72.8)	15.0 (14.8-15.1)	15.1 (4.0-26.0)
Neutrophils	33.4 (4.4-70.5)	35.1 (8.6-69.4)	23.8 (6.9-34.9)	31.4 (2.7-68.0)	61.3 (53.4-69.2)	26.1 (19.6-65.1)
Lymphocytes	0.84 (0-5.1)	0.4 (0-1.8)	1.0 (1-1.5)	0.5 (0-2.4)	0.1 (0-0.2)	0 (0-0.4)
Eosinophils	0 (0-1.2)	1.2 (0-11.0)	0 (0-0.3)	1.1 (0-12.3)	0 (0-0.4)	2.0 (0.4-7.5)

Data are frequencies (percentages), means \pm SDs, or medians (ranges).

ACT, Asthma Control Test; ICS, inhaled corticosteroids.

*One healthy control subject in NCT01508078 did not have an IgE measurement performed.

 † One asthmatic patient in NCT01073410 and NCT00917787 did not have reproducible FENO results and was excluded.

[‡]One asthmatic patient in NCT01073410 and NCT00595153 did not have an Asthma Control Test performed.

TABLE E2

Gene primers and probes

Gene primers	Sequence
PPIA-outer forward	ATGAGAACTTCATCCTAAAGCATACG
PPIA-outer reverse	TTGGCAGTGCAGATGAAAAACT
PPIA-inner forward	ACGGGTCCTGGCATCTTGT
PPIA-probe	ATGGCAAATGCTGGACCCAACACA
PPIA-inner reverse	GCAGATGAAAAACTGGGAACCA
GAPDH-outer forward	CAATGACCCCTTCATTGACCTC
GAPDH-outer reverse	CTCGCTCCTGGAAGATGGTGAT
GAPDH-inner forward	GATTCCACCCATGGCAAATTC
GAPDH-probe	CGTTCTCAGCCTTGACGGTGCCA
GAPDH-inner reverse	GGGATTTCCATTGATGACAAGC
YWHAZ-outer forward	CTTCTGTCTTGTCACCAACCATTC
YWHAZ-outer reverse	CAACTAAGGAGAGATTTGCTGCAG
YWHAZ-inner forward	TGGAAAAAGGCCGCATGAT
YWHAZ-probe	TGGCTCCACTCAGTGTCTAAGGCACCCT
YWHAZ-inner reverse	TCTGTGGGATGCAAGCAAAG
PSMB2-outer forward	CCATATCATGTGAACCTCCTCCT
PSMB2-outer reverse	GTCGAGGATACTGAGAGTCAGGAA
PSMB2-inner forward	TCCTCCTGGCTGGCTATGAT
PSMB2-probe	ACAGCGCTGGCCCTTCATGCTC
PSMB2-inner reverse	GGCTGCCAGGTAGTCCATGT
CLCA1-outer forward	CCAGGCATTGCTAAGGTTGG
CLCA1-outer reverse	ACTGGCCCTGAGAATTGGG
CLCA1-inner forward	CCTTGACCCTGACTGTCACGT
CLCA1-probe	TGCGTCCAATGCTACCCTGCCTC
CLCA1-inner reverse	TTGTTCGTTTTGGAAGTCACTGTAA
SERPINB2-outer forward	CTGAAGTGTTCCACCAAGCCA
SERPINB2-outer reverse	CAAACTGTGGGGCCTCCATGT
SERPINB2-inner forward	GTGAATGAGGAGGGCACTGAA
SERPINB2-probe	TAACACCTCCTGTGCCAGCGGCTG
SERPINB2-inner reverse	CCATGTCCAGTTCTCCCTGTC
Periostin-outer forward	GCAAACCACCTTCACGGATCT
Periostin-outer reverse	TTATTCACAGGTGCCAGCAAAG
Periostin-inner forward	CGGATCTTGTGGCCCAATT
Periostin-probe	CTTGGCATCTGCTCTGAGGCC
Periostin-inner reverse	AGGTGCCAGCAAAGTGTATTCTC
IL4-outer forward	GGGTCTCACCTCCCAACTGC
IL4-outer reverse	TGTCTGTTACGGTCAACTCGGT
IL4-inner forward	GCTTCCCCCTCTGTTCTTCCT
IL4-probe	TCCACGGACACAAGTGCGATATCACC

Gene primers	Sequence
IL4-inner reverse	GCTCTGTGAGGCTGTTCAAAGTT
IL5-outer forward	GCCATGAGGATGCTTCTGCA
IL5-outer reverse	GAATCCTCAGAGTCTCATTGGCTATC
IL5-inner forward	AGCTGCCTACGTGTATGCCA
IL5-probe	CCCCACAGAAATTCCCACAAGTGCA
IL5-inner reverse	GTGCCAAGGTCTCTTTCACCA
IL13-outer forward	GGATGCTGAGCGGATTCTG
IL13-outer reverse	CCCTCGCGAAAAAGTTTCTT
IL13-inner forward	AAGGTCTCAGCTGGGCAGTTT
IL13-probe	CCAGCTTGCATGTCCGAGACACCA
IL13-inner reverse	AAACTGGGCCACCTCGATT
IL13-outer forward	ACTGCTACTGCTGCTGAGCCT
IL13-outer reverse	GGTGAGGTGGATCGGTTGTAGT
IL17-inner forward	CAATCCCACGAAATCCAGGA
IL17-probe	CCCAAATTCTGAGGACAAGAACTTCCCC
IL17-inner reverse	TTCAGGTTGACCATCACAGTCC
IFNG-outer forward	GTAACTGACTTGAATGTCCAACGC
IFNG-outer reverse	GACAACCATTACTGGGATGCTC
IFNG-inner forward	CCAACGCAAAGCAATACATGA
IFNG-probe	TCCAAGTGATGGCTGAACTGTCGCC
IFNG-inner reverse	TTTTCGCTTCCCTGTTTTAGCT
CCL11-outer forward	CCAGAGCCTAAGAACTGCTTGATT
CCL11-outer reverse	GGAACTACATGAAGCCAAGTCCTT
CCL11-inner forward	GAGCCTAAGAACTGCTTGATTCCT
CCL11-probe	TCCCTCAGAGCACGTCTTAGGAAAG
CCL11-inner reverse	TGGGCGACTGGTGCTGATA
CCL24-outer forward	GATGACCATAGTAACCAGCCTTCTG
CCL24-outer reverse	GCAGCAGGGAGAGGGTATGAC
CCL24-inner forward	AGCCTTCTGTTCCTTGGTGTCT
CCL24-probe	CCCACCACATCATCCCTACGGGCT
CCL24-inner reverse	GCAGCAGGGAGAGGGTATGA
CCL26-outer forward	AAGACCTGCTGCTTCCAATACAG
CCL26-outer reverse	TGCCTCTTTTGGTAGTGAATATCACA
CCL26-inner forward	CTGCTTCCAATACAGCCACAAG
CCL26-probe	CTTCCCTGGACCTGGGTGCGAA
CCL26-inner reverse	GAGCAGCTGTTACTGGTGAATTCA
Tryptase-outer forward	GCCATTTCCTCTGAAGCAGGT
Tryptase-outer reverse	GCATGTCGTCACGGACGAT
Tryptase-inner forward	GGTCCCCATAATGGAAAACCA
Tryptase-probe	TTGTGACGCAAAATACCACCTTGGCG
Tryptase-inner reverse	GGACGTCGTCTCCCGTGTA
Chymase-outer forward	GGCCCAGGGCATCGTATC

Gene primers	Sequence
Chymase-outer reverse	CAGGATTAATTTGCCTGCAGG
Chymase-inner forward	TATGGACGGTCGGATGCAA
Chymase-probe	CCCTGCTGTCTTCACCCGAATCTCC
Chymase-inner reverse	TTGATCCAGGGCCGGTAAT
CPA3-outer forward	AGGATGAAAAACAAGCAGACATCA
CPA3-outer reverse	CAGACTGGATGGCTTGGGATT
CPA3-inner forward	CAAAACCAATGAGCTTGACTTCTG
CPA3-probe	TCCAGGTGCCACCACCACGTA
CPA3-inner reverse	CGGAAATCCACCATCATATTAGC

TABLE E3

Subjects' characteristics with repeat sputum induction

	Asthmatic patients
No.	13
Age (y)	42.1 ± 12.7
Female sex, no. (%)	9 (69)
FEV ₁ (%)	77.4 ± 14.6
IgE (IU/mL)	408.7 ± 465.3
Feno (ppb)	44.1 ± 24.4
BMI (kg/m ²)	30.5 ± 8.2
Blood eosinophils (cells/µL)	398.5 ± 437.5
ACT score	17.1 ± 3.3
PC ₂₀	0.84 ± 0.89
Taking ICSs, no. (%)	8 (62)
Sputum cells (%)	
Macrophages	30.7 (12.1-70.5)
Epithelial cells	12.3 (1.9-45.9)
Neutrophils	50.3 (2.7-77.4)
Lymphocytes	0.2 (0-2.4)
Eosinophils	1.0 (0-19.2)

Data are frequencies (percentages), means \pm SDs, or medians (ranges).

ACT, Asthma Control Test; ICS, inhaled corticosteroid.