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1 Water Upconing in Underground Hydrogen Storage:
2 Sensitivity Analysis to Inform Design of Withdrawal

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14 **Abstract**

15 The gas-water interface in Underground Hydrogen Storage (UHS) reservoirs creates the
16 possibility that water will upcone to the well during hydrogen (H₂) withdrawal with detrimental
17 impacts. We study the upconing of water to a hydrogen injection/withdrawal (I/W) well using
18 both an analytical solution and numerical simulation. We carried out sensitivity analyses of the
19 engineered properties (e.g., distance of well bottom to gas-water interface, withdrawal rate) and
20 the intrinsic properties (e.g., reservoir permeability, porosity) of an idealized UHS system.
21 Horizontal permeability is the main parameter controlling the height of upconing. Daily I/W
22 cycles to some degree mitigate upconing because injection pushes down the gas-water interface.
23 Sampling-based global sensitivity analyses show clearly that reservoirs with large horizontal
24 permeability are preferred for avoiding upconing. Minimizing withdrawal rate and maximizing
25 either the distance from well to gas-water interface or the length of the perforated well interval
26 are important engineering controls to minimize upconing.

27 *Keywords*

28 Hydrogen storage, Underground Hydrogen Storage, Geological Hydrogen Storage, Upconing,
29 Coning, Sensitivity Analysis

30 NOTE: This is the open access version of the paper. Citation to this work should be to

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34

35 **1 Introduction**

36 The use of hydrogen (H_2) as an energy carrier is being considered a potentially important
37 component of the energy transition from fossil fuels to renewable energy sources. There are at
38 least three reasons for considering H_2 in this context: (1) H_2 can be produced by electrolysis of
39 water using abundant but intermittent renewable energy sources such as power from solar
40 photovoltaic cells and wind turbines; (2) the conversion of H_2 into electrical or thermal energy
41 by fuel cell or combustion does not result in production of greenhouse gases; and (3) H_2
42 generated by intermittent renewable sources can be stored for use upon demand over the course
43 of a day, week, month, or season. Regarding (3), there are two obvious disadvantages that come
44 with storing H_2 , namely (i) the very low volumetric energy density of H_2 leads to the need for
45 very large volumetric storage capacities and injection/withdrawal (I/W) rates, and (ii) there are
46 losses in efficiency that come with interconversion of electricity and H_2 .

47 One obvious approach to meet the challenges posed by the need to store large volumes of H_2 is
48 to make use of the vast volumes of geologic formations for large-scale H_2 storage. For example,
49 three solution-mined salt caverns have been used for storing H_2 in the U.K., and two have been
50 used in the U.S. (Tarkowski and Czapowski, 2018; Mouli-Castillo et al., 2021). Other caverns
51 have been used for storing other liquid and gaseous fuels (Plaat 2009; Arthur et al., 2017). While
52 caverns can provide high deliverability (high withdrawal flow rates) and provide large storage
53 volumes relative to surface tanks, they are not as abundant as natural gas reservoirs, nor do they
54 have the volumetric capacity that exists in depleted natural gas reservoirs and natural gas storage
55 sites that could be converted to hydrogen storage. Porous media brine-filled aquifers provide
56 even more potential capacity for hydrogen storage.

57 Although cavern and porous media storage are both considered “geologic storage,” flow and
58 storage processes in caverns and porous media relevant to natural gas, H_2 , and compressed air
59 energy are very different. In short, gas storage in caverns occurs within a large open void with
60 essentially a uniform pressure whereas storage in porous media is within the pore space of the
61 rock and can occur across a pressure gradient (Oldenburg and Pan, 2013). Furthermore, storage
62 in various kinds of caverns should also be distinguished by type of cavern (e.g., solution-mined
63 cavern, mined cavern, abandoned mine) and not lumped into the overly simplistic term “geologic
64 storage.”

65 The widespread practice of underground (natural) gas storage (UGS) in porous media is a
66 particularly close analogue to geologic H_2 storage. For this reason, we use the term underground
67 hydrogen storage (UHS) in this paper. As used here, UHS refers to porous media formations
68 with adequate porosity and permeability to facilitate storage and I/W, along with low-
69 permeability caprock and lateral boundaries that provide sufficient sealing to store H_2 for the
70 intended use case. A great deal of research is currently being conducted to assess the technical

71 feasibility of UHS. Excellent older and very recent general information and review studies on
72 UHS are available in the literature (e.g., Foh et al., 1979; Heinemann et al., 2021; Zivar et al.,
73 2021; Wallace et al., 2021; Muhammed et al., 2022; Thiyagarajan et al., 2022).

74 Among the many concerns about technical feasibility of H₂ storage is coning of water upward
75 from the gas-water interface (hereafter referred to as upconing) (Sainz-Garcia et al., 2017; Luboń
76 and Tarkowski, 2020). Upconing of water can lead to the need for water separation at the surface
77 and interfere with gas flow in the well, and delivery from the storage facility. With underground
78 natural gas storage (UGS) in porous media being successfully carried out for over 100 years
79 (Katz and Tek, 1981; Knepper, 1997), the question arises as to why upconing is such a concern
80 for UHS and apparently not a universal concern for UGS. The first-order reason is that H₂ has
81 approximately one-third the energy density by volume compared to CH₄, resulting in the need to
82 inject or extract larger volumes of H₂ potentially over shorter periods of time than is the case for
83 CH₄ in seasonal UGS, other differences in the transport and combustion properties of the two
84 gases notwithstanding (e.g., Zhao et al., 2019). This need to withdraw larger volumes of H₂
85 relative to natural gas (CH₄) is true for both seasonal and daily use cases because of the lower
86 energy density by volume of H₂. Insofar as seasonal storage will involve both large withdrawal
87 rates and potentially month(s)-long withdrawal periods, upconing is expected to be a serious
88 concern. Regarding the duration of withdrawal, upconing does not necessarily cause monotonic
89 rise in the gas-water interface until it encounters the well. Rather, there can be steady-state
90 configurations with a quasi-steady upconed mound of groundwater that does not intersect the
91 well. Therefore, the period of withdrawal may or may not be significant for ultimate water entry
92 into the well due to upconing. The experiences of 100+ years of UGS which largely serves
93 seasonal use cases, will certainly be able to inform nascent UHS activities in the area of
94 upconing.

95 In this paper, the term upconing describes the upward movement of water (aqueous phase) from
96 the gas-water interface due to withdrawal of gas from the gas cap through a well. Upconing is
97 caused by the low pressure created outward from the perforations of a gas well under
98 withdrawal. The result of withdrawal of gas is the flow of mobile gas and water from above, the
99 side, and below the well toward the well screen. Water withdrawn with H₂ is a problem for UHS
100 because withdrawn H₂ needs to be as pure as possible—entrained liquid water would need to be
101 separated, and vapor would likely need to be condensed and separated. In addition, too much
102 water getting into a well can “kill” the well, i.e., stop gas from flowing freely up the well
103 (Falcone and Barbosa, 2013). In short, upconing of water into a UHS extraction well needs to be
104 avoided.

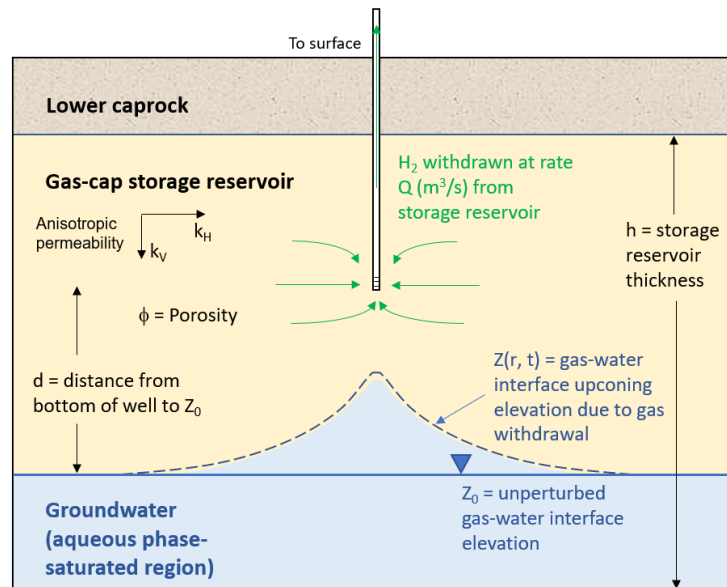
105 The purpose of this paper is to elucidate the phenomenon of water upconing in the context of
106 UHS and make recommendations on how it can be minimized. We address two specific
107 questions:

108 1. Under what reservoir and operating conditions is upconing a potential problem for UHS?

109 2. What is the relative importance of the various reservoir properties and operating
110 conditions for water upconing?

111 Using both analytical solution and numerical simulation approaches, we perform sensitivity
112 analyses to determine the reservoir properties and operational controls that most strongly
113 influence upconing. We use highly idealized and simplified reservoir geometries and properties
114 so that the results can be used to select and design favorable aspects of generalized UHS systems
115 and to identify use cases that minimize water upconing. A sketch of upconing is shown in Figure
116 1 along with the important parameters that control it.

117



118

119 *Figure 1. Sketch of idealized porous media UHS system showing one I/W well along with gas-*
120 *water interface elevation (Z) rising as a function of radius (r) and time (t) from its initial position*
121 *to an upconed configuration during gas withdrawal along with parameters ϕ , k_H , k_V , Q , and d .*

122 2 Background and Prior Work

123 A great deal of work has been done in the area of upconing in the context of subsurface fluid
124 extraction carried out using wells. Specifically, in the groundwater resources and hydrology
125 field, coning of salty or briny water upward into overlying freshwater extraction wells installed
126 near ocean coastlines has been addressed starting many decades ago (Muskat and Wycoff, 1935;
127 Dagan and Bear, 1968; Chandler and McWhorter, 1975) and is continuing to the present with the
128 advent of horizontal wells (Sun and Wong, 2017). In the oil and gas industry, coning can be
129 more complex, with instances of simultaneous downward coning of overlying gas into oil
130 extraction wells, and upward coning of water into the same oil well (e.g., Johns et al., 2005).
131 Briefly, in hydrocarbon recovery, there is only one (long) withdrawal period and natural gas

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132 components are highly soluble in oil. Because of this, the need for gas-oil and water separation at
133 the surface (e.g., in a frac tank) is very common. Such separation serves the purpose of isolating
134 the desired phase(s) for sale on the market regardless of the processes leading to the multiple
135 phases (e.g., depressurization, downconing of gas, or upconing of water). In contrast, UHS
136 involves multiple I/W cycles potentially with alternating upconing and downconing of water
137 with low H₂ solubility.

138 Coning has also been studied in the context of leakage of CO₂ through wells by development and
139 application of a semi-analytical solution for upconing of water into wells leaking CO₂ from
140 carbon sequestration sites (Nordbotten and Celia, 2006). In addition, upconing was analyzed in
141 the context of compressed air energy storage in porous media (Wiles and McCann, 1981). The
142 importance of upconing for UHS was emphasized by Sainz-Garcia et al. (2017) and Luboń and
143 Tarkowski (2020).

144 For our purposes, the transient analytical solution of Dagan and Bear (1968) will be shown to be
145 very useful. In their solution, the amount of upconing, as quantified by the rise of a sharp
146 saltwater-freshwater interface, depends on time, radius (lateral distance away from the well), the
147 intrinsic properties of porosity, horizontal and vertical permeability, and the fluid densities and
148 viscosities. The key operational parameters are extraction rate and distance from the bottom of
149 the well to the original interface.

150 Because the freshwater-brine system considered by Dagan and Bear (1968) is fully saturated,
151 capillary pressure and relative permeability are immaterial and are not considered in the
152 analytical solution. We show below that despite the lack of consideration of two-phase flow
153 mechanisms and the fact that Dagan and Bear (1968) developed and validated their solution for
154 two fluids (salty and fresh water) with relatively modest differences in density and viscosity,
155 their solution matches reasonably well with numerical simulations of two-phase systems with
156 fluids that have large differences in density and viscosity, namely H₂ above fresh water. In this
157 study, we utilize the Dagan and Bear (1968) analytical solution and the numerical simulation and
158 sensitivity analysis methods implemented in iTOUGH2 (Finsterle et al., 2017), which
159 incorporates the multi-phase and multicomponent forward simulator TOUGH2 (Pruess et al.,
160 2012) and the equation of state module EOS7CH (Oldenburg and Finsterle, 2023), which was
161 specifically developed to simulate UHS reservoirs.

162 **3 Methods**

163 **3.1 Analytical Solution and Sensitivity Analysis**

164 For simple and fast estimates of upconing, we use the Dagan and Bear (1968) analytical solution
165 (hereafter referred to as the DB model). The DB model was developed to calculate the shape and
166 maximum height of the upconed interface between salty water subjacent to fresh water that
167 develops when fresh water is pumped up a well not far from the interface. Their solution used the

168 method of small perturbations to develop a linearized equation for the motion of the sharp
169 interface between the two liquids. The DB model allowed for dipping aquifers and results were
170 validated against laboratory experiments by Dagan and Bear (1968).

171 In radial coordinates, the DB model equations for the interface position Z as a function of radius
172 (r) away from the well and time was clearly presented for a horizontal aquifer by Sun and Wong
173 (2017) as

$$174 \quad Z(r, t) = \frac{Q}{2\pi \left(\frac{\Delta\gamma}{\gamma}\right) K_x d} \left[\frac{1}{(1 + R'^2)^{\frac{1}{2}}} - \frac{1}{[(1 + \gamma')^2 + R'^2]^{\frac{1}{2}}} \right] \quad (1)$$

175 where the intermediate terms R' and γ' are given by

$$176 \quad R' = \frac{r}{d} \left(\frac{K_z}{K_x} \right)^{\frac{1}{2}} \quad (2)$$

$$177 \quad \gamma' = \frac{\frac{\Delta\gamma}{\gamma} K_z}{2\phi d} t \quad (3)$$

178 and symbols are defined in Nomenclature. Briefly, Equations 1–3 show that the shape of the
179 interface ($Z(r, t)$) depends on the volumetric fluid withdrawal rate (Q), the densities (γ) of the two
180 fluids, the conductivities in the r - and y -directions (K_x ($= K_r$) and K_y), the vertical distance d
181 between the extraction point and the initial elevation of the interface (d), porosity (ϕ), radius (r),
182 and time (t) (see also Figure 1). Eq. (1) is at most an approximation of the rise of water before it
183 reaches the critical condition when the upconed water intersects the bottom of the well.

184 We use Excel to calculate $Z(r, t)$ by the DB model equations. Density and viscosity of H_2 gas and
185 aqueous phase water are calculated in Excel using the CoolProp add-in (Bell et al., 2014). For
186 sensitivity analysis using Monte Carlo methods, we use a free Excel add-in called Argo
187 (BoozeAllen, 2023).

188 Although developed and validated by Dagan and Bear (1968) for a sharp interface between two
189 fluid mixtures (salty water below fresh water) of a single phase (no capillary pressure) with
190 relatively small density contrast, it will be shown below that Eqs. 1–3 provide usable estimates
191 of the upconing of water below a hydrogen withdrawal well in a UHS reservoir where the
192 density of H_2 is approximately 7 kg m^{-3} and water is 1000 kg m^{-3} . The simple DB model serves
193 as a complement to the fully coupled TOUGH2 numerical simulations and provides a fast
194 sensitivity analysis capability. Used carefully, the DB model offers a simple and fast tool that
195 can aid in the design of UHS systems to avoid upconing.

196 **3.2 Numerical Simulation with Sensitivity Analysis**

197 For predictive modeling and sensitivity analyses of the fully coupled non-linear equations
198 describing mechanisms of I/W and gas-liquid fluid flow in UHS, including mechanisms related
199 to upconing, we use iTOUGH2 (Finsterle et al., 2017; Finsterle, 2022) and EOS7CH (Oldenburg
200 and Finsterle, 2023). EOS7CH is an equation of state module for water and non-condensable gas
201 (NCG) mixtures of any gas pair within the system hydrogen (H₂), methane (CH₄), carbon dioxide
202 (CO₂), and nitrogen (N₂) with or without an aqueous phase and H₂O vapor. EOS7CH is an
203 extension of EOS7C (Oldenburg et al., 2004; Pruess et al., 2012) and adds the RefProp (Lemmon
204 et al., 2018) subroutines for estimating real gas-mixture properties to the prior three choices of
205 cubic equations of state (Peng-Robinson, Redlich-Kwong, and Soave-Redlich-Kwong).
206 EOS7CH can model two-phase flow and transport of gaseous and aqueous phases over a wide
207 range of pressures and temperatures encountered in aquifers and subsurface natural gas
208 reservoirs. Gas mixture density, enthalpy, and viscosity are calculated by any one of the four real
209 gas properties modules as chosen by the user. Solubility of the NCG and base gas (BG) in the
210 aqueous phase is calculated using a fugacity-based approach. Mass transport of the gaseous and
211 dissolved components is by advection and Fickian molecular diffusion.

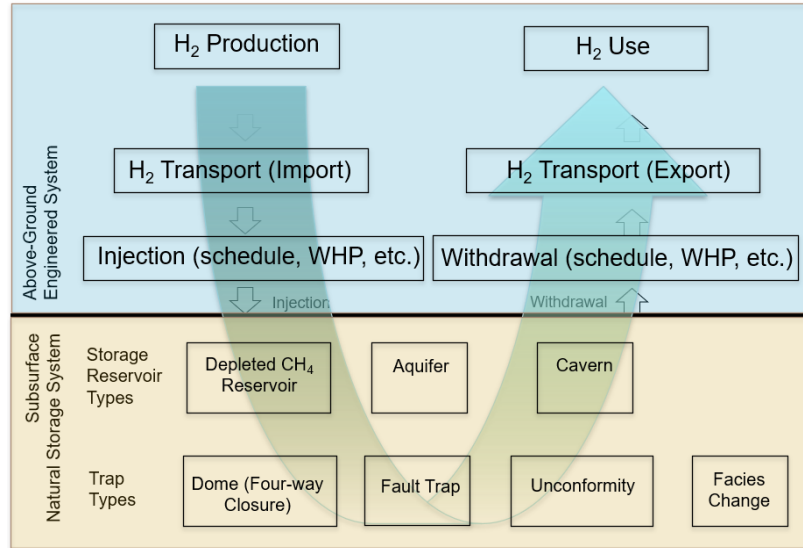
212 **4 Use Case**

213 **4.1 Definitions**

214 In general, before any modeling or simulation of UHS is carried out, a choice of storage use case
215 must be made. In short, the use case is the intended purpose of any given UHS endeavor. The use
216 case establishes (controls) the operating parameters and requirements of the UHS system. In
217 Figure 2, we show the aspects of UHS that need to be defined/chosen to establish a use case. A
218 use case definition involves characterizing the production, transportation, and storage of H₂, as
219 well as aspects of the withdrawal and use. Figure 2 is meant to be representative of the basic
220 aspects of UHS rather than comprehensive in the range or scope of properties.

221 As shown in Figure 2, the use case description starts in the upper left-hand side with definition of
222 the method and energy source used for H₂ production, proceeds through how H₂ is transported to
223 the UHS site, and includes the injection schedule and rates in the injection wells. On the right-
224 hand side, there is withdrawal of H₂ from the storage reservoir, and its use, e.g., potentially
225 making electricity on-site by means of a fuel cell or combustion, or transport off-site for various
226 uses. The aspects of the use case in the upper (blue) part of the figure are above-ground and can
227 be engineered and designed for economic and technical feasibility. In the subsurface (lower part
228 of Figure 2), there are various storage reservoir and trap types which are largely pre-determined
229 by natural processes and difficult if not impossible to change. The I/W schedules must be
230 designed to match the restrictions and opportunities provided by the underground storage
231 resource.

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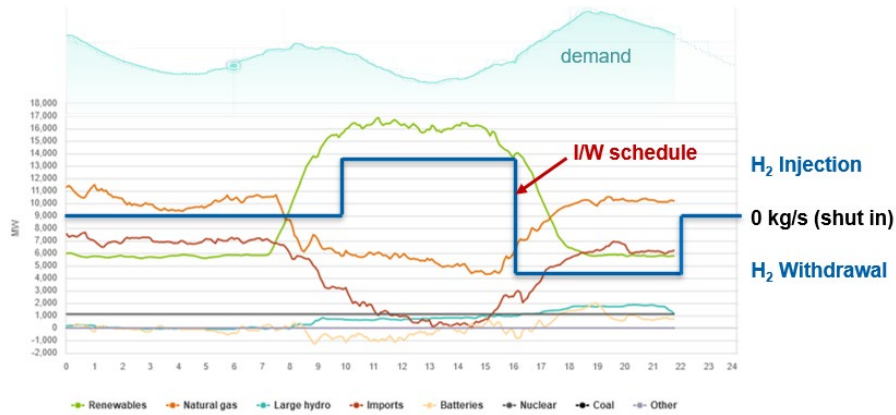
234 *Figure 2. Sketch of fundamental aspects of a UHS use case showing the above-ground*
235 *engineered parts of the use case that need to be designed to match the limitations of the*
236 *underground storage resources.*

237 **4.2 Use Case: Green Hydrogen Produced Daily**

238 Here, we consider a use case—applicable to a region such as California (USA)—in which H₂ is
239 produced for six hours each day by hydrolysis using renewable electricity provided primarily by
240 solar photo-voltaic (PV) sources (referred to as “green hydrogen”). During six hours each day of
241 strong insolation, H₂ produced at the UHS site (using otherwise curtailed renewable electricity
242 from the grid) is injected into the porous media UHS reservoir. As the sun sets and the renewable
243 electricity supply diminishes, demand rises as people return home from work and turn on
244 appliances and air conditioners. During this late afternoon and evening period, H₂ is withdrawn
245 from the reservoir and used in on-site fuel cells or gas turbines for conversion back into
246 electricity which is exported to the grid.

247 Figure 3 shows the rates of electricity supply and demand along with the hypothetical H₂ I/W
248 schedule for the chosen use case for a generic sunny day in California. The electricity generation
249 (supply) curves for California for any current day can be viewed at
250 <https://www.caiso.com/TodaysOutlook/Pages/supply.aspx>. Figure 3 shows the instantaneous
251 power on the *y*-axis plotted against the hours of the day on the *x*-axis for a day with excellent
252 solar PV power production as shown by the green curve. We have superimposed the blue step
253 function curve showing the I/W schedule of the chosen use case. Specifically, the UHS use case
254 we consider here involves six hours of H₂ injection into the storage reservoir from 10 AM to
255 4 PM, followed by six hours of withdrawal from 4 PM to 10 PM. This daily I/W cycle is
256 followed by 12 hours of well shut-in.

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257

258 *Figure 3. Instantaneous electricity supply (MW) curves for various renewable energy sources*
259 *plotted over the hours of a day in California showing also the demand curve (top-most curve),*
260 *and the UHS use case assumed here (heavy blue line) involving six hours of injection, six hours*
261 *of withdrawal, and 12 hours of shut-in.*

262 Note that no scale is provided in Figure 3 for the I/W because it varies by month and day
263 throughout the year, the overall availability of H₂ as well as the number of wells used in the UHS
264 system. To get an idea for what the H₂ I/W rates would be for the chosen use case, we looked at
265 data for California where in April 2022, 6×10^5 MWh of electricity were curtailed which is
266 equivalent to 2×10^7 kWh/d (CalISO, 2022). Assuming advances continue and a 95% efficient
267 electrolyzer is available using 41.5 kWh to produce 1 kg H₂ (Blain, 2022), 4.82×10^5 kg H₂/d
268 could be produced which would come to an injection rate of 22.3 kg H₂/s over the six hours of
269 the day when electricity is curtailed. Assuming a storage site with four I/W wells, this would be
270 approximately a 5.5 kg H₂/s injection per well for six hours per day.

271 Below, we present modeling and simulation results to address the key questions posed in the
272 study regarding what operational parameters and reservoir properties control upconing during
273 withdrawal for this assumed use case. We examine systems with increasing complexity, starting
274 with two fluids of similar density and viscosity, and then we move to more complex systems
275 with two-phase flow of H₂ and water. We start by simulating a single withdrawal event from a
276 point-source well, and later simulate a more realistic vertical well with I/W over multiple cycles.

277 **5 Comparison of Analytical Model and Numerical Simulation of Upconing**

278 **5.1 Introduction**

279 In this section, we present results from the DB model and numerical simulations for water-brine
280 and H₂-water systems. The purpose is to demonstrate the utility of the single-phase DB analytical
281 solution for modeling upconing even for applications involving two-phase systems. We solve the
282 DB model Eqs. 1–3 in Excel and make use of the CoolProp add-in (Bell et al., 2014) for
283 estimating density and viscosity of H₂. We simulate idealized systems with domains and
284 boundary conditions designed to emulate the simple DB-model assumptions.

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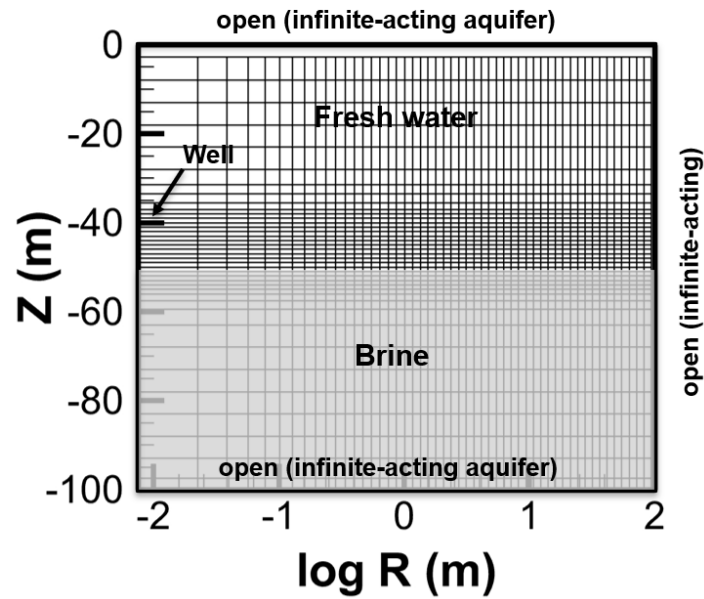
286 **5.2 Water-Brine System**

287 We begin with a single-phase aqueous water-brine upconing scenario similar to the one for
 288 which the DB model was developed. Essential properties of the system are shown in Table 1.
 289 The domain and boundary conditions of an idealized aquifer system with two stratified fluids of
 290 different densities is shown in Figure 4. The top, bottom, and right-hand (outer radial)
 291 boundaries are held at constant conditions, consistent with the infinite aquifer assumption of the
 292 DB model. As shown in Figure 4, the domain was discretized in the Z -direction with a graded
 293 mesh refined around the I/W well ($Z = -40$ m) using 48 rows of grid blocks, and in the R -
 294 direction using two equal-spaced columns each 0.031 m in radius at the center of the radial
 295 system (left-hand side) and then logarithmic spacing out to 100 m, totaling 52 columns of grid
 296 blocks. Note that we distributed the -25 kg/s mass sink scaled by grid-block volume among six
 297 grid blocks in the near-well region to avoid excessive local drawdown that may approach non-
 298 physical negative pressures.

299 *Table 1. Properties of the water-brine upconing comparison case.*

Properties of the Water-Brine Upconing Problem	
Thickness and extent of the domain	100 m, 100 m
Porosity (ϕ)	0.10
Permeability (k_H)	$1.0 \times 10^{-12} \text{ m}^2$
Permeability (k_V)	$1.0 \times 10^{-12} \text{ m}^2$
Distance from well to freshwater-brine interface (d)	10 m
Extraction rate of fresh water (Q , Q_m) distributed within six grid blocks around the well level at $Z = -40$ m)	$-0.025 \text{ m}^3 \text{ s}^{-1}$, -25 kg s^{-1}
Density of brine (@ 100 bar, 40 °C)	1050 kg m^{-3}
Density of fresh water (@100 bar, 40 °C)	996.7 kg m^{-3}
Viscosity of brine (@100 bar, 40 °C)	$1.29 \times 10^{-3} \text{ Pa s}$
Viscosity of fresh water (@100 bar, 40 °C)	$6.52 \times 10^{-4} \text{ Pa s}$

300



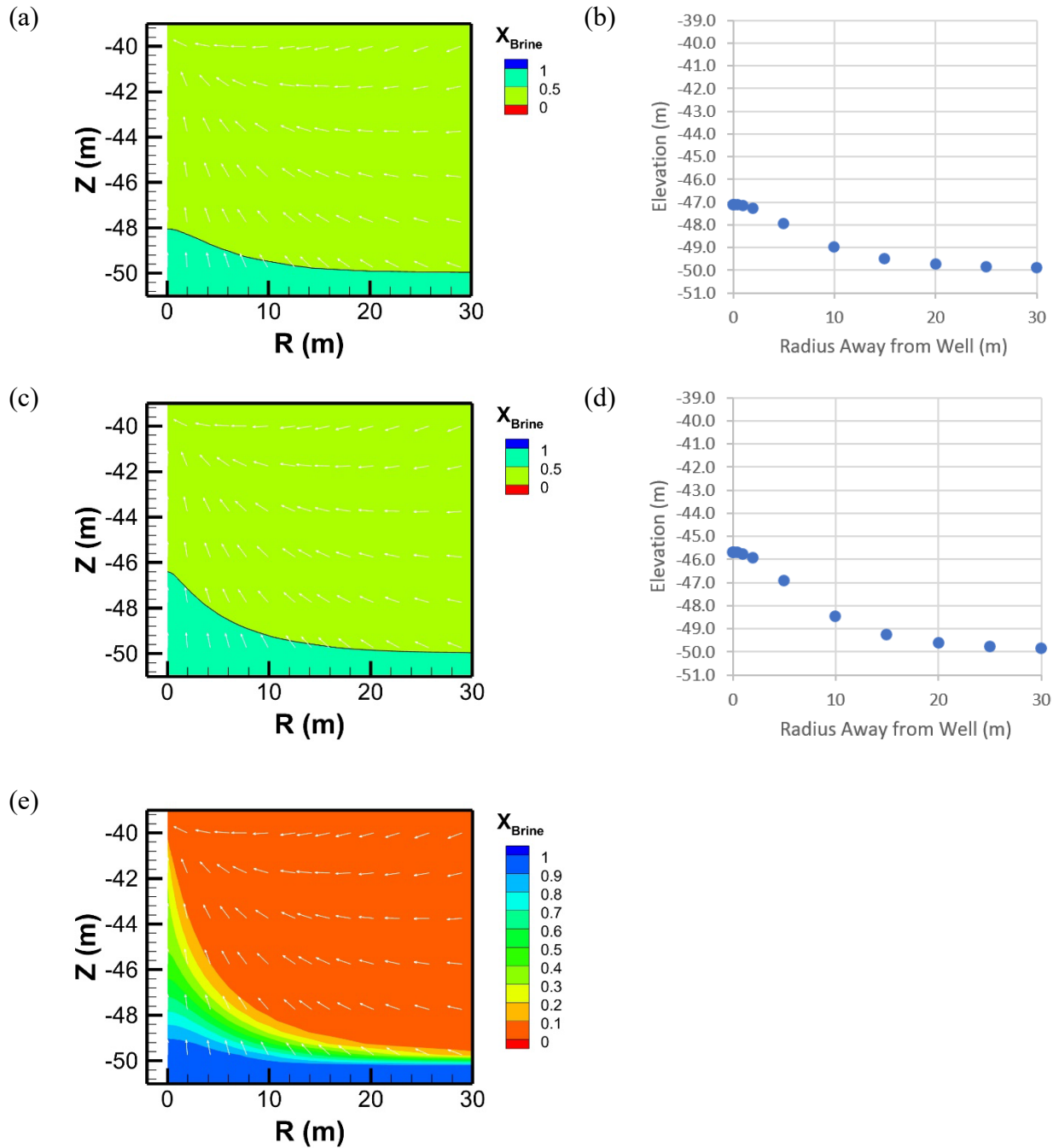
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302 *Figure 4. (a) Two-dimensional radial (RZ) domain, discretization (the lines show connections),*
303 *and boundary conditions for testing TOUGH2 simulations of water-brine upconing against the*
304 *DB model. The brine layer is represented by the light gray color.*

305 Simulation results of upconing of brine into a freshwater layer from iTOUGH2 (using TOUGH2
306 as its forward model) are shown in Figure 5. Simulation results of the 0.5 isopleth of brine mass
307 fraction and the DB model brine interface are in fair agreement at $t = 4$ hrs and 6 hrs, with
308 upconing slightly less in the simulation results than in the DB model. The shapes of the 0.5
309 isopleth and interfaces agree well in the two models.

310 It is important to point out that the DB model and iTOUGH2 differ in that the DB model is a
311 sharp-interface model whereas TOUGH2 is modeling a continuously variable brine mass fraction
312 in the aqueous phase, resulting in a continuously variable density field. Note that the TOUGH2
313 results are plotted by the aqueous brine mass fraction isopleth equal to 0.5 (even though the brine
314 mass fraction field is actually smeared as shown in Figure 5e), along with liquid flow direction
315 vectors. This inherent smearing by the numerical model is one reason for the smaller upconing of
316 TOUGH2. Specifically, as denser brine upcones and is withdrawn at a constant mass flow rate,
317 the volumetric withdrawal is less leading to less pressure drawdown and less upconing. The
318 smearing and dilution of the upward-moving brine plume is enhanced by converging flow as
319 fresh-water flows in radially from the sides at the same time brine is pulled upwards from below
320 to the well.

321



322 Figure 5. Results of a water-brine upconing problem. (a) TOUGH2 results as shown by the 0.5
 323 isopleth of brine mass fraction at $t = 4$ hrs, (b) DB model results at $t = 4$ hrs, (c) TOUGH2
 324 results at $t = 6$ hrs, and (d) DB model results at $t = 6$ hrs. Frame (e) shows the TOUGH2 model
 325 results at $t = 6$ hrs for multiple contours of the brine mass fraction.

326

327 **5.3 H₂-Water System**

328 The second comparison is between iTOUGH2-EOS7CH simulations of two-phase H₂ withdrawal
329 and the single-phase DB model. The parameters of the case are given in Table 2. The small
330 differences in the densities and viscosities shown in the table occur because of differences in the
331 equations of state used for the DB model input in Excel and in EOS7CH, and because the DB
332 model assumes pure fluids whereas phases may be impure (mixtures of multiple components) in
333 our simulations. Owing to the preference by potential UHS operators for high-porosity and high-
334 permeability reservoirs, it is justifiable to neglect capillary pressure in the numerical simulations
335 given the low P_{cap} values expected for clastic sandstones. Another property of two-phase systems
336 not present in the single-phase DB model, but important in the two-phase simulation, is relative
337 permeability (k_{rel}). A linear relative permeability relation with $S_{lr} = 0.99$ tends to sharpen a liquid
338 phase front, and we use that approach here for comparison against the DB model results, which
339 assumes a sharp interface between the two fluids.

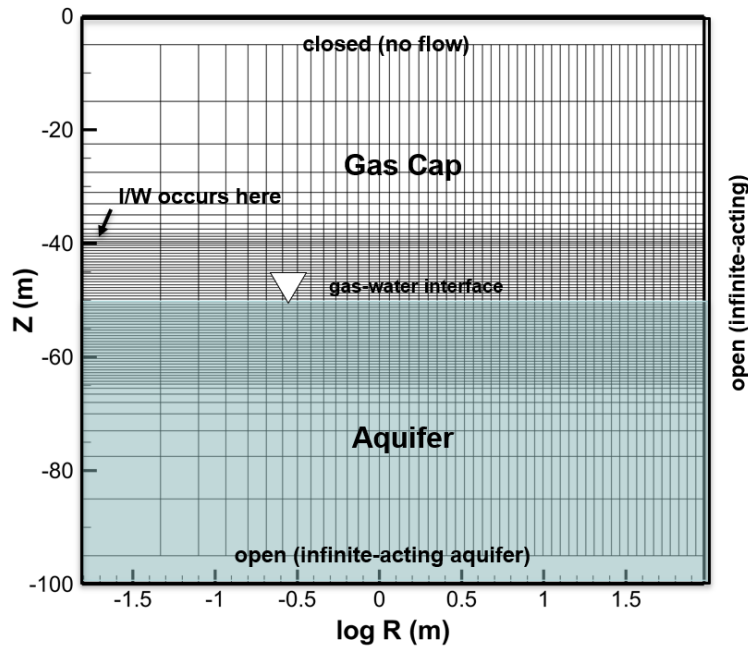
340 Although recent studies have elucidated hysteretic behavior of k_{rel} in H₂-water systems (Lysy et
341 al., 2022; Bo et al., 2023; Jangda et al., 2023), we assume non-hysteretic k_{rel} in this study.
342 Hysteresis will certainly impact two-phase flow during upconing (imbibition) and downconing
343 (drainage) around the gas-water interface and potentially lead to trapping of H₂ in around the
344 gas-water interface with impacts on efficiency of extracting gas. But our focus is on preventing
345 or controlling upconing rather than storage efficiency or trapping of H₂. The fundamental cause
346 of upconing is the pressure drawdown that occurs at the well during gas withdrawal. As we will
347 show in this study, drawdown at the well is influenced mostly by how easily gas can flow to the
348 well from the side (as controlled by horizontal permeability) and by the rate that gas is being
349 withdrawn. Upconed water, and the associated flow and trapping processes attending hysteretic
350 effects, need to be kept far enough away from well perforations that they do not negatively
351 impact UHS operations. Our study is focused on defining the intrinsic reservoir properties and
352 operational parameters that are critical for avoiding this upconing of water to the well.

353 The domain and boundary conditions for this comparison case are shown in Figure 6. We
354 discretized the domain in the Z -direction with a graded mesh refined around the I/W level using
355 72 rows, and in the R -direction two equally spaced columns each 0.031 m in radius at the center
356 (left-hand side) and then logarithmic spacing out to 100 m, totaling 52 columns. Note the open
357 (infinite aquifer) boundary conditions on the bottom and right-hand side are chosen to match
358 assumptions of the DB model rather than for realism relative to UHS in confined aquifers or
359 depleted gas reservoirs. Note also that for the comparisons against the DB model in this section,
360 we simulate withdrawal only and the initial condition is a flat-lying gas-water interface. In a later
361 section of the paper, we present an injection and withdrawal cycle and discuss the implications
362 and sensitivity relative to minimizing upconing for the six-hour I/W use case.

363 *Table 2 (corrected). Properties of the H₂-water upconing system for comparison against the DB*
 364 *model.*

Property	DB model	Used for TOUGH2
Gas cap thickness, total reservoir thickness, and radial extent (outer radius) of the reservoir	infinite, infinite, infinite	50 m, 100 m (with open boundary at bottom), 100 m (open boundary condition)
Porosity (ϕ)	0.10	0.10
Permeability (k_H)	$1.0 \times 10^{-12} \text{ m}^2$	$1.0 \times 10^{-12} \text{ m}^2$
Permeability (k_V)	$1.0 \times 10^{-12} \text{ m}^2$	$1.0 \times 10^{-12} \text{ m}^2$
Relative permeability (k_{rel})	Not applicable	Linear with $S_{lr} = 0.99$
Distance from well to H ₂ -water interface (d)	10 m	10 m
Extraction rate of rate of H ₂ (Q_m)	-5.5 kg s^{-1}	-5.5 kg s^{-1}
Density of water	996 kg m^{-3}	996 kg m^{-3}
Density of H ₂	7.32 kg m^{-3}	7.87 kg m^{-3}
Viscosity of water	$6.54 \times 10^{-4} \text{ Pa s}$	$5.11 \times 10^{-4} \text{ Pa s}$
Viscosity of H ₂	$9.31 \times 10^{-6} \text{ Pa s}$	$9.53 \times 10^{-6} \text{ Pa s}$

365



366

367 *Figure 6. Two-dimensional radial (RZ) domain, boundary conditions, initial aquifer (blue) and*
 368 *gas cap regions, and discretization (lines show connections) for testing against the DB model.*

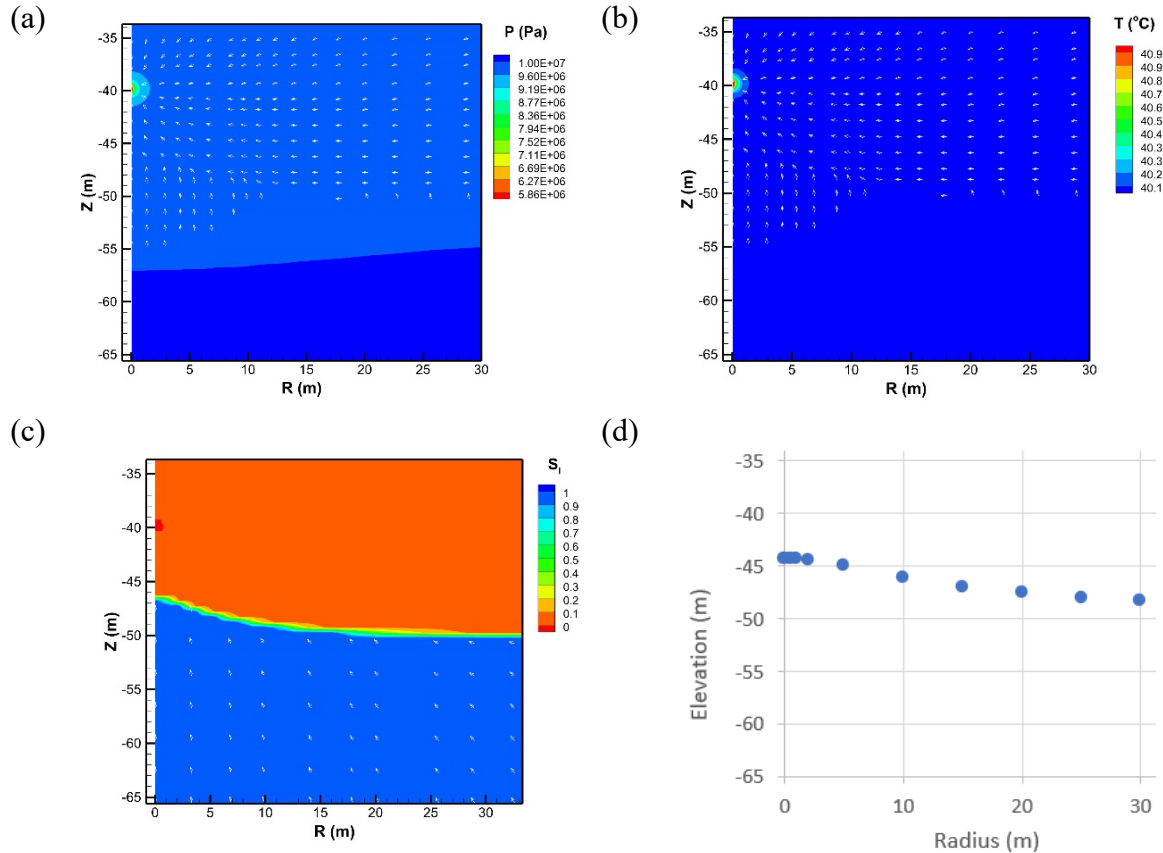
369

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370 Figure 7 shows the TOUGH2 results of water upconing arising from H₂ withdrawal at -5.5 kg/s
371 on the left-hand side (center of the *RZ*) at $Z = -40$ m. Note that we distributed the -5.5 kg/s mass
372 sink scaled by grid-block volume among four grid blocks in the near-well region to avoid
373 excessive local drawdown in the highly refined discretization at the well. The simulation results
374 show that water upcones to a height of approximately 3 m. Note from the temperature field that
375 the H₂ heats up slightly as it decompresses moving toward the extraction point (Figure 7c) due to
376 the negative Joule-Thomson coefficient of H₂. Finally, Figure 7d shows that the DB model
377 predicts 5.6 m of upconing and an upconed region that is broader than the TOUGH2 result.

378 The DB model is parameterized using volumetric withdrawal of an incompressible liquid (water)
379 whereas in this UHS system it is highly compressible H₂ that is being withdrawn at a constant
380 mass rate. The drawdown caused by gas withdrawal is less for a compressible gas because gas
381 expansion during withdrawal suppresses the pressure drop.

382 Overall, the TOUGH2 simulation is modeling more processes and mechanisms (e.g., pressure-
383 dependent densities, relative permeability effects) than are accounted for in the single-phase DB
384 model. We conclude from this comparison that the DB model can only approximately represent
385 upconing for this H₂-water system. This result illustrates that the DB model provides only a first-
386 order approximation of water upconing in UHS systems, and that numerical simulations are
387 needed to accurately model the details of upconing in UHS systems.

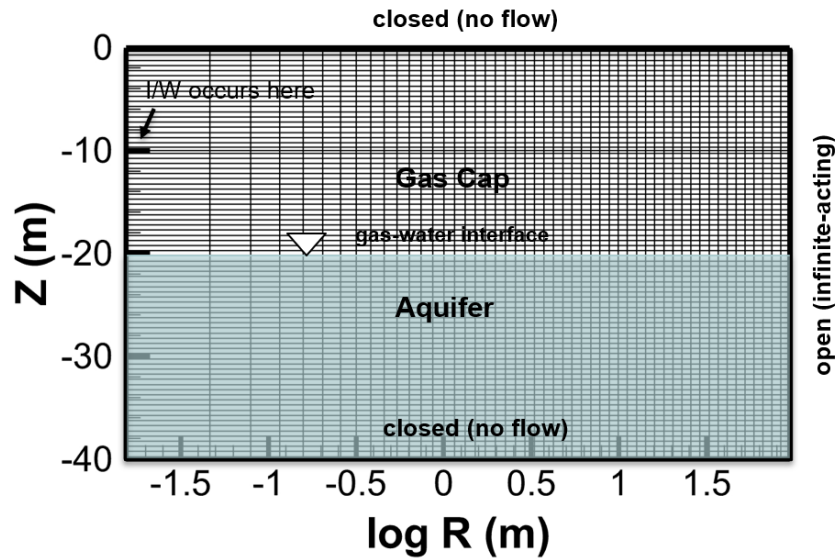


388 *Figure 7. Simulation results of water upconing after 6 hrs of withdrawal show TOUGH2 results*
 389 *of (a) pressure and gas flow direction vectors, (b) temperature and gas flow direction vectors,*
 390 *and (c) liquid saturation and liquid flow direction vectors. Frame (d) shows the DB model result.*

391 6 Simulation of UHS Upconing in a Generic Reservoir System

392 6.1 Domain and Discretization

393 To more accurately assess upconing in UHS and carry out sensitivity analyses, we next move
 394 away from the DB model assumptions while still using a simplified generic 2D radial (RZ)
 395 system. Although the UHS system is still highly idealized both in geometry and properties (e.g.,
 396 horizontal and homogeneous), our model simulates fully coupled flow and transport processes
 397 based on state-of-the-art equations of state to estimate fluid properties (Oldenburg and Finsterle,
 398 2023). The domain and boundary conditions are shown in Figure 8. We discretized the domain in
 399 the Z -direction using 77 rows 0.5 m thick with a local refinement (0.25 m-thick layer) at the I/W
 400 depth for a total of 81 rows, and in the R -direction we used two equal-spaced columns each
 401 0.031 m in radius at the center (left-hand side) and then with logarithmic spacing out to 100 m
 402 totaling 52 columns. We carry out I/W into two radial grid blocks with rates scaled by grid-block
 403 volume for an effective well radius of 0.062 m.



404

405 *Figure 8. Two-dimensional radial (RZ) domain, boundary conditions, and discretization (lines*
406 *show connections) for a generic single-well two-phase system showing initial gas and aquifer*
407 *(blue) regions in the reservoir.*

408

409 **6.2 Properties of the UHS System**

410 The properties of the reservoir and well for the generic UHS system modeled below are provided
411 in Table 3. Because the reservoir rock assumed here is a coarse sandstone, capillary pressure
412 effects are small and we assume P_{cap} is zero. The parameters of the van Genuchten model
413 (Luckner et al., 1989) for k_{rel} are presented in Table 3. Molecular diffusion fluxes in TOUGH2
414 are calculated using diffusion coefficients such as those shown in Table 3 along with several
415 other multipliers (Pruess et al., 2012). Although all of our simulations are carried out non-
416 isothermally and some interesting thermal effects are observed, the magnitude of these effects is
417 small and not significant from an operational perspective.

418 As described in an earlier section, the use case scenario involves a daily cycle of injection of H_2
419 for six hours at a constant rate into the reservoir which is initially at a hydrostatic pressure of
420 approximately 10 Mpa (100 bars) and a temperature of 40 °C. This is followed by six hours of
421 withdrawal of mass from the reservoir, which is followed by 12 hours of well shut-in.

422

423 *Table 3. Reservoir properties for the generic UHS system.*

Reservoir Properties	
Thickness and extent (radius) of the reservoir	40 m, 100 m (open boundary)
Depth of top of reservoir	1000 m
Initial pressure at bottom of gas cap	10 Mpa
Initial temperature	40.0 °C
Porosity (ϕ)	0.10
Permeability (k_R)	$1.0 \times 10^{-12} \text{ m}^2$
Permeability (k_V)	$1.0 \times 10^{-12} \text{ m}^2$
Pore compressibility	$1 \times 10^{-10} \text{ Pa}^{-1}$
Thermal conductivity of water-saturated reservoir formation	2.50 W/(m K)
Heat capacity (C_P) of saturated reservoir	1000 J/(kg K)
Relative Permeability (k_r) Modified van Genuchten (Luckner et al. (1989) k_r model $S_{el} = \frac{S_l - S_{lr}}{1 - S_{lr}}$ $S_{eg} = \frac{S_l}{1 - S_{gr}}$ $k_{rl} = S_{el}^\eta \cdot \left[1 - \left(1 - S_{el}^{1/m} \right)^m \right]^2$ $k_{rg} = \left(1 - S_{eg} \right)^\xi \left(1 - S_{eg}^{1/m} \right)^{2m}$	$S_{lr} = 0.076$ $S_{gr} = 0.10$ $m = 0.7$ $\eta = 0.5$ $\xi = 1/3$
Initial saturation	Aqueous phase at residual gas saturation (0.076) in the gas cap, with gas-water interface at $Z = -20 \text{ m}$
Molecular binary diffusion coefficient (D)	$1.0 \times 10^{-5} \text{ m}^2 \text{ s}^{-1}$ (gas phase) $1.0 \times 10^{-10} \text{ m}^2 \text{ s}^{-1}$ (aqueous phase)

424

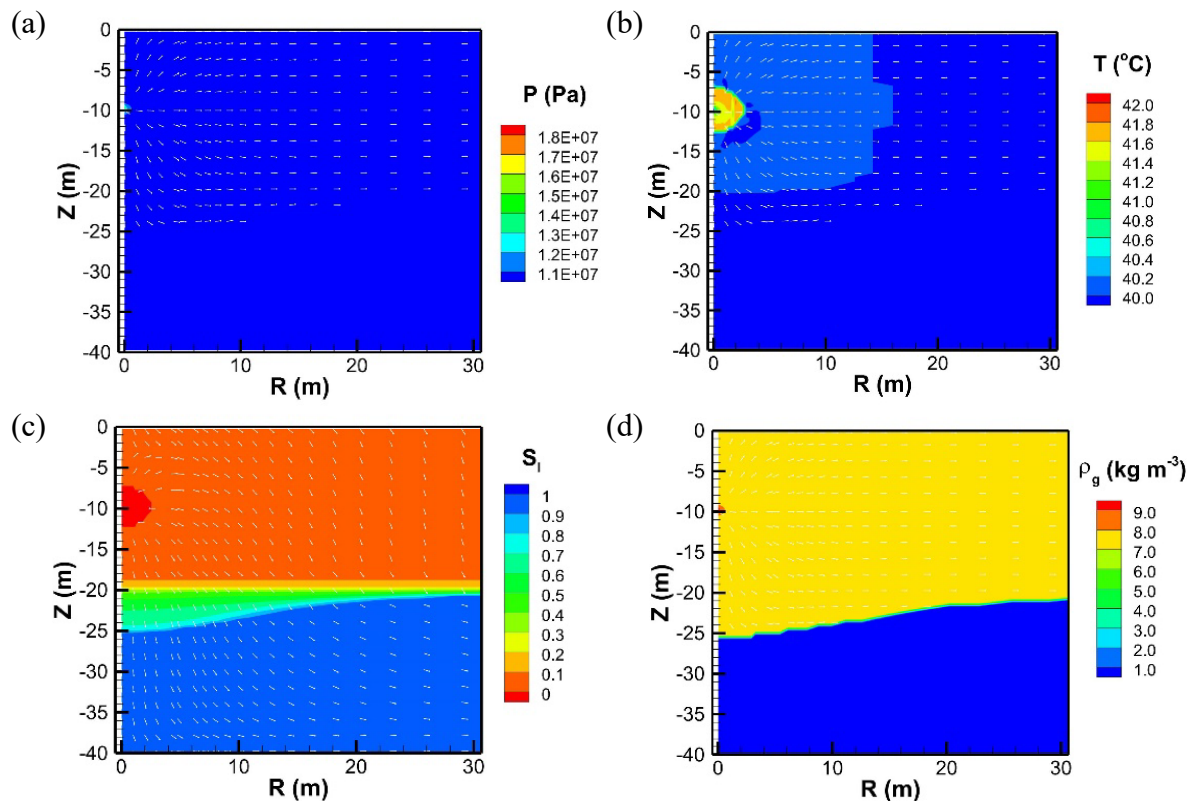
425 **6.3 Numerical Simulation of Injection and Withdrawal**

426 We show results of a single injection and withdrawal (I/W) cycle (24 hours) with focus on the
427 motion of the gas-water interface. The initial conditions of the system are gas-static pressure
428 above a sharp gas-water interface at $Z = -20 \text{ m}$, with hydrostatic pressure below, constant
429 temperature of 40 °C, and a hydrogen-filled pure gas head space above a single-phase aqueous
430 region.

431 For the simulations of I/W, we distributed the source/sink terms scaled by grid-block volume
432 among four grid blocks along the left-hand side at the well location. As with the DB-like UHS
433 case shown previously, this use of four grid blocks instead of one for I/W arose from the strong
434 local drawdown in pressure that occurs when withdrawal is from one small “well” grid block; by

435 distributing the mass extraction among four grid blocks, the drawdown around the “well” is less.
 436 While approaching a vacuum is not an issue during the injection period, for consistency we use
 437 the same four grid blocks for both injection and withdrawal.

438 Figure 9 shows simulated results of the pressure, temperature, saturation, and gas density fields
 439 following six hours of injection of 40 °C H₂ at 5.5 kg s⁻¹. For visualization, we show results only
 440 for a portion of the domain out to $R = 30$ m because conditions are largely unchanged in the rest
 441 of the domain. As shown, injection increases the pressure in the near-well region. Gas velocity
 442 directions are shown by the uniform-length arrows in Figure 9a. Interesting non-isothermal
 443 effects are evident in Figure 9b. The small heating and cooling effects are likely arising from a
 444 combination of Joule-Thomson and latent heat effects from evaporation/condensation of water.
 445 Figure 9d shows that the main effect of downconing is the push down of the gas-water interface
 446 as H₂ is injected.

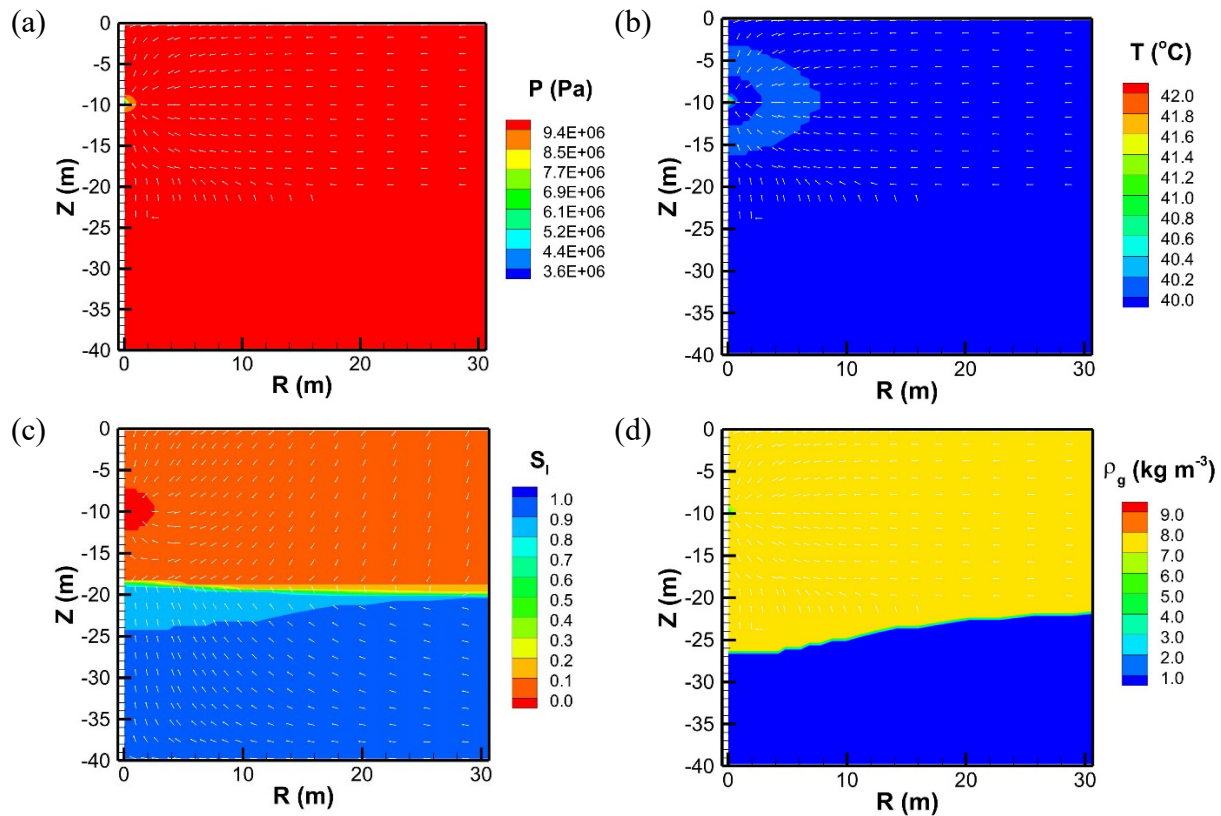


447 *Figure 9. Simulation results for the subregion ($0 \text{ m} < R < 30 \text{ m}$) for the case of injection of H₂ at*
 448 *5.5 kg/s at $t = 6$ hrs showing (a) pressure and gas velocity direction vectors, (b) temperature and*
 449 *gas velocity-direction vectors; (c) liquid saturation (S_l) and liquid velocity-direction vectors; and*
 450 *(d) gas density.*

451 Figure 10 shows the simulation results after six hours of withdrawal. Note the minor
 452 decompression-related heating below the withdrawal blocks at $R = 0$, $Z = -40$ m due to the
 453 negative Joule-Thomson coefficient of H₂. The main result to note in Figure 10 is the recovery of

454 the gas-water interface from its downconed position after six hours of injection to its recovered
 455 position after six hours of withdrawal. The simulation shows that injection causes a downconing
 456 (pushdown) effect on the gas-water interface that reduces the height of upconing relative to the
 457 well bottom location during the subsequent withdrawal period. It appears that this daily cyclic
 458 six-hour I/W use case mitigates the detrimental effects of upconing. In short, injection depresses
 459 the gas-water interface, and withdrawal tends to restore it to its initial position rather than pulling
 460 it up to the bottom of the well.

461

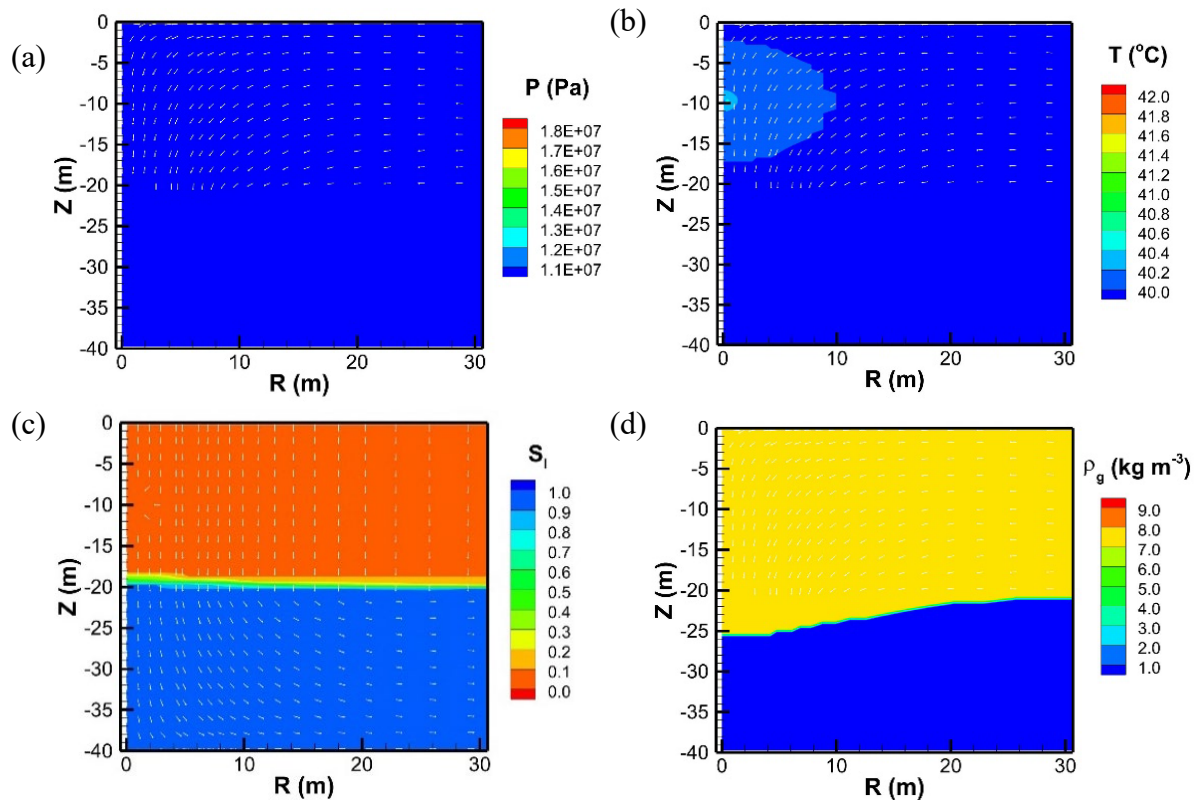


462 *Figure 10. Simulation results after 6 hrs of withdrawal of H₂ (total t = 12 hrs) in the subregion 0*
 463 *m < R < 30 m showing (a) pressure and gas velocity direction vectors, (b) temperature and gas*
 464 *velocity direction vectors; (c) liquid saturation (S_l) and liquid velocity direction vectors; and (d)*
 465 *gas density.*

466 Figure 11 shows results following the 12 hours of shut-in associated with the use case (total
 467 t = 24 hrs). Frame (c) shows the continued recovery of the gas-water interface to its initial
 468 horizontal position. Overall, despite the interesting thermal effects observed (e.g., Figure 9b), we
 469 conclude that the magnitude of the effects is very small, and non-isothermal effects are not
 470 important for analyzing the issue of upconing as a detriment to technical feasibility of UHS.

471 The simulation results of the cyclic use case involving a mass-balanced six hours of injection and
 472 withdrawal shown in Figures 9–11 show that cycling tends to mitigate upconing of water toward

473 the well because injection causes downconing or depression of the gas-water interface, while
 474 withdrawal causes the gas-water interface to move back upwards. But because the gas-water
 475 interface starts in a downconed position for withdrawal, it tends to be pulled upward only close
 476 to its starting (horizontal) position rather than up to the well as it tends to do when starting
 477 withdrawal with a horizontal gas-water interface. In order to evaluate this effect more fully, we
 478 carried out multiple cycles of I/W and observed a net upconing effect as described below in
 479 Section 7.2.



480 *Figure 11. Simulation results after 12 hours of shut-in (total $t = 24$ hrs) showing (a) pressure*
 481 *and gas velocity direction vectors, (b) temperature and gas velocity vectors; (c) liquid saturation*
 482 *(S_l) and liquid velocity vectors; and (d) gas density.*

483 7 Sensitivity Analysis of Water Upconing

484 7.1 DB Model Sensitivity Analysis

485 Although the DB model results presented in a previous section were shown to be very
 486 approximate for water upconing in UHS, the DB model was shown to account for fundamental
 487 controls on upconing and is therefore useful for sensitivity analyses. Using the Argo add-in to
 488 Excel, Monte Carlo simulations of the DB model were carried out followed by a sensitivity
 489 (correlation) analysis. Pearson sensitivity coefficients based on 1000 trials using random samples
 490 from uniform distributions as shown in Table 4 are reported in Tables 5–7. We color-coded the
 491 influence of the top three parameters from highest (red), intermediate (green), to lowest (blue).

492 As shown, k_H is consistently the most important parameter controlling upconing, with high k_H
 493 favoring less upconing. k_V is also important, but much less so than k_H . The reason for this is that
 494 high k_H allows lateral flow from the large and laterally expanding radial volume to the well,
 495 preventing the hydraulic drawdown that leads to upconing. High k_V has the same effect of
 496 preventing drawdown, but the reservoir volume available to provide fluid vertically to the well is
 497 miniscule compared to the access to reservoir volume facilitated by k_H .

498 The sensitivity analysis shows the expected large influence of porosity (ϕ) at early times, and
 499 decline in importance at late times. Regarding the engineered (operational) parameter,
 500 withdrawal rate (Q), the sensitivity analysis shows Q not being all that influential. Note that this
 501 is partly a result of different scaling factors applied to different parameters (Finsterle, 2015). The
 502 sampling range for the natural, highly variable or uncertain permeabilities is considerably larger
 503 than the range over which the human-controlled operational parameter (specifically Q) are
 504 varied. Moreover, permeability varies by orders of magnitude (we therefore sample it in
 505 logarithmic space), making it appear very influential because “small” changes in k are actually
 506 quite large in absolute terms. On the other hand, Q varies only by 50%. This points out that one
 507 needs to characterize permeability very carefully to reduce uncertainty in k , making predictions
 508 of upconing more accurate and allowing for a more reliable optimization of operational choices
 509 (e.g., Q , d , properties and density of wells, etc.). The initial distance of the well from the
 510 interface (d) is also quite important and should be chosen as large as possible to mitigate
 511 upconing.

512 *Table 4. Distributions for the 1000-trial Monte Carlo sensitivity analysis of the DB model.*

	$\text{Log } k_H (\text{log } m^2)$	$\text{Log } k_V (\text{log } m^2)$	Porosity ϕ	$Q (m^3 s^{-1})$	$d (m)$
Uniform Distribution	(-13, -11)	(-13, -11)	(0.08, 0.22)	(0.6, 0.9)	(5, 15)

513

514 *Table 5. Pearson sensitivity coefficients for water upconing during H_2 withdrawal for DB model*
 515 *at a radius of 0.01 m (i.e., at the well) with the top three most influential variables for short and*
 516 *long times color coded by decreasing influence from red-green-blue (***_**_*)*.

Time Period	k_H	k_V	ϕ	Q	d
Short 100 s	0.468***	0.413**	0.070	0.087	0.250*
Long 6 hrs	0.760***	0.132*	0.04	0.106	0.268**

517

518 *Table 6. Pearson sensitivity coefficients for water upconing during H₂ withdrawal for DB model*
519 *at a radius of 10 m with the top three most influential variables for short and long times color*
520 *coded by decreasing influence from red-green-blue (***-**-*)*.

Time Period	k_H	k_V	ϕ	Q	d
Short 100 s	0.286**	0.274*	0.500***	0.189	0.080
Long 6 hrs	0.826***	0.224**	0.174*	0.109	0.158

521

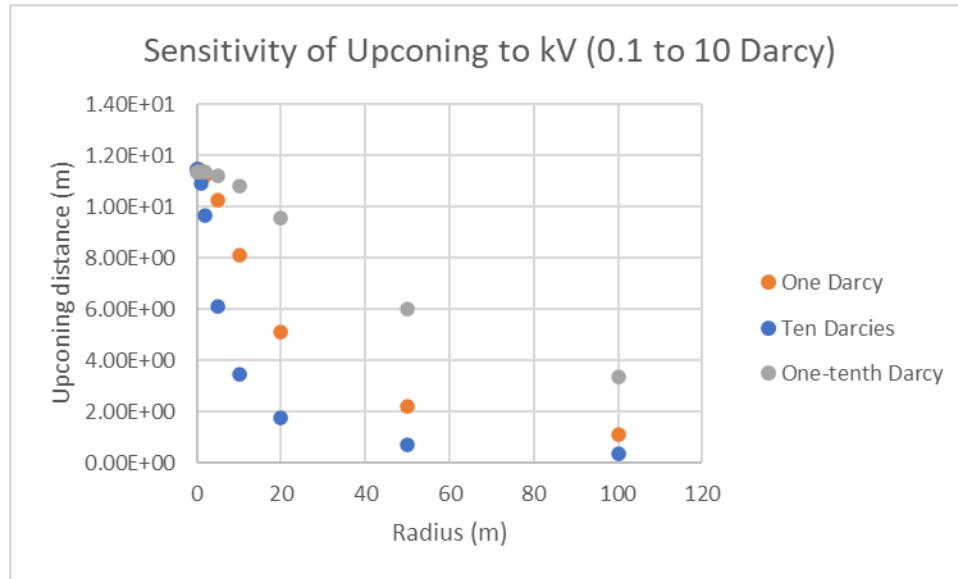
522 *Table 7. Pearson sensitivity coefficients for water upconing during H₂ withdrawal for DB model*
523 *at a radius of 20 m with the top three most influential variables for short and long times color*
524 *coded by decreasing influence from red-green-blue (***-**-*)*.

Time Period	k_H	k_V	ϕ	Q	d
Short 100 s	0.190*	0.102	0.588***	0.249**	0.071
Long 6 hrs	0.814***	0.329**	0.196	0.178	0.152*

525

526

527 Note in Tables 5–7 the increasing influence of k_V on upconing at $t = 6$ hrs as r increases from
528 0.01 to 10 to 20 m (Pearson coefficients 0.132, 0.224, 0.329, respectively). To better illustrate
529 the sensitivity results, we can show explicitly the control of k_V on cone radius in the DB model
530 by holding k_H constant at 1 Darcy (10^{-12} m^2) and varying k_V from 0.1 to 10 Darcies (10^{-13} to 10^{-11}
531 m^2). The profiles of upconing calculated by the DB model for these variations are shown in
532 Figure 12 at 600 hours (near steady state). As shown, the steady-state upconing distance is the
533 same for the three different values of k_V , but the size (radius) of the upconed region is
534 significantly larger for the smaller values of k_V .



535

536 *Figure 12. Upconing profiles for three different values of k_V and constant k_H (10^{-12} m^2) showing*
537 *the broadening of the upconed region that occurs for smaller k_V .*

538 7.2 Sampling-Based Sensitivity Analysis using Multi-Cycle Model

539 In the previous subsections, the degree of upconing and the factors affecting it were examined
540 for systems with increasing complexity. Moreover, the I/W well was modeled roughly as a point
541 sink or source to match the assumption of the DB model. Only one withdrawal period or a single
542 I/W cycle was considered, and capillary pressures were ignored. In this final sensitivity analysis,
543 some of these simplifying assumptions are removed to include additional aspects representative
544 of the use case with daily I/W cycles for the storage of green hydrogen, as described in Section
545 4.2. Despite the added realism, the model remains generic; additional complexities can be added
546 once information about site-specific formation characteristics and the operational design become
547 available.

548 In this model of upconing, we again look at a radial system of the storage reservoir at a depth of
549 approximately 1 km, where a 20 m thick gas cap has been emplaced within the storage aquifer
550 prior to the beginning of cyclic I/W operations. The well is considered to be perforated starting
551 from the top of the storage formation towards the gas-water interface. The length of the

552 perforated well section is 10 m for the base case, but will be varied as part of the sensitivity
553 analysis. The magnitude of the injection and withdrawal rates are identical, with the sink and
554 source terms specified at the top of the perforated well interval. As before, a daily cycle consists
555 of six hours of H₂ injection, six hours of gas withdrawal, and 12 hours of shut-in (see schedule
556 shown in Figure 3); 100 such cycles are simulated. The domain dimensions and boundary
557 conditions as shown in Figure 4. Reference properties are as shown in Table 3 except we include
558 capillary pressure using van Genuchten's water retention function (Luckner et al., 1989) with a
559 capillary strength parameter α of 0.001 Pa⁻¹.

560 Figure 13 shows the movement of the gas-water interface over time immediately below the well
561 relative to its initial elevation at -20 m below the caprock. Negative values indicate downconing,
562 i.e., the depression of the saturated zone due to the high gas pressures caused by hydrogen
563 injection. Positive values reflect upconing of the gas-water interface towards the well during
564 withdrawal. The upconing here amounts to less than 5 m; consequently, there is no water
565 breakthrough to the well (watering out).

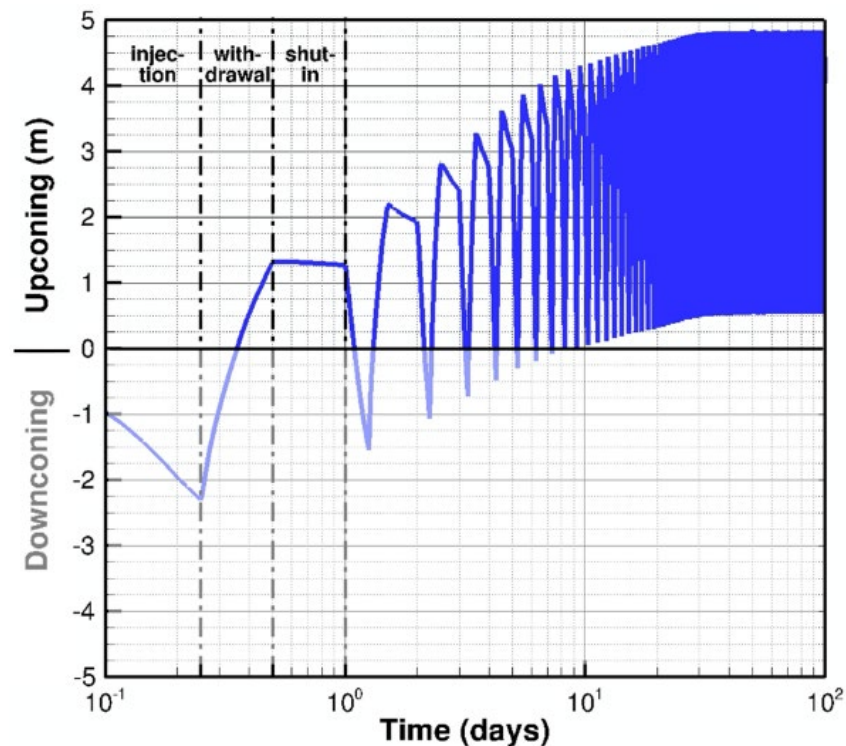
566 Note that gas-water interfaces in porous media are not sharp under the conceptualization of two-
567 phase flow in porous media by Darcy's law. Particularly during the hydrogen injection period,
568 capillary forces and flow interference between the advancing gas and regressing liquid phases
569 create a relatively broad region with both phases present at intermediate saturations. However,
570 even under static conditions, capillary forces lead to a fringe zone with a transitional saturation
571 profile that follows the prescribed water retention curve. In what follows, the location of the gas-
572 water interface is defined as a saturation-weighted distance, which very closely reflects the
573 height of the gas-water interface during the withdrawal period (i.e., capturing the relevant
574 upconing distance); during downconing, the same saturation-weighted distance is an
575 approximate distance of how far the gas plume penetrates into the groundwater.

576 As shown in Figure 13, during the first injection of hydrogen, the initially flat gas-water interface
577 is depressed by approximately 2.3 m at the center of the radial system (under the well). Upconing
578 during the subsequent withdrawal period reverses that process and leads to an additional
579 upconing of about 1.3 m above the elevation of the initial gas-water interface. Despite using the
580 same injection and withdrawal rates, the relative upconing distance (approximately 3.6 m) is
581 greater than the first downconing distance (2.3 m). This asymmetry reflects a stronger pressure
582 drop during withdrawal relative to pressure drop during injection. One reason for the larger
583 pressure drop during withdrawal is that the volumetric gas flux during withdrawal at constant
584 mass flow rate is larger than during injection when the gas is compressed.

585 During the 12-hour shut-in period, the weak radial pressure gradient of the small water mound
586 leads to a slow recovery and thus minor gas-water interface decline. As a result, at the beginning
587 of the second cycle, the gas-water interface is slightly elevated above its initial depth. During the
588 second and subsequent gas injections, it is increasingly easier to displace the fluid of the elevated
589 water cone compared to the flat initial condition, as water in the upconed region is closer to the

590 injection well. Moreover, there already exists a radial pressure gradient supporting the lateral and
591 downward water displacement. This leads to a somewhat greater relative drop in the gas-water
592 interface, albeit from an ever-rising elevation of the starting point at the end of each shut-in
593 period. With each cycle, the gas-water interface is raised. This super-elevation is asymptotic as
594 upconing is countered by the increasing weight of the water mound, a faster recovery during the
595 shut-in period, and a more efficient downconing during injection. After about 30 daily cycles, the
596 maximum upconed interface elevation is essentially stabilized at a value of 4.8 m above its initial
597 elevation. The amplitude of the gas-water interface fluctuations increases from 3.6 m for the first
598 cycle to approximately 4.2 m. This evolution indicates that the asymmetry of downconing and
599 upconing during the injection and withdrawal periods, respectively, requires that the dynamics of
600 repeated cycling must be taken into account for the estimation of maximum upconing. However,
601 a near-steady value is reached after about 30 days, a very short spin-up period relative to the
602 intended duration of a hydrogen storage project.

603

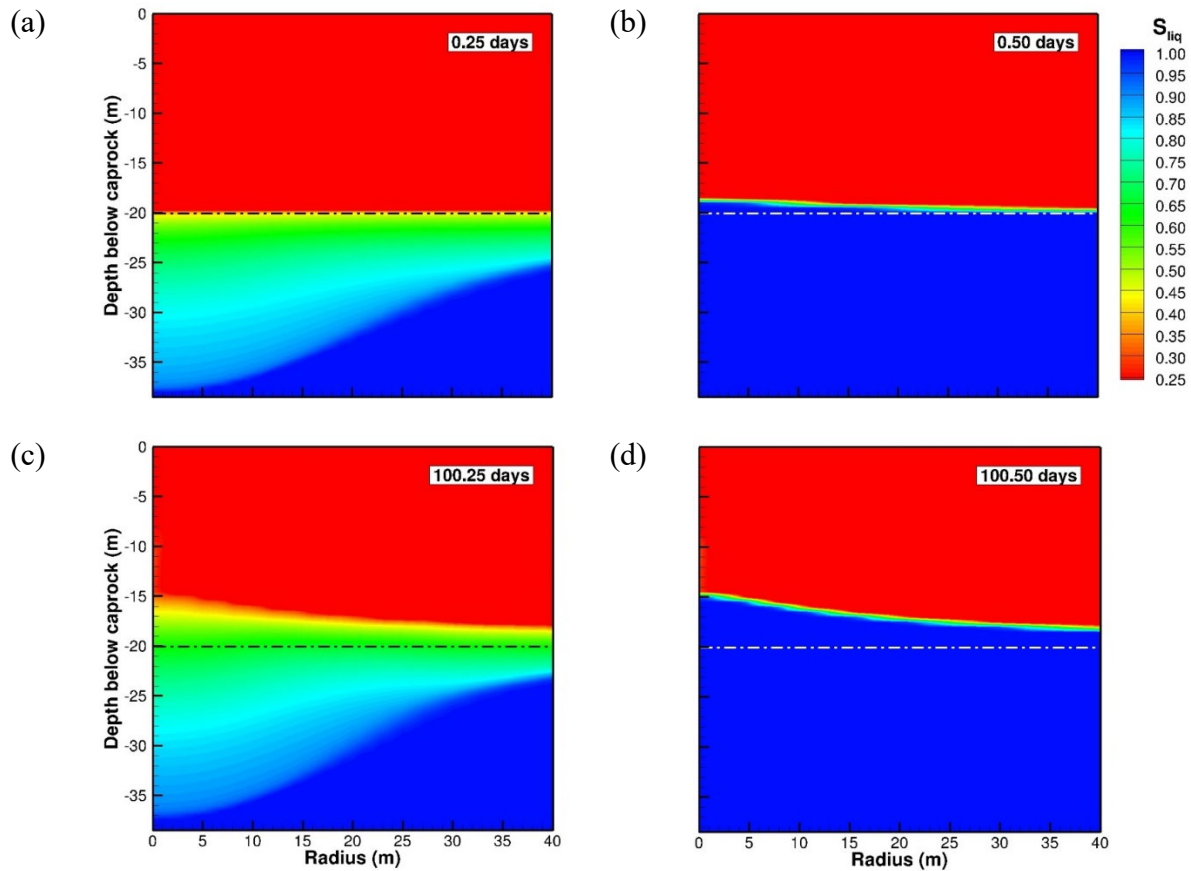


604

605 *Figure 13. Saturation-weighted downconing and upconing distances relative to the initial*
606 *elevation of the gas-water interface at -20 m below the caprock as a function of time.*

607 Figure 14 shows the saturation distributions at the end of the first and last injection and
608 withdrawal cycle, indicating the broader and widening saturation fronts during downconing,
609 whereas the fringe zone during upconing remains narrow and constant. Maximum upconing after
610 the first withdrawal is limited (1.3 m above the initial gas-water interface), but is considerably

611 higher (4.8 m) and radially more extensive once the system is stabilized. These results are for
612 non-hysteretic k_{rel} , and may look different if hysteretic k_{rel} is modeled (e.g., Bo et al., 2023).



613

614

615 *Figure 14. Saturation distributions showing downconing after hydrogen injection at (a) 0.25*
616 *days and (c) 100.25 days, and upconing after gas withdrawal at (b) 0.5 days and (d) 100.5 days,*
617 *i.e., after one and 101 daily cycles.*

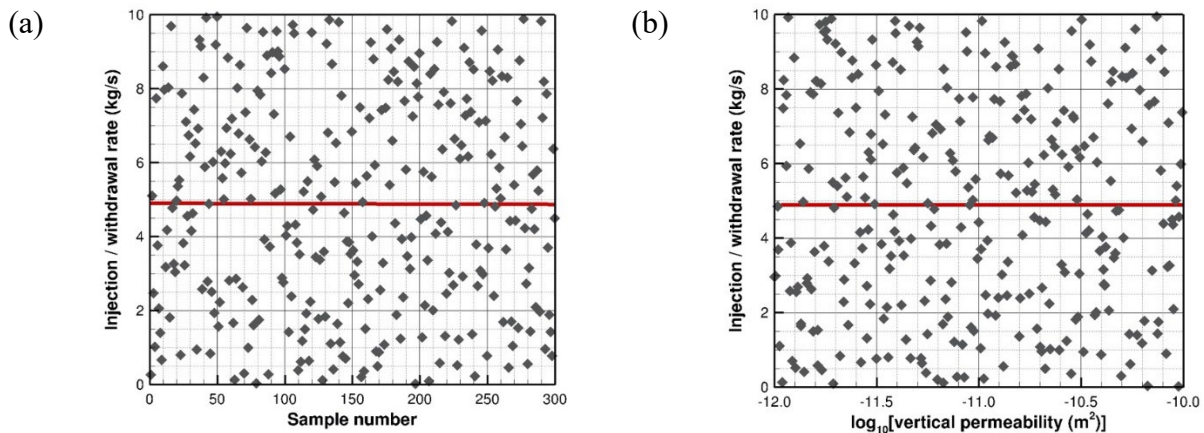
618 Using the model described above, we present next a sampling-based, global sensitivity analysis
619 in which previously discussed key parameters of upconing are varied. Each model input
620 parameter is independently and randomly sampled (using Latin hypercube sampling) from a
621 uniform distribution over the ranges indicated in Table 8. The samples are then randomly
622 combined into 300 parameter sets. For a sensitivity analysis, the purpose of sampling is simply to
623 explore the parameter space, which calls for the use of a uniform distribution that avoids
624 correlations among the parameters. (This is different from the sampling design used for
625 uncertainty quantification, where typically a non-uniform, distribution is selected to reflect the
626 uncertainty or variability of the input parameters, and where correlations among the parameters
627 are to be accounted for.)

628 *Table 8. Ranges of parameters uniformly sampled for a global sensitivity analysis.*

Parameter	Minimum value	Maximum value
Injection / withdrawal rate (kg/s)	0.0	10.0
Distance of well bottom to gas-water interface (m)	0.0	15.0
\log_{10} [horizontal permeability (m^2)]	-12.0	-10.0
\log_{10} [vertical permeability (m^2)]	-12.0	-10.0
porosity	0.05	0.20

629

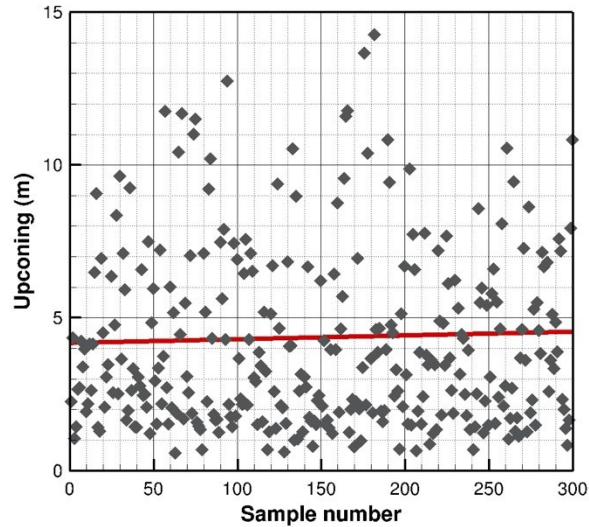
630 Figure 15 shows the 300 samples of the I/W rate as an example. The red regression line is
 631 horizontal and passes through the midpoint of the parameter range, demonstrating that the
 632 sampling is unbiased. The cross-plot of the sampled rate and horizontal permeabilities covers the
 633 desired parameter space. The red regression line is horizontal, confirming that the sampled
 634 parameters are not correlated to each other.



635 *Figure 15. Example of random sampling of input parameters: The horizontal regression lines*
 636 *indicate (a) that sampling is uniform and (b) uncorrelated.*

637 For each of the 300 parameter sets, the model is run for 10 days, simulating 10 cycles of
 638 hydrogen injection, gas withdrawal, and shut-in recovery, approaching the stabilized value of the
 639 long-term upconing maximum. While the entire system state at any point in space and time is
 640 available for each of the 300 realizations, we focus here on a single performance metric of
 641 interest, which is the maximum upconing distance from the initial gas-water interface towards
 642 the well at the end of the withdrawal period.

643 Figure 16 shows the calculated upconing distance for each realization. The uniformly sampled
 644 and randomly combined input parameter sets yield a non-uniform, skewed distribution of
 645 upconing distances.



646

647 *Figure 16. Maximum upconing distance simulated for 300 random, uncorrelated parameter sets.*

648 Multiple global sensitivity analysis methods exist that provide composite, statistical measures
649 that show the relative influence of parameters and the degree model to which predictions are
650 affected by non-linearities and parameter interactions (Saltelli et al., 2008; Wainwright et al.,
651 2014). Here, we simply provide the scatter plots as a direct visual means for identifying the
652 relative influence of a parameter on the predicted upconing distance.

653 Each panel of Figure 17 contains the same scatter points of upconing as those shown in Figure
654 16. However, they are rearranged to reveal their dependence on each of the five model input
655 parameters. For a given parameter value on the horizontal axis, the vertical scatter indicates the
656 variability obtained by randomly changing the other four adjustable parameters.

657 The regression line shows the average dependence of upconing with respect to the selected
658 parameter. A horizontal regression line indicates that the corresponding parameter has no
659 influence on upconing; a steeply sloping regression line indicates that the parameter is
660 influential, as the systematic impact of changing the parameter is significant compared to the
661 variability in upconing caused by randomly changing the other parameters. The positive or
662 negative slope indicates the sign of the sensitivity coefficient. Because the performance metric
663 (vertical axis) is the same for all plots, and the ranges given in Table 8 can be considered
664 reasonable as they reflect the expected variability of storage formation properties (permeabilities
665 and porosity) and design parameters (rate and well penetration), the slopes can be directly
666 compared to each other as a measure of relative parameter influence.

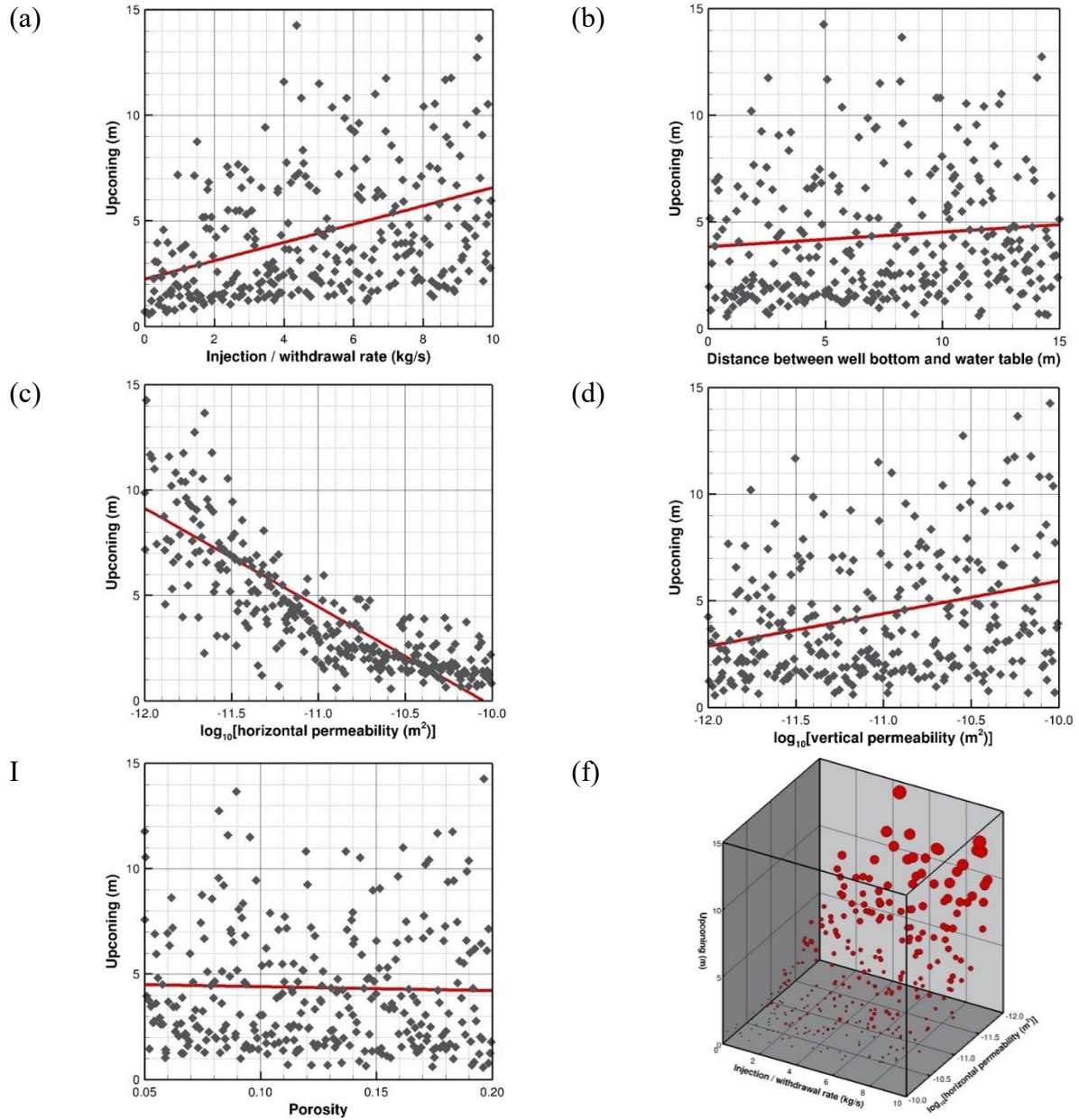
667 Based on such a comparison of the slopes of the regression lines, the horizontal permeability is
668 identified as the parameter with the greatest influence on upconing, consistent with the findings
669 previously presented in Section 7.1. The negative slope reveals that the higher the horizontal
670 permeability, the smaller the water upconing in response to gas withdrawal. Note that the scatter

671 plot suggests that the slope of the average upconing changes with permeability, with higher
672 sensitivities for low permeability values and lower sensitivities for high permeabilities. This is
673 expected due to the physical limit of zero upconing at very large permeabilities. While the linear
674 regression does not capture this non-linearity, its purpose of representing an average influence of
675 the selected parameter range is preserved, and the full sensitivity structure is revealed by the
676 scatter plot. It also demonstrates that a local sensitivity analysis, which assumes that sensitivities
677 do not change over the parameter range of interest, may not be appropriate.

678 The vertical permeability (Figure 17d) is also an influential parameter, but less so than the
679 horizontal permeability (Figure 17c) and the pumping rate (Figure 17a). As discussed above,
680 increasing vertical permeability increases upconing (as indicated by the positive slope of the
681 regression line), which is opposite to the impact that horizontal permeability has on upconing.

682 As expected, the gas withdrawal rate is an important design parameter that can be used to control
683 and mitigate potential upconing issues, e.g., by adjusting well-specific withdrawal rates, or
684 installing additional wells. Well penetration, however, has a weaker influence. While a deeper
685 well gets closer to the gas-water interface—potentially leading to water intake at the bottom of
686 the well—the pressure drawdown is reduced because gas can be withdrawn over a longer
687 perforated well section. The second aspect appears to dominate the system behavior, leading to a
688 positive slope of the regression line with increasing upconing for shorter production intervals
689 despite the fact that the well ends farther away from the initial gas-water interface. (Note that this
690 more realistic conceptualization of a UHS well is not present in the DB model, which
691 conceptualized the well as a point source/sink at a distance d from the initial gas-water interface.)
692 While water may be drawn into the well, it is likely to remain near the bottom of the well rather
693 than being lifted to the land surface as gas enters more and more from the upper perforations of
694 the well if the lower part fills with water. The simulations show that most of the gas is produced
695 from the top of the storage reservoir near the caprock. Finally, the porosity has only a minor
696 impact on the stabilized upconing distance, as discussed in Section 7.1.

697 The scatter plots enable a global sensitivity analysis in a five-dimensional parametric hypercube.
698 Figure 17f shows a three-dimensional representation of the joint impact of the two most
699 influential parameters—withdrawal rate and horizontal permeability—on water upconing, which
700 is shown on the third, vertical axis. As before, it shows that the largest upconing is experienced
701 in formations with relatively low horizontal permeability (i.e., at the back side of the cube) and
702 that upconing increases from left to right as withdrawal rates are increased. Not surprisingly, the
703 realization leading to the largest upconing (Sample 183, see Figure 16) has its values at the
704 bounds of a pair of highly influential reservoir parameters, i.e., a horizontal permeability of 10^{-12}
705 m^2 (see Figure 17c) and a vertical permeability of 10^{-10} m^2 (see Figure 17d). A somewhat
706 stronger upconing would be achieved if the withdrawal rate were at its maximum of 10 kg/s
707 rather than at the sample-point value of 4.4 kg/s (Figure 17a).



708

709 *Figure 17. Maximum upconing distance after 10 cycles as a function of (a) pumping rate, (b)*
 710 *well penetration, (c) horizontal permeability, (d) vertical permeability, (e) porosity, and (f) rate*
 711 *and horizontal permeability; slopes of regression lines indicate parameter influence.*

712 **8 Implications for UHS Design and Operation**

713 In this study, we carried out modeling and simulation of water upconing to answer two basic
 714 questions relevant to UHS:

- 715 1. Under what reservoir and operating conditions is upconing a potential problem for UHS?

716 2. What is the relative importance of the various reservoir properties and operating
717 conditions for water upconing?
718

719 Addressing Question 1, this study shows that reservoirs with low k_H are the most susceptible to
720 upconing. The vertical permeability (k_V) is also important in controlling upconing height, but
721 much less so than k_H . Reservoirs with low k_V can be expected to have a broader diameter of the
722 upconed water, and upconing may be slower, i.e., longer withdrawal periods are possible without
723 upconed water entering the well. High porosity (ϕ) disfavors upconing because there is less
724 pressure drawdown due to withdrawal when there is more pore space filled with compressible
725 gas. At very short times, porosity is very influential, but for practical purposes of assessing
726 potential impact of water reaching the well, the porosity is not a significant property. In addition,
727 porosity does not vary all that much across and within good quality storage reservoirs.

728 On the operational side, i.e., regarding factors of the system that can be designed and engineered
729 by operators, large withdrawal rate and small distance from well to gas-water interface are
730 obvious contributors to water upconing into the well. If there is a gas-water interface in the
731 reservoir, operators should design wells with withdrawal perforations/screens as far from the
732 gas-water interface as possible, and withdrawal rates should be as low as possible. Individual
733 well withdrawal rates can potentially be reduced by installing additional wells while maintaining
734 constant reservoir-wide I/W rate. Cyclic I/W schedules with roughly balanced flow rates are
735 favorable for reducing upconing to wells, specifically at the beginning of the operation.

736 Regarding Question 2, this study showed by sensitivity analyses using both the analytical DB
737 model and fully coupled two-phase numerical simulation that k_H is the most important property
738 of the system controlling upconing of water at short and long periods, both close to and far from
739 the well. Vertical permeability is generally the second most important property controlling
740 upconing, particularly at long times. Distance from bottom of well to gas-water interface (d) and
741 the withdrawal rate (Q) are important and must be properly engineered to avoid upconing.
742 Porosity is also an important property, but only over short periods of withdrawal.

743 The constraints imposed by the simplifying assumptions of the DB model can be removed by
744 using numerical models with more realism and carrying out multi-cycle simulations and
745 sensitivity analyses with these models. For example, use of a more realistic well
746 conceptualization and inclusion of capillary pressure in the study provided detailed results of the
747 dynamics and irreversibility of upconing and downconing, albeit for a generic single-well
748 system. The simple scatter plots provide a practical tool for the calculation of transparent
749 sensitivity and performance measures. Moreover, such plots could be used to develop acceptance
750 criteria for potential storage sites and to design the storage system in terms of well properties,
751 perforation locations, I/W rates, etc. From a performance risk perspective, one could weigh each
752 scatter point by the input parameter's site-specific uncertainty distribution and then determine the
753 acceptable range of the controllable operational parameters for a selected risk tolerance.

754 **9 Conclusions**

755 We have carried out a study on water upconing in UHS. We pointed out that any analysis of
756 UHS needs to start with a precise definition of the use case so that important parameters related
757 to upconing (I/W schedule and rate) can be defined and investigated. The relatively simple DB
758 model and the fully coupled simulator iTOUGH2-EOS7CH were used to estimate upconing and
759 downconing distances and dynamics, and to carry out sensitivity analyses to determine the
760 controls on upconing so that it can be mitigated. Our analyses showed that the simple DB model
761 agrees generally with numerical simulations for both its intended purpose (i.e., single-phase
762 water-brine upconing) and it can be used for rough estimates of upconing in the two-phase
763 system H₂-water. Nevertheless, two-phase multicomponent systems involve many mechanisms
764 and details that require a reservoir simulator. The mechanistic simulation of these coupled
765 processes are essential to applications involving more realistic and heterogeneous systems in
766 practice over multiple cycles of I/W, e.g., for aquifer storage (e.g., Pfeiffer and Bauer, 2019) and
767 those involving gas mixtures in depleted natural gas reservoirs (e.g., Lysyy et al., 2021).

768 The main effect of multiple I/W cycles involving short periods of balanced mass-based I/W, such
769 as the six-hour cycle use case studied here, is the net upconing that occurs following withdrawal.
770 In short, the push-down of the gas-water interface during injection helps mitigate upconing
771 during withdrawal, but it is not exactly balanced, leading to a slight rise in the gas-water
772 interface relative to the initial flat interface following each withdrawal. This net upconing
773 stabilizes after a few tens of days (cycles) in the system studied here.

774 Sampling-based global sensitivity analysis of multiple cycles of simulated UHS confirmed that
775 horizontal permeability is the main factor controlling upconing. The analysis also showed that
776 horizontal permeability is a stronger control on upconing when permeability is low and less
777 sensitive when permeability is high. The vertical permeability is also an influential parameter,
778 but less so than the horizontal permeability. Gas withdrawal rate is an important design
779 parameter that can be used to control and mitigate potential upconing issues. Well penetration,
780 however, was shown to have a weaker influence. In practice, results of sensitivity analyses could
781 be used to develop acceptance criteria of the various intrinsic properties and operational (use
782 case) parameters for evaluation and design of potential storage sites.

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785

786 **11 Nomenclature**

Symbols	Description	Units
d	Distance for original gas-water interface to well bottom	m
D	Molecular diffusivity	$\text{m}^2 \text{s}^{-1}$
k_H, k_x, k_r	Permeability in the horizontal direction	m^2
k_V, k_Z	Permeability in the vertical direction	m^2
K_H	Hydraulic conductivity in the horizontal direction	m s^{-1}
K_V	Hydraulic conductivity in the vertical direction	m s^{-1}
P	Pressure	Pa, bar
Q	Volumetric I/W rate	$\text{m}^3 \text{s}^{-1}$
Q_m	Mass-based I/W rate	kg s^{-1}
R	Radial coordinate	m
R'	Intermediate term in DB model	-
S	Phase saturation ($S_l = \text{aqueous}, S_g = \text{gas}$)	-
T	Temperature	$^{\circ}\text{C}$
X	Mass fraction	-
Z	Vertical coordinate	m
Greek Symbols		
ϕ	Porosity	-
γ	Gas density in DB model	kg m^{-3}
γ'	Intermediate term in DB model	m s^{-1}

787

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920 **Statements and Declarations**

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924 *Competing Interests*

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929

930 *Author Contributions*

931 Oldenburg, Finsterle, and Trautz contributed to problem conceptualization. Oldenburg and
932 Finsterle developed the methodology and carried out the formal analysis and simulations.
933 Oldenburg and Finsterle wrote the original draft. Trautz was project administrator, and carried
934 out review and editing.

935

936 *Data Availability*

937 Not applicable

938