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Publication Date
1976-06-01

LBL-5041
Preprint C .

ON THE CONTRIBUTION OF ALPHA CLUSTER EXCHANGE TO ELASTIC AND INELASTIC ${ }^{16} \mathrm{O}+{ }^{20} \mathrm{Ne}$ SCATTERING
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June 1976


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Prepared for the U. S. Energy Research and Development Administration under Contract W-7405-ENG-48

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ON THE CONTRIBUTION OF ALPHA CLUSTER EXCHNNGE TO ELASTIC AND INELASTIC ${ }^{16} \mathrm{O}^{20}$ Ne SCATTEERING ${ }^{+}$
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Abstract: Angular distributions for elastic and inelastic transitions in ${ }^{20}{ }_{\mathrm{Ne}+}{ }^{16} \mathrm{O}$ scattering have been measured at $\mathrm{E}\left({ }^{20} \mathrm{Ne}\right)=50 \mathrm{MeV}$. For the $\mathrm{O}^{+}, 2^{+}$ and $4^{+}$members of the ${ }^{20} \mathrm{Ne}$ gd.st. rotational band, the angular distributions exhibit pronounced backward peaking characteristic of an $\alpha$-cluster exchange mechanism. The analysis of the ground state transition in the first order elastic transfer model yields no satisfactory fit although microscopic cluster formfactors and full recoil corrections are employed. A coupled channels calculation for the $\mathrm{O}^{+}, 2^{+}, 4^{+}$transitions reveals very strong coupling effects, indicating that the coherent superposition of first-order optical model and DWBA amplitudes may not be an adequate model for these reactions.
$\left[\begin{array}{l}\text { NUCLEAR REACTIONS: }{ }^{16} \mathrm{O}^{20} \mathrm{Ne},{ }^{16} \mathrm{O} \text { ) and } \\ \left.16 \mathrm{O}^{20} \mathrm{Ne},{ }^{20} \mathrm{Ne}\right) \text {, elastic and inelastic } \\ \text { transfer; } \mathrm{E}=50 \mathrm{MeV} \text {; measured } \sigma\left(\mathrm{E}_{\mathrm{f}}, \theta\right) ; \\ \text { optical model + DWBA, and CCBA analyses. }\end{array}\right]$

[^0]
## 1. Introduction

Several recent studies of the ${ }^{2 \mathrm{O}} \mathrm{Ne}$ ground state rotational band in microscopic $\alpha-c l u s t e r$ plus core models have led to the conclusion that it may be characterized as an alpha-particle-like configuration of s-d shell nucleons rotating around a spherical ${ }^{16} 0$ core ${ }^{1-6)}$. Furthermore, these calculations showed that the core may be, to a good approximation, identified with the ground state of ${ }^{16} 0$. In the scattering of ${ }^{20}$ Ne from ${ }^{16} 0$, the exchange of the $\alpha-c l u s t e r$ between two identical ${ }^{16} O$ cores should then contribute to the observed cross sections ${ }^{71}$. Viewed as a simple one-step direct reaction mechanism, the cluster can remain with the ${ }^{16}$ O core of the incident ${ }^{20}$ Ne projectile leading to elastic or inelastic scattering; in the latter case, the angular momentum transfer, $\ell$, of the reaction arises from the excitation of cluster rotation with angular momentum $L=$ e leading to a final ${ }^{20}$ Ne state with $J=L$. This part of the reaction may be described by optical model and DWBA amplitudes, respectively. In a coupled channels treatment, the corresponding amplitudes include the effect of virtual transitions between the rotational states of ${ }^{20} N e$ in the reaction. If, on the other hand, the cluster is exchanged to the ${ }^{16} 0$ target nucleus forming one of the ${ }^{20}$ Ne rotational states, the final reaction products are the same, but the different kinematical situations in the two reaction paths make their contributions to the cross section show up in
different regions of scattering angles. At reaction energies above the Coulomb barrier the non-exchange cross section is forward peaked whereas the exchange process contributes predominantly at backward angles 7,8). The amplitude of the exchange path may be obtained in the DWBA approximation as an alpha transfer process ${ }^{16} \mathrm{O}\left({ }^{20} \mathrm{Ne},{ }^{16} \mathrm{O}\right)^{20}$ Ne where the transferred angular momentum equals the spin of the ${ }^{2 \mathrm{O}} \mathrm{Ne}$ final state.

In a fully antisymmetric treatment of the reaction both contributions would be automatically included along with other, more complicated mechanisms. As a first approximation for the transition to the ground states of both ${ }^{20}$ Ne and ${ }^{16} \mathrm{O}$, a coherent superposition of the elastic scattering amplitude obtained from the optical model with a DWBA, $\ell=0$ alpha-transfer amplitude appeared worthwhile. Such a treatment has been successfully applied 9,10 ) to the scattering of ${ }^{11} B$ from ${ }^{12}$ c, and ${ }^{28}$ Si from ${ }^{29}$ Si where a single nucleon is exchanged between identical cores. The contribution of "elastic transfer" was demonstrated to lead to a substantial backward rise in the cross sections and to a characteristic interference structure in the region around $90^{\circ}$ scattering angle where both amplitudes overlap. In addition to explaining the observed backward rise in the angular distributions, this analysis offered a new way of determining the spectroscopic factor of the transferred nucleon or cluster in the nuclear states involved. In conventional transfer reactions the cross section is proportional to the spectroscopic factor $S$ of the trans-
ferred object in the final (stripping) or initial (pickup) nuclear state. In elastic transfer, the amplitude is proportional to $S$ because the transferred nucleons are stripped from the initial and picked up by the final nuclear states that are identical. In the case of the ${ }^{20} \mathrm{Ne}+{ }^{16} \mathrm{O}$ ground state transition, the exchange amplitude is proportional to the square of the $\alpha$ relative motion formfactor ${ }^{1)}$

$$
\begin{equation*}
x(\mathrm{R})=\left({ }_{4}^{2 \mathrm{O}}\right)^{-1 / 2} \int_{\Phi^{*}}\left({ }^{16} \mathrm{O}\right) \Phi^{*}(\alpha) \Phi\left({ }^{2 \mathrm{O}} \mathrm{Ne}\right) \mathrm{d} \xi \tag{1}
\end{equation*}
$$

where $R$ is the relative distance between ${ }^{1 \dot{6}_{O}}$ and $\alpha$, and the $\Phi$ are antisymmetric internal wave functions. The $\alpha$ spectroscopic factor of ${ }^{2 O_{N e}}$ is given by

$$
\begin{equation*}
S_{\alpha}^{C \cdot M \cdot}=\int|x(R)|^{2} R^{2} d R \tag{2}
\end{equation*}
$$

Therefore, the cross section at the very backward angles where interference with the non-exchange contribution may be neglected is proportional to $S_{\alpha}^{2}$. Furthermore, the interference structure at intermediate angles is very sensitive to $S_{\alpha}$. This quantity can thus be determined from measured absolute cross sections more sensitively than in a transfer reaction like ${ }^{16} \mathrm{O}\left({ }^{6} \mathrm{Li}, \mathrm{d}\right){ }^{2 O_{\mathrm{Ne}}}$.

In order to test the prediction of microscopic models that the members of the ${ }^{20}$ Ne ground state rotational band are alpha cluster configurations with more or less constant alpha-particle spectroscopic factors, we have measured angular distributions of the reactions
${ }^{2 \mathrm{O}} \mathrm{Ne}+^{16} \mathrm{O} \longrightarrow{ }^{16} \mathrm{O}$ (gd.st.) ${ }^{2 \mathrm{O}} \mathrm{Ne}\left(\mathrm{O}^{+}\right.$gd.st., $2^{+}, 4^{+}$) at an incident ${ }^{2 O_{N e}}$ energy of 50 MeV which is slightly above the Coulomb barrier.The analysis of the ground state transition was performed with various microscopic ${ }^{20}{ }_{\mathrm{Ne}}$ cluster wave functions; ${ }^{4,5)}$ and a superposition of optical model (OM) and DWBA transfer amplitudes; recoil effect's were included in the DWBA formalism ${ }^{11)}$. As we shall show in the following sections, this analysis can qualitatively reproduce the ground state transition data, lending support to the hypothesis of an alpha-dastic transfer mechanism. However, the limitations and ambiguities inherent in the first order OM + DWBA approximation render impossible an accurate determination of the alpha spectroscopic factor, calling for a more consistent treatment of the reaction mechanism.

It did not appear worthwhile, therefore, to analyze the $2^{+}$and $4^{+}$transitions in the framework of our first order approximation. The importance of second order contributions to all the observed transitions was demonstrated by a coupled channels calculation for the $0^{+}, 2^{+}$and $4^{+}$states.

## 2. Experimental technique and results

The experiments were carried out at the 88 -inch cyclotron of the Lawrence Berkeley Laboratory. The energy of the ${ }^{20} \mathrm{Ne}$ beam was 50 MeV , corresponding to 22.2 MeV in the center of mass system. The average beam intensity was 100 nA on target; a collimator was used to fix the beam spot and reduce it to an area of about $2 \mathrm{~mm}^{2}$. Self supporting targets of aluminum - and nickel - oxide with a thickness of 250 and $100 \mu \mathrm{~g} / \mathrm{cm}^{2}$, respectively, were mounted in a scattexing chamber equipped with a liquid nitrogen cooling finger close to the target as a trap for pump oil vapors, in order to reduce carbon buildup on the target during the experiment.

Two $\triangle E-E$ counter telescopes with $5 \mu \mathrm{~m}$ ORTEC $\triangle E$ transmission detectors and a solid angle of $2 \cdot 10^{-4}$ sr were used along with a monitor detector. The telescope events were sent to a computer, stored in $\triangle E-(E+\triangle E)$ arrays and analysed off-line with a program for energy calibration, peak integration, peak unfolding and background subtraction. Energy calibration of the spectra was obtained from the peak positions of the elastic ${ }^{20}$ Ne scattering from ${ }^{58} \mathrm{Ni},{ }^{27} \mathrm{Al}$ and ${ }^{16} \mathrm{O}$. The overall energy resolution was about 500 keV which was sufficient to separate the transitions to the ${ }^{20} \mathrm{Ne}$ ground state, $2^{+}$state at 1.63 MeV and $4^{+}$state at 4.25 MeV. The corresponding peaks were identified by their kinematical shift with scattering angle from the peaks
due to inelastic scattering and alpha transfer reactions on ${ }^{27}$ Al and target impurities. For each scattering angle and telescope, both the spectra of outgoing ${ }^{20}$ Ne and ${ }^{16}$ o(gd.st.) products were obtained. Fig. 1 shows these spectra for $\theta_{\text {Lab }}=36^{\circ}$. Since the ${ }^{16} \mathrm{O}$ (gd.st.) angular distributions at forward angles correspond to the angular distributions of the elastic andinelastic ${ }^{20}{ }_{\mathrm{Ne}}$ backward scattering it was sufficient to cover only the forward angles.

Angular distributions were measured in steps of one degree for angles between $10^{\circ}$ and $48^{\circ}$ in the lab system, corresponding in the center of mass system to an angular region from $22.6^{\circ}$ to $156^{\circ}$ (for the ground state transition) covered in steps of about $2.5^{\circ}$. Angular distributions for excited ${ }^{2 O_{N e}}$ states above the $4^{+}$level, as well as for transitions to excited ${ }^{16} 0$ states could not be obtained because the outgoing Ne products were stopped in the $\triangle E$ detectors. The NiO targets turned out to be very inhomogeneous and were only used for a few forward angles where the kinematic separation of the elastic peaks from ${ }^{27}$ Al and ${ }^{16}$ O was insufficient.

The relative normalization of the angular distributions was obtained from the beam charge integration in the Faraday cup and the monitor counter. Overlapping angles were taken to normalize the data from the NiO and $\mathrm{Al}_{2} \mathrm{O}_{3}$ targets to each other. The absolute cross section scale was obtained by normalizing the ${ }^{20}$ Ne ground state transition
to an optical model calculation at forward angles where $\sigma \sim \sigma$ (Rutherford). The absolute cross sections were determined independently from the known solid angles by weighing an area of $1 \mathrm{~cm}^{2}$ of the $\mathrm{Al}_{2} \mathrm{O}_{3}$ target. The results agreed to within 15\%.

The resulting angular distributions for the ground state, $2^{+}$ and $4^{+}$states of ${ }^{2 O}$ Ne are shown in fig. 2. The error bars include errors due to statistics, background correction, and uncertainties in the unfolding of impurity peaks. For the $4^{+}$transition, the peaks could be identified with sufficient reliability only in the ${ }^{16} 0$ spectra thus limiting the angular distribution to $\theta_{\mathrm{CM}} \geqslant 70^{\circ}$.

A backward rise is observed in all three angular distributions, suggesting that a mechanism different from direct elastic or inelastic scattering takes over at angles beyond about $90^{\circ}$.The pronounced oscillations at intermediate angles, observed in the ground state transition, appear damped in the inelastic reactions. The observed cross sections at about $160^{\circ}$ are four times higher for the $2^{+}$and $4^{+}$transitions than for the ground state. This would be consistent with the assumption that the back angle cross section is due to a coherent alpha transfer contribution because ground state, $\ell=0$ transitions are usually kinematically suppressed in heavy-ion alpha transfer reactions on $s-d$ shell nuclei at energies close to the Coulomb barrier ${ }^{12 \text { ). In fact, the }}$ same ratio of $0^{+}$to $2^{+}$and $4^{+}$cross sections is observed ${ }^{13)}$ in the reactions ${ }^{16} \mathrm{O}\left({ }^{7} \mathrm{Li}, \mathrm{t}\right){ }^{2 \mathrm{O}_{\mathrm{Ne}}}$ and ${ }^{16} \mathrm{O}\left({ }^{16} \mathrm{O},{ }^{12} \mathrm{C}\right)^{2 \mathrm{O}} \mathrm{Ne}$.

Note that these relative reaction cross sections may be compared directly to ours because the $\alpha$-spectroscopic factors should be approximately the same for all three states 1,4,5).

## 3. Analysis of the Data

For the quantitative analysis of our data, we will turn first to the ground state transition and apply the optical model plus DWBA coherent superposition approximation 8,9) (elastic transfer). In order to avoid ad hoc assumptions, the essential parameters were taken from systematic investigations of scattering and transfer reactions in the s-d shell. Therefore, we used a standard set of optical model parameters that describe the average features of elastic scattering in the ${ }^{16} \mathrm{O},{ }^{20}$ Ne region ${ }^{14)}$. Recoil effects in the DWBA transfer amplitude turned out to be very significant ${ }^{15)}$; they were included using the quasiclassical approximation described by Braun-Munzinger and Harney ${ }^{11)}$. Microscopic formfactors and $\alpha$-spectroscopic factors have been used to specify the $\alpha$-cluster bound state wave function used in the DWBA amplitude 4,5). Nevertheless, no satisfactory fits were obtained, which is presumably due to the omission of second order contributions both to the elastic and transfer amplitudes. Such second order effects should be important because of the highly collective, deformed structure of the ${ }^{20}$ Ne states involved. This was substantiated by a coupled channels calculation for the non-exchange part of the cross sections.

### 3.1. Alpha-cluster relative motion formfactors

In the DWBA treatment for four-nucleon transfer, the wave function $\varphi_{\alpha}$ for the bound nucleons is usually obtained as a cluster formfactor from a Woods-Saxon potential by specifying the appropriate number of nodes and the separation energy of an asymptotically-free alpha particle. The alpha-particle spectroscopic factor is then identified with the normalization integral of $\varphi_{\alpha}$, i.e.

$$
s_{\alpha}^{W \cdot S}=\int\left|\varphi_{\alpha}(R)\right|^{2} R^{2} d R
$$

where $R$ is the relative distance between cluster and core. $S_{\alpha}^{W}$.S. is then determined by fitting the. DWBA to the experimental cross section. For the ground state of ${ }^{20}$ Ne, $\varphi_{\alpha}$ is given as the 5 s state 16) with separation energy $E_{\alpha}=-4.73 \mathrm{MeV}$. No clear cut prescription exists for the choice of the radius and diffuseness parameters of the Woods-Saxon well. If they are taken to resemble closely the real part of the optical model that describes low energy alpha particle elastic scattering 17) $\left(R_{0}=r_{0} A_{\text {core }}^{1 / 3}\right.$ with $\left.r_{0}=1.4 \mathrm{fm} ; a=0.65 \mathrm{fm}\right)$, the resulting well depth is $\mathrm{V}_{\mathrm{O}}=114 \mathrm{MeV}$.

From systematic DWBA studies of heavy-ion induced four nucleon transfer reactions such as $\left({ }^{16} 0,{ }^{12} C\right)$ at energies close to the Coulomb barrier it is known that the predicted cross sections are approximately proportional to the square of the bound state amplitude in the external region ${ }^{12)}$. The insensitivity of peak cross sections to


#### Abstract

the interior parts of the wave functions results from the surface localization of direct transferreactions 18). The esscntial features of the bound state formfactor are, therefore, the slope which is unambiguously determined by the cluster separation energy, and the absolute magnitude in the external region. The latter depends on the overall normalization, $S_{\alpha}$, and on the choice of the radius parameter $R_{0}$ of the WoodswSaxon well. Thus, the absolute value of $S_{\alpha}$, extracted from a DWBA fit to the experimental cross section, depends strongly on $R_{0}$ and does not provide a well-defined result. However, relative spectroscopic factors for states belonging to the same intrinsic four nucleon configuration may be obtained by means of this procedure ${ }^{3)}$.


In order to test the prediction of the first order elastic transfer model for the ${ }^{16} \mathrm{O}+{ }^{2 \mathrm{O}}$ Ne ground state transition, together with the additional assumption that ${ }^{20}$ Ne has a pure $\propto$-cluster configuration, the asymptotic part of the cluster formfactor had to be unambiguously specified. A Woods-Saxon solution was therefore fitted to the external parts of various microscopic cluster model formfactors by proper choice of $S_{\alpha}^{W . S .}$ and $R_{o}$. The resulting W.S. solutions were then used in the recoil DWBA code to generate the exchange part of the elastic amplitude. This corresponds to specifying the a particle reduced widths, rather than the $\alpha$-spectroscopic factor corresponding to the w.s. formfactor, by the fit to the microscopic model relativemotion formfactor. Because of the insensitivity of the
transfer reaction cross section to the interior parts of the radial wave function, our approach does not imply that we consider the W.S. solution as an overall approximation to the microscopic formfactor. As we shall show below, the amplitude of the latter is drastically reduced in the interior as an effect of the antisymmetrization. The w.S. solution used in the DWBA code serves only to reproduce the exterior part. The corresponding normalization $S_{\alpha}^{W}$.S.is, therefore, more or less arbitrary; the alpha spectroscopic factor $S_{\alpha}$ is appropriately given by the normalization $S_{\alpha}^{C . M}$. of the microscopic relative motion formfactor (equ. 2).

Various microscopic alpha cluster model calculations for ${ }^{20}$ Ne have been recently reviewed by Arima 1). In general, the $\alpha$-particle relative motion formfactor is given by

$$
\begin{equation*}
x_{L}(R)=n_{L}\left\langle\Phi_{\alpha} \Phi_{\operatorname{cor}} \mathrm{Y}_{L} \mid \notin\left\{\Phi_{\alpha} \Phi_{\operatorname{core}} \mathrm{Y}_{\mathrm{L}} \mathrm{~F}_{\mathrm{L}}(\mathrm{R})\right\}\right\rangle \tag{4}
\end{equation*}
$$

where $L$ is the cluster angular momentum, $n_{L}$ a normalization factor, and the quantity in the right hand side of the bracket is the antisymmetrized model wave function for ${ }^{2 O}$ Ne. The relative motion part, $F_{L}(R)$, is normalized to unity. The probability of finding an $\alpha$-particle in ${ }^{20}$ Ne is then given by the normalization $S_{\alpha}^{C . M .}$ of $X_{L}(R)$ as given in equ. (2). In most cases, it is smaller than unity due to the effect of antisymmetrization on $F_{L}(R)$. For example, describing the $\mathrm{K}=\mathrm{O}^{+}$ground state rotational band in the $(8,0)$ representation of $\mathrm{SU}_{3}$, one finds ${ }^{1}$ ) a maximum spectro-
scopic factor of $S_{\alpha}(8,0)=0.23$. With $j-j$ coupling wave functions and configuration mixing in the s-d shell, McGrory et al. 19) obtained $S_{\alpha}^{S . M}=0.18$ for the ${ }^{2 O_{N e}}$. ground state. Since the nucleons outside the ${ }^{16} 0$ core are limited to the $s-d$ shell, in both cases, one may call a value of about 0.2 the shell model limit for $S_{\alpha}$. In order for $S_{\alpha}$ to approach unity, as is familiar for single nucleon spectroscopic factors, higher lying shell model orbits have to be admixed to the s-d shell, giving the $\alpha$-cluster a higher degree of localization than is implicit in the normal shell model wave function 1). As $S_{\alpha} \rightarrow 1$, the cluster becomes more discernible in the nuclear density distribution and moves towards the surface. For the $\alpha-{ }^{16}$ o molecular rotator states ${ }^{6)}$, encountered in elastic $\alpha$-scattering from ${ }^{16} 0$, clearly $S_{\alpha} \approx 1$. However, these are highly excited states; much less localization is possible in the ${ }^{20}$ Ne ground state.

In our analysis of elastic transfer, we used wave functions obtained by Tomoda and Arima 5), and by Hiura et al. 4). In the model used by Tomoda and Arima, shell model wave functions with $\mathrm{SU}_{3}$ representations $(\lambda, \mu)=(8,0),(10,0)$, $(12,0)$ and $(14,0)$ are mixed into the relative motion function, assuming the ${ }^{16} O$ core and the alpha cluster to be in their lowest internal states:

$$
\begin{equation*}
\Phi_{L}\left({ }^{20}{ }_{\mathrm{Ne}}\right)=\sum_{\lambda}^{\sum_{i}} \quad a_{L}(\lambda) n_{L} A\left(\Phi\left({ }^{16} \mathrm{O}\right) \Phi(\alpha) \Phi_{\mathrm{NL}}\left(\mathrm{R}_{\alpha}-\mathrm{R}_{\text {core }}\right)\right\} \tag{5}
\end{equation*}
$$

$$
(2 N+L=\lambda)
$$

The coefficients $a_{L}(\lambda)$ are obtained with a Volkov two body potential, fitting the ${ }^{20}$ Ne ground state binding energy and the excitation spectrum of the $K=O^{+}$rotational band.

Hiura et al. 4) start with a two centered Brink-Bloch wave function

$$
\begin{equation*}
\Phi\left({ }^{2 O_{N e}}\right)=N\left(R_{o}, R_{1}\right)^{-1 / 2} \not \&\left\{\psi_{R_{O}}\left({ }^{16} O\right) \psi_{R_{1}}(\alpha)\right\} \tag{6}
\end{equation*}
$$

to which they apply angular momentum projection and the generator coordinate method with respect to $R=\left|R_{0}-R_{1}\right|$ which represents the distance between the two clusters. Using the Volkov 2 force, they obtain satisfactory agreement with the ${ }^{2 O}$ Ne excitation spectrum, and a ground state $\alpha-{ }^{16} \mathrm{O}$ binding energy of $E_{B}=-4.55 \mathrm{MeV}$ (the experimental value is $\left.E_{B}=-4.73 \mathrm{MeV}\right)$. The cluster relative motion formfactor of both models is obtained by the overlap integral (4); the spectroscopic factor $S_{\alpha}^{C . M .}$ is given by the normalization (2). The resulting $S_{\alpha}^{C . M}$. for the ${ }^{20}$ Ne ground state are 0.32 (Tomoda) and 0.45 (Hiura), respectively.

The corresponding cluster formfactors are shown in fig. 3, together with Woods-Saxon formfactors obtained with $r_{0}=1.4 \mathrm{fm}$ that are normalized so as to fit the asymptotic parts $(R \geqslant 5 \mathrm{fm})$. In the nuclear interior the microsicopic formfactors are drastically reduced due to the antisymmetrization, and the major part of the alpha particle probability amplitude is pushed into the surface region. The formfactor
of Hiura et al. with $S_{\alpha}^{C . M .}=0.45$ exhibits the same surface amplitude as the Woods-Saxon formfactor with $r_{0}=1.4$ and a normalization $\mathrm{S}^{\mathrm{W} . \mathrm{S}}=0.8$. The latter will be used in the $D W B A$ analysis.
3.2 Elastic transfer and coupled channels calculations

The ${ }^{16} 0+20_{\text {Ne }}$ ground state transition was calculated as a coherent superposition of elastic scattering and cluster transfer using the code BRUNHILD of Braun-Munzinger et al. 20). In the reaction amplitude

$$
\begin{equation*}
T(\theta)=T(\theta)_{\mathrm{OM}}+S_{\alpha} T_{\text {DWBA }}(\pi-\theta) \tag{7}
\end{equation*}
$$

the relative phase is fixed by the symmetry of the system ${ }^{8)}$. The set of optical model parameters 14), used in both amplitudes, was $V_{0}=100 \mathrm{MeV}, \mathrm{w}=35 \mathrm{MeV}, r_{v}=r_{w}=1.2 \mathrm{fm}$, $a_{v}=0.49 \mathrm{fm}, a_{w}=0.32 \mathrm{fm}$, representing a strongly absorbing potential. No $\ell$-dependence of the absorption was taken into account because several direct exit channels are available to carry the grazing angular momentum $\ell_{0} \approx 10$; among these the low lying collective states are particularly strongly excited in inelastic scattering (c.f. fig. 2).

Effects of recoil and finite range should be highly important in the $\alpha-^{16} 0$ system because the transferred mass cannot be considered small relative to the core mass ${ }^{15)}$. This is different from the situation encountered in single nucleon elastic transfer reactions like ${ }^{28}$ Si+ ${ }^{29}$ Si and precludes, in our case, the use of the LCNO model of von Ocrtzen and

Nörenberg 21) which does not incorporate recoil effects. The DWBA amplitude used in the code BRUNHILD treats recoil in the local momentum approximation, which is applicable to reactions with strong absorption in the entrance and exit channels where the reaction is localized to a radial domain outside the quasiclassical grazing radius 11). In our calculations, the finite range and recoil effects led to a reduction of the extreme back angle cross section by almost an order of magnitude, and to a damping of the oscillatory structure at angles $\theta_{\mathrm{CM}} \geqslant 120^{\circ}$.

The results of the optical model and elastic transfer calculations are compared with the ground state transition data in fig. 4. Both fits are identical for $\theta_{C M} \approx 80^{\circ}$; in this domain, the data are well reproduced. The OM cross section continues to fall off towards larger angles, in a manner typical for strongly absorbing potentials, whereas interference oscillations and a backward rise develop in the elastic transfer angular distribution for $\theta_{C M}>80^{\circ}$. However, the experimental cross sections are largely underestimated at intermediate angles, where also the phase of the oscillations is not well reproduced.

A variation of the optical model parameters did not yield significant improvements insofar as a better agreement with the backward oscillatory pattern could only be achieved at the expense of fit quality at forward angles.

Apparently, the DWBA amplitude falls off too rapidly from backward angles towards $90^{\circ}$, or the $O M$ amplitude is inadequate
for $\Theta_{\mathrm{CM}}>80^{\circ}$. Attempts to improve the fit by scaling the DWBA amplitude with a larger bound state formfactor normalization were unsuccessful. For example, an arbitrary normalization to $S^{W . S}=2$ shifted the angular distribution upward by a uniform factor of about four for $\theta \geqslant 100^{\circ}$, improving the agreement at intermediate angles but far overestimating the cross sections at the very backward angles. A somewhat better overall fit was obtained by increasing the Woods-Saxon well radius from $r_{0}=1.4 \mathrm{fm}$, as prescribed by the microscopic models, to $r_{0}=1.75 \mathrm{fm}$, at the same time reducing the overall normalization to $\mathrm{S}^{\mathrm{W} . \mathrm{S}}=0.5$. The corresponding fit is also shown in fig. 4. However, this procedure implies an alpha-particle surface reduced width twice as high as given by the microscopic wave functions appropriate to the ${ }^{20} \mathrm{Ne}$ ground state. This corresponds to an alpha-cluster localization far beyond the limits indicated for low lying ${ }^{20}$ Ne states by microscopic models ${ }^{1)}$.

It was therefore concluded that the first order elastic scattering and exchange mechanism, underlying the OM plus DWBA approximation to elastic transfer, is not appropriate in the ${ }^{16} \mathrm{O}+{ }^{20} \mathrm{Ne}$ case. An improved calculation would have to include virtual transitions to the excited collective states of ${ }^{20} \mathrm{Ne}$ both in the entrance and exit channels, as well as the transfer of the alpha cluster among excited states. No computer code was available to the authors that would take all these transitions into account, along with an appropriate treatment of recoil effects.

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In order to demonstrate the higher order effects on the optical model amplitude alone, a coupled channels calculation was done for elastic and inelastic ${ }^{16} \mathrm{O}+{ }^{2 \mathrm{O}} \mathrm{Ne}$ scattering using the code of Ascuitto and Glendenning 22). No exchange contributions were taken into account. The usual collective macroscopic model was used ${ }^{23}$ ) for the deformed nuclear field:

$$
\begin{equation*}
V(r-R(\theta))=V(r-R)-\Sigma_{\lambda} \beta_{\lambda} Y_{\lambda} R_{P} \frac{\partial V}{\partial r}+\left(\sum_{\lambda} \beta_{\lambda} Y_{\lambda}\right)^{2} \frac{R_{P}^{2}}{2} \frac{\partial^{2} V}{\partial r^{2}}+\ldots \tag{8}
\end{equation*}
$$

with

$$
\begin{equation*}
\mathrm{R}(\theta)=\mathrm{R}_{\mathrm{T}}+\mathrm{R}_{\mathrm{P}}\left[1+\sum_{\lambda} \beta_{\lambda} \mathrm{Y}_{\lambda}{ }^{\circ}(\theta)\right], \lambda=2,4 \tag{9}
\end{equation*}
$$

corresponding to a spherical ${ }^{16} O$ with radius $R_{T}$, and a deformed ${ }^{20}$ Ne projectile with radius $R_{P}$. All deformation constants are to be associated with the radius $R=1.2(20)^{1 / 3}$. The deformation lengths $\beta{ }^{N} R$ were taken from de Swiniarski et al. 24). The Coulomb deformation $\beta_{2}^{C}=0.8$ was reduced slightly from the value 0.87 reported by Stelson and Grodzins ${ }^{25}$ ) because we include $\lambda=4$ in the Coulomb field expansion, in addition to $\lambda=2$.

The results of this calculation are shown in fig. 5, which also gives the optical model fit (without channel coupling) for clastic scattering. The effect of channel coupling for the ground state transition is clearly exhibited. At intermediate scattering angles where the elastic transfer calculation (fig. 4) was systematically underestimating the
observed cross section, the CC cross sections are much larger than the $O M$ cross sections. The $2^{+}$transition cross section is underestimated at very forward angles. It should be noted, however, that we did not attempt any parameter optimization, and that the experimental error bars are large in this region (c.f. fig. 2) due to normalization and background correction uncertainties.

## 4. Conclusions

The shape and structure of the cross sections measured for the ${ }^{20} \mathrm{Ne}+{ }^{16}$ o scattering to the three lowest states of ${ }^{20}$ Ne are in qualitative agreement with the assumption of an alpha-cluster exchange process dominating, at backward angles. Calculations in the framework of a first order optical model plus DWBA description of elastic transfer did not lead to quantitative agreement with our data, although microscopic formfactors and recoil corrections were employed. The fits did not reproduce the interference structure at intermediate angles, indicating inadequacies in either the $O M$ or the DWBA amplitudes, or both. The quality of the fit is better at forward and extreme backward angles where higher order corrections to the dominating amplitudes are less important. In such a limited sense, we may say that the alpha-particle spectroscopic factor $S_{\alpha}^{C . M .}=0.45$ obtained for the ${ }^{20}$ Ne ground state in the microscopic model of Hiura et al. 4) is consistent with our data.

The coupled channels calculation for the ground state transition indicates that the OM amplitude is too small at backward angles. This may explain in part the low cross sections and wrong phases obtained in the intermediate angle elastic transfer calculations. One might therefore try to improve the model, replacing the OM amplitude in (7) by a coupled channel amplitude. This was not done for the following reasons:
a) the higher order effects should be equally important. for the transfer amplitude at intermediate angles(indirect transfer via excited states), and
b) the model would still be unsatisfactory as long as ${ }^{20} \mathrm{Ne}$ is treated macroscopically in the CC amplitude and microscopically in the DWBA transfer amplitude, resulting in difficulties in determining the relative phases of the interferring amplitudes.

We may then hope that the present data and analysis will stimulate a more comprehensive theoretical investigation of the cluster exchange mechanism, leading to a reliable determination of the alpha-particle spectroscopic factor in the ${ }^{2 O}$ Ne ground state rotational band.

## Acknowledgement:

The authors would like to express their gratitude to the operating crew of the 88 -inch cyclotron for their support, and to Drs. A. Arima, P. Braun-Munzinger, N.K. Glendenning and F. Nemoto for providing us with their computer codes and microscopic wave functions, as well as for stimulating comments.

## References

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## Figure Captions

Fig. 1. Spectra of outgoing ${ }^{20}$ Ne and ${ }^{16} \mathrm{O}$ at $\theta_{\text {Lab }}=36^{\circ}$.

Fig. 2 Angular distributions for elastic and inelastic ${ }^{20}$ Ne+ ${ }^{16} 0$ scattering to the ground state, $2^{+}(1.63 \mathrm{MeV})$ and $4^{+}(4.25 \mathrm{MeV})$ states of ${ }^{2 O_{N e}}$. The smooth curve for the ground state transition is drawn to guide the eye.

Fig. 3 Alpha particle relative motion formfactors for the ${ }^{20}$ Ne ground state. Microscopic calculations of Tomoda and Arima (ref. 5; upper part) and Hiura et al. (ref. 4; lower part) are matched by Woods ~ Saxon solutions in the external region ( $\mathrm{R}>5 \mathrm{fm}$ ).

Fig. 4. Results of optical model (OM) and elastic transfer (OM+DWBA) calculations for the ${ }^{20} \mathrm{Ne}+{ }^{16} \mathrm{O}$ ground state transition.

Fig. 5 Results of optical model (OM) and coupled channels (CC, no exchange) calculations for ${ }^{2 O_{N e}}{ }^{16} \%$ scattering to the first three states in ${ }^{20}$ Ne. Potential parameters are the same as in the elastic transfer calculation except $W=15 \mathrm{MeV}$. Deformation parameter are $\beta_{2}^{N}=0.43, \beta_{4}^{N}=0.26, \beta_{2}^{C}=0.8$ and $\beta_{4}^{C}=0.4$.

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Fig. 2


Fị. 3


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Fig. 5

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[^0]:    ${ }^{+}$Supported by the Bundesminister für Forschung und Technologie, German Fed. Rep., and by the US Energy. Research and Development Agency.

