Title
Big data, little data, or no data? Scholarship and stewardship to build the UC digital library.

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2018-02-27

Big Data, Little Data, or No Data?

Scholarship and Stewardship to Build the UC Digital Library

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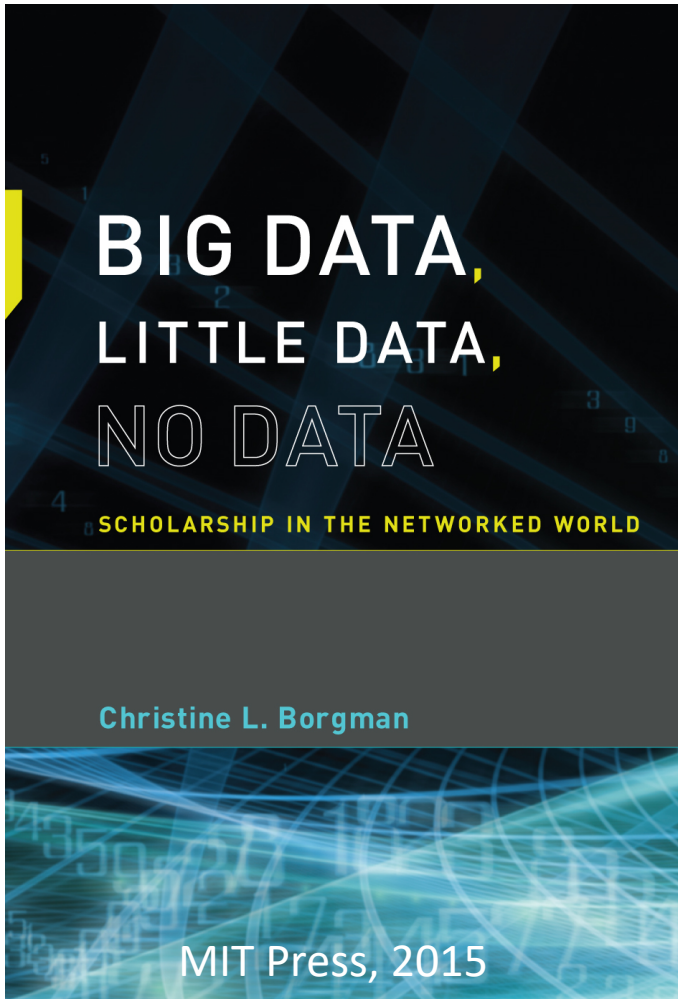
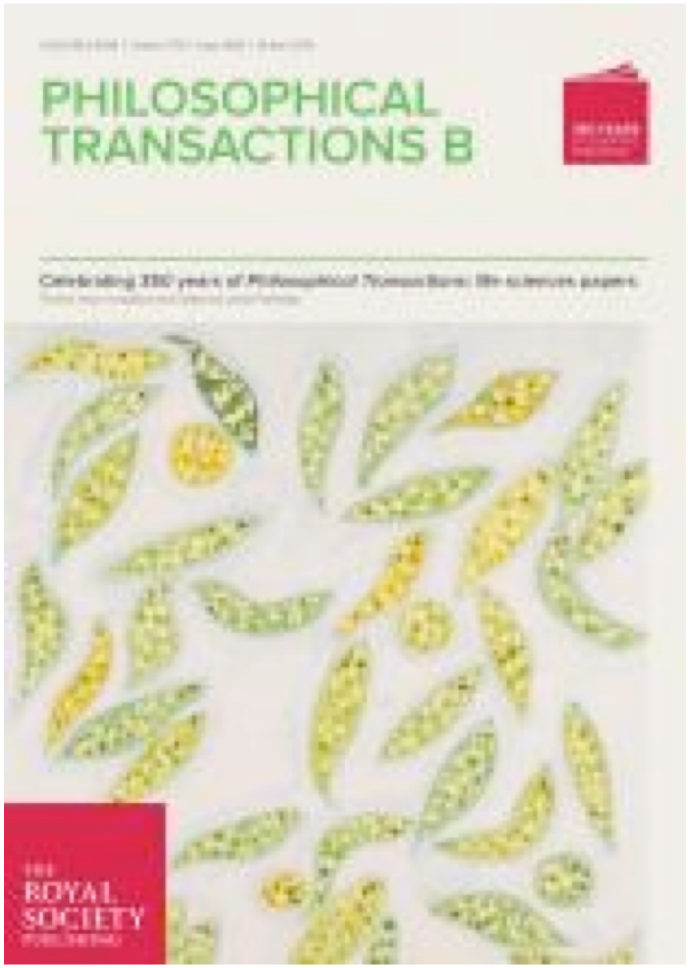
Digital Library Federation X Conference
University of California, Riverside
February 27, 2018



PHILOSOPHICAL
TRANSACTIONS:
GIVING SOME
ACCOMPT
OF THE PRESENT
Undertakings, Studies, and Labours
OF THE
INGENIOUS
IN MANY
CONSIDERABLE PARTS
OF THE
WORLD

Vol I.
For *Anno* 1665, and 1666.

In the *SAVOY*,
Printed by *T. N.* for *John Martyn* at the Bell, a little without *Temple-Bar*, and *James Allestry* in *Duck-Lane*,
Printers to the *Royal Society*.



Theme issue 'Celebrating 350 years of Philosophical Transactions: life sciences papers' compiled and edited by Linda Partridge
19 April 2015; volume 370, issue 1666


Data

Data sharing policies

- European Union
- U.S. Federal research policy
- Research Councils of the UK
- Australian Research Council
- Individual countries, funding agencies, journals, universities

Supported by wellcome trust

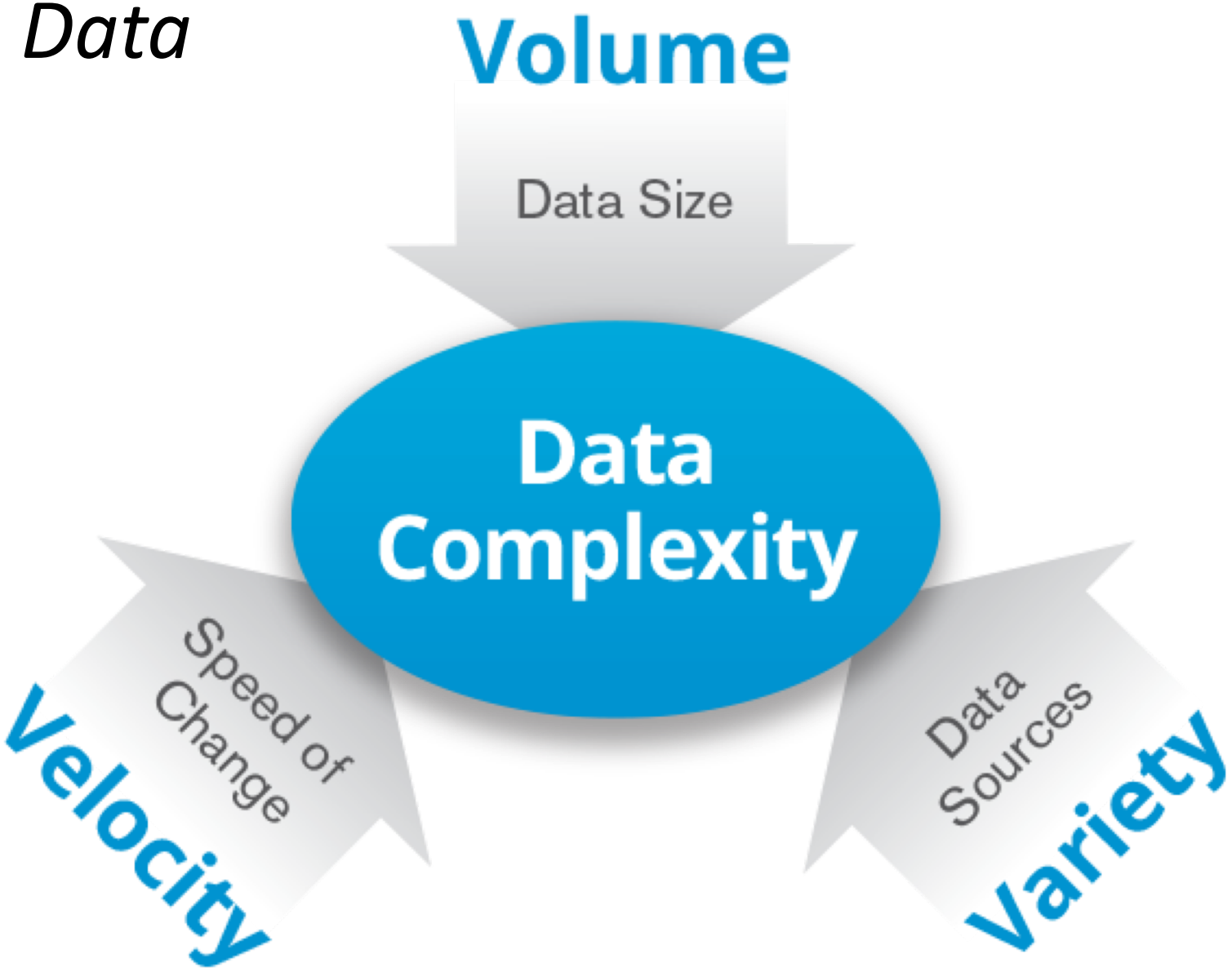
Policy RECommendations for Open Access to Research Data in Europe

Research Data Sharing without barriers

Precondition:

Researchers share data

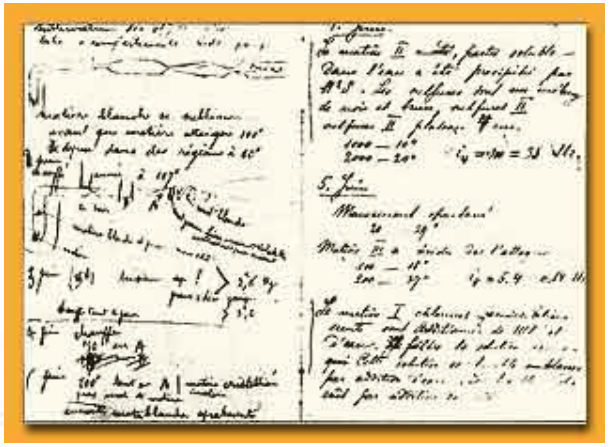
Big Data



http://www.datameer.com/product/hadoop.html

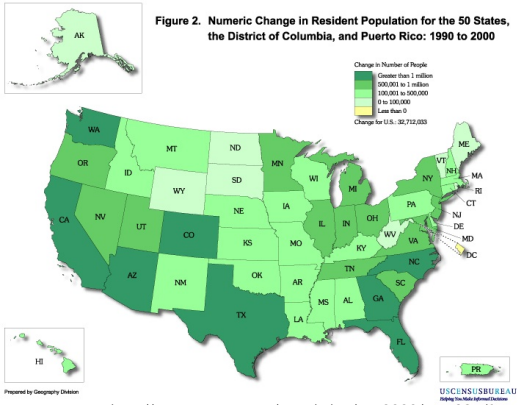
What are data?

hudsonalpha.org

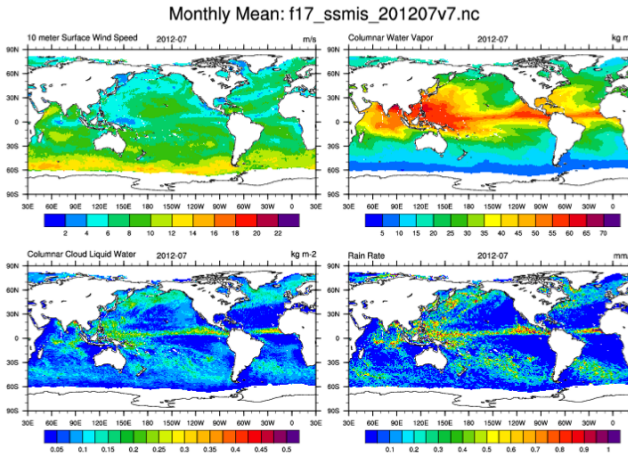


Marie Curie's notebook aip.org

Pisa Griffin



http://www.census.gov/population/cen2000/map02.gif



ncl.ucar.edu

Date:1/2.07.75 Place:Sakaltutan
Zafor
He will grow old in his present house; new house is for sons - 5 sons. Not sure they want to live in village. He will only build another if they want him to. eS came from Germany and did the plastering. He arranged the carpentry in Kayseri. Çok para gitti. {much money went} Has a tractor.

Date:July1980 Place:Sakaltutan
Zafor:
Household now Zafor and wife; Nazif Unal and wife and youngest son, still a boy. They run two dolmuß; one with a driver from Süleymanli. Goes in and out once a day. He gets 8,000 a month. Zafor then said, keskin de©il. { not sharp - i.e.? not profitable} I said he did very well on 8,000 TL with only two journeys a day. Nazif Unal has "bought" a Durak {dolmuß stop} from Belediye and works all day in Kayseri.

http://onlineqda.hud.ac.uk/Intro_QDA/Examples_of_Qualitative_Data.php

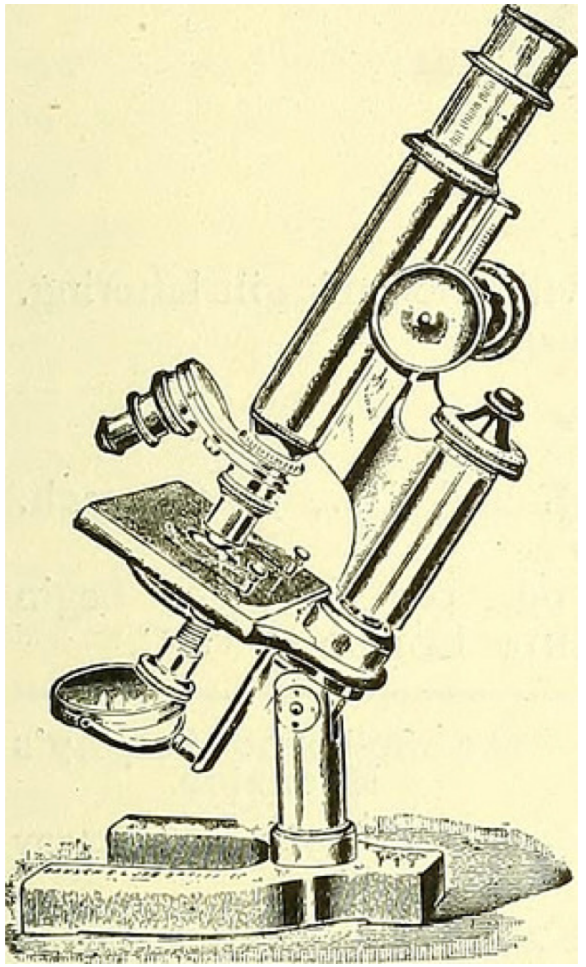
Data are representations of observations, objects, or other entities used as evidence of phenomena for the purposes of research or scholarship.

C.L. Borgman (2015). *Big Data, Little Data, No Data: Scholarship in the Networked World*. MIT Press

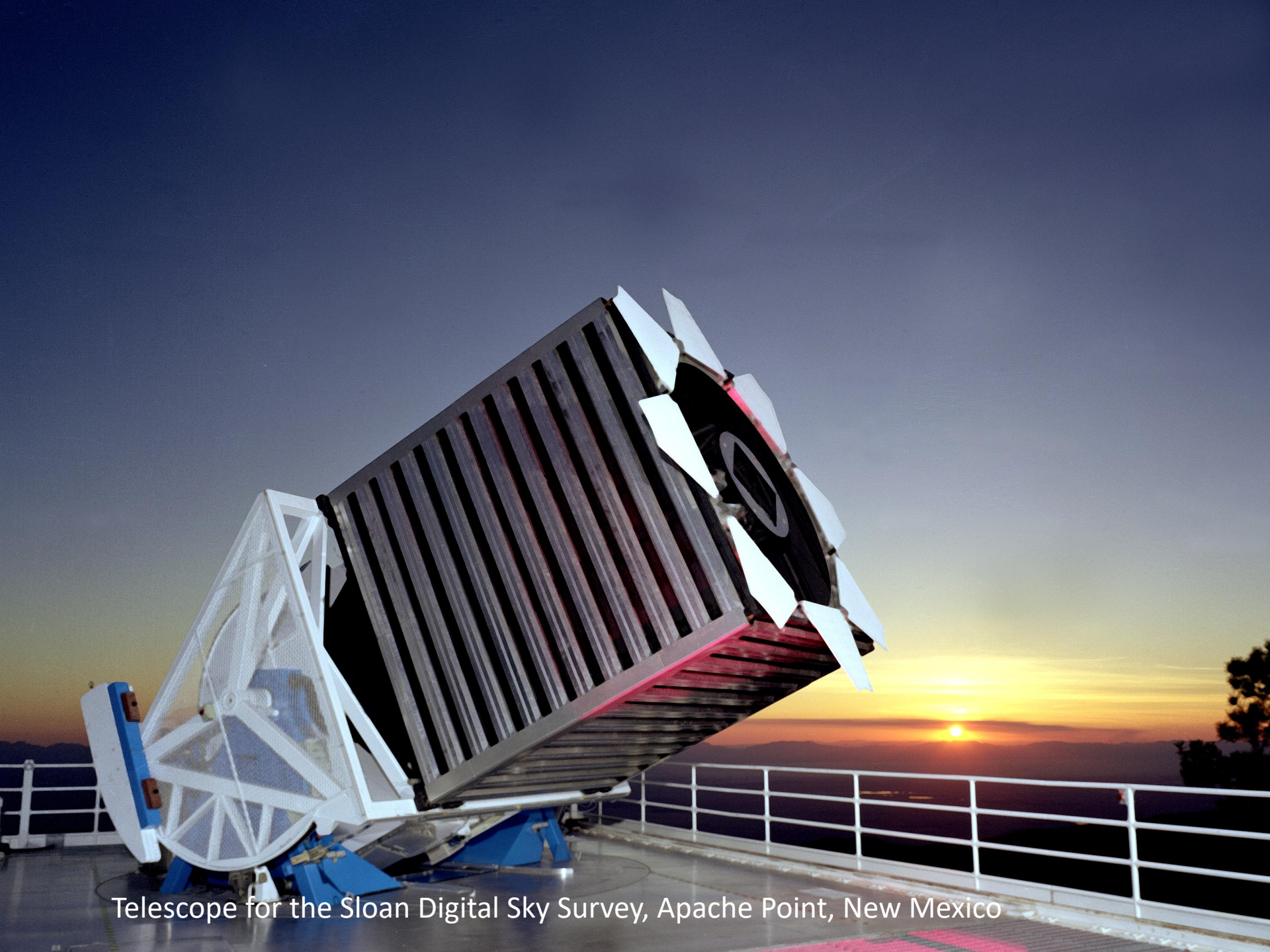
http://www.genome.gov/dmd/img.cfm?node=Photos/Graphics&id=85327

Research process

- Models and theories
- Research questions
- Methods
  - Domain expertise
  - Practices, protocols
  - Data sources
  - Instruments, software
  - Infrastructure



Commons photo: Science Gossip, 1894



Telescope for the Sloan Digital Sky Survey, Apache Point, New Mexico

LETTERS

A role for self-gravity at multiple length scales in the process of star formation

Alyssa A. Goodman[1,2], Erik W. Rosolowsky[2,3], Michelle A. Borkin[1]†, Jonathan B. Foster[2], Michael Halle[1,4], Jens Kauffmann[1,2] & Jaime E. Pineda[2]

Self-gravity plays a decisive role in the final stages of star formation, where dense cores (size ~0.1 parsecs) inside molecular clouds collapse to form star-plus-disk systems[1]. But self-gravity's role at earlier times (and on larger length scales, such as ~1 parsec) is unclear; some molecular cloud simulations that do not include self-gravity suggest that 'turbulent fragmentation' alone is sufficient to create a mass distribution of dense cores that resembles, and sets, the stellar initial mass function[2]. Here we report a 'dendrogram' (hierarchical tree-diagram) analysis that reveals that self-gravity plays a significant role over the full range of possible scales traced by ^{13}CO observations in the L1448 molecular cloud, but not everywhere in the observed region. In particular, more than 90 per cent of the compact 'pre-stellar cores' traced by peaks of dust emission' are projected on the sky within one of the dendrogram's self-gravitating 'leaves'. As these peaks mark the locations of already-forming stars, or of those probably about to form, a self-gravitating cocoon seems a critical condition for their existence. Turbulent fragmentation simulations without self-gravity—even of unmagnetized isothermal material—can yield mass and velocity power spectra very similar to what is observed in clouds like L1448. But a dendrogram of such a simulation[4] shows that nearly all the gas in it (much more than in the observations) appears to be self-gravitating. A potentially significant role for gravity in 'non-self-gravitating' simulations suggests inconsistency in simulation assumptions and output, and that it is necessary to include self-gravity in any realistic simulation of the star-formation process on subparsec scales.

Spectral-line mapping shows whole molecular clouds (typically tens to hundreds of parsecs across, and surrounded by atomic gas) to be marginally self-gravitating[5]. When attempts are made to further break down clouds into pieces using 'segmentation' routines, some self-gravitating structures are always found on whatever scale is sampled[6,7]. But no observational study to date has successfully used one spectral-line data cube to study how the role of self-gravity varies as a function of scale and conditions, within an individual region.

Most past structure identification in molecular clouds has been explicitly non-hierarchical, which makes difficult the quantification of physical conditions on multiple scales using a single data set. Consider, for example, the often-used algorithm CLUMPFIND[7]. In three-dimensional (3D) spectral-line data cubes, CLUMPFIND operates as a watershed segmentation algorithm, identifying local maxima in the position–position–velocity (p–p–v) cube and assigning nearby emission to each local maximum. Figure 1 gives a two-dimensional (2D) view of L1448, our sample star-forming region, and Fig. 2 includes a CLUMPFIND decomposition of it based on ^{13}CO observations. As with any algorithm that does not offer hierchically nested or overlapping features as an option, significant emission found between prominent clumps is typically either appended to the nearest clump or turned into a small, usually 'pathological', feature needed to encompass all the emission being modelled. When applied to molecular-line

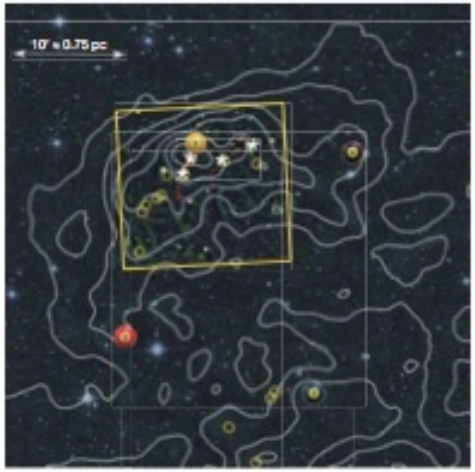


Figure 1 | Near-infrared image of the L1448 star-forming region with contours of molecular emission overlaid. The channels of the colour image correspond to the near-infrared bands J (blue), H (green) and K (red), and the contours of integrated intensity are from ^{13}CO(1–0) emission[8]. Integrated intensity is monotonically, but not quite linearly (see Supplementary Information), related to column density[14], and it gives a view of 'all' of the molecular gas along lines of sight, regardless of distance or velocity. The region within the yellow box immediately surrounding the protostars has been imaged more deeply in the near-infrared (using Calar Alto) than the remainder of the box (2MASS data only), revealing protostars as well as the scattered starlight known as 'Cloudshine'[11] and outflows (which appear orange in this colour scheme). The four billiard-ball labels indicate regions containing self-gravitating dense gas, as identified by the dendrogram analysis, and the leaves they identify are best shown in Fig. 2a. Asterisks show the locations of the four most prominent embedded young stars or compact stellar systems in the region (see Supplementary Table 1), and yellow circles show the millimetre-dust emission peaks identified as star-forming or 'pre-stellar' cores[1].

[1]Initiative in Innovative Computing at Harvard, Cambridge, Massachusetts 02138, USA. [2]Harvard-Smithsonian Center for Astrophysics, Cambridge, Massachusetts 02138, USA. [3]Department of Physics, University of British Columbia, Okanagan, Kelowna, British Columbia V1V 1V7, Canada. [4]Surgical Planning Laboratory and Department of Radiology, Brigham and Women's Hospital, Harvard Medical School, Boston, Massachusetts 02115, USA. †Present address: School of Engineering and Applied Sciences, Harvard University, Cambridge, Massachusetts 02138, USA.

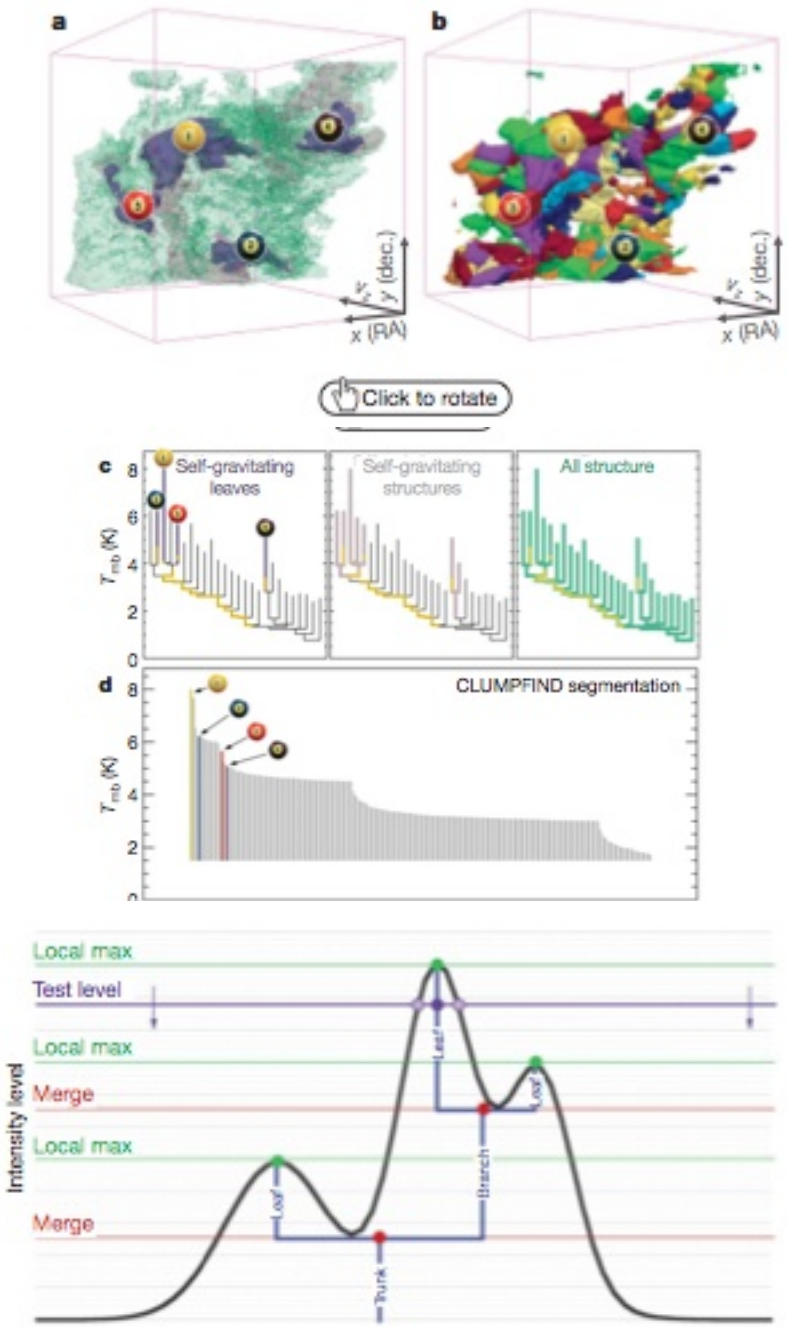
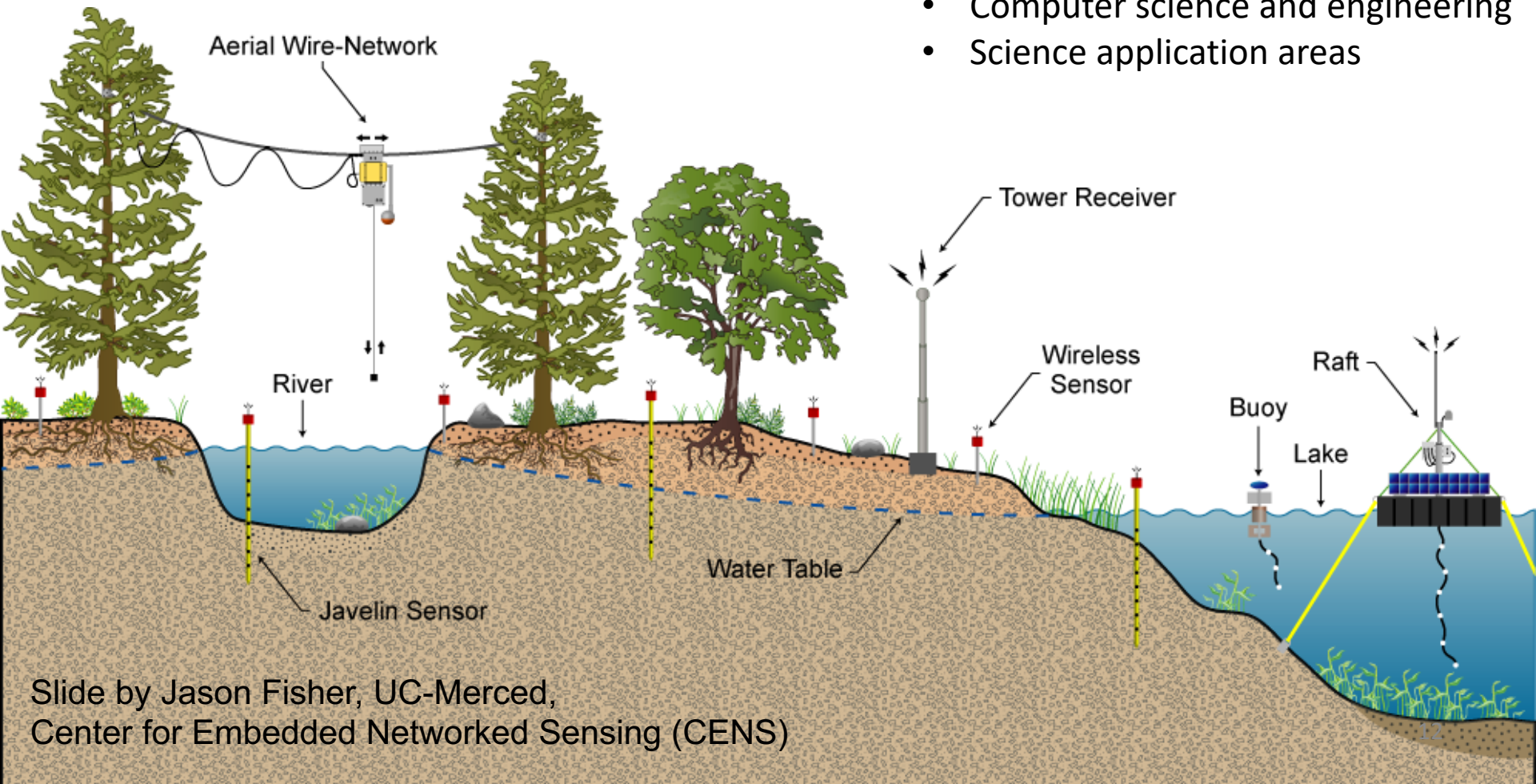


Figure 3 | Schematic illustration of the dendrogram process. Shown is the

Center for Embedded Networked Sensing

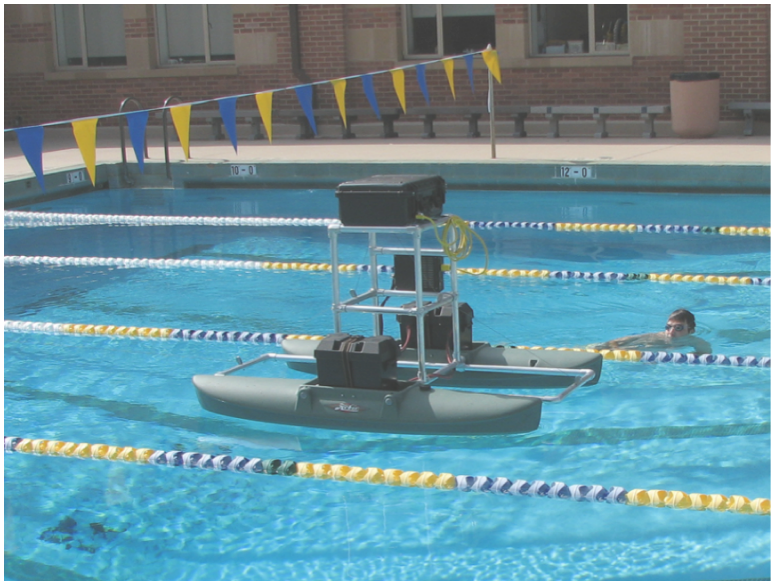
- NSF Science & Tech Ctr, 2002-2012
- 5 universities, plus partners
- 300 members
- Computer science and engineering
- Science application areas



Slide by Jason Fisher, UC-Merced,
Center for Embedded Networked Sensing (CENS)

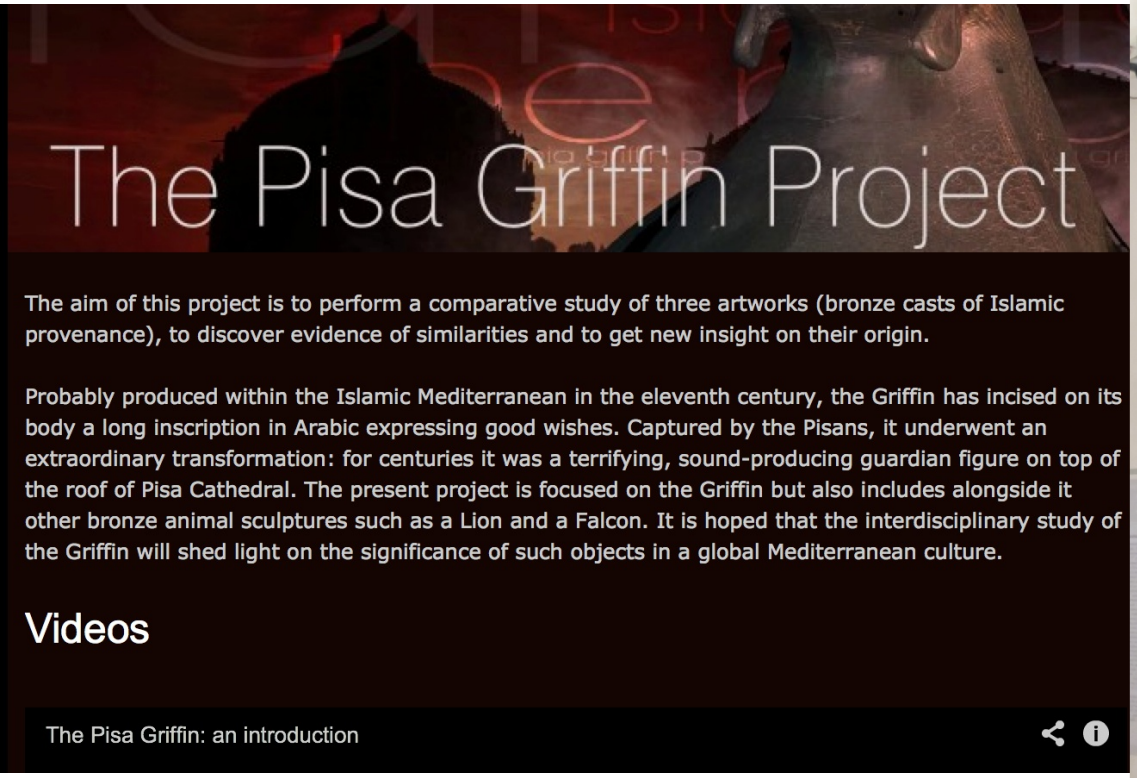
Science <–> Data

Engineering researcher:
"Temperature is temperature."



CENS Robotics team

Biologist*: **"There are hundreds of ways to measure temperature.** 'The temperature is 98' is low-value compared to, 'the temperature of the surface, measured by the infrared thermopile, model number XYZ, is 98.' That means it is measuring a proxy for a temperature, rather than being in contact with a probe, and it is measuring from a distance. The accuracy is plus or minus .05 of a degree. I [also] want to know that it was taken outside versus inside a controlled environment, how long it had been in place, and the last time it was calibrated, which might tell me whether it has drifted.."*



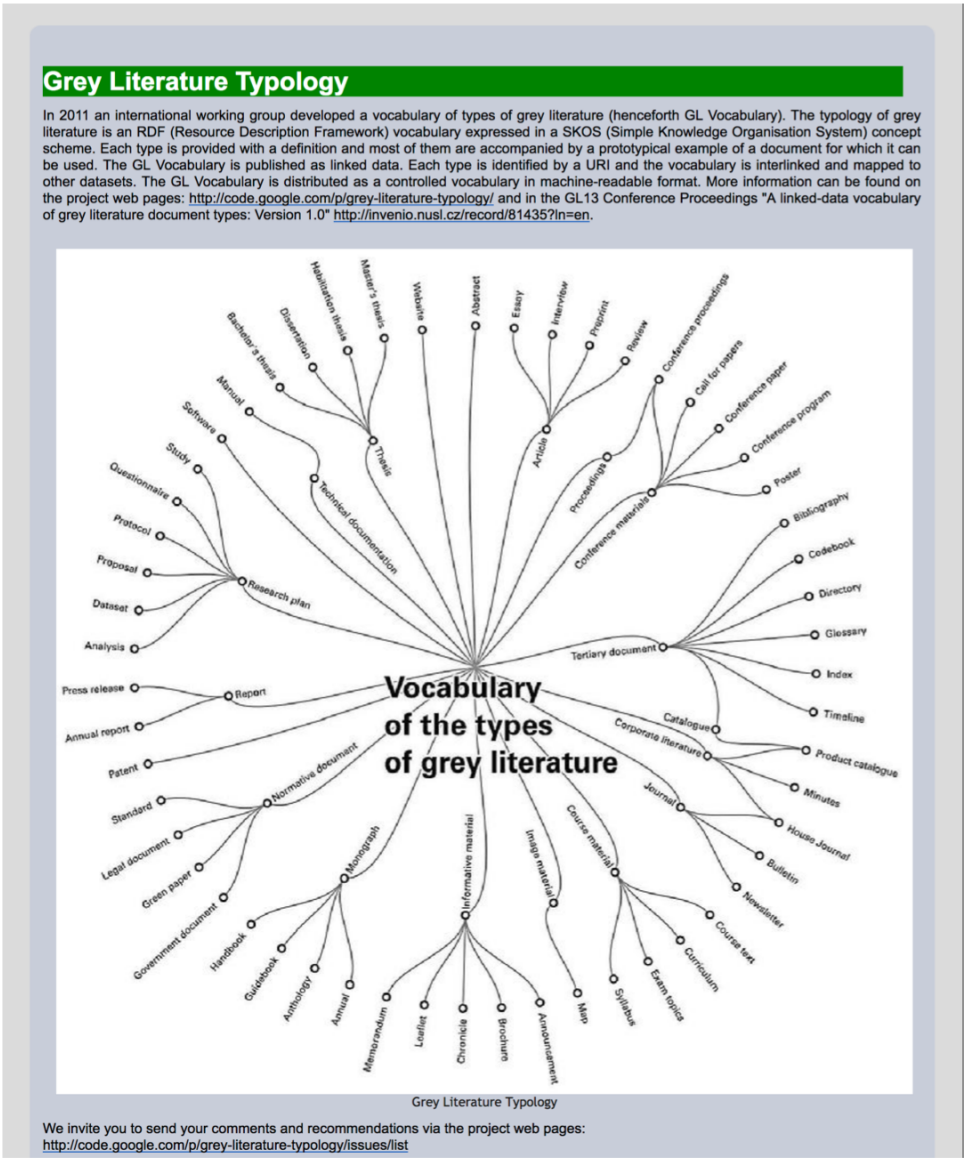
http://vcg.isti.cnr.it/griffin/

Arte islamica, ippogrifo, XI sec 03, own work

Publications

http://www.cse.psu.edu/hpcl/images/publications.jpg

Grey Literature



- Reports
- Working papers
- Conference papers
- Preprints
- Patents
- Datasets
- Audio
- Video
- Slides
- Posters
- Codebooks
- Course syllabi
- Proposals
- Memos

http://www.greynet.org/

Grey Data

Stuart Miles
FreeDigitalPhotos.net

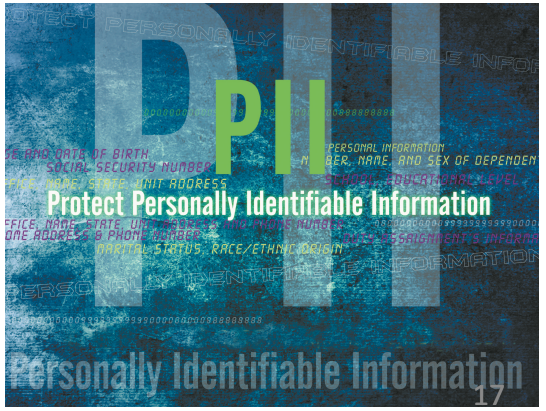
- Student applications
- Registrar records
- Learning management systems
- University ID cards: library, health, recreation, dorms, food service, transportation…
- Academic personnel dossiers
- Regulation and compliance data
- Staff surveys
- Sensor networks
- Security cameras
- Network traffic
- Street traffic…

HIPAA
Health Insurance Portability and Accountability Act

https://www.linkedin.com/pulse/hipaa-privacy-rule-compliance-understanding-new-rules-syed-najaf

RESPECT FOR PERSONS • BENEFICENCE • JUSTICE
UNIVERSITY OF WASHINGTON
IRB
HUMAN SUBJECTS DIVISION

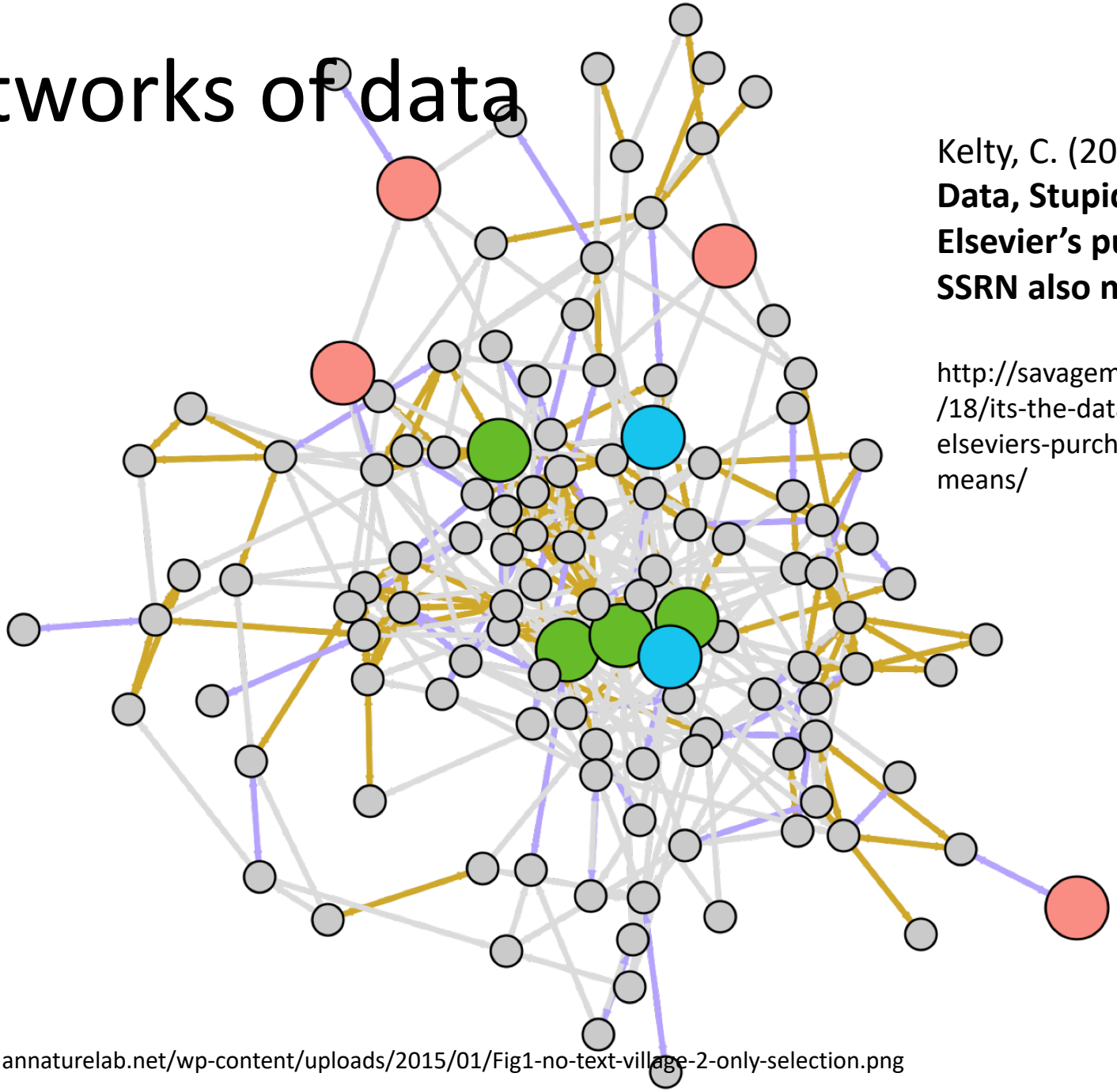
DEPARTMENT OF EDUCATION
FERPA
Family Educational Rights and Privacy Act
UNITED STATES OF AMERICA



Borgman, C. L. (2018). Open Data, Grey Data, and Stewardship: Universities at the Privacy Frontier. *Berkeley Technology Law Journal*, *33*(2). https://arxiv.org/abs/1802.02953

http://www.aetc.af.mil/News/Article-Display/Article/559551/think-before-sending-protecting-pii/

Networks of data



Kelty, C. (2016). **It's the Data, Stupid: What Elsevier's purchase of SSRN also means.**

http://savageminds.org/2016/05/18/its-the-data-stupid-what-elseviers-purchase-of-ssrn-also-means/

http://humannaturelab.net/wp-content/uploads/2015/01/Fig1-no-text-village-2-only-selection.png

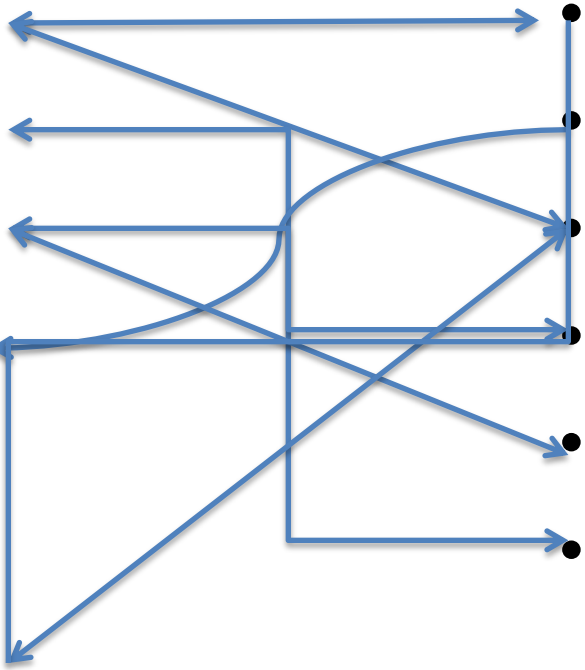
Publications <–> Data: Role

Publications are arguments made by authors, and data are the evidence used to support the arguments.

C.L. Borgman (2015). *Big Data, Little Data, No Data: Scholarship in the Networked World*. MIT Press

Publications <–> Data: Mapping

- Article 1
- Article 2
- Article 3
- Article 4
- Article n



- Dataset time 1
- Dataset time 2
- Observation time 1
- Visualization time 3
- Community collection 1
- Repository 1

Publications <–> Data: Attribution

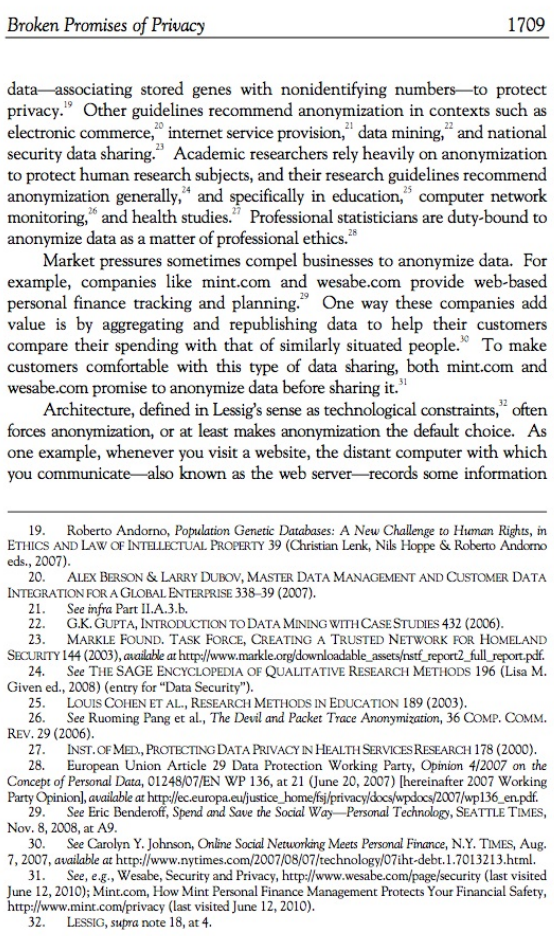
- Publications
  - Independent units
  - Authorship is negotiated
- Data
  - Compound objects
  - Ownership is rarely clear
  - Attribution
    - Long term responsibility: Investigators
    - Expertise for interpretation: Data collectors and analysts

http://www.genome.gov/dmd/img.cfm?node=Photos/Graphics&id=85327

Data citation and analytics

- Credit
- Attribution
- Discovery

Bibliometrics, Scientometrics, Informetrics, Webometrics…



Broken Promises of Privacy 1709

data—associating stored genes with nonidentifying numbers—to protect privacy.[19] Other guidelines recommend anonymization in contexts such as electronic commerce,[20] internet service provision,[21] data mining,[22] and national security data sharing.[23] Academic researchers rely heavily on anonymization to protect human research subjects, and their research guidelines recommend anonymization generally,[24] and specifically in education,[25] computer network monitoring,[26] and health studies.[27] Professional statisticians are duty-bound to anonymize data as a matter of professional ethics.[28]

Market pressures sometimes compel businesses to anonymize data. For example, companies like mint.com and wesabe.com provide web-based personal finance tracking and planning.[29] One way these companies add value is by aggregating and republishing data to help their customers compare their spending with that of similarly situated people.[30] To make customers comfortable with this type of data sharing, both mint.com and wesabe.com promise to anonymize data before sharing it.[31]

Architecture, defined in Lessig's sense as technological constraints,[32] often forces anonymization, or at least makes anonymization the default choice. As one example, whenever you visit a website, the distant computer with which you communicate—also known as the web server—records some information

19. Roberto Andorno, *Population Genetic Databases: A New Challenge to Human Rights*, in ETHICS AND LAW OF INTELLECTUAL PROPERTY 39 (Christian Lenk, Nils Hoppe & Roberto Andorno eds., 2007).
20. ALEX BERSON & LARRY DUBOV, MASTER DATA MANAGEMENT AND CUSTOMER DATA INTEGRATION FOR A GLOBAL ENTERPRISE 338–39 (2007).
21. *See infra* Part II.A.3.b.
22. G.K. GUPTA, INTRODUCTION TO DATA MINING WITH CASE STUDIES 432 (2006).
23. MARKLE FOUND. TASK FORCE, CREATING A TRUSTED NETWORK FOR HOMELAND SECURITY 144 (2003), *available at* http://www.markle.org/downloadable_assets/nstf_report2_full_report.pdf.
24. *See* THE SAGE ENCYCLOPEDIA OF QUALITATIVE RESEARCH METHODS 196 (Lisa M. Given ed., 2008) (entry for "Data Security").
25. LOUIS COHEN ET AL., RESEARCH METHODS IN EDUCATION 189 (2003).
26. *See* Ruoming Pang et al., *The Devil and Packet Trace Anonymization*, 36 COMP. COMM. REV. 29 (2006).
27. INST. OF MED., PROTECTING DATA PRIVACY IN HEALTH SERVICES RESEARCH 178 (2000).
28. European Union Article 29 Data Protection Working Party, *Opinion 4/2007 on the Concept of Personal Data*, 01248/07/EN WP 136, at 21 (June 20, 2007) [hereinafter 2007 Working Party Opinion], *available at* http://ec.europa.eu/justice_home/fsj/privacy/docs/wpdocs/2007/wp136_en.pdf.
29. *See* Eric Benderoff, *Spend and Save the Social Way—Personal Technology*, SEATTLE TIMES, Nov. 8, 2008, at A9.
30. *See* Carolyn Y. Johnson, *Online Social Networking Meets Personal Finance*, N.Y. TIMES, Aug. 7, 2007, *available at* http://www.nytimes.com/2007/08/07/technology/07iht-debt.1.7013213.html.
31. *See, e.g.*, Wesabe, Security and Privacy, http://www.wesabe.com/page/security (last visited June 12, 2010); Mint.com, How Mint Personal Finance Management Protects Your Financial Safety, http://www.mint.com/privacy (last visited June 12, 2010).
32. LESSIG, *supra* note 18, at 4.

Ohm, P. (2010). Broken Promises of Privacy: Responding to the Surprising Failure of Anonymization. *UCLA Law Review*, *57*, 1701.

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"Altmetrics"

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RESEARCH ARTICLE

If We Share Data, Will Anyone Use Them? Data Sharing and Reuse in the Long Tail of Science and Technology

Jillian C. Wallis, Elizabeth Rolando, Christine L. Borgman

Published: July 23, 2013 • https://doi.org/10.1371/journal.pone.0067332

Abstract

Research on practices to share and reuse data will inform the design of infrastructure to support data collection, management, and discovery in the long tail of science and technology. These are research domains in which data tend to be local in character, minimally structured, and minimally documented. We report on a ten-year study of the Center for Embedded Network Sensing (CENS), a National Science Foundation Science and Technology Center. We found that CENS researchers are willing to share their data, but few are asked to do so, and in only a few domain areas do their funders or journals require them to deposit data. Few repositories exist to accept data in CENS research areas.. Data sharing tends to occur only through

Published July 23, 2013; screenshot Feb 27, 2018

Bibliometrics by Source

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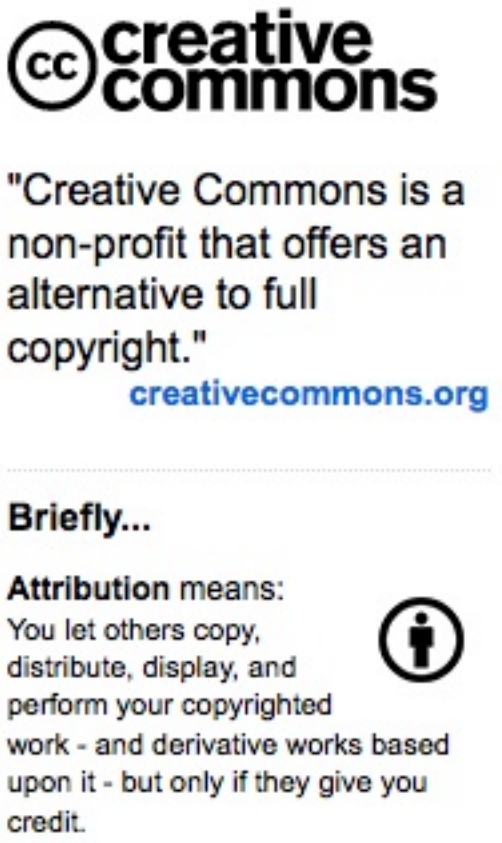
UCLA cancelled Scopus subscription by 2016

Source	Publications		Citations received		H-index	
	2014	2016	2014	2016	2014	2016
Google Scholar (Google)	380	443	7766	9701	39	43
Web of Science (Thomson-Reuters)	145	150	1629	1967	20	23
Scopus – July 2014 (Elsevier)	*77*		*1314*		*14 (after 1995)*	

Attributing responsibility

- Legal responsibility
  - Licensed data
  - Specific attribution required
- Scholarly credit: contributorship
  - “Author” of data
  - Contributor of data to this publication
  - Colleague who shared data
  - Software developer
  - Data collector
  - Instrument builder
  - Data curator
  - Data manager
  - Data scientist
  - Field site staff
  - Data calibration
  - Data analysis, visualization
  - Funding source
  - Data repository
  - Lab director
  - Principal investigator
  - University research office
  - Research subjects
  - Research workers, e.g., citizen science…



For Attribution -- Developing Data Attribution and Citation Practices and Standards: Summary of an International Workshop. Washington, D.C.: The National Academies Press. 2012

Discovery and Interpretation

- Identify the form and content
- Identify related objects
- Interpret
- Evaluate
- Open
- Read
- Compute upon
- Reuse
- Combine
- Describe
- Annotate…

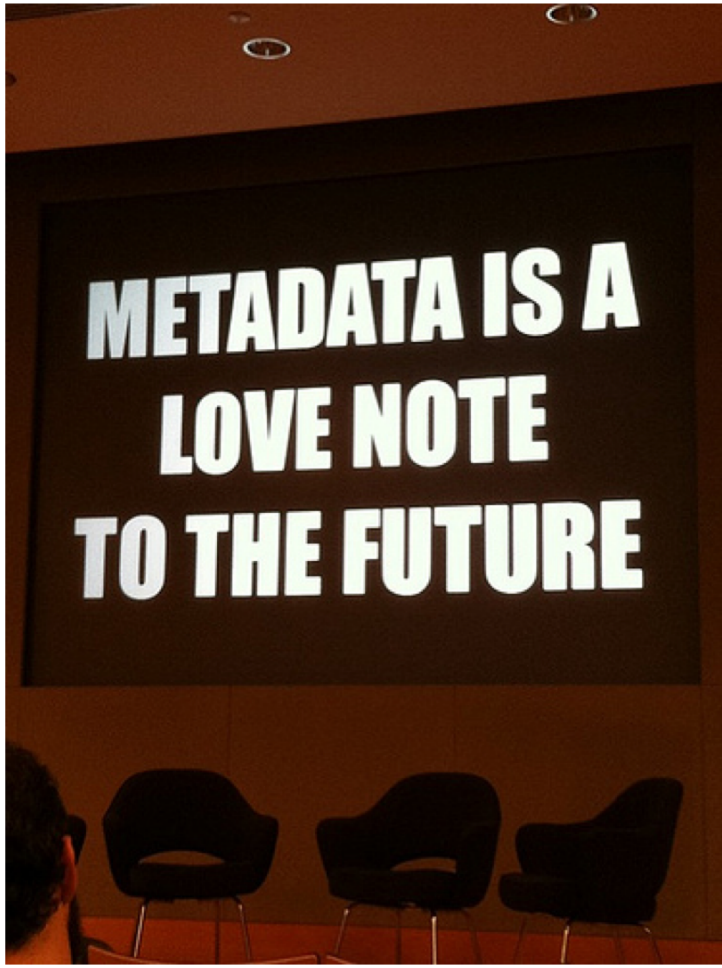
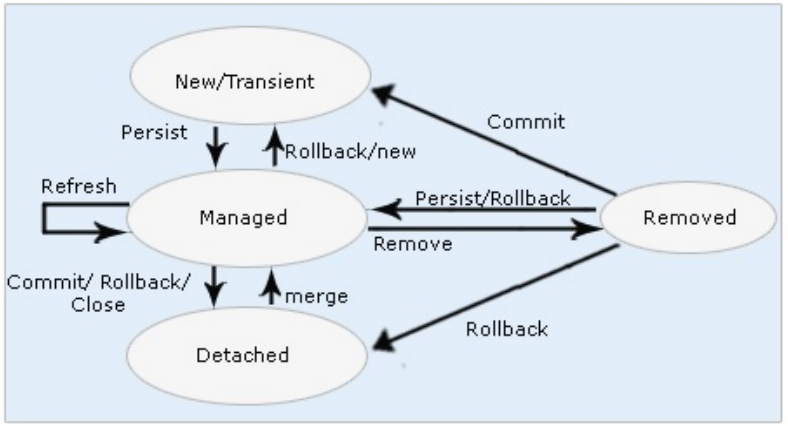


Photo by @kissane; presentation by Jason Scott (@textfiles)

Identity and persistence

- Identity
  - Identifiers
    - DOI, Handles
    - URI, PURL…
  - Naming and namespaces
    - Authors/creators: ORCID, ISNI, VIAF…
    - Generic/specific: registry number…
  - Description
    - Self-describing
    - Metadata augmentation
- Persistence
  - Perishable
  - Long-lived
  - Permanent



Persistence Content

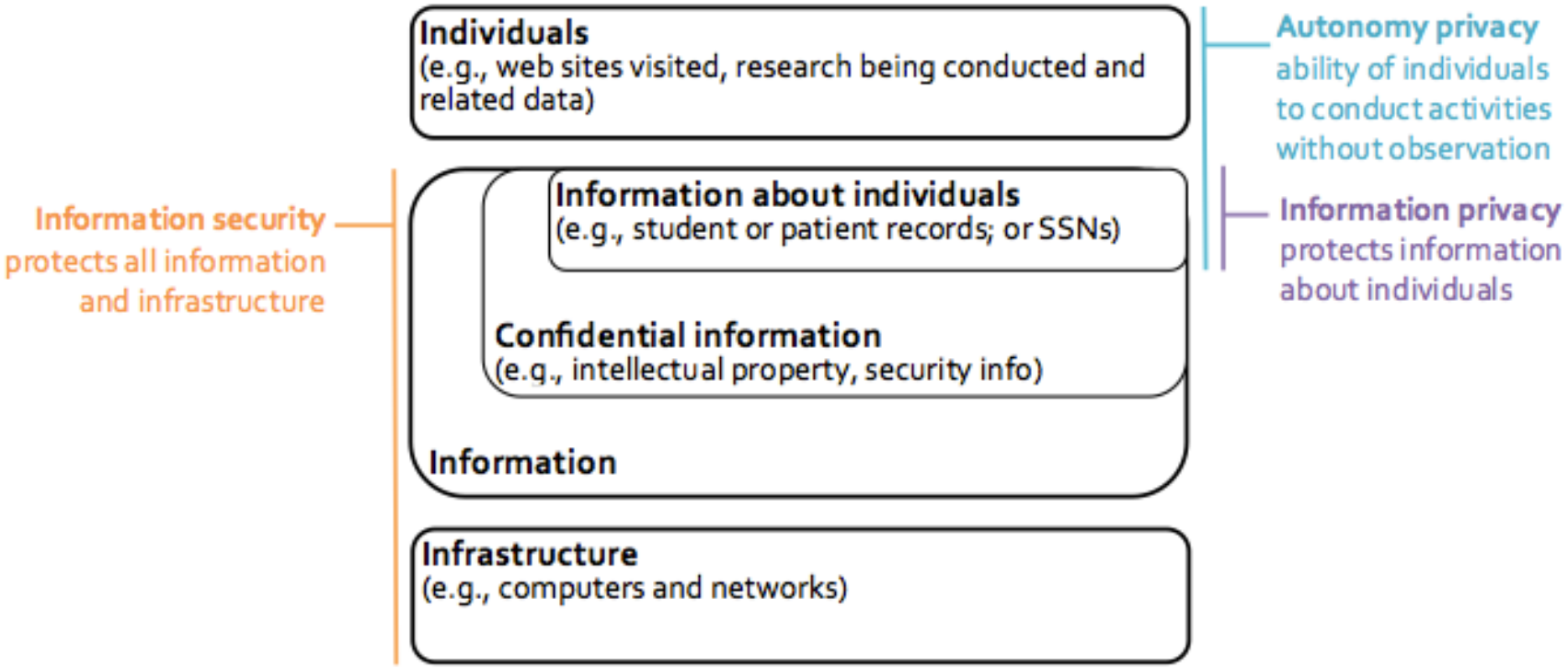
http://web-interview-questions.blogspot.com/2010_06_21_archive.html

Intellectual property

- What can I do with this object?
- What rights are associated?
  - Reuse
  - Reproduce
  - Attribute
- Who owns the rights?
- How open are data?
  - Open data
  - Open bibliography

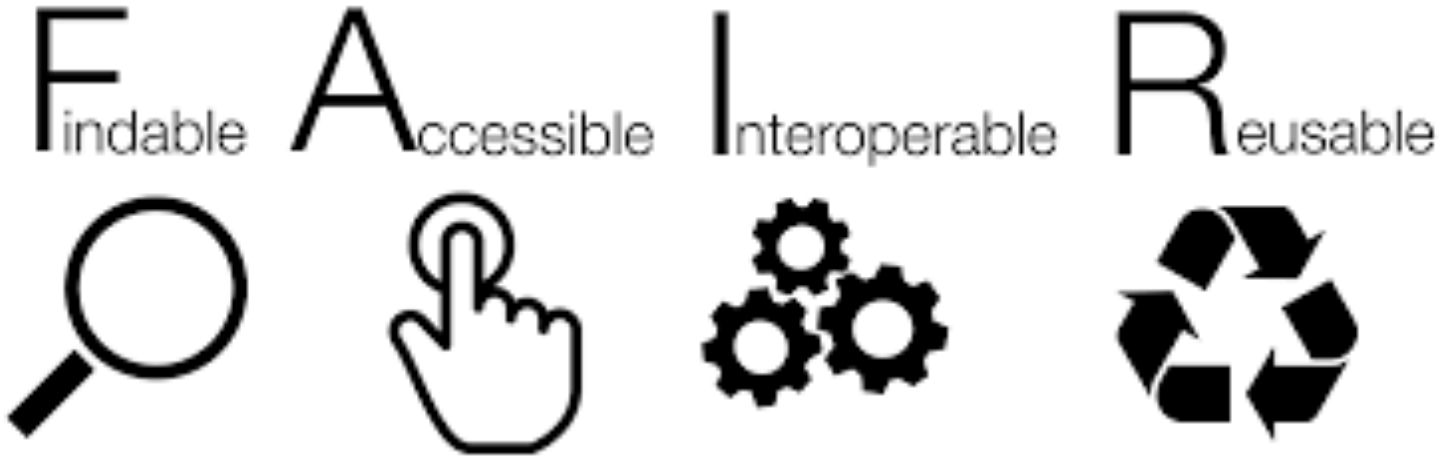
http://pzwart.wdka.hro.nl/mdr/research/lliang/mdr/mdr_images/opencontent.jpg/

Information and Autonomy Privacy



UCOP Privacy and Information Security Initiative. (2013). http://ucop.edu/privacy-initiative/

Data Stewardship: The Ideal



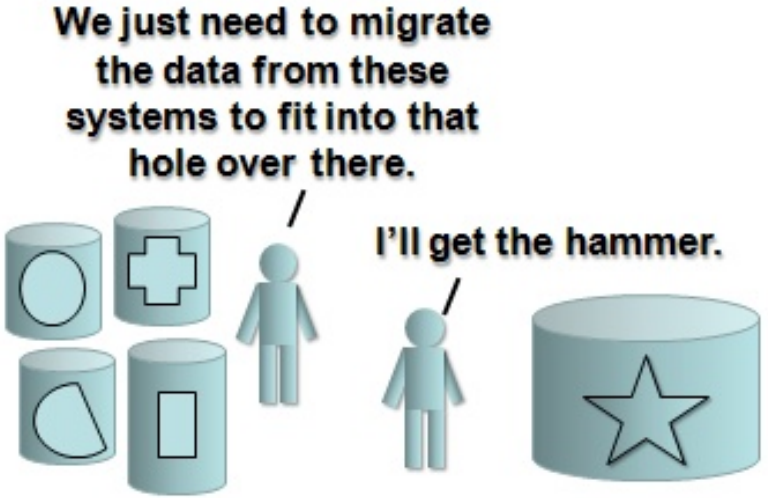
Wilkinson, et al. (2016). The FAIR Guiding Principles for scientific data management and stewardship. *Scientific Data*, *3*, http://dx.doi.org/10.1038/sdata.2016.18

Data Stewardship: the Reality


http://www.information-age.com/cloud-computing-pharmaceutical-industry-123462676/


Getty Research Institute

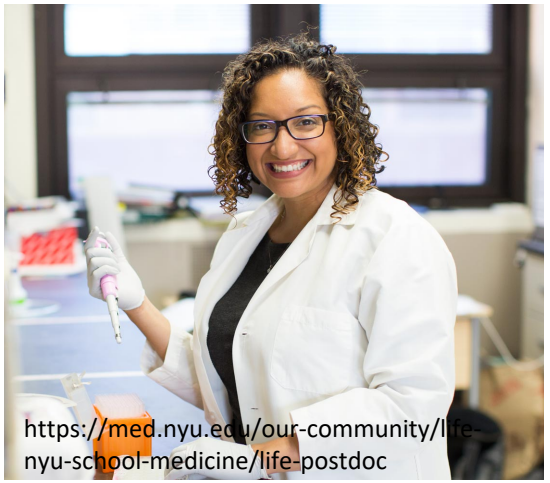

Mount Wilson Solar Observatory, 2017



http://www.datamartist.com/data-migration-part-1-introduction-to-the-data-migration-delema


http://gsa.rice.edu/

Graduate students


https://med.nyu.edu/our-community/life-nyu-school-medicine/life-postdoc

Post-doctoral fellows

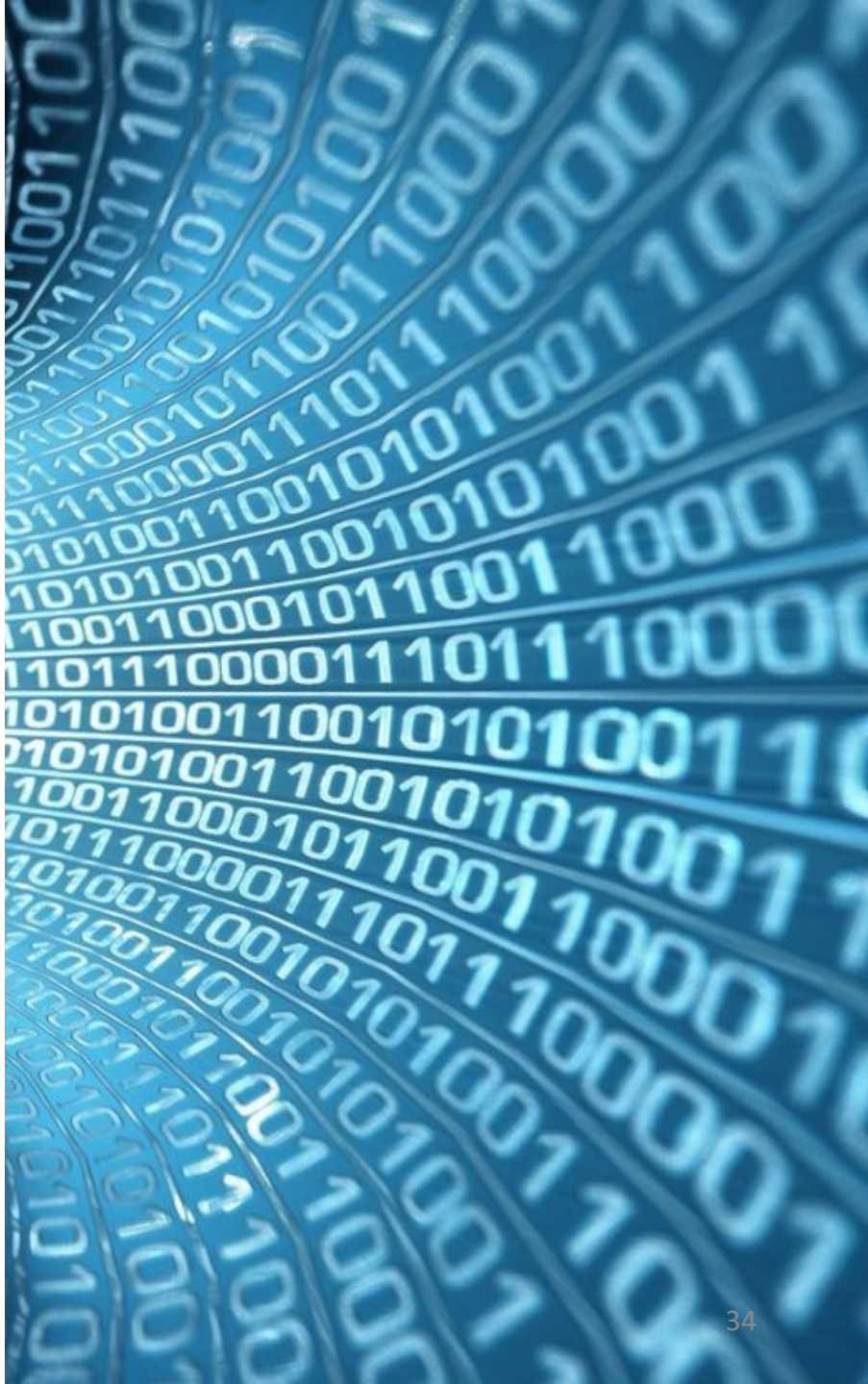
Data

If you can't protect it, don't collect it.

(privacy and security aphorism)

Therefore:

If you collect it, you must protect it.



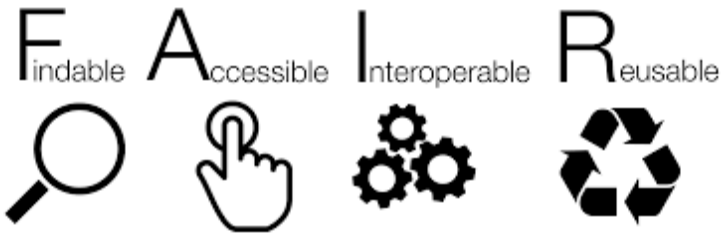
Protect Data and Privacy

open by design

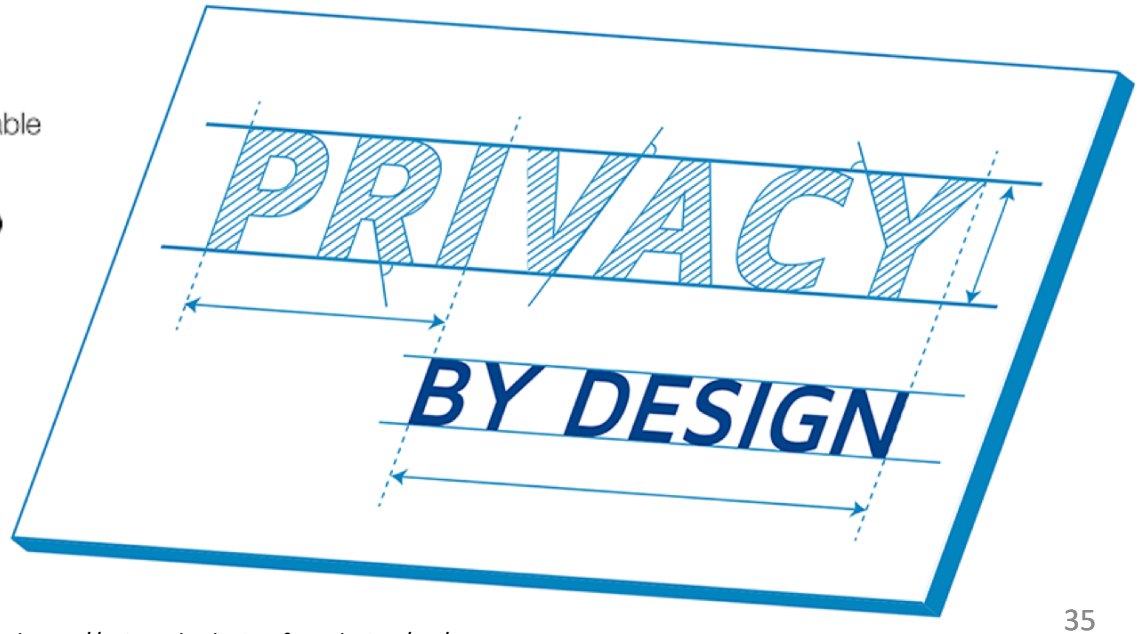
http://democracyos.eu/blog/open-by-design

OPEN DATA

https://wwwdb.inf.tu-dresden.de/opendatasurvey/

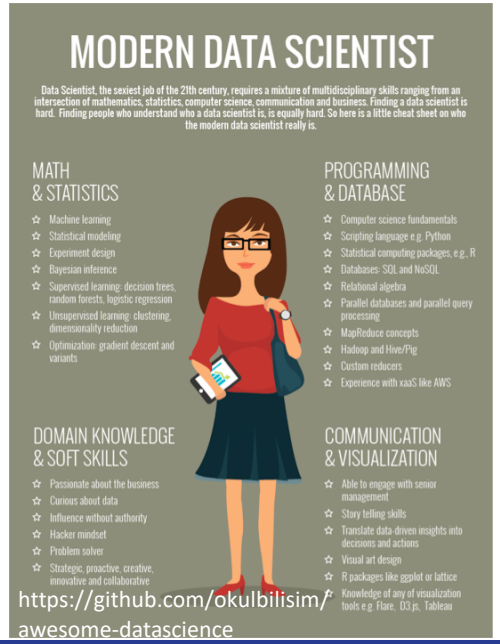


Wilkinson, et al. (2016). The FAIR Guiding Principles for scientific data management and stewardship. *Scientific Data*, *3*, http://dx.doi.org/10.1038/sdata.2016.18

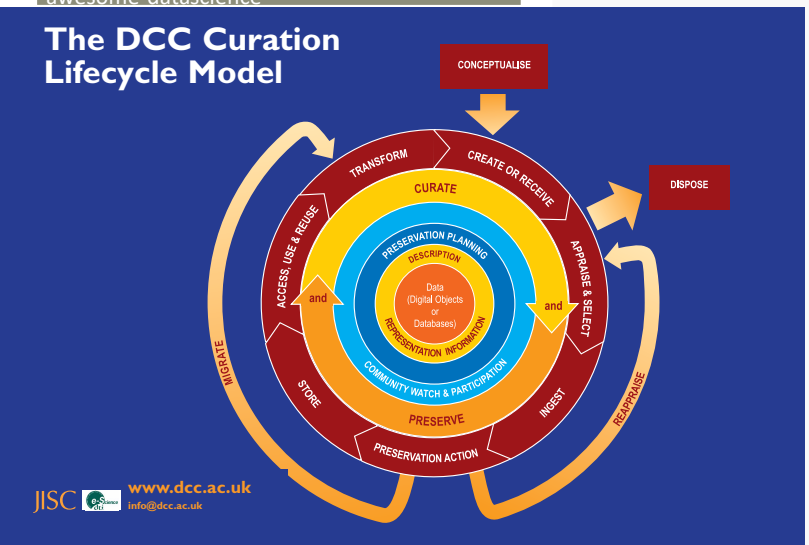


https://privacybydesign.foundation/en/

Protect Data and Privacy



https://github.com/okulbilisim/awesome-datascience



UCLA Corporate Financial Services

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/ RECORDS RETENTION & DISPOSITION GUIDELINES

RECORDS RETENTION & DISPOSITION GUIDELINES

RELATED INFORMATION

- UC Records Retention Schedule
- Vendor Agreements List

The University of California retention schedules assure that records are kept only as long as needed to meet administrative and legal requirements. UCOP Information Resources and Communication offers a searchable database with systemwide guidelines.

COST ISSUES

Keeping records for longer than they are needed costs money and space to store, whether they are off-site or in your office.

LEGAL ISSUES

Records can expose the University to additional legal risk. Any record that is maintained by UCLA may be discoverable under law. Failing to keep these for the specified time period may result in legal action against UCLA.

COPIES VS. ORIGINALS

Records that are held past their retention date are still subject to subpoena as are copies of files, known as shadow files. Contact the Office of Record prior to destroying your copies.

ELECTRONIC FILES

Retention does not apply only to paper records, but to electronic records too. This means it is necessary to erase certain computer files, including emails, over time, or they too will be discoverable.

DESTROYING RECORDS

Records must be destroyed in accordance with the University's records retention policies. Documents that contain personal or sensitive information should be shredded.

If you have a lot of records to dispose of, check the Vendor Agreements List to find who has a contract with UCLA for document destruction. For smaller volumes it may be a good option to buy a cross-cut shredder.

Promote Responsible Data Practices

- Respect information and autonomy privacy
  - Open data: release and reuse
  - Data collection and use
  - Data management
  - Collaborations
  - Publications
- Community
  - Faculty
  - Librarians
  - Staff
  - Students
  - External partners
- Joint governance process


https://www.universityofcalifornia.edu/subject/term/technology-engineering

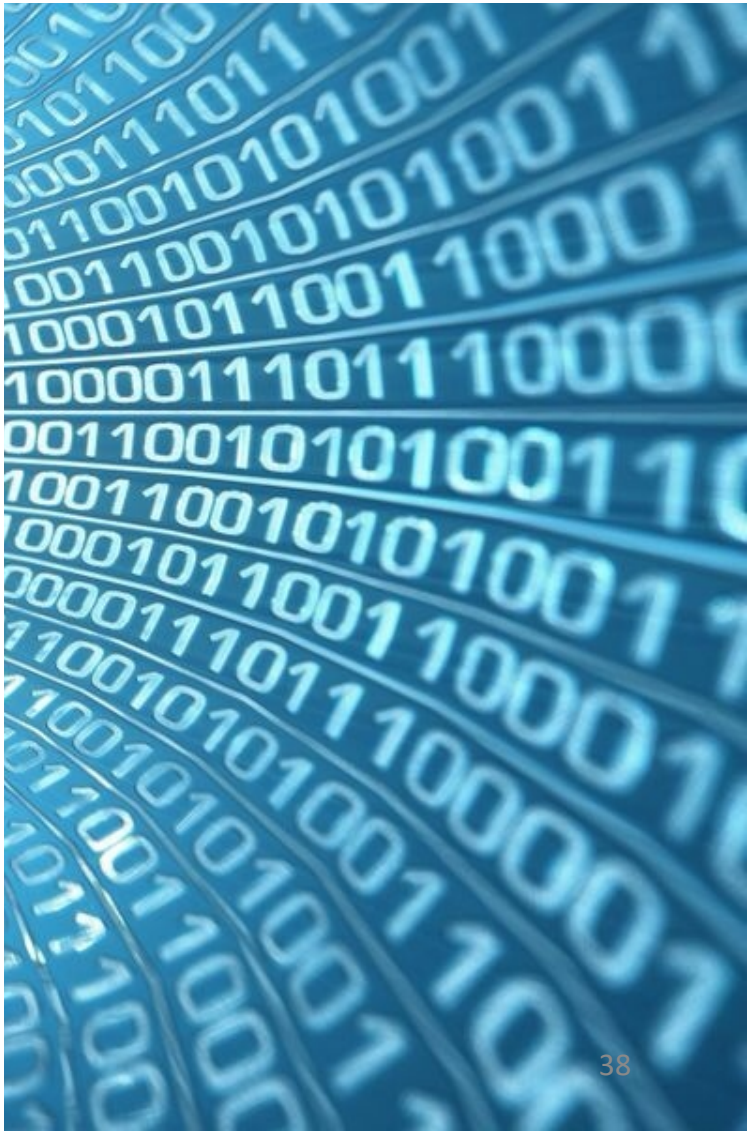

http://www.berkeley.edu/utility/jobs


http://gsa.rice.edu/

https://www.commondreams.org/views/2014/09/20/corporations-your-diet

Scholarship and Stewardship to Build the UC Digital Library

- Mission-drive stewardship
  - Research
  - Teaching
  - Services
- Steward the scholarly record
  - Integrated workflows
  - Version of record
  - Record of versions (Van de Sompel)
- Support discovery at scale
  - Human readable
  - Machine readable
  - Lawyer readable
- Sustain trust of community
  - Privacy: information, autonomy
  - Academic freedom
  - Stewardship and governance



UC Leadership in Data Policy

- We must maximally enable the **mission** of the University by supporting the values of **academic and intellectual freedom**.
- We must be **good stewards** of the **information entrusted** to the University.
- We must ensure that the University has **access to information** resources for **legitimate business purposes.**
- We must have a University community with **clear expectations of privacy**—both **privileges and obligations** of individuals and of the institution.
- We must make decisions within an **institutional context**.
- We must acknowledge the **distributed nature** of information stewardship at UC, where **responsibility for privacy and information security** resides at every level.

UCOP Privacy and Information Security Initiative. (2013). http://ucop.edu/privacy-initiative/

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Michael Scroggins

Milena Golshan

UCLA Center for Knowledge Infrastructures

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