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Title

Disease-Specific Survival Trends for Patients Presenting with Differentiated Thyroid Cancer and Distant Metastases in the United States, 1992-2018.

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2 cancer and distant metastases in the United States, 1992-2018 3 4 Running Title: Survival trends in differentiated thyroid cancer 5 6 Authors: Alexander Wilhelm, MD^{1,2}, Patricia C. Conroy, MD¹, Lucia Calthorpe, MD, 7 MPhil¹, Amy M. Shui, MA³, Cari M. Kitahara, PhD, MHS⁴, Sanziana A. Roman, MD¹, Julie 8 9 Ann Sosa, MD, MA¹ 10 11 ¹Department of Surgery, University of California, San Francisco, San Francisco, CA, USA 12 ²Department of Visceral Surgery, Clarunis - University Center for Gastrointestinal and Liver 13 Diseases, St. Clara Hospital and University Hospital Basel, Switzerland 14 ³Department of Epidemiology and Biostatistics, University of California, San Francisco, CA, 15 USA 16 ⁴Division of Cancer Epidemiology and Genetics, National Cancer Institute, Bethesda, 17 Maryland, USA 18 19 20 **Corresponding Author:** 21 Julie Ann Sosa, MD, MA, FACS, MAMSE, FSSO 22 Leon Goldman, MD Distinguished Professor of Surgery and 23 Chair, Department of Surgery 24 Professor, Department of Medicine 25 Affiliated faculty, Philip R. Lee Institute for Health Policy Studies 26 University of California San Francisco-UCSF 27 513 Parnassus Ave 28 Suite S320, Box 0104 29 San Francisco, CA 94143 30 T: (415) 476-1236 31 F: (415) 476-1734 32 Email: julie.sosa@ucsf.edu 33 34 35 **Keywords**: Differentiated thyroid cancer, distant metastasis, disease-specific survival 36 37 38 This work was accepted for oral presentation at the 91st Annual Meeting of the American 39 Thyroid Association, to be held in Montréal, Canada from October 19-23, 2022. 40 41 42 Number of References: 37 43 Number of Tables: 4 44 Number of supplemental Tables: 1 45 Number of Figures: 4 46 Number of supplemental Figures: 5 47 Abstract Word Length: 344 (max 350) 48 Manuscript Word Length: 3,067 (max 3,000)

Title: Disease-specific survival trends for patients presenting with differentiated thyroid

50 ABSTRACT

51 Objective: Differentiated thyroid cancer (DTC) is associated with an excellent prognosis, but 52 patients with distant metastatic DTC have a 10-year disease-specific survival (DSS) of just 53 50%. The incidence of distant metastatic DTC has steadily increased in the U.S. since the 54 1980s. The aim of this study was to examine trends in survival and treatment for patients with 55 distant metastatic DTC.

56

57 Methods: In this population-based, retrospective cohort study, patients with distant
58 metastatic DTC were identified from the Surveillance, Epidemiology, and End Results-13
59 (SEER-13) cancer registry program. Multivariable logistic and Cox regression analyses were
60 used to examine factors associated with DSS and management. Annual percent changes
61 (APC) in treatment patterns were calculated using log-linear regression.

62

63 **Results:** During 1992-2018, 1,991 patients (69.7% white, 58.0% female, 47.5% aged ≥ 65 64 years) were diagnosed with distant metastatic DTC. Papillary thyroid cancer was the most 65 common histologic type (74.5%). While the 10-year DSS for overall DTC increased over 66 time (95.4% for patients diagnosed in 1992-1998, 96.6% in 1999-2008, and 97.3% in 2009-67 2018; p<0.01), 10-year DSS for DTC with distant metastases did not change (50.2%, 47.3%, 68 and 52.4%, respectively; p=0.48). 10-year DSS rates were reduced for patients aged ≥ 65 69 years (28.1%), patients undergoing non-surgical treatment with external beam radiation 70 therapy and/or systemic therapy (6.0%), and patients undergoing no/unknown treatment 71 (32.8%). On multivariable analysis, oncocytic carcinoma, age 65-79 and \geq 80 years, male 72 sex, node-positive disease, larger tumor size, non-surgical treatment, and no/unknown 73 treatment were associated with increased risk of thyroid cancer death. Between 1992-2018,

/4	the rate of non-surgical treatment increased, on average, 1.3% per year (1992-1998 22.9% vs.
75	2009-2018 25.6%; p=0.03), and the rate of patients receiving no/unknown treatment
76	increased 1.9% per year (1992-1998 11.3% vs. 2009-2018 15.6%; p=0.01). Patients aged 65-
77	79 and \geq 80 years were more likely than younger patients to receive non-surgical
78	management or no/unknown treatment.
79	
	Conclusions Detionts discussed with distant metastatic DTC have empiricated as
80	Conclusion: Patients diagnosed with distant metastatic DTC have experienced no
80 81	improvement in DSS over the last three decades. An increasing proportion of patients
80 81 82	improvement in DSS over the last three decades. An increasing proportion of patients diagnosed with distant metastatic DTC are receiving non-surgical treatment or no/unknown
80 81 82 83	improvement in DSS over the last three decades. An increasing proportion of patients diagnosed with distant metastatic DTC are receiving non-surgical treatment or no/unknown treatment over time; the proportion was highest among the oldest patients.
80 81 82 83 84	improvement in DSS over the last three decades. An increasing proportion of patients diagnosed with distant metastatic DTC are receiving non-surgical treatment or no/unknown treatment over time; the proportion was highest among the oldest patients.

86 INTRODUCTION

Differentiated thyroid cancer (DTC), including papillary (PTC), follicular (FTC) and
oncocytic carcinoma, is generally associated with an excellent prognosis. However, patients
with distant metastatic DTC historically have had substantially lower 10-year disease-specific
survival (DSS) compared to patients with non-metastatic DTC (44% vs. 98%, respectively).¹
Since the 1980s, there has been a steady increase in the incidence of distant metastatic DTC
in the United States.²

93 The American Thyroid Association (ATA) guidelines regarding the appropriate 94 management of distant metastatic DTC describe a hierarchy of treatment strategies beginning 95 with surgical excision of locoregional disease followed by radioactive iodine (RAI), external 96 beam radiation therapy (EBRT), and consideration of systemic therapy with conventional 97 chemotherapy or kinase inhibitors for RAI-resistant tumors.³ These recommendations reflect 98 the body of evidence documenting superior outcomes among patients treated with surgery 99 and RAI, and the more recent emergence of promising novel kinase inhibitor therapies such 100 as sorafenib in 2013 and lenvatinib in 2015.⁴⁻⁷ An initial trial of sorafenib demonstrated a 101 considerable increase in progression free survival, from under six months in the control group 102 to 10.8 months among patients treated with sorafenib.⁶ Prior to the emergence of these 103 targeted therapies, previous iterations of the ATA guidelines had noted doxorubicin as the 104 main option for systemic therapy despite its having limited efficacy.⁸ 105 Despite these advances in targeted therapies for RAI-resistant tumors, the incidence-106 based mortality for advanced stage DTC has increased over the last two decades.² Notably, 107 incidence-based-mortality is a population-level measure that provides a breakdown of

108 mortality by variables associated with cancer occurrence.⁹ There is a lack of evidence

109 regarding contemporary trends in disease specific survival (DSS) from distant metastatic

110 DTC that could reflect the recent evolution in available treatments.

111 The aim of this study was to examine trends in treatment and survival for patients with112 distant metastatic DTC.

113

114 METHODS

115 Data source

116 In this population-based, retrospective cohort study, patients with thyroid cancer 117 diagnosed between 1992-2018 were identified from the Surveillance, Epidemiology, and End 118 Results-13 (SEER-13) cancer registry program of the National Cancer Institute.¹⁰ The SEER-119 13 datafile contains information from 13 high-quality, population-based cancer registries in 120 10 states and covers 14% of the U.S. population. Date of last available follow-up was 121 December 31, 2018. 122 123 *Tumor characteristics* 124 DTC cases were identified using the International Classification of Diseases for 125 *Oncology*, third edition, and classified according to histologic type¹¹: PTC (histologic codes 126 8050, 8260, 8337, 8340-8344, 8350, 8450-8460), FTC (8330-8335), and oncocytic carcinoma 127 (8290). According to a recent update of the WHO classification of thyroid neoplasms, 128 histologies previously known as Hürthle cell cancer are termed oncocytic carcinoma.¹² 129 Aggressive variants included the diffuse sclerosing variant (8350), tall cell variant (8344), 130 and insular thyroid cancer (8337).^{13,14} 131 The definition of distant metastatic disease was based on the presence or absence of

132 distant organ or extra-cervical lymph node metastases. For cases diagnosed between 1992-

133 2003, the Extent of Disease-10 (EOD-10) codes for distant organ metastases and metastases 134 in distant lymph nodes were used.¹⁵ The American Joint Committee on Cancer (AJCC) 135 derived TNM staging variables were used for cases diagnosed between 2004-2018.^{16,17} These 136 codes were combined to categorize all cases diagnosed between 1992-2018 by M-stage. 137 Nodal status was defined between 1992-2018 by similarly combining the EOD-10 138 nodes for patients diagnosed from 1992-2003 and the AJCC-derived N-stage variables from 139 2004-2018.15-17 140 Tumor size has been captured in SEER since 1983. Cases diagnosed between 1992-141 2018 were categorized by tumor size using three different schemata³: EOD-10 size codes for

142 1992-2003, Collaborative Staging codes for 2004-2015, and Tumor Size Summary codes for143 2016-2018.

Study patients were divided into three different groups based on the year of diagnosis:patients diagnosed between 1992-1998, 1999-2008, and 2009-2018.

146

147 Demographic and clinical characteristics

148 Demographic characteristics and treatment information of interest are shown in Table 149 1. SEER captures treatment data by reviewing medical records. When multiple surgical 150 procedures are coded, SEER reports the most invasive, extensive, or definitive initial 151 treatment procedure.¹⁸ Surgical procedure was determined using codes from the Site Specific 152 Surgery (1992-1997) and RX Summ—Surgery Primary Site (1998-2018) SEER variables. 153 Patients coded as having "lobectomy, isthmectomy and partial removal of contralateral lobe 154 (near total thyroidectomy)," "subtotal or near total thyroidectomy," or "total thyroidectomy" 155 were considered to have had total thyroidectomy (TTx). Patients coded as having "lobectomy 156 with or without isthmusectomy" were considered to have undergone lobectomy. Patients who

121	underwent "no cancer-directed surgery of primary site" were coded as having no surgery.
158	Patients receiving radiation therapy were captured using the radiation recode variable.
159	Patients who had "internal (radioactive implants & radioisotopes)" radiation therapy were
160	determined to have had RAI. The chemotherapy recode variable was used to identify patients
161	receiving systemic therapy (chemo). Patients then were categorized into the corresponding
162	treatment groups (Table 1). Patients who received "non-surgical treatment" included all
163	patients who did not undergo cancer-directed surgery, including patients receiving
164	no/unknown treatment, EBRT, systemic therapy, or any other treatment.
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167 Statistical analysis

168 Demographic, clinical, and pathological characteristics of patients with distant 169 metastatic DTC were compared between patients diagnosed between 1992-1998, 1999-2008, 170 and 2009-2018 using analysis of variance (ANOVA) for continuous variables and x2-tests for 171 categorical variables. Kaplan-Meier analysis was used to estimate the probability of DSS of 172 DTC overall and distant metastatic DTC beyond a certain time point (e.g., 10 years) and to 173 display the estimated DSS function; unadjusted comparisons between two or more survival 174 curves were made using the log-rank test. In patients with distant metastatic DTC, 175 multivariable logistic and Cox regression models were used to evaluate the associations of 176 specific demographic and clinical factors with non-surgical or no/unknown treatment and risk 177 of thyroid cancer death, respectively. Covariates included time period of diagnosis, patient 178 age, sex, race, marital status, number of malignant tumors per patient, treatment, lymph node 179 dissection, DTC histology, tumor size, and N-stage. Patients with missing information on 180 covariates were excluded from analysis. A two-sided alpha of 0.05 was used in all analyses to

181	define statistical significance. Statistical analyses were performed using Stata/BC version
182	16.1 (StataCorp LLC, College Station, TX). Moreover, a propensity score (PS) analysis to
183	adjust for potential confounding variables, was performed. ^{19,20} Patients undergoing surgical
184	and non-surgical treatment were matched using optimal full propensity score (PS) matching.
185	PSMATCH in SAS version 9.4 was used to perform optimal full PS matching. The National
186	Cancer Institute's Joinpoint Regression Analysis program (v. 4.9.1.0) was used to calculate
187	annual percentage changes (APCs) in treatment patterns. ²¹ This study was granted an
188	exemption by our Institutional Review Board due to use of de-identified data.
189	
190	RESULTS
191	Demographic, clinical, and pathologic characteristics

A total of 1,991 patients with a diagnosis of distant metastatic DTC between 1992 and 2018 were identified in the SEER database (**Figure 1**). Of those, 69.7% were white and 58.0% female. The most common histologic type was PTC (74.5%), and 46.5% of patients had cervical lymph node metastases. The proportion of aggressive histologic variants with distant metastases was 3.7%. TTx followed by RAI was the most frequent treatment approach (46.2%).

The unadjusted variations in demographic, clinical, and pathologic variables over time
are shown in Table 1. There was no significant difference in the rate of female patients, in
marital status, and in the number of malignant tumors per patient. Lymph node dissection,
tumor size, and N-stage categories all differed significantly overall by time period (p<0.01).

203 Survival analysis

204 Of all DTC patients, 3.1% (n=3,394) died from thyroid cancer, compared to 43.1% 205 (n=858) with distant metastatic DTC. Median survival time for DTC overall was 92 months 206 (Interquartile range: 41-162) compared to 41 months (IQR: 11-99) for distant metastatic 207 DTC. While the 10-year DSS for DTC of all stages differed significantly over time (patients 208 diagnosed in 1992-1998: 95.4%, 1999-2008: 96.6%, 2009-2008: 97.3%; p<0.01), 10-year 209 DSS for distant metastatic DTC did not change (50.2%, 47.3%, and 52.4%, respectively; 210 p=0.48) (Figure 2). The 10-year DSS rates were reduced among patients aged 65-79 years 211 (32.7%) and ≥ 80 years (10.7%), in patients who underwent EBRT and/or systemic therapy 212 only (6.0%), and in patients who underwent no/unknown treatment (32.8%). Patients who 213 underwent TTx followed by RAI and those who underwent TTx alone had the highest 10-214 year DSS rates of 64.4% and 56.9%, respectively (Figure 3). 215 After multivariable adjustment, oncocytic carcinoma compared to PTC (HR 2.07, 216 95%CI 1.51-2.83), age 65-79 (HR 1.95, 95%CI 1.63-2.33), age ≥ 80 (HR 3.04, 95%CI 2.38-217 3.87), male sex (HR 1.44, 95%CI 1.24-1.71), cervical lymph node-positive disease (HR 1.42, 218 95%CI 1.15-1.74), tumor size greater than 4 cm compared to \leq 1 cm (HR 2.33, 95%CI 1.65-219 3.28), no/unknown treatment compared to TTx (HR 2.26, 95%CI 1.65-3.09), and EBRT and/ 220 or systemic therapy compared to TTx (HR 3.33, 95%CI 2.42-4.59) were associated with an 221 increased risk of thyroid cancer death (Table 2). TTx followed by RAI compared to TTx only 222 (HR 0.67, 95%CI 0.52-0.87) and lymph node dissection compared to no lymph node 223 dissection (HR 0.78, 95%CI 0.62-0.97) were associated with a lower risk of thyroid cancer 224 death.

225

226 Treatment trends

227	The rate of non-surgical treatment increased, on average, 1.3% per year (22.9%
228	between 1992-1998 vs. 25.6% in 2009-2018; p=0.03), and the rate of patients undergoing no/
229	unknown treatment for distant metastatic DTC increased 1.9% per year (11.3% in 1992-1998
230	vs. 15.6% in 2009-2018; p=0.01) (Figure 4). The proportion of patients receiving systemic
231	therapy increased 2.0% per year (1992-1998: 7.4%, 2009-2018: 8.7%; p=0.04). After
232	multivariable adjustment, patients aged 65-79 and \geq 80 years were more likely to undergo
233	non-surgical treatment (OR 1.96, 95%CI 1.41-2.71; OR 3.90, 95%CI 2.59-5.85, respectively)
234	and patients \geq 80 years more likely to undergo no/unknown treatment (OR 3.68, 95%CI
235	2.48-5.46) (Tables 3 and 4).
236	
237	Sensitivity analysis
238	A sensitivity analysis grouping patients in 5-year intervals showed similar survival
239	rates for DTC overall and distant metastatic DTC (Supplemental figure 1). To address
240	potential selection bias, we conducted a subgroup analysis of patients with more extensive
241	primary tumors, i.e., N1-stage and/or tumor size >4cm (Supplemental figure 2) that showed
242	similar survival rates by treatment compared to the main cohort. Sample balance after
243	propensity score matching is shown in Supplemental table 1, all covariates used in matching
244	met sample balance criteria. Patients undergoing non-surgical treatment had significantly
245	higher risk of death from thyroid cancer (log-rank test p<0.001; Cox model HR 4.36, 95% CI
246	3.32-5.73). Disease-specific survival analysis by treatment of the matched patient cohort
247	(Supplemental figure 3) showed similar results compared to the main cohort. In addition,
248	DSS rates of patients with more extensive vs. localized primary tumors are displayed in
249	Supplemental figure 4. A trend analysis of the proportion of patients with DTC and distant

250 metastases and DTC-Mx stage among all new DTC diagnoses per year is presented in
251 Supplemental figure 5.

252

253 DISCUSSION

The 10-year DSS for DTC overall increased between 1992-2018, likely because of earlier diagnoses at less advanced stages. In contrast, there was no significant change in the 10-year DSS for patients presenting with DTC and distant metastases. In parallel, there was an increase in the proportion of patients undergoing non-surgical treatment or receiving no/unknown treatment for distant metastatic DTC. Patients aged 65-79 and \geq 80 years were more likely than younger patients to receive non-surgical treatment. Patient age, non-surgical treatment, and no/unknown treatment were associated with decreased survival.

261 The observed increase in the proportion of patients receiving non-surgical treatment 262 likely contributes to the lack of improvement in the 10-year DSS. The current standard of 263 care for DTC with distant metastases is resection of locoregional disease, if surgically 264 accessible, followed by RAI.³ Unlike many cancers in other organ systems, distant DTC 265 metastases do not preclude resection of the primary tumor because DTC metastases may 266 respond to RAI administration.³ In a study of 49 patients with distant metastatic DTC at the 267 time of diagnosis, only histology and iodine avidity were significantly associated with 268 improved survival after adjustment for patient age.²² In a study of 444 patients, 10-year 269 overall survival was 56% among patients with ¹³¹I uptake compared to 10% among those 270 without uptake.⁵ Among patients without ¹³¹I uptake, distant metastasectomy can be considered in selected patients.^{23,24} In the present study, total thyroidectomy with or without 271 272 RAI ablation was associated with decreased risk of death and higher DSS rates. Together

with previously published literature, these results support a continued central role for thesurgical management of distant metastatic DTC.

275 The reasons for the increase in patients receiving non-surgical treatment are unclear. 276 It is possible that some of the tumors were not resectable because of extensive extrathyroidal 277 extension into critical structures. In SEER, the variable that codes for extrathyroidal tumor 278 extension (EOD-extension) is the same one that defines distant metastatic disease for cases 279 diagnosed from 1992-2003; hence, it was not possible to determine extrathyroidal extension 280 for all patients. However, larger tumors with nodal metastases are more likely to preclude 281 surgical resection if they extensively involve certain critical structures like the aerodigestive 282 tract or major arteries, and this can be used as a surrogate for resectability of the primary 283 tumor. In the present study, tumor size >4 cm and nodal metastases were not associated with 284 an increased likelihood of non-surgical treatment, suggesting that the observed trend of 285 increased non-surgical treatment is unlikely to be limited to unresectable tumors. 286 Among patients with unresectable primary tumors or tumors that are not RAI-avid, EBRT and systemic therapy are potential treatment options.³ According to this analysis, 287 288 treatment with systemic therapy increased over time. Before 2013, systemic therapy for non-289 RAI avid distant metastatic DTC was limited to cytotoxic chemotherapy, with doxorubicin as the most commonly-used agent despite limited efficacy.^{7,25-27} More recently, the tyrosine 290 291 kinase inhibitors sorafenib and lenvatinib have been FDA-approved for the treatment of RAI-292 refractory DTC and have been shown to improve progression-free survival.^{7,28,29} Given the 293 short follow-up of patients diagnosed after FDA approval of sorafenib and lenvatinib, it is 294 unlikely that potentially higher use had an impact on survival rates. Furthermore, in our 295 study, it was not possible to draw conclusions about the efficacy of these treatments,

especially considering the limitations of SEER, i.e., it was an observational study and not a

297 randomized trial, so selection bias/confounding cannot be excluded. Also, SEER does not 298 capture information on type of systemic therapy. However, increasing availability and 299 experience with targeted treatments may change treatment strategies and lead to improved 300 survival. So, this could be reexamined again when longer follow-up has been accrued. 301 Although systemic therapy with conventional chemotherapy or targeted tyrosine 302 kinase inhibitors are potential options for patients with unresectable DTC, after multivariable 303 adjustment, the risk of thyroid cancer death was higher among patients who received non-304 surgical treatment with EBRT and/or systemic therapy and those who received no/unknown 305 treatment. The reason for this could be selection bias. For example, it is possible that among

patients with unresectable disease, those with greater disease burden received EBRT and/or
systemic therapy, while those with less extensive disease elected to undergo an active
surveillance approach given the risk of adverse events. Since SEER does not capture
information on the molecular profile of the tumors, this powerful predictor of prognosis could
not be considered in multivariable adjustment.

311 Between 1992-2018, patients aged 65-79 years and \geq 80 years were more likely to 312 receive non-surgical treatment. Patient age at diagnosis is an important prognostic factor for 313 DTC.^{30,31} In a study of 3,664 patients with DTC, there was a 37-fold increase in the risk of 314 thyroid cancer death among patients >70 years compared to patients <40 years.³⁰ The 315 proportion of patients undergoing non-surgical treatment was highest among patients aged 316 \geq 80 years which has been shown previously to be not limited to patients with distant 317 metastatic disease.³² However, the compromised prognosis associated with diagnosis at an 318 older age should not prevent older patients from receiving potentially life-prolonging or life-319 saving therapies for which they may be eligible. Because SEER has no information on

320 comorbidities, this could not be considered as a possible reason for the higher likelihood of321 non-surgical or no/unknown treatment at older age.

322 There are limitations to this study. SEER is a retrospective database, and coding 323 errors are possible. Although SEER includes data regarding systemic therapy use, there is no 324 data on what agent was used. For example, it is unknown whether patients received 325 conventional chemotherapy or targeted therapy with a tyrosine kinase inhibitor. SEER does 326 not include data on why a treatment modality was chosen; it is unknown whether patients 327 who were not treated were offered therapy but declined it. SEER only reports data on 328 radiation and systemic therapy given as first-course treatments, including systemic therapy in 329 clinical trials.^{33,34} Consequently, it is unknown whether patients may have received these 330 treatments later in their disease course, such as after disease recurrence or progression. A 331 recent publication comparing SEER with SEER-Medicare data for other cancer types found 332 an overall sensitivity of 80% for SEER-radiotherapy data and 68% for SEER-chemotherapy 333 data, with an overall positive predictive value of greater than 85% for all treatments.³⁵ 334 Therefore, underestimation of radiotherapy, such as EBRT and RAI, and systemic therapy is 335 expected, whereas overestimation is less likely. According to the SEER treatment data 336 limitations, it is possible that some of the patients may have had radiation treatment or 337 systemic therapy that was not captured in the SEER records, especially if the treatment was 338 received outside a hospital setting; surgery information, in contrast, is expected to be largely complete.³⁴ As a result, it is unlikely that there is significant impact on our main conclusion, 339 340 which is the lack of improvement in survival of distant metastatic DTC, likely due to an 341 increase in non-surgical treatment. It was not possible to precisely determine all aspects of 342 non-surgical treatment approaches because therapies such as radiofrequency, ethanol, or 343 ablation techniques are not specifically coded in SEER. It is likely that, at least in part, tumor size was not coded if patients did not undergo surgery. Cancer registries such as SEER use
algorithms to process causes of death from death certificates to identify a single, diseasespecific, underlying cause of death. To minimize misattribution, the algorithm introduced in
2010 by Howlader et al. was used for all cases diagnosed from 1992-2018.^{36,37} Despite these
limitations, SEER includes data from a large, diverse population across the United States and
is an important resource to study epidemiologic trends.

350

351 CONCLUSION

While earlier diagnoses at less advanced stages may have led to an improvement in DSS for DTC overall over the past three decades, there has been no improvement in DSS for patients presenting with DTC and distant metastases. A growing proportion of patients are receiving non-surgical treatment or no/unknown treatment over time. Future studies are needed to understand the lack of improvement in DSS for distant metastatic DTC, including investigation of possible changes in tumor biology and factors affecting patient access to surgical and systemic treatments.

359

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363

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372

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- 382 Alexander Wilhelm: Conceptualization, Methodology, Software, Formal Analysis,
- 383 Investigation, Resources, Data Curation, Writing Original Draft, Writing Review &
- **384** Editing, Visualization, Project Administration.
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- 386 Review & Editing.
- 387 Lucia Calthorpe: Methodology, Software, Formal Analysis, Investigation, Writing –
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- **Julie Ann Sosa:** Conceptualization, Resources, Writing Review & Editing, Supervision.

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511 FIGURES

512 513

Figure 1: Participant flow diagram

514 515 **Figure 2:** Disease-specific survival of (A) DTC overall, and (B) distant metastatic DTC 516 between 1992-2018.

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518 Figure 3: Disease-specific survival of distant metastatic DTC by treatment between
519 1992-2018.

Figure 4: Treatment trends of distant metastatic DTC between 1992-2018.
Abbreviations: Annual percent change (APC).

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524 **Supplemental figure 1**: Disease-specific survival of (A) DTC overall, and (B) distant 525 metastatic DTC between 1992-2018 (5-year intervals)

526

527 **Supplemental figure 2:** Subgroup analysis of disease-specific survival by treatment 528 among patients with N1-stage and/or tumor size>4cm (n=1,151)

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Supplemental figure 3: Disease-specific survival by treatment after propensity score
 matching (n=1,399)

532 533 Supplemental figure 4: Disease-

533 Supplemental figure 4: Disease-specific survival by disease severity (n=1,484)
534

535 **Supplemental figure 5**: Proportion of patients presenting with (A) DTC and distant 536 metastases (M1-stage), and (B) DTC Mx-stage among all new DTC diagnoses per year 537

538

540 TABLES

541 542 543 **Table 1:** Demographic and clinical characteristics of patients diagnosed with distantmetastatic DTC between 1992-2018

Time period of diagnosis			
1992-1998 (n=380)	1999-2008 (n=713)	2009-2018 (n=898)	P value*
227	410	518	0.75
			<0.01
217	378	450	
135	241	316	
28 (7.4%)	94 (13.2%)	132	
			0.01
293	483	613	
31 (8.2%)	71 (10.0%)	85 (9.5%)	
56 (14.7%)	159	200	
			0.70
206	384	468	
174	329	430	
			0.43
296	544	670	
84 (22.1%)	169	228	
			< 0.01
19 (5.0%)	29 (4.1%)	78 (8.7%)	
204	384	521	
157	300	299	
			<0.01
333	663	833	
31 (8.2%)	47 (6.6%)	63 (7.0%)	
16 (4.2%)	3 (0.4%)	2 (0.2%)	
			<0.01
43 (13.7%)	83 (12.8%)	140	
43 (13.7%)	99 (15.3%)	116	
141	314	376	
31 (9.8%)	40 (6.2%)	30 (3.6%)	
29 (9.2%)	66 (10.2%)	98 (11.7%)	
28 (8.9%)	44 (6.8%)	76 (9.1%)	
			< 0.01
209	351	363	
123	316	472	
48 (12.6%)	46 (6.5%)	63 (7.0%)	
			< 0.01
209	351	363	
44 (11.6%)	120	104	
	Time p 1992-1998 (n=380) 227 217 135 28 (7.4%) 293 31 (8.2%) 56 (14.7%) 206 174 296 84 (22.1%) 296 84 (22.1%) 204 157 333 31 (8.2%) 16 (4.2%) 43 (13.7%) 43 (13.7%) 43 (13.7%) 28 (8.9%) 29 (9.2%) 28 (8.9%) 209 123 48 (12.6%) 209 44 (11.6%)	Time period of dia 1992-1998 (n=380) 1999-2008 (n=713) 227 410 217 378 135 241 28 (7.4%) 94 (13.2%) 293 483 31 (8.2%) 71 (10.0%) 56 (14.7%) 159 (22,3%) 206 384 174 329 296 544 84 (22.1%) 169 19 (5.0%) 29 (4.1%) 204 384 157 300 333 663 31 (8.2%) 47 (6.6%) 16 (4.2%) 3 (0.4%) 43 (13.7%) 83 (12.8%) 43 (13.7%) 83 (12.8%) 43 (13.7%) 99 (15.3%) 141 314 31 (9.8%) 40 (6.2%) 29 (9.2%) 66 (10.2%) 29 (9.2%) 66 (10.2%) 209 351 123 316 48 (12.6%) 46 (6.5%) 209 351	Time period of diagnosis 1992-1998 (n=380) 1999-2008 (n=713) 2009-2018 (n=898) 227 410 518 217 378 450 135 241 316 28 (7.4%) 94 (13.2%) 132 293 483 613 31 (8.2%) 71 (10.0%) 85 (9.5%) 56 (14.7%) 159 200 206 384 468 174 329 430 206 384 468 174 329 430 206 384 468 174 329 430 206 384 468 174 329 430 19 (5.0%) 29 (4.1%) 78 (8.7%) 204 384 521 157 300 299 333 663 833 31 (8.2%) 47 (6.6%) 63 (7.0%) 43 (13.7%) 83 (12.8%) 140 43 (13.7%) <td< td=""></td<>

3	12 (3.2%)	22 (3.1%)	28 (3.1%)	
4-7	16 (4.2%)	38 (5.3%)	58 (6.5%)	
≥ 8	51 (13.4%)	136	282	
Unknown	48 (12.6%)	46 (6.5%)	63 (7.0%)	
Pathologic Characteristics				
Differentiated thyroid cancer histology				< 0.01
Papillary thyroid cancer	264	515	704	
Follicular thyroid cancer	100	166	159	
Oncocytic carcinoma	16 (4.2%)	32 (4.5%)	35 (3.9%)	
Aggressive variants (tall cell variant, diffuse sclerosing variant, insular thyroid cancer)	1 (0.3%)	27 (3.8%)	46 (5.1%)	<0.01
Tumor Size				< 0.01
≤ 1cm	24 (6.3%)	90 (12.6%)	85 (9.5%)	
1.1 - 2cm	40 (10.5%)	90 (12.6%)	114	
2.1 - 4cm	69 (18.2%)	166	241	
> 4cm	79 (20.8%)	187	313	
Unknown	168	180	145	
N-stage				< 0.01
NO	61 (19.7%)	205	351	
N1	113	311	446	
Nx	135	155	96 (10.8%)	
Number of positive lymph nodes				< 0.01
0	22 (5.8%)	82 (11.5%)	133	
1-3	63 (16.6%)	113	113	
4-5	12 (3.2%)	27 (3.8%)	44 (4.9%)	
>5	37 (9.7%)	102	194	
Unknown/no LND	246	389	414	
ANOVA for continuous variables; chi-squared ter bbreviations: TTx: Total/subtotal thyroidectomy BRT: External beam radiation treatment, chem	sts for categori 7, RAI: Radioac 10: Systemic th	cal variables ctive iodine tre nerapy, +/-: w	eatment, ith or	

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Table 2: Multivariable-adjusted Cox proportional hazard regression of death from

thyroid cancer among patients diagnosed with distant metastatic DTC between 1992-

Variable	aHR (95% CI)	P value
Age		
65-79 years	1.95 (1.63-2.33)	<0.01
≥80 years	3.04 (2.38-3.87)	<0.01
Male sex	1.44 (1.24-1.71)	<0.01
Race		
Black	0.90 (0.67-1.20)	0.47
Other/Unknown	0.86 (0.70-1.05)	0.15
Not married	1.06 (0.90-1.24)	0.50
≥2 malignant tumors/patient	0.90 (0.75-1.08)	0.25
Median annually household income		
\$50,000 - \$74,999	0.28 (0.65-1.31)	0.65
≥ \$75,000	0.93 (0.64-1.35)	0.71
Nonmetropolitan area of residency	1.08 (0.79-1.47)	0.64
Treatment		
No/Unknown treatment	2.26 (1.65-3.09)	<0.01
TTx + RAI	0.67 (0.52-0.87)	< 0.01
TTx + RAI +/- EBRT +/- chemo	1.42 (1.00-2.03)	0.05
TTx +/- EBRT +/- chemo	1.95 (1.46-2.61)	<0.01
EBRT and/or chemo	3.33 (2.42-4.59)	<0.01
Lymph node dissection performed	0.78 (0.62-0.97)	0.03
Differentiated thyroid cancer histology		
Follicular thyroid cancer	1.09 (0.90-1.33)	0.39
Oncocytic carcinoma	2.07 (1.51-2.83)	<0.01
Tumor Size		
1.1 - 2cm	1.32 (0.88-1.98)	0.18
2.1 - 4cm	1.59 (1.11-2.26)	0.01
> 4cm	2.33 (1.65-3.28)	< 0.01
Unknown	1.78 (1.24-2.55)	< 0.01
N1-stage	1.42 (1.15-1.74)	< 0.01

*Multivariable Cox regression adjusted for: time period of diagnosis, patient age, sex, race, marital status, number of malignant tumors per patient, median annually household income, area of residency, treatment, lymph node dissection, DTC histology, tumor size, N-stage

**Reference categories: diagnosis 1992-1998, patient age<65 years, female sex, white race, married marital status, one malignant tumor per patient, <\$50,000 median annually household income, metropolitan area of residency, total thyroidectomy, no lymph node dissection, papillary thyroid cancer, tumor size ≤ 1 cm, N0

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Abbreviations: aHR: adjusted hazard ratio, TTx: Total/subtotal thyroidectomy, RAI: Radioactive iodine treatment, EBRT: External beam radiation treatment, chemo:

Systemic therapy, +/-: with or without

Table 3: Multivariable-adjusted odds (aOR) of non-surgical treatment for distant 596 metastatic DTC between 1992-2018

Variable	aOR (95% CI)	P value
Age		
65-79 years	1.96 (1.41-2.71)	<0.01
≥80 years	3.90 (2.59-5.85)	<0.01
Male sex	0.95 (0.70-1.29)	0.73
Race		
Black	0.79 (0.48-1.28)	0.33
Other/Unknown	1.16 (0.79-1.68)	0.45
Not married	1.45 (1.07-1.96)	0.02
≥2 malignant tumors/patient	1.06 (0.76-1.49)	0.72
Median annually household income		
\$50,000 - \$74,999	0.71 (0.38-1.33)	0.28
≥ \$75,000	0.67 (0.34-1.30)	0.23
Nonmetropolitan area of residency	0.76 (0.40-1.45)	0.41
Differentiated thyroid cancer histology		
Follicular thyroid cancer	1.15 (0.81-1.63)	0.45
Oncocytic carcinoma	1.17 (0.60-2.27)	0.65
Tumor Size		
1.1 - 2cm	0.69 (0.35-1.35)	0.28
2.1 - 4cm	0.41 (0.22-0.73)	<0.01
> 4cm	0.81 (0.47-1.39)	0.45
Unknown	4.04 (2.37-6.90)	<0.01
N1-stage	0.87 (0.61-1.25)	0.47
Radiation therapy		
EBRT	0.59 (0.42-0.84)	<0.01
RAI	0.06 (0.04-0.09)	<0.01
Combination (EBRT + RAI)	0.09 (0.04-0.20)	<0.01
Systemic therapy	2.05 (1.28-3.29)	< 0.01

*Multivariable logistic regression adjusted for: time period of diagnosis, patient age, sex,
 race, marital status, number of malignant tumors per patient, median annually
 household income, area of residency, DTC histology, tumor size, N-stage, radiation
 therapy, systemic therapy

**Reference categories: diagnosis 1992-1998, patient age<65 years, female sex, white race, married marital status, one malignant tumor/patient, <\$50,000 median annually household income, metropolitan area of residency, papillary thyroid cancer, tumor size ≤ 1 cm, N0, no radiation, no systemic therapy

606 Abbreviations: **aOR:** adjusted odds ratio, **RAI:** Radioactive iodine treatment, **EBRT:** 607 External beam radiation treatment

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620 621 Table 4: Multivariable-adjusted odds (aOR) of no/unknown treatment for distant 622 metastatic DTC between 1992-2018

Variable	aOR (95% CI)	P value
Age		
65-79 years	1.26 (0.88-1.78)	0.20
≥80 years	3.68 (2.48-5.46)	<0.01
Male sex	0.91 (0.66-1.25)	0.56
Race		
Black	0.92 (0.55-1.52)	0.74
Other/Unknown	1.13 (0.76-1.68)	0.54
Not married	1.47 (1.08-2.00)	0.01
≥2 malignant tumors/patient	1.33 (0.95-1.85)	0.10
Median annually household income		
\$50,000 - \$74,999	0.66 (0.36-1.22)	0.19
≥ \$75,000	0.62 (0.32-1.20)	0.16
Nonmetropolitan area of residency	0.70 (0.37-1.35)	0.29
Differentiated thyroid cancer histology		
Follicular thyroid cancer	0.93 (0.65-1.34)	0.70
Oncocytic carcinoma	1.19 (0.58-2.44)	0.64
Tumor Size		
1.1 - 2cm	0.85 (0.42-1.72)	0.65
2.1 - 4cm	0.44 (0.23-0.87)	0.02
> 4cm	0.89 (0.49-1.62)	0.71
Unknown	3.73 (2.11-6.60)	<0.01
N1-stage	0.78 (0.53-1.14)	0.18

*Multivariable logistic regression adjusted for: time period of diagnosis, patient age, sex,
 race, marital status, number of malignant tumors per patient, median annually
 household income, area of residency, DTC histology, tumor size, N-stage,

**Reference categories: diagnosis 1992-1998, patient age<65 years, female sex, white race, married marital status, one malignant tumor per patient, <\$50,000 median annually household income, metropolitan area of residency, papillary thyroid cancer, tumor size ≤ 1 cm, N0

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Supplemental table 1: Sample balance after propensity score matching

Variable	No Surgery (n=231)	Any Surgery (n=1,168)	Standardiz ed mean differenc <u>e</u> *	Varianc e Ratio*
Age			-0.006	0.997
<65 years	71 (30.7%)	682 (58.4%)		
65-79 years	86 (37.2%)	386 (33.0%)		
≥80 years	74 (32.0%)	100 (8.6%)		
Sex			0.08376	1.049
Female	142 (61.5%)	657 (56.3%)		
Male	89 (38.5%)	511 (43.8%)		
Race			-0.063	0.857
White	155 (67.1%)	809 (69.3%)		
Black	22 (9.5%)	103 (8.8%)		
Other/Unknown	54 (23.4%)	256 (21.9%)		
Marital status			-0.024	1.007
Married	100 (43.3%)	645 (55.2%)		
Not married	131 (56.7%)	523 (44.8%)		
Median annually household income			0.087	0.986
< \$50.000	20 (8.7%)	75 (6.4%)		
\$50,000 - \$74,999	130 (56.3%)	654 (56.0%)		
≥ \$75,000	81 (35.1%)	439 (37.6%)		
Area of residency			0.012	1.041
Metropolitan area	215 (93.1%)	1091 (93.4%)		
Nonmetropolitan area	16 (6.9%)	77 (6.6%)		
Locally advanced disease			0.063	0.936
N0-stage and tumor size ≤4cm	59 (25.5%)	331 (28.3%)		
N1-stage and/or tumor size>4cm	172 (74.5%)	837 (71.7%)		

* The absolute values of the weighted matched standardized mean differences are less than the recommended upper limit of 0.1, and all weighted matched variance ratios are

between 0.5 and 2