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## ARTICLE



# Variable number tandem repeats (VNTRs) as modifiers of breast cancer risk in carriers of *BRCA1* 185delAG

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Despite substantial efforts in identifying both rare and common variants affecting disease risk, in the majority of diseases, a large proportion of unexplained genetic risk remains. We propose that variable number tandem repeats (VNTRs) may explain a proportion of the missing genetic risk. Herein, in a pilot study with a retrospective cohort design, we tested whether VNTRs are causal modifiers of breast cancer risk in 347 female carriers of the *BRCA1* 185delAG pathogenic variant, an important group given their high risk of developing breast cancer. We performed targeted-capture to sequence VNTRs, called genotypes with advNTR, tested the association of VNTRs and breast cancer risk using Cox regression models, and estimated the effect size using a retrospective likelihood approach. Of 303 VNTRs that passed quality control checks, 4 VNTRs were significantly associated with risk to develop breast cancer at false discovery rate [FDR] < 0.05 and an additional 4 VNTRs had FDR < 0.25. After determining the specific risk alleles, there was a significantly earlier age at diagnosis of breast cancer in carriers of the risk alleles compared to those without the risk alleles for seven of eight VNTRs. One example is a VNTR in exon 2 of LINC01973 with a per-allele hazard ratio of 1.58 (1.07–2.33) and 5.28 (2.79–9.99) for the homozygous risk-allele genotype. Results from this first systematic study of VNTRs demonstrate that VNTRs may explain a proportion of the unexplained genetic risk for breast cancer.

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## INTRODUCTION

For carriers of pathogenic variants (PVs) in *BRCA1*, the lifetime risk for developing breast cancer (up to 80% lifetime risk) is a six-fold increase over that of average risk women and ovarian cancer risk (up to a 44% lifetime risk) is up to a 30-fold increase [1]. Despite these substantially elevated risks, penetrance is incomplete (not all carriers will develop cancer) and age at cancer diagnosis varies. The limited understanding of factors that modify cancer risks in *BRCA1* carriers hampers clinical decision-making ability, including decisions about the appropriate type and timing of risk reducing surgeries. Therefore, there is a critical, clinically relevant need for more refined risk estimates.

The variation in risk, even in identical PVs carriers, suggests that modifier factors, both genetic and environmental, affect cancer risks [2]. Studies to identify “modifier genes” that govern the phenotypic expression of *BRCA* PV carriers have been ongoing since the early 2000’s, conducted largely through the Consortium of Investigators of Modifiers of *BRCA1/2* (CIMBA) [3, 4]. Through genome-wide association studies (GWAS), single nucleotide polymorphisms (SNPs) have been identified that, when combined into a polygenic risk score (PRS), better define *BRCA1* carriers at higher and lower risk of developing breast cancer (e.g. [5–8]). However, these modifier variants are estimated to explain only ~8% of the familial risk in *BRCA1* carriers [8–11]. Identifying

additional genetic modifiers will facilitate better risk estimates for clinical decision-making on timing and options for risk reduction.

Variable number tandem repeats (VNTRs) may plausibly account for some of the missing genetic risk. They are known to modulate biologic processes, including gene expression and protein function [12–16]. These eVNTRs (VNTR expression Quantitative Trait Loci) also mediate risks of developing various cancers [17, 18] including breast cancer [19–22]. A genome-wide investigation of VNTRs as modifiers has been hampered by technical difficulties; however, advNTR [12, 23] became available to genotype VNTRs (i.e., count repeat units) from next generation sequencing (NGS) data. This tool uses Hidden Markov models (HMM) to model each VNTR, count repeat units, and detect sequence variation.

In this pilot study using a retrospective cohort design, we tested a new paradigm – that VNTRs are causal modifiers of breast cancer risk. They have not been systematically investigated as they are poorly tagged by nearby SNPs [14]. Previous GWAS conducted through CIMBA have demonstrated heterogeneity of breast cancer risk by type of variant and variant location in *BRCA1/2*, breast tumor subtypes, and race and ethnicity [6, 10, 24–27]. Therefore, to reduce potential confounding with unmeasured variables, we tested the association in carriers of a single recurring PV in *BRCA1*. We performed targeted-capture to sequence VNTRs, called genotypes with advNTR, and explored the association of

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VNTRs and breast cancer in 327 women carrying the pathogenic *BRCA1* 185delAG mutation [NM\_007294.4(*BRCA1*):c.68\_69del (p.Glu23fs) (rs80357914)].

## METHODS

### Participants

Females over the age of 18 years of age carrying the pathogenic *BRCA1* variant 185delAG (NM\_007294.3:c.66\_67del) were eligible. Of the 347 participants with DNA, 250 were enrolled at the Sheba Medical Center (SMC) in Israel. All participants underwent oncogenetic counseling and genotyping of cancer susceptibility genes, including *BRCA1*. Referral to the oncogenetics services came from several sources: women who developed breast and/or ovarian cancer (consecutive women at the SMC) ( $n = 57$ ), cancer-free women with a significant family history of breast and/or ovarian cancer ( $n = 61$ ) or a known mutation in their family ( $n = 125$ ), and from population screens of the three predominant mutations in Ashkenazi Jewish (AJ) women in *BRCA1* and *BRCA2* ( $n = 7$ ) [28], a procedure recently approved and included in the Israeli “health basket” for all AJ women as a screening procedure with no need for pre-test counseling. Another 95 participants were enrolled into the Clinical Cancer Genomics Community Research Network (CCGRN) housed at the City of Hope in which eligibility was any individual receiving genetic cancer risk assessment (GCRA) and specific for this study, a diagnosis of invasive breast cancer. Another two participants were recruited and enrolled in a research study of women in high-risk breast cancer families. Only the proband was selected from a pedigree so that none of the participants were related. All participants provided written informed consent under IRB-approved protocols at their respective institutions. There was no follow-up of participants nor data available for additional risk factors. None of the participants had prophylactic surgeries.

### VNTR genotyping

**VNTR selection.** To get an initial list of VNTRs (of four or more base pair repeats), Tandem Repeat Finder (TRF) [29] was applied to the human reference genome [GRCh38], and 559,804 VNTRs were identified. To focus on the most relevant candidates, we selected VNTRs that intersected with coding exons, promoters, or untranslated regions (UTRs) of genes in RefSeq (<https://www.ncbi.nlm.nih.gov/refseq/>). VNTRs were excluded if they were located in low-complexity sequence (e.g. close to a telomere) resulting in 8953 candidate VNTRs. Lastly, only candidate VNTRs with total length of 140 bp or shorter ( $n = 6271$ ) were included so that genotypes could be confidently assigned with Illumina short read sequencing data. We used the Agilent SureDesign software to design probes for 6271 VNTRs. Of these 6271 VNTRs, 1398 are in coding exons, 2000 are in promoter regions, and 2873 are in UTRs. We observed that 85 VNTRs were in repetitive DNA regions where no probes could be designed and 21 were on the Y chromosome. Excluding these 106 VNTRs and using the least stringent parameters, probes were designed to cover 6165 VNTRs.

**Library preparation and targeted-capture DNA sequencing and processing of reads.** Details are provided in Supplementary Methods. Briefly, Illumina sequencing libraries were created from 500 ng DNA using KAPA Hyper (KAPA Biosystems) reagents along with our optimized protocols [30, 31]. Sequence reads were aligned to NCBI build GRCh38 using Burrows-Wheeler Aligner (BWA). From the BAM files, genotypes from VNTRs were assigned using adVNTR-NN adapted from adVNTR [23] based on minimal total supporting reads  $\geq 10$  and minimal proportion of reads to support alternative allele  $\geq 0.25$ .

**Confirmation of VNTR genotyping results from adVNTR.** Using the unique flanking regions of the selected VNTRs, PCR primers were designed to amplify 50 ng DNA from up to 4 samples per VNTR genotype. PCR reactions were performed using Taq polymerase (Qiagen) and amplification was confirmed using gel electrophoresis. Samples were then sequenced on an Applied Biosystems SeqStudio Genetic Analyzer (ThermoFisher Scientific).

VNTR sequences were visualized using Quality Check and Variant Analysis Modules on the ThermoFisher Cloud. The visualized sequence in conjunction with the product sizes from the post-PCR gel electrophoresis were used to verify genotyping calling made by adVNTR. For homozygotes, this was done by observing a single band of the correct size during gel electrophoresis and by quality sequence for the number of repeats called by adVNTR. Whereas heterozygotes were confirmed by observing multiple

bands of expected size differentials on the gel and a poor-quality Sanger sequence at the point of allele differences.

**Statistical analysis.** After genotypes were assigned for each VNTR, we tested for Hardy-Weinberg equilibrium (HWE) [32]. For those that were in HWE ( $p > 0.001$ ), we tested the association of the VNTR and risk to develop breast cancer using Cox regression models. For each VNTR associated with risk to develop breast cancer, we determined the risk allele group and estimated the hazard ratio using the retrospective likelihood approach (described below). In these analyses, women with a first breast cancer were considered as affected with time to breast cancer diagnosis as the end point; those unaffected with any cancer were censored at age at genetic testing (which also is the date of study entry), and those diagnosed with ovarian cancer prior to breast cancer were censored at age at ovarian cancer diagnosis. There were too few cases of ovarian cancer for analysis.

In the primary analysis, we tested the association between each VNTR marker as a continuous variable and disease risk. Three separate VNTR genotypes were constructed: 1) the average length of the two alleles; 2) the length of only the shorter allele; and 3) the length of only the longer allele [33]. Analyses were adjusted for sample collection site (US or Israel). Probability values were adjusted for multiple comparisons using the False Discovery Rate (FDR) method of Benjamini and Hochberg [34].

For VNTRs with associations at  $FDR < 0.25$  in the primary association analysis, a second analysis was performed to identify the specific risk groups of repeat alleles using a sliding window method [33]. Specifically, for a multi-allele VNTR, a threshold  $T$  along the number of repeats from short to long was used to dichotomize allele lengths. An allele was denoted as ‘short’ if it had shorter than  $T$  repeat motifs, and ‘long’ otherwise. Multiple values of threshold  $T$  were chosen for association tests. For each specific threshold  $T$ , the VNTR genotype of an individual was converted to homozygous-short-allele genotype (S/S), heterozygous-short-and-long-allele genotype (S/L), or homozygous-long-allele genotype (L/L). The optimal threshold (cut-point) for each VNTR was determined by choosing  $T$  that provided the smallest  $p$ -value among the multiple association tests. This cut-point then was used to estimate the hazard ratio using the retrospective likelihood method in order to mitigate potential bias in estimating hazard ratios arising from over-sampling of breast cancer cases [35]. Kaplan–Meier (KM) curves and log-rank tests were used to graphically examine differences in the cumulative probability of breast cancer risk among VNTR genotype groups categorized using the critical cut points for risk alleles. The implementation of Cox regressions and KM analysis was based on relevant functions in R packages of *survival* and *survminer* [36]; the retrospective likelihood tests were performed by the “RetroLike\_Release\_1\_0\_3” program [35].

**Luciferase assays.** We conducted luciferase assays to test alleles of one VNTR to determine if it affected expression. We selected the VNTR with the lowest FDR that was in a promoter or 5'UTR region. Details are provided in Supplementary Methods. Briefly, the cloning of VNTR alleles, construction of luciferase reporter plasmids, and measurement of the relative luciferase activities of the plasmid constructs were conducted based on our optimized protocols published previously [37]. All transfections were performed in quadruplicate, and each construct was tested in three independent experiments. The average of 12 relative luciferase measurements for each allele were expressed as the mean  $\pm$  standard error of mean (SEM). Difference in relative activity values between the risk repeat allele group and reference repeat allele group was tested by one-way ANOVA analysis. The  $P$ -value was adjusted for multiple testing using the Tukey's method [38]; adjusted  $p$ -values less than 0.05 were considered as statistically significant.

## RESULTS

### Participants

The cancer status and ages at diagnosis or enrollment (for non-cancer cases) are shown in Table 1. Of the 347 women, ages ranged from 18 to 77 years with 47.3% having been diagnosed with a first breast cancer, of which 3.5% also were diagnosed with ovarian cancer. The median age at first breast cancer diagnosis was 42 years and the median age of the unaffected group was 47 years.

### VNTR genotyping

In total, we sequenced 6165 VNTRs in 347 *BRCA1* 185delAG PV carriers. Genotypes were called using adVNTR-NN. In Fig. 1, the

flow diagram of steps for elimination of VNTRs and samples is shown. Of 6165 VNTRs, 3847 (62.4%) VNTRs were removed due to missing more than 5% of genotypes, with the main reasons being VNTRs located in GC-rich regions which had poor amplification during library generation, imperfect repeats, or flanked by other repetitive elements. Another 1622 VNTRs were removed because they were monomorphic (1588 VNTRs) or not in HWE ( $P$  value < 0.001; 34 VNTRs). Lastly, 393 VNTRs had heterozygosity < 0.02. Because this is a homogeneous dataset of Ashkenazi Jewish ancestry, it was expected that more VNTRs would be monomorphic and within VNTRs, not all alleles would be present. Twenty samples were removed that had more than 10% missing

genotypes leaving 327 samples for analysis. The summary of repeat alleles in this dataset for the 303 VNTRs is shown in Table 2.

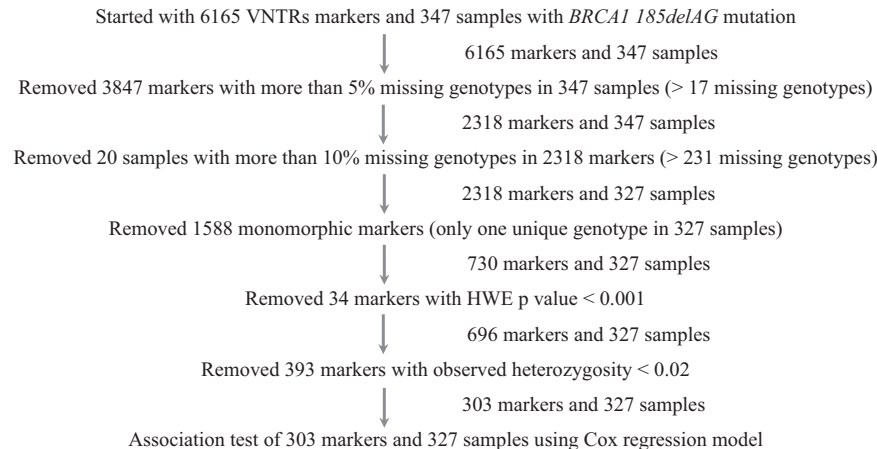
### Association of VNTRs and risk of developing cancer

In the primary analysis, we used Cox proportional hazards models to evaluate the association between each VNTR and risk of developing breast cancer, considering the VNTR as a continuous variable. Of 303 VNTRs analyzed, four VNTRs had  $FDR < 0.05$ , and an additional four had  $FDR < 0.25$  (Table 3; Supplementary Table 1). The alleles for each of the eight VNTRs were accurately called, with 100% consistency among the advNTR, agarose gel, and Sanger sequencing results (VNTR 558420 is shown as an example in Supplementary Fig. 1). We then conducted the secondary analysis for the eight VNTRs to identify the specific risk repeat alleles contributing to the significant association. Of the eight VNTRs, six VNTRs had two major repeat alleles (Supplementary Table 1) and therefore only one cutpoint for short or long risk alleles; VNTR 412033 and VNTR 945060 had more than one possible cut-point with the critical cut point determined from the smallest  $p$  value in a per-allele trend test (Supplementary Table 2). For seven of eight VNTRs, there was a significant ( $P < 0.05$ ; per-allele trend test) association of the dichotomized risk allele and breast cancer risk (Table 4). For VNTR 47260, although breast cancer risk increased with repeat length based on the linear trend test ( $FDR = 0.035$ ), there were too few long repeat alleles (> 9 R) for a stable estimate of the hazard ratio. Using CIMBA summary statistics data (<https://cimba.ccge.medschl.cam.ac.uk/>) for *BRCA1* carriers, we examined the association of GWAS SNPs within 200 Kb of each of the 8

**Table 1.** Participant characteristics.

	Patient number (%)	Age in year	
		Mean (SD)	Median (IQR)
Sample origin			
US	97 (28.0)	44.1 (11.2)	44 (15)
Israel	250 (72.0)	46.8 (11.5)	44 (17)
Breast cancer status			
Affected	164 (47.3)	43.6 (11.1)	42 (14)
Unaffected	183 (52.7)	48.2 (11.4)	47 (17)

SD standard deviation, IQR interquartile range.



**Fig. 1** VNTRs and samples included in the analysis. Flow diagram of process and result of VNTR marker and sample filtering.

**Table 2.** Summary of repeat alleles in the 303 VNTRs in 327 female *BRCA1* 185delAG mutation carriers.

# repeat alleles	# VNTRs	Heterozygosity Median(range)	Repeat motif length(bp)	# VNTRs of a given repeat motif length			
				3 to 5 bp	6 to 10 bp	11 to 20 bp	21 to 51 bp
2	173	0.14 (0.02–0.52)	3 to 51	26	34	71	42
3	71	0.13 (0.02–0.64)	3 to 49	35	19	11	6
4	25	0.28 (0.02–0.59)	4 to 23	17	6	1	1
5	16	0.46 (0.12–0.72)	3 to 14	11	4	1	
6	7	0.56 (0.18–0.69)	4 to 6	6	1		
7	6	0.63 (0.52–0.82)	4 to 7	5	1		
8	2	0.72 (0.67–0.77)	4	2			
9	3	0.70 (0.47–0.78)	4	3			
	303			105	65	84	49

**Table 3.** Association of VNTR with breast cancer risk in female carriers of *BRCA1* 185delAG.

Genomic annotation of VNTR					Patient, N <sup>d</sup>		Primary trend test <sup>e</sup>	
VNTR ID <sup>a</sup>	VNTR Motif	Chr:Start <sup>b</sup>	Gene	VNTR location <sup>c</sup>	Aff	Unaff	P	FDR
253688	GAAT	14:61654924	FLJ22447	3' DS	153	171	9.1E-05	0.024
357331	GAGGAGG	17:77880699	LINC01973	Exon 2	154	172	2.6E-04	0.035
472060	TGCAGC	2:184938703	ZNF804A	Exon 4	154	172	3.9E-04	0.035
412033	AACA	19:53600134	LOC284379	Exon 4	152	171	6.9E-04	0.046
558420	GGGGAGCGCCGC	3:44729686	ZNF501	5'UTR	151	167	2.5E-03	0.135
735300	ATTTT	6:42868874	BICRAL	3' DS	154	172	4.1E-03	0.183
945060	GGAGCTT	X:72238943	ERCC6L	5'UTR	151	169	4.8E-03	0.183
549198	CTTCCTCT	3:12187452	SYN2	Exon12	154	172	2.0E-03	0.209

<sup>a</sup>VNTR IDs were assigned in this project; <sup>b</sup>genomic location (hg38) for VNTR; <sup>c</sup>VNTR location in nearby gene and 3' DS stands for 3 prime downstream of a gene; <sup>d</sup>patient number for affected (aff) and unaffected (unaff) in the primary trend test after removal of missing genotypes; <sup>e</sup>significance test of association between each VNTR marker as a continuous variable and disease risk using a trend test in the Cox regression model.

**Table 4.** Determination of risk allele and estimation of effect of association for risk allele group.

VNTR ID	Dichotomizing VNTR <sup>a</sup> critical cut point short (S) / long (L)	RAF <sup>b</sup>		RAF in all samples	Retrospective likelihood test <sup>c</sup>	
		Aff	Unaff		P	HR (95% CI)
253688	5, 9 / 10	0.0163	0.009	0.012	9.84E-04	6.41 (2.37–13.92)
357331	4 / 5, 6	0.166	0.125	0.145	2.12E-02	1.58 (1.07–2.33)
					2-df test 1.98E-06	1.15 (0.70–1.89) <sup>d</sup>
						5.28 (2.79–9.99) <sup>e</sup>
472060	4 / 9, 13	0.013	0.021	0.017	4.84E-01	0.65 (0.19–2.20)
412033	<b>7, 8, 9</b> / 10, 11	0.770	0.683	0.726	3.35E-03	1.63 (1.18–2.27)
					2-df test 4.28E-03	0.95 (0.46–1.94) <sup>d</sup>
						1.90 (0.96–3.79) <sup>e</sup>
558420	2, 3 / <b>4</b>	0.013	0.006	0.009	1.00E-10	6.62 (3.76–11.63)
735300	5 / <b>6</b>	0.023	0.003	0.012	9.00E-04	4.38 (1.83–10.46)
945060	6, 7, 8, 9 / <b>10</b>	0.024	0.009	0.016	8.09E-03	3.74 (1.41–9.91)
549198	<b>4</b> / 5	0.030	0.006	0.017	2.31E-03	3.85 (1.62–9.17)

<sup>a</sup>the forward slash "/" character is the final critical dichotomizing point to determine risk repeat alleles (bold font), which was based on the smallest *p* values among the multiple tests of potential cut points with details in Supplemental Table 2. <sup>b</sup>RAF, Risk Allele Frequency in Affected (Aff) and Unaffected (Unaff) samples. <sup>c</sup>retrospective likelihood test was used to estimate hazard ratio corresponding to risk and reference alleles; a per-allele trend test (one degree-of-freedom test) was performed for all 8 markers and an additional genotype-specific test (2-df or two degree-of-freedom test, HR for heterozygotes<sup>d</sup> and homozygotes<sup>e</sup>) was also performed for the two VNTR (357331 and 412033) with RAF > 0.1.

VNTRs (*n* = 9181) in Table 3 (100 Kb left and 100 Kb right of VNTR) and breast cancer risk. None of the SNPs were genome-wide significance (all were *p* > 10<sup>(-5)</sup>).

Kaplan–Meier (KM) curves were used to graphically show the difference in the cumulative probability of breast cancer risk for the VNTR genotype groups (Fig. 2 and Supplementary Fig. 2). Individuals with the risk genotypes had significantly earlier ages at diagnosis of breast cancer (log-rank *p* value < 0.05) (Fig. 2 and Supplementary Fig. 2). For example, the median ages at breast cancer diagnosis for carriers with the S/S genotype and the L/L genotype in VNTR357331 were 40 years and 56 years, respectively (log-rank *p* value of 0.0014, Fig. 2), indicating the age-modifying effect of breast cancer diagnosis among carriers harboring risk genotype (S/S).

#### Effect of VNTR alleles on expression

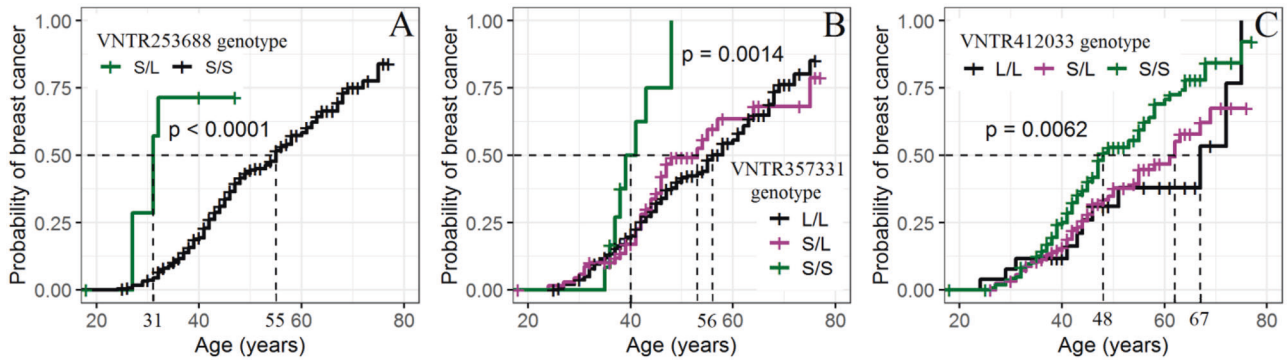
For testing the effect on gene expression, we selected the VNTR with the lowest FDR that was located in a gene promoter or 5' UTR. We tested VNTR 558420 located in the 5' UTR of *ZNF501* (*p*-value = 0.0025 and FDR = 0.135) (Table 3 and Supplementary Fig. 3) with repeats of 2 R, 3 R and 4 R and 3 genotypes (3 samples

with genotype 2/3, 309 with 3/3, and 6 with 3/4). In Fig. 3, normalized luciferase activity is shown for the 2 R, 3 R, 4 R repeats and the control (empty vector) with standard error bars on the top of each group mean. There was a significant (adjusted *p* value < 0.05) difference between the 2 R and 4 R groups with the 3 R intermediate (Fig. 3) and a significant linear trend of decreased luciferase activity with increasing number of repeats (*p* = 0.021) (Supplementary Figure 4).

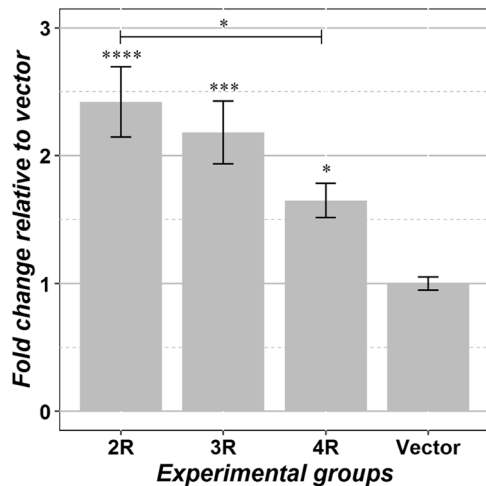
#### DISCUSSION

Our study is the first to conduct a systematic study of VNTRs and association with risk to develop cancer in high-risk *BRCA1* PV carriers. We identified four VNTRs significantly associated with risk of developing breast cancer in women carrying the 185delAG *BRCA1* PV (FDR < 0.05) and another four VNTRs associated with FDR < 0.25.

None of the small number of previous association studies of risk of developing breast cancer and VNTRs at candidate genes had investigated the eight VNTRs we identified. Krontiris and coworkers reported an association of rare alleles in a *HRS1* VNTR and



**Fig. 2** Kaplan–Meier estimates of the cumulative probability of breast cancer diagnosis. The age at breast cancer diagnosis is on the X-axis and proportion of participants diagnosed with breast cancer is on the Y-axis. The horizontal/vertical dash line is the median age at diagnosis of breast cancer. In this step function of breast cancer risk over age, in panel **A**, **B**, and **C**, the Kaplan–Meier curves for each of the three VNTRs with FDR < 0.05 are shown. Panel **A** for VNTR253688, 5 and 9 repeats are short (S) alleles; 10 repeats is long (L) and risk allele. Panel **B** for VNTR357331, 4 repeats is short (S) and risk allele; 5 and 6 repeats are long (L) alleles. Panel **C** for VNTR412033, 7, 8 and 9 repeats are short (S) and risk alleles; 10 and 11 repeats are long (L) alleles. For each of the VNTRs, there were significantly different risks of developing breast cancer by VNTR genotypes (log-rank  $p$  value < 0.05).



**Fig. 3** Association of VNTR558420 with *ZNF501* gene expression by luciferase assay. Each experimental group is composed of 12 data points. Data represent fold change in the repeat group relative to vector group, with standard error bar shown for each group. Significance was assessed by one-way ANOVA with pairwise  $t$  test and  $P$ -value adjusted by the Tukey's method. Asterisk above standard error bar indicates significance test between the repeat group and vector group; asterisk above the line indicates the significance between the 2R and 4R repeat groups; \* $P$  < 0.05, \*\* $P$  < 0.01, \*\*\* $P$  < 0.001, \*\*\*\* $P$  < 0.0001.

development of cancers, including breast cancer [19], and a meta-analysis of 13 breast cancer studies found an association with breast cancer risk [39]. Functional analysis showed that this *HRAS* VNTR altered CpG DNA methylation [40]. In a meta-analysis of 17 studies of a CAG-repeat polymorphism in the androgen receptor, they found an association of longer CAG repeats with an increased risk of breast cancer in Caucasian women [41]. In a meta-analysis of two studies of the MNS16A VNTR in the *hTERT* promoter, they found a significant association with development of breast cancer. In a Japanese study of an 18 bp VNTR in the promoter of *PTTG1P*, they found a significant association with risk of estrogen-receptor positive breast cancer, with functional analysis showing that an increase in the number of repeats increased the binding affinity of ER- $\alpha$  [22]. In a study of a VNTR in the promoter of *XRCC5*, they found a significant association with age at breast cancer diagnosis [20]. None of these VNTRs were included in our analysis. We did not include trinucleotide repeats

(AR repeats) in our targeted sequencing and the *hRAS* and *XRCC5* VNTR total lengths were larger than our cut-off size of 140 bp. The *MSN1* VNTR was monomorphic and the *PTTG1P* VNTR was missing too many genotypes in our set and thus were excluded.

Of the eight VNTRs that we found to be associated with risk of developing breast cancer in this population, several warrant further investigation. VNTR 945060 is in the 5'UTR of *ERCC6L*, a DNA helicase. *ERCC6L* is highly expressed in breast tissue and higher levels of expression have been associated with worse survival [42]; silencing of *ERCC6L* in breast cell lines significantly inhibited cell proliferation [42, 43]. A second VNTR, 253688, is located 3' of *FLJ22447*, a lncRNA located near *HIF-1 $\alpha$* . In a study of esophageal squamous cell carcinoma and gastric cancers to determine the effect of *FLJ22447* on *HIF-1 $\alpha$* , they observed that low expression of lncRNA was associated with expression of *HIF-1 $\alpha$*  suggesting that *FLJ22447* may have a regulatory function on *HIF-1 $\alpha$*  expression [44]. High over-expression of *HIF-1 $\alpha$*  is common in breast cancers and is particularly common in *BRCA1* carriers [45–47]. This VNTR may alter risk to develop breast through affecting *HIF-1 $\alpha$* .

Given the reports that there are shared genetic contributions between breast cancer and schizophrenia [48], it is interesting that three of the VNTRs are at or in genes (*SYN2*, *ZNF501*, *ZNF804A*) associated with risk to develop schizophrenia [49–53]; VNTR 549198 is in exon 12 of *SYN2*; VNTR 472060 is in exon 4 of *ZNF804A*; and VNTR 558420 is in the 5'UTR of *ZNF501* and all are most commonly expressed in brain (proteinatlas.org). From our luciferase assays, there was differential expression from varying alleles in the VNTR in the 5'UTR of *ZNF501*; expression differences for this VNTR were only associated with brain tissue in GTEx [12]. The exonic VNTRs in *SYN2* and in *ZNF804A* cause expansions of poly-serine (Supplementary Fig. 5) and poly-alanine (Supplementary Fig. 6) tracts, respectively. VNTR expansions in gene coding regions have been associated with multiple diseases [54]. Further investigation is needed to assess possible roles in development of breast cancer.

This was a pilot study to determine the feasibility of conducting targeted sequencing of VNTRs and investigating the association of VNTRs as modifiers of disease risk, similar to what has been accomplished with SNPs [11, 24]. We purposefully included women carrying the specific *BRCA1* 185delAG Ashkenazi Jewish founder PV to try to explain the known variation in risk in women carrying this PV and to reduce potential confounding with unmeasured variables; however, the consequence is that it reduced the number of VNTRs that were polymorphic and restricted the sample size. A second limitation of the study is

the small sample size such that estimates of risk are not precise and may be inflated for the rarer risk alleles. In hindsight, using targeted capture and sequencing of 250 bp reads limited the size of repeats and reduced the number of VNTRs that made it through all the quality control checks due to poor amplification of VNTRs in GC-rich regions, difficulty in aligning VNTRs with imperfect repeats and/or with low complexity/repetitive sequence in the flanking regions. However, this pilot study has provided information for future studies. In regards to genotyping VNTRs, longer reads are necessary in order to capture additional VNTRs and a different technology such as whole-genome sequencing (WGS) long-read sequences such as performed by PacBio is needed to overcome issues of sequencing GC-rich regions. With the availability of WGS data in public databases such as the UK Biobank and the All of Us Research Program in the United States, we will be able to assess the association of VNTRs in overall breast cancer and not restricted to this small set. Based on our results herein, we have a better sense of sample size to detect statistically significant associations.

*BRCA1* breast cancers are generally basal, triple-negative hormone receptor cancers (TNBC). We have seen from SNP studies of both *BRCA1* carriers and women with TNBC that there are fewer SNPs associated with risk than for estrogen-receptor positive breast cancers; SNPs explain approximately 8% of the familial risk in *BRCA1* carriers [10]. Thus, identification of VNTRs significantly associated with risk of developing breast cancer in this genetically and ethnically homogeneous population is encouraging; several of which have been observed to play a role in breast cancer. The per-allele HRs for the dichotomized risk alleles in these VNTRs ranged from 1.6 to 6.6 (Table 4) whereas per-allele HRs for SNPs ranged from 1.01 to 1.40 [11, 55], suggesting that VNTRs may have larger effects than SNPs. For the rare VNTR allele in the 5'UTR of *ZNF501*, we did show that it affected expression. Several reports, including our own, have shown that VNTR motif change have a larger, causal effect on gene expression and function than SNPs [12, 56–58]. The relatively large hazard ratios observed in this study need to be validated in larger datasets that include women of diverse ethnicities, a wider spectrum of *BRCA1* PVs, and carriers of *BRCA2* PVs. Moreover, a larger genome-wide VNTR association study may identify additional VNTRs. In a future study, after identifying and replicating VNTRs associated with risk of developing breast cancer, incorporation into PRS will be warranted.

In summary, the results from this study demonstrate that VNTRs may explain a proportion of the unexplained genetic risk for disease. Similar to SNPs, VNTRs significantly associated with the disease of interest could be incorporated into polygenic risk scores (PRS) to test for improved risk assessment and clinical applicability.

## DATA AVAILABILITY

Data generated as part of this study are available from the corresponding author on reasonable request.

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## AUTHOR CONTRIBUTIONS

SLN and EF conceived of the idea, developed the design, and obtained funding to conduct the study. VB, MB, and JP did the analysis of the sequencing data to obtain genotypes. AA and CP prepared the DNA libraries for sequencing, conducted the luciferase assays, and the validation of the VNTR genotypes. YCD did quality control of the VNTR genotypes and conducted the association statistical analysis. SLN, EF, JW, and YL contributed DNA samples and data. All authors have contributed to, read, and approved the manuscript.

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## COMPETING INTERESTS

V.Bafna is a co-founder, paid consultant, SAB member and has equity interest in Boundless Bio, inc. and Abterra, Inc. The terms of this arrangement have been reviewed and approved by the University of California, San Diego in accordance with its conflict of interest policies. None of the other authors have any potential competing interests.

## ETHICS APPROVAL AND CONSENT TO PARTICIPATE

All individuals provided voluntary, informed consent. Ethics approvals were through each center-specific Institutional Review Board approved protocols.

## ADDITIONAL INFORMATION

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