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# Exosomal microRNAs associate with neuropsychological performance in individuals with HIV infection on antiretroviral therapy

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## Abstract

**Background:** Neurocognitive dysfunction remains prevalent among people living with HIV (PLWH), even after viral suppression on combination antiretroviral therapy (cART). We investigated associations between neuropsychological performance (NP) and patterns of circulating exosomal microRNA (exo-miRNA) expression in PLWH on cART.

**Setting:** A cross-sectional examination of plasma exo-miRNA among PLWH on cART with systemic viral suppression and volunteers without HIV infection.

**Methods:** 31 PLWH who started cART during early infection (n=19) or chronic infection (n=12) participated in phlebotomy and an 11-test neuropsychological battery after >1 year on treatment. NP higher- or lower-performing participants were categorized based on normalized neuropsychological scores. Total RNA was extracted from purified exosomes of 31 PLWH and 5 volunteers without HIV and subject to small RNA sequencing. Differential expression of exomiRNAs was examined and biological functions were predicted.

**Results:** Eleven exo-miRNAs were up-regulated in NP lower-performing (n=18) relative to higher-performing PLWH (n=13). A high proportion of the differentiating exo-miRNA target the axon guidance KEGG pathway and neurotrophin tyrosine receptor kinase signaling Gene Ontology pathway. Differential expression analysis of exo-miRNAs between NP lower- (n=7) and higher-performing (n=12) PLWH within the early infection group alone confirmed largely consistent findings.

**Conclusions:** Plasma exo-miRNA content differed between NP higher- and lower-performing PLWH. Several differentially expressed exo-miRNAs were predicted to be involved in

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inflammation and neurodegeneration pathways. Exo-miRNA in plasma may indicate cross-talk between the circulation and central nervous system and thus may be clinically relevant for neurocognitive dysfunction in PLWH.

#### Keywords

Exosomes; HIV-associated neurocognitive dysfunction; microRNA; axon guidance; inflammation

#### Introduction

Widespread use of combined anti-retroviral therapy (cART) has greatly reduced central nervous system (CNS)-related morbidity associated with HIV-1 infection; nonetheless, HIV-associated neurocognitive disorder (HAND) remains common, affecting an estimated 20–50% of people living with HIV (PLWH) and 19% of PLWH with well-suppressed HIV on cART [1, 2]. HAND represents a constellation of cognitive, motor, and behavioral symptoms with a wide spectrum of severity [3]. Detection of cerebrospinal fluid (CSF) HIV RNA and elevated markers of inflammation in the blood and CSF during suppressive cART suggests that persistent reservoirs of HIV in the CNS as well as inflammatory cross-talk between the two compartments may be implicated in clinically-relevant neuronal injury [4-6].

Exosomes have emerged as potential mediators of long-term immune activation and CNS perturbation during HIV infection [7]. Exosomes are cell-secreted lipid bilayer membrane microvesicles ranging from 30–150 nm in size that are released via exocytosis into a variety of body fluids, including the blood and CSF [8, 9]. Exosomes contain donor-cell derived lipids, proteins, messenger RNAs, and microRNAs that affect the functioning of target cells in the immediate microenvironment or at distant sites. Exosomal microRNAs (exo-miRNAs) regulate gene expression by post-transcriptionally controlling the translation and stability of their mRNA targets in recipient cells, thereby impacting cell proliferation, apoptosis and differentiation [10, 11].

Pro-inflammatory microRNAs, encapsulated in exosomes, may traffic between the CNS and the peripheral circulation, with systemically derived exosomes contributing to neurological sequelae in people living with chronic HIV infection and/or CNS-derived exosomes in the plasma reflecting the state of ongoing CNS processes. CNS inflammation promotes the ability of exosomes to cross the blood-brain barrier, facilitating the transport of pathologic or pro-inflammatory molecules between the blood and CSF [12]. Prior studies have implicated exosomal signaling in CNS pathologies. For example, pro-inflammatory exo-miRNAs have been shown to modulate microglial-mediated immune responses in neurodegenerative diseases including Parkinson and Alzheimer's diseases [13, 14] and can reflect disease status in multiple sclerosis [15]. In studies of HIV, exosomes released from HIV-1 infected cells have been shown to induce quiescent CD4+ lymphocytes to produce HIV-1 as well as promote the release of pro-inflammatory cytokines from target monocyte-derived macrophages [16, 17].

Given that microRNAs are enriched in exosomes, in this study, we explore the association between exo-miRNAs and neurocognitive dysfunction during chronic HIV infection in people who have obtained viral suppression on cART. We hypothesized that there is a link

between exosomal signaling patterns and neuropsychological testing (NP) performance as a clinical index of the effects of systemic inflammation on the brain as a target organ. This investigation of patterns of plasma exo-miRNAs in the pathogenesis of neurocognitive dysfunction during treated suppressed infection provides new potential targets for intervention for affected individuals.

#### Materials and Methods

#### Study participants.

Study participants included: participants from the Primary Infection CNS Events Study (PISCES) (University of California, San Francisco) enrolled during primary HIV infection (PHI), defined as within 12 months of HIV acquisition (n=19), participants from the HIV Associated Reservoirs and Comorbidities (HARC) study (Yale University) who initiated cART during chronic HIV infection (n=12), and HIV-uninfected participants without clinical diagnoses of neurologic disease who were recruited from the community (5 men, median age = 49 years, IQR = 48 – 53 years). For participants with HIV (n=31), plasma, bloodwork, lumbar puncture, and NP testing were performed after at least 1 year of cART initiation and systemic viral suppression (plasma viral load 40 copies/ml) were documented.

#### Neuropsychological testing.

For each PLWH, a 1.5-hour NP battery was performed. Participants were assessed across 5 common domains of neurocognitive functioning: motor (Timed Gait, Grooved Pegboard), executive function (Trail-Making A-B, Controlled Oral Word Association), processing speed (Digit Symbol, Stroop Tests), memory (Figure Delay), and learning (Hopkins Verbal Learning Test, Rey Auditory Verbal Learning) [18, 19]. Total-z scores were derived by averaging individual test z-scores across domains and externally normalizing individual raw test scores for age, gender, ethnicity and years of education to the general population [20-27]. Executive function-z scores were derived similarly, using only executive function domain tests. Study participants were divided into two groups, higher- and lower-performing, based on normalized total-z or executive function-z score of >0 and <0, respectively. Other studies have employed similar methods of studying participants by NP performance [28, 29].

#### Exosome isolation from plasma.

The isolation of exosomes was performed using a solution of 20% polyethylene glycol (PEG, Mn 6,000, Sigma-Aldrich, St. Louis, MO) as previously reported [30-32]. Briefly, the mixture of plasma and the solution (500  $\mu$ l solution/500  $\mu$ l plasma) was incubated at 4°C for 2 hours, followed by centrifugation at 13,000 rpm for 2 min to obtain the exosome pellet with two washes of 1x Dulbecco's phosphate buffered saline (DPBS, Sigma-Aldrich).

#### Confirmation of exosomes.

Transmission electron microscopy (TEM) was performed to validate exosome morphology. DPBS-suspended exosomes were deposited on formvar carbon-coated EM grids. After negative staining with 2% uranyl acetate (pH 4), the grids were examined and imaged with a FEI TECNAI F20 FEG microscope running at 200 kV of accelerating voltage, the digital

images were recorded with a FEI Eagle CCD camera (4k x 4k). Images of 100 representative vesicles were measured with ImageJ (https://imagej.nih.gov). Nanoparticle Tracking Analysis (NTA) was performed to measure exosomal size and concentration using a Nanosight LM10 instrument equipped with a 405 nm laser (NanoSight, Malvern Instruments) at 21°C. The Brownian movement of particles was tracked by NTA software (version 3.1, NanoSight). Quantitative ELISA assay was performed to measure exosome marker CD63 [33] using an ExoELISA-Ultra CD63 kit (System Biosciences Inc., cat. EXEL-ULTRA-CD63–1) following the manufacturer's protocol [34]. The total protein concentration of each exosome suspension was measured using NanoDrop\_1000 spectrophotometer with a wavelength 280 nm. DPBS exosome suspensions (normalized to 100 µg of protein) were plated and run in duplicate. The absorbance of exosomal CD63 was determined using a Biotek spectrophotometric 96-well microplate reader with a wavelength of 450 nm.

#### RNA preparation and library construction.

Total RNA was extracted from purified exosomes using SeraMir Exosome RNA purification kit (System Biosciences inc, cat. RA806A-1), and the quality and concentration of RNA were determined by Agilent 2100 Bioanalyzer (Agilent Technologies, Inc., Santa Clara, CA). Small RNA libraries were prepared using a NEBNext® multiplex small RNA library prep set for Illumina kit (New England BioLabs INC., Ipswich, MA) following the manufacturer's instructions.

#### Next-generation small RNA-sequencing and analysis.

Single-end deep sequencing was performed on all cDNA libraries with a 75-nucleotide (nt) read length using HiSeq-2500 Genome Analyzer (Illumina, San Diego, CA). Adaptors and low quality regions were trimmed from raw sequences using btrim with options "-3 -P -1 15" [35]. The trimmed sequences were mapped to human genome (hg38) with BWA [36]. For microRNA annotation, miRBase v21 was used [37]. Differential gene expression analysis was performed using R package "DESeq2" [38]. MicroRNAs with base mean expression (BME) < 10 were excluded, and differential expression was defined as absolute log2(fold-change) > 1.0.

#### Functional analysis of predicted mRNA targets of differentially expressed exo-miRNAs.

To examine functional annotations of the mRNAs that the differentially expressed exomiRNAs target, KEGG pathway and Gene Ontology (GO) analysis were performed using the DiANA tool of mirPath v.3 [39].

#### Measurement of BDNF in plasma.

The concentration of brain-derived neurotrophic factor (BDNF) was measured as a read-out of exo-miRNA activity on axonal modeling pathways. The concentration of free BDNF in plasma was determined using a commercial ELISA assay (R&D Systems, Inc., Minneapolis, MN,) following the manufacturer's protocol. Correlation coefficients were generated between BDNF and both miR-30a-5p and miR-206 levels, two reported inhibitors of BDNF translation [40, 41].

#### Statistical analysis.

Fisher's exact and Wilcoxon Rank Sum tests were employed to compare clinical characteristics. To test for the ability of exo-miRNA expression to distinguish participants' NP status, principal component analysis (PCA) and receiver operating characteristic (ROC) analysis were performed. ROC analysis was performed in SAS v9.4. All other statistical analyses were performed in R v3.5.0.

### Results

#### Participant characteristics

Characteristics for all study participants are summarized in Table 1. Of the 19 participants enrolled during PHI, the median time between estimated date of infection and treatment initiation was 0.6 years (IQR 0.2 - 1.8). Patients enrolled during PHI had median age 43 years (IQR 35.5–48), while patients enrolled during chronic infection had median age 58.5 years (IQR 51.75-62.5, p=0.0001). Based on total-z scores on NP testing, 13 participants with HIV were sorted into the NP higher-performing group (NP-higher, median total-z=0.3) and 18 into the NP lower-performing group (NP-lower, median total-z=-0.7). Based on executive function-z scores, 14 participants with HIV were sorted into executive function higher-performing (median z-score=0.44, 64% enrolled during PHI) and 16 into executive function lower-performing (median z-score= -0.49, 56% enrolled during PHI). Seven patients enrolled during PHI had incomplete learning and memory NP data, 1 patient had incomplete executive function data, and 2 patients enrolled during chronic infection had incomplete motor NP data; missing domains were not included in the total-z score calculations for these patients. Participants enrolled during PHI were more prevalent in the NP-higher group, while most of the participants enrolled during chronic infection were in the NP-lower group ( $p = 6.5 \times 10^{-6}$ ). NP-lower participants had an overall longer duration of cART treatment (p=0.035), fewer years of education (p= $6.0 \times 10^{-4}$ ) and lower CSF protein level (p = 0.009) relative to NP-higher.

#### Characterization of circulating exosomes in plasma

TEM images showed that the morphology of PEG-purified exosomes was vesicular with diameters ranging from 20–70 nm (Figure 1A). NTA, which is based on the principle of Brownian motion of particles in liquid, demonstrated that the majority of purified vesicles ranged 110–210 nm in diameter and were approximately  $10^{10}$ - $10^{11}$  particles per mL of plasma (Figure 1B). The smaller sizes of exosomes measured under TEM vs. NTA may be due to sample preparation and dehydration for TEM imaging as well as lower sensitivity of NTA to detect vesicles in the 20–60nm range [42, 43]. ELISA assay confirmed that all purified exosomes were CD63-positive and revealed no significant difference in exosome abundance, quantified by CD63 ELISA signal, between the NP-higher (median= $1.56 \times 10^{10}$ ) versus NP-lower groups (median= $1.73 \times 10^{10}$ , p=0.569) or between cohorts enrolled during PHI (median= $1.55 \times 10^{10}$ ) versus chronic infection (median= $1.78 \times 10^{10}$ , p=0.371).

## Differential exo-miRNA expression between neuropsychological higher- and lowerperformance groups

Expression levels of exo-miRNAs identified by NGS were compared between NP-higher and NP-lower groups (Supplementary Table 1). PCA performed on the exo-miRNA expression data demonstrated that NP-higher and NP-lower separated into distinct groups, particularly along the 2<sup>nd</sup> component (Figure 2A). After correcting for multiple comparisons using False Discovery Rate (FDR<0.1), we found 11 exo-miRNAs were significantly differentially expressed between the groups (miR-206, miR-193b-5p, miR-193a-5p, miR-30a-5p, miR-216b-3p, miR-499a-5p, miR-499b-3p, miR-708–3p, miR-1183, miR-375 and miR-483–5p). All 11 differentially expressed exo-miRNAs were upregulated in NPlower relative to NP-higher (Figure 2B). Exo-miRNA expression was also compared between PLWH with higher- versus lower-executive function performance. Three exomiRNA (miR-216b-3p, miR-148a-3p, and miR-504–5p) were significantly upregulated in participants with lower executive function performance relative to higher performance by p<0.05.

To evaluate the ability of differentially expressed circulating exo-miRNAs to distinguish NP performance groups, we conducted receiver operating characteristic (ROC) analyses with the 11 identified exo-miRNAs. The 11 differentially expressed exo-miRNAs between NP-higher and NP-lower showed high area under the curve (AUC) with a value of 0.93 (95% CI: 0.83 –1.00) in distinguishing the performance groups (Figure 2C).

#### Biological role of differentially expressed exo-miRNAs

To better understand the biological relevance of the differentially expressed microRNAs between NP performance groups, we performed canonical KEGG pathway and GO enrichment analyses using the 11 differentially expressed exo-miRNAs. Axon guidance ranked highest of the enriched KEGG pathways (Figure 3), with 9 of the 11 identified exo-miRNAs targeting genes in this pathway.

GO analysis demonstrated that genes involved in organelle function, ion binding, cellular nitrogen compound metabolic process, cellular protein modification, biosynthesis, and neurotrophin tyrosine receptor kinase (TRK) signaling were predicted targets of the 11 differentially expressed exo-miRNAs (Figure 4A). Two identified exo-miRNAs, miR-30a-5p and miR-206, are known inhibitors of BDNF translation. Measurement of BDNF in the 31 plasma samples revealed a significant negative correlation between both exosomal miR-206 and miR-30a-5p expression and BDNF levels (Figure 4B). Pearson correlation coefficients were -0.50 (95% CI: [-0.72, -0.16]; p value=0.004) and -0.47 (95% CI: [-0.91, -0.13]; p value=0.008), respectively. There was no difference in BDNF levels between the NP-higher (7.64, range 1.43–15.4) and NP-lower (5.57, range 0.64–39.0) groups (p=0.514). There was no significant correlation between BDNF levels and CD4+/CD8+ cell count ratios (p=0.16) or CD8+ cell counts (p=0.60) across all participants.

# Differential exo-miRNA expression between NP higher- and lower-performing groups in the PHI group alone

Our analysis of differentially expressed exo-miRNAs between the NP-higher and NP-lower groups encompassed two distinct populations: participants from the San Francisco, USA, area, treated during early HIV infection, and HARC participants from the New Haven, USA, area, initially treated during chronic infection. In order to ensure that our findings were not driven by demographic differences between these two populations, differential exo-miRNA expression analyses were performed between NP-higher and -lower performing participants within the PHI study alone, where participants were distributed more evenly between NP-higher and -lower than the chronic infection group.

Using the same threshold of total-z score greater than or less than 0, 12 participants were classified as NP-higher (median total-z=0.4) and 7 participants were classified as NP-lower (median total-z= -0.5) within the PHI study alone. There was no significant difference in clinical characteristics between the NP groups (Table 1).

Differential expression analyses revealed 15 exo-miRNAs that were significantly differentially expressed (p<0.05) between NP-higher and -lower within this subset of participants. 5 exo-miRNAs (miR-454–3p, miR-548k, let-7a-5p, let-7e-5p, and let-7f-5p) were upregulated in NP-higher, while 10 exo-miRNAs (miR-30d-3p, miR-125b-5p, miR-193a-5p, miR-4742–3p, miR-4755–3p, miR-141–3p, miR-125b-2–3p, miR-205–5p, miR-1183, miR-708–3p) were upregulated NP-lower (Supplementary Figure 1, Supplementary Table 2). 3/10 exo-miRNAs that were upregulated in NP-lower (miR-193a-5p, miR-1183, and miR-708–3p) within the PHI-only group were also upregulated in NP-lower in the combined PHI and chronic infection group analysis. These 3 exo-miRNAs, differentially expressed in both analyses, demonstrated AUC of 0.86 (95% CI: 0.73–0.99) in distinguishing NP performance groups within the PHI cohort.

KEGG pathway analysis revealed that the axon guidance pathway was again significantly enriched for the 15 differentially expressed exo-miRNAs between the NP-higher and -lower groups in this PHI group, targeting 54 genes in the pathway (Supplementary Table 3). The 3 exo-miRNAs differentially expressed in both analyses were specifically implicated in the phosphatidylinositol signaling (p=0.01) and glycan degradation (p=0.0016) KEGG pathways and were predicted to target genes involved in nervous system development (p=1.5E-06), neurotrophin TRK signaling (1.6E-04) and ion binding (p=6.69E-20) by GO analysis.

#### Differential exo-miRNA expression between individuals with and without HIV infection

In an exploratory analysis, we compared exo-miRNA expression between a small group of HIV-negative individuals (n=5) and participants with HIV (n=31) to investigate whether the 11 exo-miRNA associated with NP status would differentiate by infection status. 25 exo-miRNAs were found to be differentially expressed (p<0.05) between the participants with and without HIV infection (Supplementary Table 4). miR-375, which was found to be up-regulated in NP-lower HIV-infected participants was also found to be 5.7-fold higher in HIV-infected participants relative to HIV-uninfected participants. Otherwise, the differential

exo-miRNA expression between infection status did not overlap with those that were found when stratifying groups by NP testing.

#### Discussion

In this study, we demonstrated that PLWH with higher versus lower neuropsychological performance have different circulating exosomal microRNA content. Our analysis of exomiRNA transcriptomic data revealed that the expression of 11 exo-miRNAs in plasma is associated with lower neuropsychological performance in PLWH, and certain processes, including axon guidance, ERBB signaling and TRK receptor signaling, are predicted targets of these 11 microRNAs. Three of these exo-miRNAs, along with the axon guidance KEGG pathway, were further validated in the exo-miRNA expression analysis within the group of PHI participants alone, suggesting that these exo-miRNA may be implicated in cognitive function during chronic HIV infection that is not specific to the timing of ART initiation.

Several of the differentially expressed exo-miRNAs have been implicated in inflammatory pathways, suggesting that exo-miRNAs may play a role in regulating inflammation during chronic HIV infection. For example, miR-483–5p and miR-30a-5p were up-regulated in NP-lower individuals. miR-483–5p has been shown to attenuate the host anti-viral immune response following hepatitis C infection through down-regulation of NF-kB, while miR-30a-5p has been shown to enhance anti-viral inflammation via up-regulation of IFN-1 [44, 45]. The interplay between anti- and pro-inflammatory exo-miRNAs may contribute to damaging, prolonged inflammation in PLWH as well as downstream clinical sequelae such as HAND, although this was not reflected by a difference in blood neopterin concentration by NP group.

Deep sequencing of exo-miRNAs allowed us to take an unbiased approach to detecting biologic processes that are differentially regulated in PWLH with different NP performance. Interestingly, a high proportion of exo-miRNAs in both the full group and subset group analyses were predicted to target genes in the axon guidance KEGG pathway. Integrated transcriptomic analyses of mRNAs and microRNAs in the frontal cortex have suggested that dysregulated axon guidance plays a key role in HIV-mediated neurodegeneration [46]. These results suggest that exo-miRNAs may traffic between the periphery and CNS, interfering with neuron repair pathways or reflecting ongoing degenerative processes associated with HAND. We were particularly interested in the executive function NP domain due to the known involvement of the frontal cortex in HIV-mediated neurodegeneration. We found that 3 exo-miRNA, including miR-148a-3p, which has been shown to promote apoptosis by targeting Bcl-2, were more highly expressed in PLWH with lower executive function performance [47]. miR-216b-3p was upregulated in both NP-lower and in the cohort with lower executive function, suggesting that this exo-miRNA may specifically contribute to deficits in executive function in PLWH. In addition, processing speed and motor function, two domains tested by our standardized neuropsychological exams, are commonly compromised in participants with HAND due to damage to myelinated white matter tracts [48, 49]. Defects in axon guidance would impede maintenance normal functioning of these tracts.

Exo-miRNAs up-regulated in NP-lower participants were also predicted to be involved in neurotrophin TRK signaling by GO analysis, and two of these microRNAs, miR-30a-5p and miR-206, have previously been shown to specifically inhibit translation of an important TRK ligand, BDNF [50-53]. We were able to corroborate this association by showing that there were significant negative correlations between plasma BDNF and both exosomal miR-30a-5p or miR-206. BDNF and its high-affinity receptor, tropomysin-related kinase B (TrkB), are important mediators of axon guidance and synaptic plasticity. Functional suppression of BDNF leads to the deficits of long-term synaptic potentiation, a major cellular mechanism underlying learning and memory [54]. Therefore, up-regulation of miR-30a-5p and miR-206 observed in NP-lower participants may cause neuropsychological deficits in PLWH by impeding BDNF signaling, and in turn, axon guidance.

In studies not focused on CNS deficits, it has been consistently shown that HIV infection alters the microRNA content of exosomes [45, 55, 56]. We similarly found significant differences in circulating exo-miRNA expression between individuals with and without HIV infection. These differences motivated our choice to focus on HIV-infected participants alone for our study of neuropsychological function. Elucidating whether the 11 exo-miRNA associated with neurocognitive status in PLWH are specific to HIV infection requires a larger, controlled study of uninfected volunteers with neuropsychological testing.

This study was an effort to investigate the relationship of exo-miRNA signaling and clinical sequelae of chronic HIV after viral suppression, and there are limitations to our analyses. Primarily, a higher proportion of participants who initiated cART during chronic infection sorted into the NP lower-performing group compared to those who started cART during early infection. Although NP tests have been standardized and validated to control for education level, age, and other demographic variables, performing differential exo-miRNA analysis in the more demographically homogenous PHI cohort alone was an important validation step. It is highly suggestive that three differentially expressed exo-miRNAs in both analyses are implicated in pathways of inflammation and neuronal function; however, repeating this analysis in a larger cohort of demographically homogenous individuals would strengthen this finding. Additionally, our study included only one female patient, and studying a more balanced cohort would increase the generalizability of these results. Second, without enriching for neuronal-derived exosomes (NDEs) in the plasma, we cannot know that the exosomes examined in this study would traffic to or from the CNS. Several studies have investigated NDEs specifically in the circulation as read-outs of CNS biology in neurocognitive disorders which could be employed in future work [28, 57, 58]. Nonetheless, this study provided a snapshot of biologic processes occurring in PLWH that may have widespread effects across various organs.

In summary, we found there was a distinct exosomal microRNA pattern that distinguished lower versus higher NP performance groups in PLWH. The differentially expressed exomiRNAs were predicted to be involved in inflammation and neurodegeneration pathways. These findings suggest that circulating exo-miRNAs may reflect processes ongoing in the central nervous system in PLWH in the setting of durable viral suppression. Exo-miRNA content may serve as a useful diagnostic tool for individuals with chronic HIV infection on

cART and provide further insight into potential targetable mechanisms of NP sequelae in this population.

#### Supplementary Material

Refer to Web version on PubMed Central for supplementary material.

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#### Figure 1. Characterization of plasma exosomes.

A) Representative Transmission Electron Microscopy (TEM) image of purified exosomes from plasma using PEG-based solution; scale bar: 200 nm. The histogram represents the distribution of vesicle sizes in the TEM image, with an average size of 36.7nm (dashed red line). (B). Representative NanoSight Tracking Analysis (NTA) graph showing the size (x-axis) and concentration (y-axis) of diluted exosomes (1:1000) in 1x PBS solution. Red shading indicates +/- 1 standard error of the mean.



Figure 2. Distinct exo-miRNA expression patterns between neuropsychological lower- and higher-performing groups.

A) Principle component analysis (PCA) plot based on small RNA-seq data, where the red and blue points represent participants with higher and lower neuropsychological (NP) performance, respectively. (B) Volcano plot of differential micoRNA expression between lower versus higher NP performance groups. The black points indicate exo-miRNA with differential expression FDR <0.1, and the grey points represent no significant difference between the groups. X-axis represents log2(fold-change) of exo-miRNA (lower vs. higher NP performance), and y-axis represents the –log10(p-value) of differences in exo-miRNA expression (lower vs. higher NP performance). (C) Predictive performance (ROC analysis) of the differentially expressed exo-miRNAs in distinguishing lower from higher NP performance.



# Figure 3. KEGG pathway analysis for differentially expressed exo-miRNAs between neuropsychological lower- and higher-performing groups.

Predicted KEGG pathways enriched with the 11 significantly differentially expressed exomiRNAs (lower- vs. higher-neuropsychological (NP) performing, FDR<0.1). -1og10(p.value) indicates the statistical significance that the pathway is enriched with gene targets of the microRNAs, X.mRNA is the number of exo-miRNAs targeting genes in the enriched pathway, and X.genes is the number of genes in the pathway targeted by the differentially expressed microRNAs, represented by the color and size of the dot



Figure 4. TRK signaling is a target pathway of differentially expressed exo-miRNAs between neuropsychological lower- and higher-performing groups.

(A) Heatmap of Gene Ontology (GO) enrichment analysis, where the color key of log(p value) indicates the statistical significance that the GO category (column) is enriched with a given exo-miRNA (row). (B) Plasma BDNF levels negatively correlate with exosomal miR-206 and exosomal miR-30a-5p expression. The black line is the line of best fit between the two markers, and the grey ribbon represents the 95% confidence interval of the line of best fit. Log10 expression of the exo-miRNA is on the x-axis, and log10 levels of plasma BDNF are on the y-axis.

#### Table 1.

#### Participant Characteristics, All Participants and PHI Participants Alone.

	NP Higher- Performing Among All Participants	NP Lower- Performing Among All Participants	p-value	NP Higher- Performing Among PHI Participants	NP Lower- Performing Among PHI Participants	p-value
N, participants	13	18		12	7	
Clinical Characteristics						
Proportion from primary infection study, UCSF (%)	12/13 (92.3%)	7/18 (38.9%)	6.5×10 <sup>-16<sup>2</sup></sup>	12/12 (100%)	7/7 (100%)	
Proportion from chronic infection study, Yale (%)	1/13 (7.7%)	11/18 (61.1%)				
Total-z score	0.3 (0.2 - 0.8)	-0.7 (-1.70.5)	9.7×10 <sup>-9</sup> <sup>b</sup>	0.4 (0.04 - 0.7)	-0.5 (-0.70.2)	<b>4.0</b> ×10 <sup>-5</sup> <sup>b</sup>
Time on cART, years	1.2 (1.1 - 1.9)	6.3 (1.1 - 21.2)	<b>0.035</b> <sup>b</sup>	1.2 (1.1 - 1.8)	1.1 (1.1 - 1.6)	0.711 <sup>b</sup>
Duration pre-ART infection, years	Unavailable	Unavailable		0.5 (0.3 - 1.9)	0.7 (0.2 - 1.8)	0.773 <sup>b</sup>
Age, years	45 (42 - 48)	51 (44 - 61)	0.118 <sup>b</sup>	45 (41 - 48)	36 (35 - 46)	0.400 <sup>b</sup>
Male, number (%)	13/13 (100%)	17/18 (94%)		12/12 (100%)	7/7 (100%)	
Education, years	16 (15 - 18)	13 (12 - 15)	6.0×10 <sup>-4</sup> <sup>b</sup>	16 (15 - 18)	16 (14 - 16)	0.273 <sup>b</sup>
Bloodwork						
CD4 count, cells/µL	641 (371 - 780)	595 (432 - 858)	0.498 <sup>b</sup>	592 (368.3 - 729.5)	676 (572 - 898)	0.167 <sup>b</sup>
Blood neopterin, nmol/L	6.12 (4.9 - 8.7)	6.43 (5.2 - 7.7)	0.983 <sup>b</sup>	5.7 (4.9 - 8.8)	6.6 (4.6 - 7.7)	0.586 <sup>b</sup>
Log10 plasma viral load, copies/µL	All participants had log10 plasma viral load at the lower limit of detection of each institution					
Lumbar Puncture						
CSF WBC, cells/µL	2 (1 - 3)	1 (0 - 2)	0.09 <sup>b</sup>	2 (1 - 3)	1 (0 - 2)	0.258 <sup>b</sup>
CSF protein, mg/dL	39.5 (35.5 - 48.5)	29.5 (24.3 - 36.8)	<b>0.009</b> <sup>b</sup>	39.5 (35.5 - 48.5)	33.0 (29.5 - 39.5)	0.219 <sup>b</sup>

 $a^{a}$  = Fisher's exact test;

b = Wilcoxon Rank Sum test, medians (IQR) displayed

Abbreviations: NP = neuropsychological, cART = combined anti-retroviral therapy, CSF = cerebrospinal fluid, WBC = white blood cells